

# **EXHIBIT D**



***Forensic and Litigation Consulting***

November 10, 2009

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

Re: Stanford Financial Group Receivership  
FTI Job No. 008334.0239

Enclosed is our invoice for professional services rendered in connection with the above referenced matter. This invoice covers professional fees from October 1, 2009 through October 31, 2009.

Please do not hesitate to call me to discuss this invoice or any other matter.

Sincerely yours,

Karyl Van Tassel  
Senior Managing Director

Enclosures



**Invoice Remittance**

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

November 10, 2009  
FTI Invoice No. 7216301  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through October 31, 2009

Professional Services.....	\$809,237.20
Expenses.....	\$104,781.29
<b>Total Amount Due.....</b>	<b><u>\$914,018.49</u></b>

*Please Remit Payment To: FTI Consulting, Inc.  
P.O. Box 630391  
Baltimore, MD 21263-0391  
(800) 334-5701*

*Wire Payment To:*

**REDACTED**

**REDACTED**

FTI Consulting performed the following tasks performed from October 1 through October 31, 2009:

- Provided assistance with the Receivership operations procedures including: assisting with employee retention, performing daily treasury procedures, preparing cashflow/forecasting model and assisting in the accounting function.
- Processed and loaded electronic data into document review tool to support the investigatory needs of the case.
- Provided solutions to review email accounts, user shares, hard drives or other electronic media while maintaining a preservation schedule.
- Responded to SEC and DOJ requests regarding data and electronic evidence.
- Responded to various media inquiries and public information requests.
- Prepared analysis of CD proceeds received by customers broken down between principal and interest as required by the court for:
  - Held Pershing accounts
  - Stipulated partial release accounts
  - 50 investors with highest balance at receivership date
- Prepared analysis of CD proceeds received by various groups of employees.
- Prepared analysis of Bonuses, Commissions and Loans provided to Financial Advisors.
- Reviewed loaded electronic evidence (email and other) for relevant information to support Receivership litigation efforts.
- Filed multiple declarations to support the Receivership in various litigation matters.
- Provided research and analysis related to ongoing litigation conducted by the Receivership including review of company email and hard copy documents.
- Analyzed CD holder accounts for various Receivership issues.
- Continued to retrieve relevant bank account transactional information from key banking institutions for use in cash tracing to support analysis of flow of funds in the Stanford Financial Group.



## Invoice Summary

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Re:

Current Invoice Period: Charges Posted through October 31, 2009

Name	Title	Rate	Hours	Total
Karyl Van Tassel	Senior Managing Director	\$488.00	47.1	\$22,984.80
Simon Strong	Senior Managing Director	\$444.00	5.0	\$2,220.00
Anthony Tabb	Managing Director	\$460.00	2.8	\$1,288.00
Michael Wei	Managing Director	\$440.00	63.8	\$28,072.00
Jeffrey Ferguson	Managing Director	\$424.00	61.9	\$26,245.60
Jeanette Day	Director	\$468.00	171.4	\$80,215.20
James R. Scarazzo	Director	\$400.00	9.1	\$3,640.00
Craig Kolodjeski	Director	\$392.00	83.3	\$32,653.60
Robert Giles	Director	\$372.00	112.4	\$41,812.80
Kevin Blake	Director	\$368.00	153.0	\$56,304.00
Brian Kim	Director	\$344.00	2.0	\$688.00
Andrew Sheehan	Director	\$340.00	3.3	\$1,122.00
Ann Emberson	Director	\$320.00	1.2	\$384.00
Amy Kneoppel	Director	\$316.00	136.4	\$43,102.40
Scott Sizemore	Director	\$312.00	232.8	\$72,633.60
Mark Russell	Senior Consultant	\$288.00	178.4	\$51,379.20
Deepak Chheda	Senior Consultant	\$280.00	5.2	\$1,456.00
Patrick Beeman	Senior Consultant	\$280.00	180.4	\$50,512.00
Anna Campbell	Senior Consultant	\$264.00	1.7	\$448.80
Kathleen Prichard	Senior Consultant	\$248.00	161.9	\$40,151.20
Michael Kirk	Senior Consultant	\$248.00	1.1	\$272.80
Daniel Palmer	Consultant	\$268.00	162.9	\$43,657.20
Lara Nemerov	Consultant	\$232.00	4.0	\$928.00
Barbara Arellano	Consultant	\$220.00	157.6	\$34,672.00



*Invoice Summary*

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<b>Name</b>	<b>Title</b>	<b>Rate</b>	<b>Hours</b>	<b>Total</b>
Abayomi Odunsi	Consultant	\$212.00	168.6	\$35,743.20
Rebecca Templeton	Consultant	\$196.00	147.5	\$28,910.00
Bridget Meacham	Consultant	\$196.00	98.4	\$19,286.40
Abigail Poplis	Consultant	\$188.00	149.8	\$28,162.40
Iain Andrews	Consultant	\$188.00	2.0	\$376.00
John Kluka	Consultant	\$188.00	109.5	\$20,586.00
Sheena Chawla	Consultant	\$180.00	99.0	\$17,820.00
Paul Dudzinski	Consultant	\$180.00	119.5	\$21,510.00
			<b>2833.0</b>	<b>\$809,237.20</b>
<b>Expenses</b>				<b>\$104,781.29</b>
<b>Invoice Total</b>				<b>\$914,018.49</b>

**\*\*Invoice Total has been discounted by \$202,309.30**

**PROFESSIONAL SERVICES**
**Karyl Van Tassel**

10/01/09	Discussing issues related to Ft. Lauderdale server production	1.50
10/02/09	Review draft KVT-5 schedule	1.00
10/05/09	Review KVT declaration reconciling issues related to Baker Botts queries	1.80
10/06/09	Review of reconciling items of KVT-5	1.80
10/06/09	Status update with counsel regarding revised KVT-4 and KVT-5	1.30
10/07/09	Review support gathered in response to government request in Florida litigation.	1.30
10/07/09	Review Update KVT-5	1.00
10/07/09	Review FA Update schedule	1.50
10/08/09	Review KVT-5 and 6	2.30
10/08/09	Review edits to KVT-4	0.80
10/08/09	Employee analysis review	1.30
10/09/09	Review reconciliations for final kvt-5 and kvt-6	1.30
10/12/09	Reviewing production information for declaration	1.80
10/12/09	Discussion with counsel regarding <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30
10/12/09	Reviewing responses to Examiner questions	1.50
10/12/09	Discussing responses to Examiner questions on KVT-4	1.00
10/13/09	Review of express activity related to our analysis for KVT-4 schedule	1.00
10/14/09	Discussions with counsel regarding priorities and upcoming workstreams and project areas	1.50
10/16/09	Review revised KVT-6	2.00
10/20/09	Update call to discuss status and review approach for employee schedule and expanded KVT-6	0.80
10/21/09	Discuss priorities of production requests with counsel	0.50
10/21/09	Review details of employee analysis.	1.50
10/22/09	Review summary schedules of data bases for production in support of declaration	1.80
10/22/09	Reviewing Declaration and backup documentation for production	1.50
10/23/09	Review declaration with production materials	1.80
10/23/09	Update on Employee analysis and expanded KVT-6	1.00
10/23/09	Review declaration with production materials	1.80
10/23/09	Update on Employee analysis and expanded KVT-6	1.00
10/23/09	Review analysis of fund transfers from incorrect jurisdictions	-2.70
10/26/09	Update call to decide strategy <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30
10/27/09	Review data base production summary	1.50
10/28/09	Review declaration of Jeff Ferguson	0.30
10/28/09	Meet to discuss response to question on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.50
10/28/09	Analyze response for request on demographics for SVC	0.80
10/28/09	Review supporting materials for Jeanette Day declaration	0.50
10/28/09	Review results of inquiry for Libyan issue	0.80
10/29/09	Review Updated FA schedule	0.80
10/29/09	Review Employee SIB analysis	0.50
10/30/09	Call with counsel to discuss production of documents <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.00
10/30/09	Reviewing support for Chapter 15 declaration in anticipation of hearing	1.80
10/30/09	Call to discuss preliminary results from media inquiry result on demographics	0.50

## Invoice Activity

10/30/09	Call with team to discuss production of documents supporting July Declaration	0.80	
	\$488.00 per hour x total hrs of	47.10	\$22,984.80

**Jeanette Day**

10/01/09	Prepare, document, and pay property taxes on Casuarina loan with Kim Epstein, Ralph Janvey, and Mellon bank	0.50	
10/01/09	Final review of cash payments of interest on Havell loan and confirm expected amount to be received	0.50	
10/01/09	Status update on E&Y tax documents with Char McDougall, Fred Fram, and Tiffany Petty	1.00	
10/01/09	Research receipts of venture capital cash flows for Baker Botts and confirm non-receipt or receipt to John Greer and Craig Adams	0.50	
10/01/09	Confirm receipt of expected cash inflows from contacted vendors	0.30	
10/01/09	Provide divided claims database to Rhonda Davis	0.10	
10/01/09	Contact Bank of Antigua to close out Aviation account and receive bank statements for post-receivership	0.70	
10/01/09	Bi-weekly management meeting with Char McDougall, Fred Fram, Rhonda Davis, and Daniel Palmer. Audit Update and claims update	1.10	
10/01/09	Confirm receipt of weekly checks to vendors for clearing of account	0.10	
10/01/09	Update pershing settlement statements for accounting	0.20	
10/01/09	Research cash receipts for accounting with Herschel Hamner	0.20	
10/01/09	Meeting with Malcolm Lovett, Fred Fram, and Steve Lindstrom to discuss current status of open items and overview of meeting with Receiver	1.10	
10/01/09	Discuss <span style="border: 1px solid red; padding: 2px;">REDACTED</span> claim decision with Fred Fram and Char McDougall	0.40	
10/01/09	Confirm and communicate timeline for St. Croix guns decision	0.40	
10/01/09	Review cash reconciliation of bank statements to subledger with weekly AP additions	0.50	
10/01/09	Research Scotia bank accounts with Fred Fram and Kerry Jackson for Herschel Hamner	0.40	
10/02/09	Provide update of cash receipt documentation to accounting	0.10	
10/02/09	Confirm Havell wire instructions to bank	0.20	
10/02/09	Update of warehouse costs with Steve Lindstrom for forecast	0.20	
10/02/09	Call with Sue Ayers, Fred Fram, and Char McDougall on <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.40	
10/02/09	Confirm receipt of Havell loan payoff to Baker Botts	0.40	
10/02/09	Research missing commission checks with Dear Born capital and Ramona Hernandez	1.00	
10/02/09	Follow-up on lack of receipt of "the ultimate gift" deposit with JPMorgan and John Greer	0.40	
10/02/09	Begin investigation within JPMorgan for missing wire to professionals for Craig Adams	0.60	
10/02/09	Status update on St. Croix agreement with gun handler	0.10	
10/02/09	Communicate bank of Antigua account close out status with Steve Lindstrom	0.20	
10/02/09	Research Steve Thacker request for T&E reimbursement	0.20	
10/05/09	Email Jozaine Roberts, Bank of Antigua, for aviation bank statements, copies of checks, and liquidation of funds	0.10	
10/05/09	Update and distribute lease chart for Kim Epstein with September landlord admin claim settlements	0.40	
10/05/09	Update on Suddath invoice payment to Craig Adams and confirm payment of 2nd Miami trip to vendor. Supply vendor with management fees for Florida already paid.	0.50	

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10/05/09	Meet with Fred Fram and Steve Lindstrom to prepare memo for open items list for Craig Adams meeting tomorrow	1.50
10/05/09	Follow up with Margaret Bader and Emerson Lacson on shut off of long distance in St. Croix	0.40
10/05/09	Review and distribute cash reconciliation between subledgers and bank statement	0.30
10/05/09	Discussion on status of Grant Park Futures Fund commissions with Evelina Dziedzic, Grant park, Mona Herandez, and Daniel Palmer	0.70
10/05/09	Revise Master Critical AP detailed list for consistency and documentation quality	1.00
10/05/09	AP transition meeting with Kerry Jackson, Margaret Bader, Shirley Pastrana, and Daniel Palmer	1.20
10/05/09	Follow-up on Casuarina property taxes paid with E&Y	0.20
10/05/09	Follow up on the "ultimate gift" non-receipt of cash	0.20
10/05/09	Contact hangar for new invoice to be paid for Hawker	0.30
10/05/09	Discussion with Rhonda Davis and Steve Lindstrom on status of Stanford aviation bank account and bank of Antigua	0.30
10/05/09	Discuss fair value measurement for 401(k) with Char McDougall and Fred Fram	0.30
10/05/09	Follow up on Havell invoices with Daniel Palmer and Vic Kurylak and ensure payment not through operating accounts	0.20
10/05/09	Prepare memo to request Kim Sanchez check approval from Malcolm Lovett and Craig Adams	0.40
10/06/09	Pull out operating costs per owned real estate site from critical AP list	2.00
10/06/09	Review weekly AP list for completeness and documentation. Send to Craig Adams and Malcolm Lovett for weekly approval	0.70
10/06/09	Follow up on Grant commissions with Evelina	0.10
10/06/09	Update cost per operating site memo with aviation, property taxes, and mortgages	2.00
10/06/09	Research Casuarina and 5050 Westheimer Mortgages and default payments to include in Real Estate meeting on Wednesday	0.70
10/06/09	Update Kerry Jackson on Anne-Marie Wilson's T&E claim	1.00
10/06/09	Discuss pulling of statements for accounting area with Daniel Palmer	0.20
10/06/09	Begin list of monthly vendors checklist - amounts and payment type - for cash management	1.00
10/06/09	Research Casuarina and 5050 Westheimer property tax from prior year to include in Real Estate meeting on Wednesday	0.90
10/06/09	Begin cost per operating site for Kim Epstein and Wednesday Real Estate Meeting Memo. From pulled AP's, pull old invoices to determine address of payable.	1.50
10/06/09	Bi-weekly adman meeting with Char McDougall, Fred Fram, Kerry Jackson on status of estate. Include 401(K) audit status update, cash management transition, PAC claims	1.20
10/06/09	Call with Craig Adams, Malcolm Lovett, Fred Fram, and Steve Lindstrom on decisions needed for estate administration	0.80
10/06/09	Pre-call with Malcolm Lovett and Fred Fram for call with Craig Adams	0.50
10/06/09	Call with Kim Epstein on cost per property memo, including mortgage, insurance, and property tax. Include aviation	0.70
10/06/09	Research Goldman and Fidelity contacts for Baker Botts	0.70
10/07/09	Discuss interest bearing account memo with Fred Fram and daniel palmer	0.80
10/07/09	Call with Fred Fram, Steve Lindstrom, and Malcolm Lovett on Real Estate call summary	0.60

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10/07/09	Real Estate call with Fred Fram, Steve Lindstrom, Craig Adams, Kerry Jackson, Bob Wright, Charlie Gale, Clint Davis, Ralph Janvey, Kim Epstein	2.00
10/07/09	Revise memo for interest bearing account conversion for Malcolm Lovett by entity type	2.00
10/07/09	Discuss status of St. Croix phone with Steve Lindstrom	0.50
10/07/09	Review location costs by month and by type pivots with Steve Lindstrom	0.70
10/07/09	Update forecast with new warehouse numbers provided by Steve Lindstrom	1.10
10/07/09	Call with Malcolm Lovett to discuss interest bearing accounts	0.80
10/08/09	Document status of HR claims database for 2 days of activity	2.00
10/08/09	Contact Tiffany petty to get latest ADP check register for bank account reconciliation	0.10
10/08/09	Update sue Ayers on <b>REDACTED</b>	0.10
10/08/09	Bi Weekly Management meeting with Fred Fram, Char McDougall, Kerry Jackson, and Rhonda Davis to discuss status of estate	0.70
10/08/09	Document and review update on HR claim research from Char McDougall and Kerry Jackson	0.70
10/08/09	Review and comment on incoming emails to the Stanford Receivership regarding claims to Helen Duskin	1.00
10/08/09	Review of vendor claim from Williams Consultant Services for expected payment with Kerry Jackson and Fred Fram	1.00
10/08/09	Update Suddath payment of management fee after review from operations Fred Fram	0.50
10/08/09	Review of legal invoices to determine if post receiver to be paid or hold as claim	1.00
10/09/09	Update with Char McDougall on 401(k) audit support	0.50
10/09/09	Contact Tom Cochiolla on JPM to HSBC wire status and contact at HSBC	0.50
10/09/09	Update Sue Ayers and Malcolm Lovett on <b>REDACTED</b>	0.10
10/12/09	Meet with Malcolm Lovett to discuss administration of brokerage, accounting, Coins and bullion, litigation	0.60
10/12/09	Review monthly payables list prepared by Daniel Palmer and add missing vendors detail	0.70
10/12/09	Call Kathy May, JPM, to discuss HSBC wire to Toube	0.30
10/12/09	Discuss status of 401(k) audit and ongoing HR responsibilities with Malcolm Lovett and Fred Fram	0.30
10/12/09	Meet with Fred Fram and Malcolm Lovett on Craig Adams open items list	1.30
10/12/09	Review attorneys invoices for claim status and documentation	0.70
10/12/09	Meet with Daniel Palmer to review invoices as a claim or to pay immediately	0.80
10/13/09	Review weekly AP list to send to receiver	1.00
10/13/09	Call with Fred Fram, Steve Lindstrom, Rhonda Davis, and Craig Adams on open admin items for estate	0.80
10/13/09	Call with Fred Fram, Craig Adams, Malcolm Lovett on STC, accounting, and gold and bullion wind down	0.80
10/13/09	Follow-up on E&Y tax docs	0.40
10/13/09	Send request to JPM to convert bank accounts to interest bearing	0.10
10/13/09	Research Scotia Bank activity	0.20
10/13/09	Bi-Weekly management meeting with Fred Fram, Kerry Jackson, Rhonda Davis, and char McDougall on administration of estate	1.80
10/13/09	Update claims database with newly field claims and distribute to management	1.60

10/13/09	Prepare support for Bennie for \$19K sent to Schwab and discuss DOL's request for proof of payment	0.50
10/13/09	Research Francis Casey claim and summarize for Malcolm Lovett and Char McDougall	0.70
10/14/09	Request support from Herschel on Amegy deposit	0.20
10/14/09	Open Items follow-up - Brokerage distribution Aruba employee Beta partnership	0.80
10/14/09	Follow-up on Grant Park commissions - contact company for potential rejection of wire, review all bank statements at Trustmark to ensure wire was not sent elsewhere, and contact Trustmark on suspense account	1.00
10/14/09	Compare non-cd commission from HR file to filed claims. Build formula to pull from downloaded database and calculate any variance.	2.00
10/14/09	Update with Kathy May, JPM, and Craig Adams on Toubé wire states	0.40
10/14/09	Begin building database of HR claims to hold based on named individuals, SIBL CD receipts, loans, or other reason (cumulative deficit).	2.00
10/14/09	Call with Malcolm to discuss claims register	0.20
10/14/09	Review responses to emails of the estate on main account on status of claims, W2's, and ADP tax penalty	2.00
10/14/09	Prepare table on cost basis of Sea Eagle from purchase to Hakvoort retrofitting for Craig Adams, David Arlington, and Kevin Sadler	2.00
10/14/09	Meet with Kerry Jackson and Steve Lindstrom on Claims and Fran Casey	0.80
10/15/09	Contact Brent Hennings, Char McDougall, and Kerry Jackson about discrepancies with non-cd commissions claims to document in database	1.10
10/15/09	Double check Pars and document holds for non-cd commissions	0.70
10/15/09	Research all names in accrued-non cd commissions database to filed claims	2.00
10/15/09	Run all names on accrued, non-cd commissions through "hold" list to exclude any SIBL recipients (tainted funds), loans, or persons of interest	2.00
10/15/09	Another call with Malcolm Lovett on Non-cd commissions	0.40
10/15/09	Call with Malcolm Lovett on <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.20
10/15/09	Kim Epstein update on cost of St. Croix Property	0.20
10/15/09	Call with Karen Ratliff, Security Alarms of Tupelo, for security of Davis holdings status at Old Post Office and Davis home	0.40
10/15/09	Review docs of court filings for names of employees to not pay	2.00
10/15/09	Prepare email to update Steve Lindstrom, Charlie Gale, Margaret Bader on Baldwin Security	0.40
10/16/09	Update title and location for non-cd commission database reconciliation for employees with no known obligations to the estate	2.00
10/16/09	Research and pull all non-cd commissions filed claims from online database for documentation	2.00
10/16/09	Read through each filed claim and pull out amount related to non-cd commission to calculate variance with HR calculated amounts	2.00
10/16/09	Research filed claims database to ensure all non-cd commissions have been accounted for	2.00
10/16/09	Call with Malcolm Lovett on costs reduced or liabilities reduced under receivership	0.40

10/16/09	Separate claims into different tables depending on status: no variances no filed claim no variance for commissions but additional filed claims no filed claim for commission but other claims filed significant variance	2.00
10/16/09	Document additional claims in non-cd commissions database for negotiation and settlement	2.00
10/19/09	Review recurring monthly payables and add unpaid to weekly request	0.50
10/19/09	Follow-up on Stanford aviation wire from Bank of Antigua	0.40
10/19/09	Send non-cd commission reconciliation to Craig Adams	0.10
10/19/09	Meet with Fred Fram, Margaret Bader, Steve Lindstrom, Rhonda Davis to discuss pre-receiver vs. post receiver expenses	1.50
10/19/09	Call with Malcolm Lovett on Non-CD commissions	0.60
10/19/09	Follow-up on Aruba employee with Char McDougall	0.20
10/19/09	Revise non-cd commission claims based on Malcolm Lovett call	2.00
10/20/09	Reconcile "other claims" filed by non-cd commissioned HR personnel for Malcolm Lovett and Craig Adams	1.90
10/20/09	Call with Malcolm Lovett on status of non-CD commissions	0.10
10/20/09	Meet with Daniel Palmer to review weekly AP request list	0.30
10/20/09	Review invoices to determine categorization as Pre-Receiver or post receiver	1.30
10/20/09	Call with Malcolm Lovett to discuss morning meetings prep	0.10
10/20/09	Responded to Receivership emails re: Aruban employee status, employment application, Albright employee verification, and Santos severance	1.20
10/20/09	Follow up on Hawker offer with Shelley Austin with Fred Fram	0.20
10/20/09	Assist R. Templeton in providing support for Receivership assets identified to date	0.50
10/20/09	For Non-CD Commissioned HR personnel that filed T&E claims, tie claim to paid amount, check number, and clearing reference	1.60
10/20/09	Discuss non-CD commissions with Fred Fram and Char McDougall	0.40
10/20/09	Call with Ralph Janvey, Kristie Blumenschein, Craig Adams, and Malcolm Lovett on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.50
10/20/09	Bi-weekly management meeting with Char McDougall, Fred Fram, Rhonda Davis, Kerry Jackson, Daniel Palmer, Malcolm Lovett on admin of estate:-401(k) audit and 5500's for review-Status of claims reconciliation-Tax recorded value for properties-Plane offer-Litigants process-Miscellaneous asset sale orders	1.00
10/20/09	Meet with Becca Templeton, Rhonda Davis, Steve Lindstrom to discuss support for assets purchased by RAS and subs as luxury items.	1.30
10/20/09	Meet with Kerry Jackson to discuss cost savings under receivership	0.50
10/21/09	Drafting of memo re: storage costs to date, owed amounts, and expected net cash flow related to guns located in St. Croix	0.10
10/21/09	Follow up on status of Toube wire at HSBC	0.60
10/21/09	Discuss way to organize St. Croix personnel and understand real estate held with Steve Lindstrom	1.00
10/21/09	Call Kathy May, JPMorgan, regarding status of Toube wire	0.20
10/21/09	Call with Malcolm on Pre-Receiver vs. Post Receiver work for Baker Botts-Compensation-Insurance-Rent-Advertising-Market Data	0.30
10/21/09	Discuss pre-receiver vs. post receiver costs with Kerry Jackson	0.50
10/21/09	Calculate amounts in suspense account and provide wire instructions per company to JPM for Trustmark held items	1.00

10/21/09	Call David Arlington with Fred Fram to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.40
10/21/09	Brief call with Ralph Janvey to discuss status of Toube wire and sending of check instead	0.10
10/21/09	Discuss vendor claim matrix with Daniel Palmer	0.60
10/21/09	Revise pre-receiver vs. post receiver expenses - add office rents and admin claim payments	2.00
10/21/09	Meet with Kerry Jackson, Fred Fram, Daniel Palmer, Char McDougall, Linda Leal, Connie Guerra on claims reconciliation kick off	1.40
10/21/09	Discuss claims reconciliation process with Fred Fram	0.70
10/21/09	Call with Malcolm to revise Pre-Receiver vs. Post-Receiver expense comparison for Craig Adams	0.50
10/21/09	Meet with Malcolm Lovett and Fred Fram to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.00
10/22/09	Meet with Vik Kurylak and Fred Fram to discuss FITs plan	1.00
10/22/09	Suggested revisions to VI weekly AP matrix to Anita Barron	0.50
10/22/09	Call with Counsel (C. Adams) on analysis of operating costs pre and post receivership	0.40
10/22/09	Management meeting with Char McDougall, Fred Fram, Rhonda Davis, Kerry Jackson, and Daniel Palmer to discuss administration of estate	1.00
10/22/09	Respond to Receivership emails re: charity donation claim research, claims analysis, and verification of CD claims	2.00
10/22/09	Review vacation policy payout suggestion and provide alternatives (2 days vs. 5 day payout)	0.50
10/22/09	Prepare support of non-cd commissions binder	1.10
10/22/09	Discuss assets with Craig Kolodjski and Becca Templeton	0.40
10/22/09	Revise pre-receiver vs. post receiver and send to Craig Adams	1.10
10/23/09	Call with Kerry Jackson on flooding of 4th floor at 5050	0.20
10/23/09	Continuing call with Craig Adams on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.70
10/23/09	Research pre-receiver employment totals vs. post-receiver (or current totals) and provide to Craig Adams	0.80
10/23/09	Follow up with Ralph Janvey and Craig Adams on status of Toube wire and difficulty of wires processed through HSBC	0.20
10/23/09	Review reconciliation of cash prepared by Daniel Palmer to bank statements and subledger	0.40
10/23/09	Discuss status of Hawker flight and potential sale with Fred Fram and Steve Lindstrom	0.40
10/23/09	Call with David Arlington to <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.20
10/23/09	Call with Craig Adams on revisions to presentation for SEC	0.20
10/23/09	Review SEC presentation prepared by Craig Adams and provide revisions to available assets and liquidation amounts as well as expense trends	0.60
10/26/09	Answer receivership emails:-Segal - non-cd commission -Look up claim numbers for people missing claim info	0.90
10/26/09	Brief call with Craig Adams on total of personnel expenses and line item descriptions on forecast.	0.30
10/26/09	Discuss purchase of email exchange server with Steve Lindstrom	0.60
10/26/09	Research Toube wire recall	0.20
10/26/09	Contact Jozaine Roberts, Bank of Antigua, for copies of checks written.	0.10
10/26/09	Research expected inflows from Schwab and venture capital	0.20
10/26/09	Pull Claims filed for coin commissions and review for details	1.10
10/26/09	Call with Char McDougal on sea eagle support	0.30

## *Invoice Activity*

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10/26/09	Contact Char McDougall on the outstanding aged payroll checks list of names	0.10
10/26/09	Confirm receivership response to former equity analyst	0.20
10/26/09	Confirm conversion of JPMorgan accounts to interest bearing with Kathy may	0.10
10/26/09	Review coins commissions list of claims vs. HR list of employees not to pay and follow-up with Char McDougall	0.50
10/26/09	Respond to Jim Outlaw, Trustmark, on receivership addresses and amounts to sweep into JPMorgan from suspense account. Send wire instructions	0.30
10/26/09	Discuss status of Intrepid boat with Steve Lindstrom and Harry Freyn	0.20
10/26/09	Create Coins Commissions payable list for claims processing.	2.20
10/26/09	Follow-up with Brendan Day, Baker Botts, on accounting for boat.	0.10
10/27/09	Read and edit Sea Eagle Declaration	1.50
10/27/09	Provide instruction to B. Meachem (FTI) on retrieving supporting invoices regarding Sea Eagle costs	0.30
10/27/09	Update tables for J. Day Declaration related to the Sea Eagle.	2.00
10/27/09	Reconcile difference between March - September Sea Eagle costs to boat costs presented earlier. Ensure no missing invoices or cost	2.00
10/27/09	Print and file Ben Krage and Harry Freyn support from March - May as support for declaration on Sea Eagle - add relevant data to declaration	2.20
10/27/09	Look up amount for filed CD claim for Javier Giraldo and send to Helen Duskin	0.20
10/27/09	Call with Karyl Van Tassel on declaration for Sea Eagle	0.20
10/27/09	Pull Yacht invoices and double check that information for declaration applies only to Sea Eagle	2.00
10/27/09	Meet with Fred Fram and Malcolm Lovett to discuss Vik plan, non-CD commissions, and accounting	1.20
10/27/09	Call with Brendan Day on Declaration for Sea Eagle	0.30
10/27/09	Meeting with Marissa Hurd and Nadia Starbuck on Hakvoort invoices	0.30
10/27/09	Meet with Jeff Ferguson regarding Sea Eagle Declaration	0.30
10/27/09	Discuss declaration issues and presentation with Bill Nolan and Tim Dragelin	0.50
10/28/09	Prepare support binder and update HR payments for Sea Eagle costs	2.20
10/28/09	Analysis of pre Receivership consulting fees paid to Kroll in 08 for SGC and SFGC	0.70
10/28/09	Talk to Patrick Beeman and Abbey Popplis about 1500 claims	0.20
10/28/09	<span style="border: 1px solid red; padding: 2px;">REDACTED</span>	
10/28/09	Discuss E&Y balance sheet with Gary and Jim Jones	0.60
10/28/09	Send weekly request list and research muni check	0.60
10/28/09	Call with Craig Adams on cash balance	0.30
10/28/09	Final review of declaration and revisions	2.50
10/28/09	Discuss E&Y balance sheet with Becca Templeton	0.40
10/29/09	Discuss need to sweep maturing Trustmark CD's into JPM account with Herschel Hamner for approval to obtain additional cash.	0.20
10/29/09	Meet with Fred Fram and Daniel Palmer on status of administration of estate in preparation of weekly management meeting	0.80
10/29/09	Follow-up on dissolution of companies with Fred Fram and Rhonda Davis	0.60
10/29/09	Status of CSC invoices with Malcolm Lovett, Rhonda Davis, Craig Adams, and Fred Fram	0.20
10/29/09	Request Ardel info for prospective buyer of Sea Eagle	0.10

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## Invoice Activity

10/29/09	Review Roland Timmerman's commission file <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for Craig Adams	1.70	
10/29/09	Research Forbes claim for Helen Duskin and confirm that current address is on file	0.20	
10/29/09	Update non-CD commissions payable with Date of Hire for David arlington's review	0.80	
10/29/09	Inform Kim Epstein of status of Montreal office	0.20	
10/29/09	Confirm wire recall from HSBC Toube wire	0.10	
10/30/09	Follow-up on open items list including CSC invoices, miscellaneous asset sales list, HR claims reconciliation.	1.00	
10/30/09	Send revised CSC spreadsheet to Craig Adams and compare Rhonda Davis suggested payments to Richard Lamothe's advice	0.70	
10/30/09	Prepare memo on Frank Casey claim for Malcolm Lovett and Craig Adams	1.30	
10/30/09	Response to emails received by the Receivership including, confirmation of claim existence, search for claim numbers and communication of resolution.	1.00	
	\$468.00 per hour x total hrs of	171.40	\$80,215.20

### Anthony Tabb

10/07/09	Review and confirm affidavit language	0.60	
10/08/09	Review and confirm affidavit language	0.40	
10/26/09	Review/manage index of production.	1.80	
	\$460.00 per hour x total hrs of	2.80	\$1,288.00

### Simon Strong

10/09/09	Internal calls regarding Ft. Lauderdale subpoena	0.60	
10/12/09	Internal calls regarding Ft. Lauderdale subpoena	0.80	
10/13/09	Identification, collection and sending of subpoena related documents	3.60	
	\$444.00 per hour x total hrs of	5.00	\$2,220.00

### Michael Wei

10/05/09	Internal status update meeting with Karyl van Tassel.	0.50	
10/06/09	Project status meeting with Baker Botts (Kevin Sadler, David Arlington, & Brendan Day) and FTI (Karyl van Tassel & Jeff Ferguson).	0.50	
10/06/09	Internal project status meeting with Karyl van Tassel to discuss new task assignments from Baker Botts.	0.50	
10/06/09	Review of queries to perform calculation of "money in vs. money out" for investors on KVT-4.	2.00	
10/07/09	Review of queries to perform calculation of "money in vs. money out" for investors on KVT-4.	2.00	
10/08/09	Review of queries to perform calculation of "money in vs. money out" for investors on KVT-4.	2.00	
10/09/09	Review of queries to perform calculation of "money in vs. money out" for investors on KVT-4.	2.00	
10/12/09	Meeting with Baker Botts (Kevin Sadler, David Arlington, & Brendan Day) to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.00	
10/12/09	Internal meeting to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.50	
10/12/09	Meeting with Baker Botts (Brendan Day) to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.00	

## *Invoice Activity*

10/12/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	1.50
10/13/09	Meeting with Baker Botts (David Arlington & Brendan Day) and FTI (Mark Russell, Kevin Blake, Scott Sizemore, & Shawn Giles) to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> .	1.00
10/14/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.00
10/14/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	3.00
10/15/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	3.00
10/15/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	3.00
10/15/09	Update meeting with Baker Botts (David Arlington & Brendan Day) and FTI (Karyl Van Tassel, Shawn Giles, Kevin Blake, Jeff Ferguson, and Shawn Giles) to discuss prioritization of FTI Tasks.	0.50
10/16/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	3.00
10/16/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	1.00
10/19/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.50
10/19/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.50
10/20/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.50
10/20/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.50
10/20/09	Internal status call with Karyl Van Tassel, Jeff Ferguson, Shawn Giles, Kevin Blake, Craig Kolodjeski, and Mark Russell.	0.30
10/21/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.50
10/21/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.50
10/22/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	1.00
10/22/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	1.00
10/26/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.00
10/26/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.00
10/26/09	Internal status meeting with Karyl van Tassel, Jeff Ferguson, Shawn Giles, Mark Russell, Amy Kneappel, and Kevin Blake,	0.50
10/27/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.00

10/27/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.00	
10/28/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.00	
10/28/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.00	
10/29/09	Project management of work to extend of FA schedule, analyze upfront loans to Stanford employees, and produce supporting documentation.	2.00	
10/29/09	Project management of work to analyze CD proceeds for Stanford employees identified by Baker Botts.	2.00	
	\$440.00 per hour x total hrs of	63.80	\$28,072.00

**Jeffrey Ferguson**

10/05/09	Internal status call regarding status of various deliverables (KVT-4,5, and 6)	0.80
10/06/09	Conference call with counsel to discuss deliverables related to clawback litigation.	0.70
10/06/09	Review KVT-4 work product	1.70
10/07/09	Review KVT-4 work product	1.30
10/08/09	Review KVT-4 work product	0.80
10/09/09	Conference call with FTI counsel to discuss response to subpoena <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.50
10/09/09	Review KVT-4 work product	1.60
10/12/09	Review data related to calculations of proceeds received from express accounts by investors listed on KVT-4.	0.50
10/12/09	FTI conference call regarding answers to questions from Examiner regarding investors listed on KVT-4	0.50
10/12/09	Conference call with Baker Botts regarding calculations of "net winners and losers" for investors listed on KVT-4.	0.80
10/12/09	Conference call with counsel to discuss <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.00
10/13/09	Review data related to calculations of proceeds received from express accounts by investors listed on KVT-4.	0.80
10/13/09	Discussions with FTI team regarding the inclusion of express accounts in the KVT-4, 5, and 6 analyses. Review data regarding the impact of express accounts on these analyses.	2.10
10/13/09	Review data collected related to employee loans that were forgiven	1.50
10/19/09	Review FA schedule and support	1.80
10/19/09	Update call with Houston team	1.00
10/20/09	Review employee analysis and support	0.50
10/21/09	Review supporting documentation for KVT declaration	0.30
10/21/09	Review employee analysis and support	2.10
10/21/09	Discussion with C. Kolodjeski regarding data/document gathering and production related to KVT declaration	1.80
10/21/09	Internal update call regarding various workstreams	0.50
10/22/09	Review supporting documentation for KVT declaration	1.80
10/22/09	Review FA schedule and support	2.30
10/22/09	Review employee analysis and support	0.50
10/23/09	Review FA schedule and support	1.00
10/23/09	Review supporting documentation for KVT declaration	2.00
10/26/09	Review supporting documentation related to KVT declaration in anticipation of production	4.30
10/27/09	Review supporting documentation related to KVT declaration in anticipation of production	4.30

## Invoice Activity

10/27/09	Review Toronto Dominion bank data database related to matched transactions	0.80	
10/28/09	Call with C. Kolodjeski and B. Templeton regarding declaration support.	2.00	
10/28/09	Review documents related to Declaration relating to the Sea Eagle	2.80	
10/28/09	Conference call with counsel regarding Sea Eagle declarations	0.50	
10/28/09	Review and prepare declarations related to the Sea Eagle	2.50	
10/28/09	Review supporting documentation related to KVT declaration in anticipation of production	3.50	
10/29/09	Call with C. Kolodjeski and B. Templeton regarding declaration support.	1.80	
10/29/09	Review Toronto Dominion bank data database related to matched transactions	1.30	
10/29/09	Review supporting documentation related to KVT declaration in anticipation of production	3.80	
10/30/09	Review supporting documentation related to KVT declaration in anticipation of production	2.90	
10/30/09	Conference call with counsel regarding <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.00	
10/31/09	Review supporting documentation related to KVT declaration in anticipation of production	0.20	
	\$424.00 per hour x total hrs of	61.90	\$26,245.60

### James R. Scarazzo

10/09/09	Participated in conference call regarding FTI's response to the subpoena requesting information about interviews and seizures from the Ft. Lauderdale office.	0.50	
10/22/09	Participate in conference call regarding culling and searching PST file for payment calculations.	0.50	
10/23/09	Made additional copy of the EnCase image for Hank Mills' laptop.	2.20	
10/23/09	Hashed the source segment files of Hank Mills' laptop.	1.70	
10/23/09	Hashed the segments of the new copy of the Hank Mills' laptop	1.70	
10/23/09	Compared source and target hash values of the image segments of Hank Mills' laptop to ensure that the copy was sound.	0.50	
10/23/09	Created temporary case file and opened new copy of the Hank Mills laptop top image. Verified the image in EnCase to ensure that it opens and verifies from the target drive.	0.70	
10/23/09	Prepared Chain of Custody and transmittal documentation. Prepared laptop image for shipment.	1.30	
	\$400.00 per hour x total hrs of	9.10	\$3,640.00

### Craig Kolodjeski

10/01/09	Revisions and edits to master schedule reconciling query results to previously issued KVT 5 results.	0.80	
10/01/09	Reconciliation of Group ID 2038 to KVT 5 schedule	1.30	
10/01/09	Consolidation of schedules reconciling various KVT 5 Group IDs and assimilation into master reconciliation schedule	2.00	
10/01/09	Reconciliation of Group ID 2032 to KVT 5 schedule.	1.50	
10/02/09	Review of Master Reconciliation spreadsheet comparing query results to previously issued KVT 4.	1.50	
10/05/09	Internal status call to discuss upcoming work areas and project assignment	1.00	
10/05/09	Review of master reconciliation schedules for KVT 5 and KVT 6 schedules	1.50	
10/07/09	Conference call with FTI team to discuss project deliverables.	1.20	

10/16/09	Review of K. Van Tassel declaration and conference call with M. Russell to discuss production of related supporting documentation.	1.50
10/16/09	Conference call with J. Ferguson to discuss upcoming workstreams and related tasks to complete.	0.50
10/19/09	Review of K. Van Tassel declaration of July 27th.	2.50
10/19/09	Review of supporting materials to be produced related to the K. Van Tassel declaration.	3.20
10/19/09	Additional review of supporting schedules and documents prepared in support of July 27th K. Van Tassel declaration.	1.50
10/19/09	Discussions with FTI staff regarding upcoming project areas and tasks to be completed.	0.80
10/20/09	Discussions with R. Templeton in reference to review of supporting documentation for K. Van Tassel declaration.	1.00
10/20/09	Analysis of Bank of Houston, Trustmark, and Toronto Dominion bank information in related to K. Van Tassel declaration.	3.50
10/20/09	Detailed review of K. Van Tassel declaration and identification of documentation supporting conclusions reached	3.00
10/21/09	Email search and review for documentation supporting K. Van Tassel declaration	2.50
10/21/09	Call with counsel (D. Arlington) to discuss <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.70
10/21/09	Status meeting with K. Van Tassel to discuss upcoming work streams and status report	0.80
10/21/09	Review of Stanford International training and marketing materials and related documents.	3.00
10/21/09	Conference call with J. Ferguson to discuss supporting documentation for K. Van Tassel declaration	1.50
10/22/09	Review of supporting documentation assembled for production related to K. Van Tassel declaration.	3.00
10/22/09	Meeting with R. Templeton to discuss ongoing supporting material production task.	1.20
10/22/09	Email review of selected documents referenced in KVT declaration	1.30
10/23/09	Electronic search for documents supporting business relationship and structure of relationship between J. Davis and L. Holt	1.30
10/23/09	Electronic search for training materials indicating relationship between Stanford Financial Group entities	1.50
10/23/09	Electronic search for documentation supporting movement of Stanford Accounts Payable department to process only critical payments prior to receivership	1.20
10/23/09	Detailed review of supporting schedules for payment flow analysis prepared in conjunction with K. Van Tassel declaration	3.00
10/23/09	Compilation and drafting of analysis showing decline in value of Stanford Merchant banking investments.	2.30
10/26/09	Revisions and edits to analysis of Stanford Merchant Banking portfolio	2.70
10/26/09	Detailed review of schedules prepared to support Toronto Dominion cash tracing activity	1.50
10/26/09	Review and analysis of KVT 4 supporting database and related information tables	1.00
10/26/09	Internal conference call to discuss project status and work streams	1.00
10/26/09	Email review of supporting documentation for Soc Gen account 108.731	2.10
10/27/09	Review of materials assembled in production support binder for KVT declaration	2.20
10/27/09	Review and research of Stanford Eagle account 7065 bank account activity	1.50

10/27/09	Drafting and revisions of cash tracing analysis of deposits into Stanford Eagle account 7605	1.80		
10/28/09	Review of database providing support for Toronto Dominion activity in account 1670 and SIB customer information	1.00		
10/28/09	Review of electronic information to provide support for policy decision of preventing early CD redemptions	1.60		
10/28/09	Review of electronic database for documentation supporting Stanford's personal involvement in cash management	1.30		
10/28/09	Preparation for and participation in conference call with J. Ferguson to discuss revisions to production support	1.60		
10/28/09	Revisions and edits to "pie charts" supporting documentation for KVT declaration	1.90		
10/29/09	Conference call to discuss analysis and documentation used in the preparation of the KVT declaration.	1.00		
10/29/09	Review of requests and related work streams related to document production support	2.10		
10/30/09	Preparation for and participation in conference call with K. Blake and K. Van Tassel to discuss database support for KVT 4 and KVT 6 exhibits.	1.30		
10/30/09	Review and analysis of supporting documentation of FA loan payments, bonuses, and commissions.	2.50		
10/30/09	Review of supporting documentation and related schedule supporting the testing of Trustmark account 1553	2.10		
10/30/09	Conference call with counsel (D. Arlington and B. Day) to discuss document production and related supporting materials.	1.50		
			\$392.00 per hour x total hrs of	
		83.30		\$32,653.60

**Robert Giles**

10/01/09	Project management and supervision of KVT4 reconciliation and finalization of KVT4	1.80		
10/01/09	Project management and supervision for review of documentation provided during self-certification process	0.60		
10/01/09	Discussions with team regarding financial advisor bonus scorecards	0.40		
10/01/09	Analysis of inter-group transfers on KVT4, 5, and 6	2.10		
10/01/09	Project management and supervision of inter-group transfer analysis.	0.40		
10/01/09	Review and finalization of the revised KVT4 for production	0.70		
10/01/09	Review of final analysis of inter-group transfers for KVT4 schedule	0.40		
10/01/09	Revise KVT4 schedule to account for and explain inter-group transfers.	0.20		
10/01/09	Responding to Baker Botts questions regarding <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.60		
10/02/09	Review and analysis of changes and adjustments <span style="border: 1px solid red; padding: 2px;">REDACTED</span> for KVT4, specifically for group 308	0.50		
10/02/09	Project management and supervision of the finalization of KVT4	1.30		
10/02/09	Review and analysis of adjustments to data to determine impact of inter-group transfers for KVT4	0.60		
10/02/09	Review of inter-group transfers between group 308 and the new 308a <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.40		
10/02/09	Final review and QC of KVT4	1.00		
10/02/09	Review of new footnotes for KVT4 <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.20		
10/02/09	Review, preparation, and response to Baker Botts regarding <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.50		
10/02/09	Research transfers and drafting and finalizing of footnote language for transfers in KVT4 schedule	1.60		

10/05/09	Team status and planning call for upcoming tasks on clawback litigation	0.50
10/05/09	Review of coding of transactions in the database for KVT5 & 6 groups	0.80
10/05/09	Project management and supervision of completion of KVT5 & 6 schedules	0.80
10/05/09	Project management and supervision of KVT4 schedule preparation	0.50
10/05/09	Review and QC of adjustments made to KVT-4 schedule	0.70
10/05/09	Review of CD purchases and withdrawals for Lawson in order to answer questions for Baker Botts	0.30
10/06/09	Project management and supervision of KVT4 schedule preparation	0.40
10/06/09	Analysis and review of KVT5 & 6 updated schedules	1.20
10/06/09	Review and QC of additional changes to kvt4	0.70
10/06/09	Project management and supervision of completion of KVT5 & 6 schedules	0.80
10/06/09	Call and meeting with team to plan net winners/net losers calculation	0.90
10/07/09	Call with team to discuss status and process flow of various tasks for clawback litigation	0.30
10/07/09	QC and review of adjusted and updated KVT5 & 6	2.10
10/07/09	Project management and supervision of completion of KVT5 & 6 schedules	0.50
10/07/09	Analysis and review of KVT5 & 6 reconciliation	1.10
10/07/09	Revision and QC of latest changes to KVT4	0.90
10/08/09	Call with team and Baker Botts to discuss <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.20
10/08/09	Review and analysis of latest changes to KVT5 & 6	0.80
10/08/09	Project management and supervision of completion of KVT5 & 6 schedules	0.40
10/08/09	Planning for and creating teams to handle additional work load for new employee analysis and net winners/net losers analysis	0.30
10/09/09	Drafting of language to include with transmittal of KVT4 schedule	0.30
10/09/09	Planning for and creating teams to handle additional work load for new employee analysis and net winners/net losers analysis	1.00
10/12/09	Project management, direction, and supervision of account grouping for employee withdrawal analysis	1.20
10/13/09	Project management, direction, and supervision of account grouping for employee withdrawal analysis	1.00
10/13/09	Call with team and counsel to discuss express proceeds and net winner/loser calculation	0.60
10/13/09	Review and QC of account grouping for employees - Shaw, Prieto, Pena, Lopez, Alguire, and Torres	2.40
10/13/09	Review and QC of account grouping for employees - Morgan, Bahrenburg, Miller, and Perez.	2.10
10/13/09	Review and QC of account grouping for employees - Simmons, Goldsmith, Kepesky, and Macdonald.	1.80
10/14/09	Review and QC of account grouping for employees - Kassam, Vingerhoedt, Stone Jr., and Tidwell	1.90
10/14/09	Review and QC of account grouping for employees - Emery, Feldman, Haggard, Herr, and Iturriza	2.30
10/14/09	Review and QC of account grouping for employees - Torrealba, Ulloa, and Vargas	1.10
10/14/09	Project management, direction, and supervision of account grouping for employee withdrawal analysis	0.80
10/14/09	Review and QC of account grouping for employees - Brownlee, Bowman, Slewitzke, Robinson, and Greenhaw	2.50

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10/15/09	Review and QC of account grouping of employees - Yassine, Siragna, and Villalon	1.40
10/15/09	QC and review of transactional coding for groups 3143, 3142, 3128, 3136, and 3147	1.70
10/15/09	Call with team an counsel to discuss priority of tasks and to manage work streams.	0.40
10/15/09	QC and review of transactional coding of groups 3006, 3017, 3216, and 3222.	1.40
10/15/09	QC of transactional coding of groups 3014, 3019, 3023, 3138, and 3108.	1.80
10/15/09	Project management and supervision of transactional coding for employee proceed analysis	1.30
10/16/09	Project management and supervision of transactional coding for employee proceed analysis	1.00
10/16/09	Review and QC of transactional coding for groups 3056, 3098, 3133, 3139, and 3148.	2.40
10/16/09	Review and QC of transactional coding for groups 3026, 3027, 3036, 3039, 3043, and 3049.	2.30
10/16/09	Review and QC of transactional coding for groups 3091, 3076, 3054, 3015, and 3016.	2.00
10/19/09	Project management and supervision of extended FA and MD analysis.	0.40
10/19/09	QC of the transactional coding of group 3170 in the employee analysis database.	1.80
10/19/09	Project management and supervision of employee analysis.	1.40
10/19/09	Work with team to develop global QC queries for employee analysis.	1.10
10/19/09	QC and review of transactional coding in the database for the employee analysis for groups 3149 and 3163.	2.40
10/20/09	Review and QC of transactional coding for group 3186 in employee database	1.90
10/20/09	Project management and supervision of extended FA and MD analysis.	0.30
10/20/09	Project management and supervision of employee analysis.	1.10
10/20/09	Review of global QC queries to determine status of ignored transactions.	2.10
10/20/09	QC and review of transactional coding for groups 3195 and 3205	2.30
10/21/09	Call with team to discuss status of ongoing workstreams - employee analysis and FA/MD analysis	0.40
10/21/09	Further review and QC of ignore transactions in the employee analysis	1.70
10/21/09	Review and analysis of positive fee/penalties, negative deposits, and positive withdrawals in the employee analysis database	2.80
10/21/09	Search and gather of supporting documents for document production relating to Karyl Van Tassel's July declaration.	2.20
10/21/09	Project management and supervision of employee analysis.	0.50
10/22/09	Review and analysis of positive fee/penalties, negative deposits, and positive withdrawals in the employee analysis database	0.20
10/22/09	Project management and supervision of employee analysis.	1.20
10/22/09	QC and review of gross proceeds from employee analysis for groups 3076, 3077, 3091, 3092, 3093, 3108, 3117, 3128, and 3142	2.90
10/22/09	QC of employee analysis gross proceeds results for groups 3006, 3013, 3015, 3016, 3017, 3027, 3029, 3038, 3043, 3054, 3056, and 3062	3.40
10/22/09	Project management and supervision of extended FA and MD analysis.	0.40

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10/23/09	Review and QC of gross proceeds from employee analysis for groups 3187, 3188, 3195, 3198, 3199, 3204, 3206, and 3208	2.80	
10/23/09	Review and QC of gross proceeds from employee analysis for groups 3149, 3138, 3169, 3170, 3171, 3175, and 3180	2.40	
10/23/09	Project management and supervision of extended FA and MD analysis.	0.20	
10/23/09	Project management and supervision of employee analysis.	0.70	
10/26/09	Responding to Baker Botts' inquiry regarding demographics of Stanford CD holders	0.30	
10/26/09	Project management and supervision of the employee analysis	0.90	
10/26/09	Team meeting to discuss direction and status of various workstreams, including employee analysis, FA/MD analysis, and the next 50 for KVT6.	0.50	
10/26/09	Review and QC of unique transactions included in employee analysis	1.10	
10/27/09	Assisting and directing of grouping process for the next 50 groups for KVT6	0.70	
10/27/09	Project management and supervision of the employee analysis	0.50	
10/27/09	Project management and supervision of FA/MD analysis	0.40	
10/27/09	Ringtail search for accounting records of Stanford Eagle LLC	0.40	
10/27/09	Planning for suspicious SIBL account activity analysis	0.80	
10/28/09	Project management and supervision of FA/MD analysis	0.40	
10/28/09	Gathering/reviewing related party agreements for Stanford entities that show intercompany services	0.60	
10/28/09	QC of MD analysis for Green, Comeaux, Bensing, and Notowich.	2.60	
10/28/09	QC and manual recalculation of group results for employee analysis.	1.30	
10/28/09	Project management and supervision of the employee analysis	0.30	
10/30/09	Review names and planning for additional employee analysis	0.90	
10/30/09	Review and finalization of employee proceeds schedule	0.80	
10/30/09	Reading and reviewing Karyl Van Tassel Declaration in Chapter 15 hearing	0.60	
10/30/09	Review and finalization of FA/MD analysis schedule	0.50	
	<b>\$372.00 per hour x total hrs of</b>	<b>112.40</b>	<b>\$41,812.80</b>

**Kevin Blake**

10/05/09	Initial review and communication with internal FTI team re: third party affidavit and related exhibits	2.00
10/05/09	Participation in correspondence with internal FTI team for status of ongoing workstreams and appendices KVT-4, KVT-5 & KVT-6	1.60
10/05/09	Initial review and communication of extracted information from Stanford Orlando office and potential identification of quarterly scorecard information for FA's compensation	0.70
10/05/09	Participation in preliminary discussion with Baker Botts (Brendan Day) re: previously provided records and potential third party affidavit	1.10
10/05/09	Preliminary review of sample KVT-4 detail transaction report for Baker Botts	2.00
10/05/09	Participation in status call with internal FTI team re: ongoing workstreams and appendices KVT-4, KVT-5 & KVT-6	1.10
10/06/09	Continued discussion and quality control with internal FTI team re: third party affidavit exhibits	2.00
10/06/09	Continued revisions and discussion of draft third party affidavit internal FTI team and Baker Botts (Brendan Day)	2.00
10/06/09	Preliminary review and discussion of revised versions of KVT-4, KVT-5 and KVT-6.	2.00

10/06/09	Continued review and documentation with internal FTI team re: third party affidavit exhibits	2.00
10/06/09	Participation in status call with internal FTI team re: ongoing workstreams and appendices KVT-4, KVT-5 & KVT-6	0.60
10/07/09	Continued revisions and discussion of draft third party affidavit internal FTI team and Baker Botts (Brendan Day)	2.00
10/07/09	Detailed review, revisions and discussion of revised version of KVT-6 and related reconciliation spreadsheet	2.00
10/07/09	Review and supporting investigation of specifically requested payments/BOH wires <b>REDACTED</b>	0.70
10/07/09	Review final revisions of third party affidavit to Baker Botts (Brenday Day)	1.20
10/07/09	Detailed review and discussion of revised versions of KVT-4, KVT-5 and KVT-6	1.50
10/07/09	Detailed revisions and discussion of sample KVT-4 detail transaction report for Baker Botts	0.80
10/07/09	Participation in status call with internal FTI team re: ongoing workstreams and appendices KVT-4, KVT-5 & KVT-6	0.60
10/08/09	Preliminary review and discussion of net winners/losers analysis as requested by Baker Botts	2.00
10/08/09	Detailed review, revisions and discussion of revised version of KVT-6 and related reconciliation spreadsheet	2.00
10/08/09	Continued review, discussion and revision of details of net winners/losers analysis as requested by Baker Botts	2.00
10/09/09	Review of correspondence from court examiner in response to revised version of KVT-4 appendix	2.00
10/09/09	Detailed review of draft communication to Baker Botts with revised version of KVT-4 appendix	2.00
10/10/09	Review of draft sample report of calculation details for sample group of KVT-4	0.60
10/11/09	Review of draft response to examiner's questions re: revised KVT-4 appendix	0.60
10/12/09	Participation within internal discussion and detailed review of net winner/loser calculation and potential draft reports for delivery to BB	2.00
10/12/09	Preparation for and participation in call with Baker Botts re: <b>REDACTED</b>	2.00
10/12/09	Detailed review of previously submitted KVT-4/5/6 and resulting communication and outstanding tasks	2.00
10/12/09	Participation within internal discussion and detailed review of potential express proceeds calculation and adjustment	2.00
10/12/09	Participation in call with Baker Botts re: <b>REDACTED</b>	1.60
10/13/09	Detailed review of sample reports for winner/loser detail analysis	2.00
10/13/09	Delivery and discussion of filed affidavit with internal FTI counsel	0.70
10/13/09	Preparation for and participation in call with Baker Botts re: <b>REDACTED</b>	2.00
10/13/09	Participation within internal discussion and detailed review of two options for Express proceeds calculation	2.00
10/13/09	Participation within internal discussion and detailed review of examples for call with Baker Botts	2.00
10/13/09	Continued review and management of grouping and tagging review of employees	2.00
10/13/09	Detailed review of and communication of revisions for requested summary of options for Express Proceeds calculation for Baker Botts	0.70

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10/14/09	Preliminary review and discussion re: data production and support of July KVT declaration	2.00
10/14/09	Preparation for status call with BB re: prioritization of ongoing tasks and requests	2.00
10/14/09	Review and discussion of revised budget for discussed options for Express proceeds calculations for BB	1.20
10/14/09	Participation within internal discussion and detailed review of annual breakdown/detail for response to examiner's questions for BB	2.00
10/14/09	Continued review and management of grouping and tagging analysis of employees	2.00
10/15/09	Preparation for and participation in status call with BB re: prioritization of ongoing tasks and requests	2.00
10/15/09	Detailed review of itemized list of data support for declaration provided to BB	2.00
10/15/09	Detailed review of prior versions of KVT-4/5/6 and further documentation of meeting notes and status of ongoing workstreams	2.00
10/15/09	Preliminary review and discussion re: data production and support of July KVT declaration	2.00
10/16/09	Preliminary review and discussion re: data production and support of July KVT declaration	1.00
10/19/09	Preparation for and participation in status call with BB re: prioritization of ongoing tasks and requests	0.90
10/19/09	Participation in detailed discussion of overall data production in support of declaration	1.60
10/19/09	Detailed review of previous cash tracing analysis in preparation of review database to BB in support of declaration	2.00
10/19/09	Detailed review of prior versions of KVT-4/5/6 and further documentation of meeting notes and status of ongoing workstreams	2.00
10/19/09	Detailed review of itemized list of data support for declaration provided to BB	2.00
10/20/09	Detailed review and revisions to draft version of cash tracing review database to BB in support of declaration	2.00
10/20/09	Continued discussion of previous cash tracing analysis in preparation of review database to BB in support of declaration	2.00
10/20/09	Continued detailed review of previous cash tracing analysis in preparation of review database to BB in support of declaration	2.00
10/20/09	Coordination of provision of unmatched incoming wires within testing of cash tracing analysis	2.00
10/20/09	Continued detailed review and revisions to draft version of cash tracing review database to BB in support of declaration	1.80
10/21/09	Detailed review and revisions to draft version of cash tracing review database to BB in support of declaration	2.00
10/21/09	Continued discussion of previous cash tracing analysis in preparation of review database to BB in support of declaration	2.00
10/21/09	Continued detailed review of previous cash tracing analysis in preparation of review database to BB in support of declaration	1.70
10/21/09	Participation in communication and revisions to 2/16/09 CD purchases in preparation of data production to BB in support of declaration	2.00
10/21/09	Detailed review of 2/16/09 CD purchases in preparation of data production to BB in support of declaration	2.00
10/22/09	Preliminary revisions to supporting schedules in preparation of data production to BB in support of declaration	2.00
10/26/09	Detailed review and revisions to draft version of cash tracing review database to BB in support of declaration	2.00

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10/26/09	Summary review of additional 51 names for KVT-6 and preparation for initial grouping/tagging analysis	1.30
10/26/09	Detailed revisions to supporting summaries within data production for July KVT declaration	2.00
10/26/09	Continued further detailed revisions to more supporting summaries within data production for July KVT declaration	2.00
10/26/09	Detailed review of 2/16/09 CD purchases in preparation of data production to BB in support of July KVT declaration	2.00
10/26/09	Preparation for and participation in communication re: provision by BB of previously provided SIBL customer information for victims coalition	0.90
10/27/09	Preparation for and participation in detailed discussions re: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.80
10/27/09	Detailed quality control and testing of current version of cash tracing review database to BB in support of declaration	2.00
10/27/09	Detailed review and revision to supporting tables and queries for user-friendly form of current version of cash tracing review database to BB in support of declaration	2.00
10/27/09	Detailed review and revision to the format of a user-friendly form of current version of cash tracing review database to BB in support of declaration	2.00
10/28/09	Detailed quality control and testing of current version of cash tracing review database to BB in support of declaration	2.00
10/28/09	Creation of response to request from BB for further detail on Libyan Foreign Investment Co and their proceeds calculation within KVT-6	2.00
10/28/09	Preliminary review of media inquiry for detailed information re: EPA of Mississippi and SIBL activity	0.80
10/28/09	Preparation for and participation in detailed discussion re: current version of cash tracing review database	0.50
10/28/09	Detailed review and revision to the format of a user-friendly form of current version of cash tracing review database to BB in support of declaration	2.00
10/28/09	Participation in discussion and review of analysis to media inquiry re: EPA of Mississippi and SIBL activity	1.60
10/28/09	Creation of communication and delivery of current version of cash tracing review database	0.40
10/28/09	Execution of revisions to current version of cash tracing review database	0.60
10/28/09	Creation of draft response to media inquiry re: EPA of Mississippi and SIBL activity	0.60
10/28/09	Detailed review and revision to supporting tables and queries for user-friendly form of current version of cash tracing review database to BB in support of declaration	2.00
10/29/09	Preparation for and participation in internal discussion re: overall data production and support of July KVT declaration	1.20
10/29/09	Creation of draft response and delivery to BB of additional requests for information from Stanford Victims Coalition	2.00
10/29/09	Quality control and revisions to analysis of additional requests for information from Stanford Victims Coalition	2.00
10/29/09	Detailed review and analysis of summary supporting \$7.2B total SIBL most recent ending balance	2.00
10/29/09	Preparation and review of analysis of additional requests for information from Stanford Victims Coalition	2.00
10/30/09	Preparation for and participation in discussion with BB re: overall data production and support of July KVT declaration	2.00

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## Invoice Activity

10/30/09	Participation in communication re: questions about additional requests for information from Stanford Victims Coalition	2.00	
10/30/09	Preliminary review of additional subset of Stanford employees and potential investigation of SIBL activity	2.00	
10/31/09	Preliminary review of additional detail requested by BB for media inquiry re: EPA of Mississippi and SIBL activity	0.40	
10/31/09	Preliminary review of additional subset of Stanford employees and potential investigation of SIBL activity	0.60	
	\$368.00 per hour x total hrs of	153.00	\$56,304.00
<b>Brian Kim</b>			
10/07/09	Identify requested email data for 8 Financial Advisors custodians and provide migration instructions per D. Arlington; update group permissions in Ringtail review database.	0.50	
10/08/09	Verify data migration for the 8 Financial Advisor custodians.	1.00	
10/09/09	Verify data loads for the four additional Financial Advisor custodians; updated search indexes.	0.50	
	\$344.00 per hour x total hrs of	2.00	\$688.00
<b>Andrew Sheehan</b>			
10/01/09	Review of Client Mapping Database	0.60	
10/01/09	Research Pershing accounts in response to Baker Botts questions on KVT-4	0.70	
10/07/09	Review FA loan documentation	0.40	
10/26/09	Review of BB adjustments to customer proceeds amounts	0.30	
10/28/09	Review of release protocol in response to BB request	0.30	
10/30/09	Review of customer data to determine proceeds amount documentation	1.00	
	\$340.00 per hour x total hrs of	3.30	\$1,122.00
<b>Ann Emberson</b>			
10/07/09	Respond to email inquiry from L. Dodge re migrating and loading agreed upon custodian data to a Ringtail review database; provide staging and processing instruction for the four Financial Advisor PSTs per D. Arlington.	0.60	
10/12/09	Correspond with L. Dodge re the availability of email in Ringtail for twelve identified custodians and the link for accessing the Ringtail review casebook.	0.20	
10/16/09	Respond to L. Dodge's email inquiry re POC for Ringtail questions and Michael Word's PST file.	0.40	
	\$320.00 per hour x total hrs of	1.20	\$384.00
<b>Amy Kneoppel</b>			
10/01/09	Tag additional Group IDs that were added as a result of the folder review	2.40	
10/01/09	Review of tagging of additional items added to the database as a result of the folder review process	2.60	
10/01/09	Worked with team to finalize the reconciliation of KVT4 once new query results were provided	2.90	
10/02/09	Worked with team to finalize KVT4 reconciliation and ensure that no additional reconciliations were needed	3.00	
10/05/09	Reviewed folder for Group ID 2013 to determine if additional statement information should be added	0.30	
10/05/09	Reviewed folder for Group ID 2040 to determine if additional statement information should be added	0.40	

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10/05/09	Review the tagging for additional items added from account statements for KVT5	1.00
10/05/09	Status call with team to update status of KVT5 and KVT6	0.50
10/05/09	Looked for folders for customers on KVT5 to determine if additional statement information was provided	0.50
10/05/09	Reviewed folder for Group ID 2026 to determine if additional statement information should be added	0.50
10/05/09	Reviewed folder for Group ID 2008 to determine if additional statement information should be added	0.40
10/06/09	Review reconciliation analysis for KVT5	4.70
10/06/09	Revise KVT5 reconciliation schedule	0.40
10/06/09	Revise KVT5 reconciliation schedule	2.00
10/06/09	Finalize KVT5 reconciliation	1.50
10/07/09	Incorporate revised query results into KVT5 and KVT6 reconciliation schedule	0.50
10/07/09	Incorporate new query results for KVT5 and KVT6 reconciliation	1.40
10/07/09	Revise KVT6 reconciliation schedule	1.70
10/07/09	Review revised KVT5 schedule	1.40
10/07/09	Review revised KVT6 schedule	1.60
10/07/09	Review reconciliation items for KVT6 and ensure accuracy	2.10
10/12/09	Facilitating account matching process and account matching review process	2.80
10/12/09	Review account matching detail for Group ID 3062	0.60
10/12/09	Review account matching detail for Group ID 3108	1.00
10/12/09	Review account matching detail for Group ID 3036	0.90
10/12/09	Review account matching detail for Group ID 3170	0.80
10/12/09	Review account matching detail for Group ID 3143	0.70
10/12/09	Review account matching detail for Group ID 3006	1.00
10/12/09	Review account matching detail for Group ID 3139	0.50
10/13/09	Facilitating account matching process and account matching review process	3.30
10/13/09	Review account matching detail for Group ID 3063	0.60
10/13/09	Review account matching detail for Group ID 3063	0.60
10/13/09	Review account matching detail for Group ID 3055	0.80
10/14/09	Review account matching detail for Group ID 3215	0.50
10/14/09	Facilitating account matching review process and account tagging process	2.50
10/14/09	Review of tagging for Group ID 3122	1.10
10/14/09	Review account matching detail for Group ID 3273	0.90
10/14/09	Review account matching detail for Group ID 3270	1.00
10/14/09	Review account matching detail for Group ID 3241	0.60
10/14/09	Review account matching detail for Group ID 3206	0.80
10/14/09	Review account matching detail for Group ID 3208	0.70
10/14/09	Review account matching detail for Group ID 3063	0.60
10/14/09	Review account matching detail for Group ID 3251	0.90
10/15/09	Review of tagging for Group ID 3187	0.90
10/15/09	Facilitating account tagging process and account tagging review process	2.50
10/15/09	Review of tagging for Group ID 3188	0.80
10/15/09	Review of tagging for Group ID 3092	0.90
10/15/09	Review of tagging for Group ID 3198	1.20
10/15/09	Facilitating account matching review process, account tagging process and review of account tagging	2.50
10/16/09	Tag Group ID 3252	0.90
10/16/09	Facilitate account tagging process and account tagging review process	1.20

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10/16/09	Tag Group ID 3255	1.00
10/16/09	Continued review of tagging for Group ID 3198	1.30
10/16/09	Continued review of tagging for Group ID 3199	0.90
10/19/09	Review of tagging for Group ID 3210	0.50
10/19/09	Review of tagging for Group ID 3191	2.10
10/19/09	Review of tagging for Group ID 3060	1.90
10/19/09	Review of tagging for Group ID 3204	1.60
10/19/09	Review of tagging for Group ID 3093	1.40
10/19/09	Review of tagging for Group ID 3218	0.70
10/20/09	Review of tagging for Group ID 3226	0.90
10/20/09	Entered commission amounts for commission analysis for SGC2/28/2006	0.50
10/20/09	Review of tagging for Group ID 3228	4.90
10/20/09	Review of tagging for Group ID 3248	0.80
10/20/09	Review of tagging for Group ID 3218	1.10
10/21/09	Entered commission amounts for commission analysis for SGC7/30/2006	1.50
10/21/09	Entered commission amounts for commission analysis for SGC6/30/2005	1.40
10/21/09	Entered commission amounts for commission analysis for SGC8/15/2006	1.30
10/21/09	Entered commission amounts for commission analysis for SGC5/31/2005	1.20
10/21/09	Entered commission amounts for commission analysis for SGC6/15/2005	1.10
10/21/09	Entered commission amounts for commission analysis for SGC6/15/2006	1.20
10/21/09	Review of data entry for commission analysis for SGC5/15/2005	1.20
10/22/09	Review of the comparison of ADP reports data entry and commission reports	1.60
10/22/09	Entered commission amounts for commission analysis for SFIS4/15/2005	1.20
10/22/09	Reviewed commission amounts for commission analysis for SFIS4/30/2005	1.30
10/22/09	Entered commission amounts for commission analysis for SFIS9/15/2005	1.20
10/22/09	Entered commission amounts for commission analysis for SFIS9/30/2005	1.10
10/22/09	Entered commission amounts for commission analysis for SFIS12/30/2005	1.10
10/26/09	Internal call to discuss status of deliverables to BB for October 30th	0.80
10/26/09	Facilitated the work flow for the KVT6 expanded analysis	2.20
10/26/09	Managed the work flow for the production process, answered questions regarding cash tracing of Trustmark account 1558	1.90
10/26/09	Managed the work flow for the commissions process	1.60
10/27/09	Searched for supporting documentation for KVT4 including ARWs and stipulations	2.00
10/27/09	Conducted quality control over the production of KVT4 proceeds, this included ensuring that the correct support was found for each name on the original KVT4 schedule	2.40
10/27/09	Managed the production process for the KVT4 proceeds	2.00
10/27/09	Facilitated the collection of support of KVT4 proceeds schedule	2.00
10/28/09	Continued quality control efforts for the commissions earned and analysis of payments made to Marty Kavelis	2.00
10/28/09	Conducted quality control for the commissions earned and analysis of payments made to Marty Kavelis	2.00

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## Invoice Activity

10/28/09	Continued to review commission schedules and analysis of payments made to Marty Kavelis	1.80	
10/28/09	Quality control over all commission payment included in the MD analysis schedules	2.90	
10/29/09	Provided direction for original KVT4 production, answered questions for final deliverable (production)	0.80	
10/29/09	Quality review of ADP data entry into commissions analysis	2.30	
10/29/09	Continued quality review of data entry of ADP payment in commission analysis	2.60	
10/29/09	Team conference call to discuss status of deliverables on Friday	1.00	
10/30/09	Call regarding production and staffing for the 1st week of November	1.00	
10/30/09	Review finalized employees proceed schedule	1.20	
10/30/09	Quality control for finalized commissions analysis	2.00	
	\$316.00 per hour x total hrs of	136.40	\$43,102.40

**Scott Sizemore**

10/01/09	Call with P. Beeman regarding changes and issues.	0.30	
10/01/09	QC new tables with changes and rerun analyses. Identify issues and have staff resolve.	0.80	
10/01/09	Check table integrity of additional restored transactions.	0.50	
10/01/09	Find maximum values for each display field in Crystal Report of historical transactions and calculated values of proceeds and reinvestment to ensure that all group ids can be displayed properly. Send to staff for adjustments.	2.00	
10/01/09	Revise group to group transfer analysis code for potential change in group-ids and restored transactions.	0.30	
10/01/09	Write consolidated update script for KVT-4 tables incorporating the addition of ILCD and transfer transactions and updating each for potential group id changes.	0.50	
10/01/09	QC groups 554 and 554a after changes requested by Baker Botts. Determine impact on transfers outside of groups.	1.00	
10/01/09	QC changes to crystal report to display all values correctly.	0.20	
10/01/09	QC team's Access queries for incorporating restored transactions.	0.30	
10/01/09	Call with P. Beeman, M. Russell to discuss changes needed immediately and potential time frame for implementation.	0.50	
10/01/09	Investigate questions and issues raised by Baker Botts.	0.50	
10/01/09	Create and QC additional phantom transaction for 1-sided transfer restored. Rerun KVT-4 queries and QC results to determine impact.	1.00	
10/01/09	Test new query code for group id 311	1.00	
10/01/09	Extract transactions with calculated values from group id 2038 to send to C. Kolodjeski.	0.10	
10/01/09	Test KVT-4 script with selected restored transactions.	1.40	
10/01/09	QC rerun of KVT-4 queries with subset of restored transactions to confirm only group-ids with restored transactions had impacted results.	1.80	
10/01/09	Call with P. Beeman, M. Russell, and K. Prichard regarding latest run of results. Troubleshoot potential issues.	0.50	
10/01/09	QC additional transactions to determine if valid transfers between KVT-4, 5, 6 group ids.	0.50	
10/01/09	Rerun KVT-4 results after removing duplicate restored transaction.	0.50	
10/01/09	Work with staff to revise and update analysis of transfers between groups. QC results and forward on to team for inclusion in final report.	1.50	
10/02/09	Rerun KVT-4 queries based on requested changes from Baker Botts.	0.80	

10/02/09	QC final results for KVT-4 schedule. Investigate discrepancies.	2.00
10/02/09	Prepare potential group to group analysis by account to prepare for potential group 308 split	1.00
10/02/09	QC new KVT-4 schedule with revisions and investigate discrepancies.	1.50
10/02/09	Investigate KVT-4 discrepancies and research manual adjustments.	1.30
10/02/09	QC KVT-4 schedule and footnotes	1.30
10/02/09	Review impact of additional transactions for grouped 311 on results. Investigate impact on transfer between groups.	0.50
10/02/09	Investigate KVT-4 discrepancies and research manual adjustments.	0.30
10/02/09	Revise KVT5/6 update script	0.30
10/02/09	Analyze group to group results based on Baker Botts direction.	0.50
10/05/09	Revise KVT-4 schedule per Baker Botts' suggestions	2.00
10/05/09	Conference call with M. Wei and K. Blake regarding current tasks and staffing.	0.50
10/05/09	Work with M. Russell on needed changes on KVT-4.	0.50
10/05/09	Provide transaction detail on calculations for Group ID 422.	0.30
10/05/09	Write explanation for current layout of transactional report and provide for peer review.	0.30
10/05/09	Discuss current status and outstanding issues with P. Beeman, and K. Blake.	0.50
10/05/09	Research issues for KVT 5/6 groups 1007, 1017, 1023, 1027, 1046, 1047, 1049, 2002, and 2028 that may cause recalculation difficulties.	1.00
10/05/09	Research issues for KVT 5/6 groups 1007, 1017, 1023, 1027, 1046, 1047, 1049, 2002, and 2028 that may cause recalculation difficulties.	2.00
10/05/09	Rerun KVT-5 / 6 queries to determine impact from reconciliation efforts.	0.50
10/05/09	Conference call with K. Van Tassel, J. Ferguson, M. Russell, S. Giles, C. Kolodjeski, M. Wei, P. Beeman, and A. Kneoppel regarding outstanding Project Ebony issues and staffing.	0.50
10/06/09	Investigate current KVT-4 calculation query and examine potential changes to calculate SIB winners and losers.	0.50
10/06/09	Update KVT - 4 query documentation based on treatment of restored transactions and productionalized code.	0.50
10/06/09	Rerun KVT 5 / 6 queries and compare current KVT5 / 6 results versus prior results.	1.50
10/06/09	Conference call with K. Van Tassel, J. Ferguson, S. Giles, M. Russell, A. Kneoppel, C. Kolodjeski, M. Wei, K. Blake and P. Beeman to discuss current task and priorities.	0.80
10/06/09	Call with P. Beeman, M. Russell regarding Goeckel SEI information.	0.30
10/06/09	Call with M. Wei regarding thoughts and needs for the net winner / loser analysis.	0.20
10/06/09	Call with M. Russell regarding KVT 5/ 6 group id changes.	0.20
10/06/09	Call with P. Beeman regarding KVT 5/ 6 group id changes and data structure needed for restored transactions	0.20
10/06/09	Update KVT-6 schedule with latest query results.	0.30
10/06/09	Update KVT 5 / 6 query code to include restored transactions for the KVT 5 and 6 group ids from manual review of investor-supplied information.	1.00
10/06/09	Update KVT 5 / 6 Group ID analysis of group ids with transfers to KVT 4, 5, or 6 group ids.	0.50
10/06/09	Call with P. Beeman regarding group id 35 changes.	0.30
10/06/09	Review KVT-4 query code to investigate group id 35 results	1.50

10/06/09	Review existing KVT-4 query and look for how to add in net winner / loser functionality. Write up time estimate for completion of task.	1.00
10/06/09	Run KVT 5/ 6 query and output results. Update loan results for KVT 5/6.	0.50
10/06/09	Prepare data for KVT 5/ 6 query.	0.30
10/06/09	Investigate restored transactions and confirm that all restored transactions are reflected in SQL.	0.50
10/06/09	Revise KVT 5/ 6 group by group transfer analysis using updated transactions. Confirm that the group by group transfer caused apparent 1016 vs 1017 transfer issue.	1.00
10/06/09	Investigate group id issue (1016 vs. 1017) on loan transactions where group id does not tie to tables.	1.00
10/06/09	Search SEI data for "Groeckel" and "Goeckel" names.	0.50
10/07/09	QC KVT-5 schedule	0.10
10/07/09	QC KVT-4 schedule	0.30
10/07/09	Pull and analyze detailed transactions for group ID 2028	0.20
10/07/09	QC transactional report after requested changes.	0.30
10/07/09	Conference call with K. Van Tassel, J. Ferguson, S. Giles, P. Beeman, M. Russell, A. Kneepfel, K. Prichard, M. Wei regarding staffing and issues.	0.80
10/07/09	Create draft SIB KVT-4 Winner / Loser schedule	0.50
10/07/09	Revise KVT-4 transactional report	0.30
10/07/09	Call with K. Blake regarding transactional schedule for KVT-4.	0.30
10/07/09	Investigate and update current KVT-4 query code to track money in to SIB and money out of SIB by investor.	0.50
10/07/09	Investigate and update current KVT-4 query code to track money in to SIB and money out of SIB by investor.	2.00
10/07/09	QC results from winner / loser code for Group ID 101	0.30
10/07/09	QC KVT-4 transactional report	0.30
10/07/09	QC winner / loser results for Group ID 4	0.30
10/07/09	Run KVT 5/6 queries to update results.	0.50
10/07/09	Update analysis of transfers from KVT 5/6 group ids to group IDs in KVT 4 /5 or 6. Investigate issues.	1.00
10/07/09	Rerun KVT 5 /6 queries after updates. QC results and send issues to staff.	1.30
10/07/09	Update transfer analysis between groups and confirm that issues were corrected.	0.50
10/07/09	QC winner / loser results for group ID 318	0.50
10/07/09	QC current version of KVT-5.	0.50
10/07/09	Discuss issues with winner / loser analysis and needed sign-off points from Baker Botts with K. Blake	0.30
10/07/09	Discuss KVT-4 transactional report with team.	0.30
10/07/09	Investigate issues with Group ID 1037	0.50
10/08/09	QC group id 606 for winner / loser results	0.50
10/08/09	Determine group IDs with attributes to QC for winner / loser analysis	0.50
10/08/09	QC group id 532 for winner / loser results	0.50
10/08/09	QC current KVT-5 and KVT-6 schedules with requested changes from Baker Botts.	0.80
10/08/09	QC that money out from KVT-4 Net Winner / Loser query ties to original output of CD Proceeds + Express Proceeds + Reinvestments by Group id. Investigate discrepancies.	0.80
10/08/09	Revise winner / loser KVT-4 code to remove loan accounts from processing (as these are handled separately)	1.30

10/08/09	QC changes in results and reconcile any deviations to loan account removal.	1.30
10/08/09	Reconcile winner / loser output to original KVT-4 output.	0.80
10/08/09	QC KVT-5 and KVT-6 schedules	0.50
10/08/09	Document current winner / loser assumption and methodology.	1.80
10/08/09	QC group ID 129 for winner / loser results	0.50
10/09/09	QC money in / money out calculation for group ID 1010.	0.50
10/09/09	QC winner / loser results from KVT 5 / 6 to original results.	0.80
10/09/09	Review additional comments and revisions to Baker Botts comments for KVT-4 schedule. Determine group ids and investor names on KVT-4 impacted by loans.	0.50
10/09/09	Draft meeting discussion points to cover in conference call with B. Day and D. Arlington.	1.00
10/09/09	Research and investigate KVT-4 groups identified by Examiner with a large change in calculated proceeds from prior filing.	0.80
10/09/09	QC money in / money out calculation for group ids 2005	0.50
10/09/09	QC money in / money out calculation for group ids 1001	0.50
10/09/09	QC money in / money out calculation for group ids 2028	0.50
10/09/09	Conference call with K. Prichard to review database and review form of database to review Employee accounts.	1.30
10/09/09	Consolidate questions and assumptions for net winner / loser analysis.	1.30
10/09/09	Review KVT-4 comments	0.70
10/09/09	Update current KVT 5 / 6 queries to version with winner / loser calculations.	1.00
10/11/09	Respond to Examiner's specific questions on seven group ids (129,138,308,308a,318 ,457,526) via email.	0.50
10/12/09	Meet with M. Russell to discuss Express reinvestment.	0.10
10/12/09	Call with M. Wei to discuss current status and needed deliverables prior to today's conference calls.	0.20
10/12/09	Meet with J. Coker to discuss status of Stanford Trust Company accounts with held funds.	0.30
10/12/09	Meet with K. Prichard to discuss needed enhancements for Employee review database. Make and test enhancements to database.	0.30
10/12/09	Meet with P. Beeman to discuss current status of Net winners and Losers analysis	0.30
10/12/09	Meet with M. Russell to discuss current status of Net Winners / Loser analysis and to determine status of Examiner response on questions for seven group ids.	0.30
10/12/09	Determine the largest impacts to cause a difference in current KVT-4 results versus the original KVT-4 results in July.	0.80
10/12/09	Prepare for Net Winners / Losers call with Baker Botts by summarizing outstanding questions.	0.30
10/12/09	Conference call with B. Day, M. Wei, and K. Blake regarding Net Winners / Losers analysis.	1.00
10/12/09	Conference call with K. Van Tassel, J. Ferguson, M. Russell, K. Blake, M. Wei, B. Day, D. Arlington, K. Sadler to discuss	1.50
	REDACTED	
10/12/09	Meet with P. Beeman regarding current status of Net Winner / Loser analysis.	0.20

10/12/09	Determine and research needed changes in current KVT-4 query to analyze Express Proceeds reinvestments. Strategize with M. Russell about how to deal with reinvested Express Proceeds that is transferred into a CD. Code and test changes into KVT-4 query and rerun analysis.	2.00
10/12/09	Determine and research needed changes in current KVT-4 query to analyze Express Proceeds reinvestments. Strategize with M. Russell about how to deal with reinvested Express Proceeds that is transferred into a CD. Code and test changes into KVT-4 query and rerun analysis.	1.50
10/12/09	Determine and research needed changes in KVT-4 analysis query to provide requested Net Winner / Loser metrics. Code and test changes in query.	2.00
10/13/09	Identify withdrawal transactions for seven group IDs for which the Examiner had questions.	2.00
10/13/09	QC Net Winners / Losers examples. Identify issues for team to fix.	0.40
10/13/09	Test and adjust queries for money in after accounting for currency conversions.	1.00
10/13/09	Investigate discrepancies of money in between query and manual summations by tag.	1.50
10/13/09	Conference call with S. Giles, M. Russell, K. Blake, P. Beeman, M. Wei, B. Day, and D. Arlington regarding <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.00
10/13/09	Team meeting to discuss tagging of Employee accounts.	0.40
10/13/09	QC Net Winners / Losers analysis results for Money In discrepancies.	0.30
10/13/09	Adjust QC queries for money in to account for currency conversions.	1.70
10/13/09	Investigate discrepancies of money in between query and manual summations by tag.	2.00
10/13/09	Determine number of groups impacted by positive fee transactions.	0.30
10/13/09	Consolidate current issues and status of Express Proceeds reinvestment for discussion with Baker Botts	1.00
10/13/09	QC Net Winners / Losers analysis results	0.80
10/13/09	QC Employee Analysis queries and process	0.50
10/13/09	Call with M. Wei regarding issues and resolutions of express proceed reinvestments	0.30
10/13/09	Meet with K. Prichard regarding Employee analysis issues	0.50
10/13/09	Identify withdrawal transactions for seven group IDs for which the Examiner had questions.	2.00
10/14/09	Identify and fix tagging issues with database.	1.50
10/14/09	QC withdrawal schedule to answer Examiner's questions	0.30
10/14/09	Meet with K. Blake and M. Wei to discuss current status of analyses	0.50
10/14/09	Investigate accounts and transactions currently assigned to group 3274. Evaluate if associations are correct.	1.30
10/14/09	Identify and fix tagging issues with database.	0.30
10/14/09	Meet with A. Kneappel to determine status of loan accounts for QC.	0.50
10/14/09	Look into new types of postings found (e.g., commission, bonus, etc.) and determine how to handle with query.	0.80
10/14/09	Investigate discrepancies of money in between query and manual summations by tag.	0.30
10/14/09	Discuss new issues with loan accounts with J. Kluka	

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10/14/09	Investigate ILCD transactions and associated transactions for group 3113.	0.30
10/14/09	Investigate ILCD transactions and associated transactions for group 3117.	0.30
10/14/09	Meet with M. Wei to discuss estimated work to upgrade current KVT5/6 query to Brightline version	0.30
10/14/09	Investigate discrepancies of money in between query and manual summations by tag.	2.00
10/15/09	QC group id 3215	1.50
10/15/09	Review and update KVT-5 / 6 query code.	0.50
10/15/09	Rerun KVT-4 query with Brightline enhancements turned off to ensure original result is retained.	0.50
10/15/09	Update QC code for KVT 5/6 results.	0.30
10/15/09	QC group id 3215 and determine population is not ready. Send back to staff for review.	0.50
10/15/09	Identify loan and ILCD population within the Employee Review population.	0.50
10/15/09	QC Employee review database and identify issues to be corrected.	0.50
10/15/09	Call with J. Kluka to discuss loans and needed structure for loan model.	0.10
10/15/09	QC group id 3214	2.00
10/15/09	QC group id 3215	2.00
10/15/09	QC group id 3251	0.50
10/15/09	QC group id 3274	2.00
10/15/09	Conference call with B. Day, D. Arlington, J. Ferguson, M. Wei, P. Beeman, S. Giles, and M. Russell about prioritization of tasks.	0.30
10/15/09	QC group id 3274	1.50
10/15/09	Research and create complementary transfer transactions for ILCD accounts where one side of the transfer is missing.	1.30
10/15/09	QC group id 3214	1.30
10/16/09	QC Excel summary of Employee Loans	0.30
10/16/09	QC tagging for group 3223	0.50
10/16/09	QC Group id 3274	1.00
10/16/09	QC tagging for group 3168	0.50
10/16/09	QC Group ID 3274	1.50
10/16/09	QC Employee Loan Model structure	0.50
10/16/09	Write SQL queries for Employee analysis	1.80
10/16/09	QC and resolve 1-sided transfers for group 3013	0.50
10/16/09	QC and resolve 1-sided transfers for group 3226	1.00
10/16/09	QC tagging for group 3141	0.50
10/19/09	QC Group 3055	1.30
10/19/09	Review potential 1-sided transfers for groups 3012, 3226, 3261. Upload complementary transactions to SQL table for phantom transactions.	1.00
10/19/09	Download transactions for groups 3117, 3113, 3055 for offline QC work.	0.50
10/19/09	QC group ID 3267. Resolve duplicates and reversals in March 2008 and determine true transactions that affect account balances.	1.00
10/19/09	Adjust account numbers and descriptions on phantom transactions for 1-sided transfers for groups 3012, 3226, 3261.	1.00
10/19/09	QC Employee Loan model. Send issues to staff for resolution.	1.00
10/19/09	QC group ID 3223. Determine and fix tagging issues, incorrectly associated transfers and 1-sided transfers.	1.80
10/19/09	QC group id 3117	1.50
10/19/09	QC group ID 3113.	0.50
10/19/09	Update tags for corrections on groups 3141, 3168, and 3223	0.50

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10/20/09	Review employee account transfers where they may be an issue with the transfer linking based on amount, account, date, or transfer ID.	2.00
10/20/09	Review employee account transfers where they may be an issue with the transfer linking based on amount, account, date, or transfer ID.	1.80
10/20/09	QC accounts where initial balance transaction is not the first transaction. Determine group that has not been tagged.	1.00
10/20/09	QC group ID 3246.	2.00
10/20/09	QC group ID 3246.	2.00
10/20/09	QC group ID 3267	1.50
10/20/09	QC group ID 3267	2.00
10/20/09	Conference call with K. Van Tassell, J. Ferguson, S. Giles, M. Wei, K. Blake, P. Beeman, J. Kluka, A. Kneoppel, M. Russell, C. Kolodjeski, K. Prichard, to discuss Project Ebony status and issues.	0.50
10/20/09	Run test results for employee query	0.30
10/21/09	QC tagging for employee transactions for deposits	1.50
10/21/09	Update Employee script for determining outside transfers	0.50
10/21/09	QC and add needed 1-sided transfers within the group	0.80
10/21/09	QC group id 3277	1.50
10/21/09	Update Employee analysis transfers where the complementary transaction has not been filled in with transfer id and correct tagging.	1.00
10/21/09	QC Employee Loan model	1.00
10/21/09	QC Employee analysis loan model	1.00
10/21/09	Run queries for Employee analysis. QC and fix tables	1.00
10/21/09	Create needed pivot tables for employee model and update loan component script.	0.50
10/22/09	Investigate group id 3240	1.00
10/22/09	Investigate deposit results from employee analysis	0.50
10/22/09	Create offline version of crucial tables in database and optimize table structure.	1.00
10/22/09	Rerun employee queries after QC changes	0.50
10/22/09	QC current version of Employee loan model	0.50
10/22/09	Rerun Employee queries after further QC changes	0.50
10/22/09	Rerun Employee analysis queries	0.50
10/22/09	QC tagging of Employee analysis groups 3149	0.80
10/22/09	QC tagging of Employee analysis groups 3205	0.80
10/22/09	QC tagging of Employee analysis groups 3228	1.50
10/22/09	QC tagging of Employee analysis groups 3226	0.50
10/22/09	QC group id 3219	0.50
10/23/09	Investigate issues with group id 3108	0.80
10/23/09	Rerun Employee analysis results	0.50
10/23/09	Check logic for tagging on group id 3170	0.50
10/23/09	Check logic for tagging on group id 3149	1.00
10/23/09	Check tagging logic on group id 3014	0.50
10/23/09	Check tagging logic on group id 3218	0.50
10/23/09	Check tagging logic on group id 3133	0.50
10/23/09	QC group ID 3273	0.50
10/23/09	Check tagging logic on group id 3186	1.00
10/23/09	QC group ID 3256	0.50
10/23/09	Rerun employee queries and qc	1.00
10/23/09	QC group id 3234	1.00
10/23/09	QC group ID 3267	0.50
10/26/09	Conference call on project status with Karyl Van Tassel, S. Giles, J. Ferguson, M. Wei, P. Beeman, A. Kneoppel, M. Russell	0.50

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10/26/09	Check proceeds on group ID 3014	0.30
10/26/09	Check proceeds on group ID 3133	0.30
10/26/09	Check proceeds on group ID 3141	0.30
10/26/09	Check proceeds on group ID 3113	0.30
10/26/09	Check proceeds on group ID 3055	0.30
10/26/09	Check proceeds on group ID 3186	0.30
10/26/09	Check proceeds on group ID 3168	0.30
10/26/09	Check proceeds on group ID 3215	0.30
10/26/09	Run QC script on group ID 3222	0.20
10/26/09	Check proceeds on group ID 3168	0.30
10/26/09	Check proceeds on group ID 3186	0.30
10/26/09	Run QC script on group ID 3216	0.20
10/26/09	Check proceeds on group ID 3215	0.30
10/26/09	Check proceeds on group ID 3214	0.30
10/26/09	Check proceeds on group ID 3276	0.30
10/26/09	Run QC script on group ID 3224	0.20
10/26/09	Run QC script on group ID 3113	0.20
10/26/09	Check proceeds on group ID 3205. Investigate discrepancies and fix issues.	1.00
10/26/09	Run QC script on group ID 3220	0.20
10/26/09	Check proceeds on group ID 3267	0.30
10/26/09	Check proceeds on group ID 3223	0.30
10/26/09	Run QC script on group ID 3223	0.20
10/26/09	Run QC script on group ID 3214	0.20
10/26/09	Check proceeds on group ID 3216	0.30
10/26/09	Check proceeds on group ID 3218	0.30
10/26/09	Check proceeds on group ID 3224	0.30
10/26/09	Check proceeds on group ID 3226	0.30
10/26/09	Check proceeds on group ID 3228	0.30
10/26/09	Check proceeds on group ID 3256	0.30
10/26/09	Check proceeds on group ID 3273	0.30
10/26/09	Check proceeds on group ID 3219	0.30
10/26/09	Run QC script on group ID 3268	0.20
10/26/09	Run QC script on group ID 3141	0.20
10/26/09	Check proceeds on group ID 3220	0.30
10/26/09	Run QC script on group ID 3055	0.20
10/26/09	Check proceeds on group ID 3222	0.30
10/26/09	Run QC script on group ID 3215	0.20
10/26/09	Run QC script on group ID 3219	0.20
10/26/09	Check proceeds on group ID 3234	0.30
10/27/09	Research and investigate potential suspicious activity tests	2.00
10/27/09	Test sample script for suspicious activity identification and identify potential weaknesses and false positives generated by the analysis.	2.00
10/27/09	Write out pseudo-code for potential suspicious activity identification.	1.50
10/27/09	Compose FTI proposal for analysis of suspicious activity for internal discussion	0.50
10/27/09	Investigate how to analyze unmapped transactions for suspicious activity.	0.50
10/27/09	Conference call with J. Ferguson, K. Blake, M. Wei, P. Beeman, M. Russell regarding suspicious activity analyses and project status.	1.00
10/27/09	Meet with M. Russell to discuss thoughts on suspicious activity analyses	0.30

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10/27/09	Download additional historical exchange rates from OANDA.com for converting to and from USD for NOK, SEK, and NZD currencies. Reformat results, load to SQL server and append to previous exchange rate table.	2.00
10/27/09	QC duplicate script to identify duplicate transactions posted in historical systems.	0.50
10/28/09	Determine tables and locations of tables needed for remote analyses.	0.50
10/28/09	Employee loan investigation, review and QC for group 3251, account 142107.	0.50
10/28/09	Write script to identify number of groups each group is linked to.	0.50
10/28/09	Consolidate team's thoughts for potential suspicious activity analyses. Determine potential results and issues for additional analyses. Format into Word document.	2.00
10/28/09	Consolidate team's thoughts for potential suspicious activity analyses. Determine potential results and issues for additional analyses. Format into Word document.	0.80
10/28/09	Rerun Employee result query after incorporating transactional QC changes.	0.50
10/28/09	Create KVT6-2 query scripts	1.00
10/28/09	Determine Hold Mail, Antigua population for KVT-4	0.80
10/28/09	Call with J. Kluka to discuss needed checks for loan and ILCD accounts from group 1002.	0.10
10/28/09	QC Employee loan model after incorporating latest results.	0.30
10/28/09	Determine number of KVT-4 SIBL addresses with 'Hold mail, Antigua' addresses.	0.50
10/28/09	Call with P. Beeman to discuss needed analysis for group 1002	0.20
10/28/09	Analyze request for group 1002 and determine needed analyses and personnel	0.20
10/28/09	Analyze the money in figures from the new query results and investigate discrepancies	0.80
10/29/09	QC account groupings for group ID 4018	0.50
10/29/09	QC account groupings for group id 4017	0.50
10/29/09	QC account groupings for group ID 4025	0.50
10/29/09	QC Account groupings for group ID 4024	0.50
10/29/09	QC account groupings for group id 4023	0.50
10/29/09	QC account groupings for group ID 4022	0.50
10/29/09	QC account groupings for group ID 4021	0.40
10/29/09	QC account groupings for group id 4020.	0.70
10/29/09	QC account groupings for group 4016	0.30
10/29/09	QC account groupings for group ID 4019	0.50
10/29/09	QC account grouping for group ID 4005	0.30
10/29/09	QC Account grouping of group ID 4015	0.30
10/29/09	QC account groupings for group id 4014	0.20
10/29/09	QC account groupings for group ID 4013	0.40
10/29/09	QC loan account list from KVT6-2	0.30
10/29/09	QC account grouping for group ID 4007	0.80
10/29/09	QC account grouping for group ID 4006	0.50
10/29/09	QC second version of Employee Proceed schedule	0.80
10/29/09	QC account grouping for group ID 4008	0.50
10/29/09	QC account grouping for group ID 4009	0.30
10/29/09	QC account grouping for group ID 4010	0.50
10/29/09	QC account grouping for group ID 4011	0.30
10/29/09	QC account grouping for group ID 4012	0.30
10/29/09	Search for KVT-4 proceed amount documentation	1.80
10/29/09	QC Employee Proceed Schedule	1.50

10/29/09	QC account grouping for group ID 4049	0.30	
10/30/09	QC account grouping for group ID 4032	0.30	
10/30/09	QC account groupings for group 4031	0.30	
10/30/09	QC account groupings for group ID 4030	0.50	
10/30/09	QC account groupings for group ID 4029	0.50	
10/30/09	QC account grouping for group ID 4028	0.30	
10/30/09	QC account groupings for group ID 4027	0.30	
10/30/09	QC account groupings for group ID 4026	0.50	
	\$312.00 per hour x total hrs of	232.80	\$72,633.60

**Mark Russell**

10/01/09	Reconciliation of the updated Query results for Group ID 250.	1.70	
10/01/09	Investigation and documentation of the reasons for changes in the results for KVT4.	3.10	
10/01/09	Quality review of the updated KVT4 schedule.	2.10	
10/01/09	Recalculation and review of the updated Query results for Group ID 91.	1.10	
10/01/09	Reconciliation of the updated Query results for Group ID 227.	1.20	
10/01/09	Reconciliation and verification that the additional data found from customer provided SIBL statements was accurately treated by the Query.	3.20	
10/01/09	Comparison of the updated results for KVT4 to the previous results.	1.70	
10/02/09	Investigation into Group ID 308 to determine the proper account separation to split the group between Brewer and Pritchard at counsel's request.	1.70	
10/02/09	Discussion with Brendan Day <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.20	
10/02/09	Quality review of the updated KVT4 to ensure that the footnotes and figures were appropriately added.	2.30	
10/05/09	Research related to the reconciling items for Group 599 - Daneco.	1.30	
10/05/09	Summary of CD purchases and withdrawals made by Lawson - Group ID 478	1.70	
10/05/09	Adjustments and quality review of changes made to KVT4.	2.60	
10/05/09	Detailed comparison schedule of the updated KVT4 proceeds to the proceeds presented on the filed KVT4 from July 28, 2009.	1.30	
10/05/09	Discussion with Baker Botts concerning <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	2.10	
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2037.	0.30	
10/06/09	Internal call with Shawn Giles, Jeff Ferguson, Katie Prichard, and Amy Kneepal to discuss the new work streams requested by Baker Botts.	0.70	
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2012.	0.40	
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2018.	0.30	
10/06/09	Quality review of the master reconciliation for the KVT5 Query results.	2.10	
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2010.	0.30	
10/06/09	Adjustments to the KVT4 schedule presentation requested by Counsel.	1.50	
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2025.	0.40	

10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2017.	0.30
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2009.	0.60
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2005.	0.40
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2002.	0.30
10/06/09	Review of the ARW Folder for additional customer provided information for KVT5 Group ID 2036.	0.40
10/07/09	Preparation of the work plan related to the Net Winners/Losers analysis requested by Counsel.	2.10
10/07/09	Preparation of the work plan associated with the Employee SIB proceed analysis requested by Counsel.	1.80
10/07/09	Summary of the analysis of Bank of Houston account 8854 deposit activity for the year 2008 as it related to the wire payments made to Mr. Aitken and Mr. Thacker.	1.30
10/07/09	Quality review of the updated KVT6 report.	0.90
10/07/09	Electronic document review for the destination wire information for payments made to Christopher Aitken and Stephan Thacker.	1.90
10/08/09	Finalization of the updated KVT4 schedule.	0.30
10/08/09	Quality review of the updated KVT5 master reconciliation.	1.50
10/08/09	Electronic document review for additional information concerning the wires paid to Mr. Aitken and Mr. Thacker in 2008.	0.60
10/08/09	Internal call with Yomi Odunsi to discuss the work plan and analysis needed for the SGC SIB commissions analysis for 2005 and 2006.	1.30
10/08/09	Quality review of the KVT6 master reconciliation.	1.70
10/09/09	Quality review of the supporting documentation gathered for the Clawback affidavit.	2.30
10/09/09	Identification of the source documents necessary to support the analysis done for the Clawback affidavit.	2.10
10/12/09	Internal FTI discussion regarding the outstanding items and work plans.	0.50
10/12/09	Review of the transactions related to Adrian Ramos/Elwood in response to a request from Helen Duskin and FITS to breakdown the proceeds by the accounts/names included in Group ID and assess the impact of a potential split of this group.	2.30
10/12/09	Call with David Arlington, Brendan Day, Mike Wei, Scott Sizemore, Kevin Blake and Patrick Beeman to discuss <b>REDACTED</b>	1.60
10/12/09	Manual calculation of one possible proceed result of an analysis of Express proceeds for reinvestment.	3.30
10/12/09	Analysis of the potential impact of 3 various scenarios for the Express Proceed reinvestment analysis	2.30
10/12/09	Creation of the master tracking schedule for the Employee SIB Proceed analysis.	1.40
10/13/09	Preparation of examples to illustrate the potential benefits and draw backs of the various scenarios available for the Express reinvestment analysis.	2.30
10/13/09	Discussion with Tiffany Petty regarding how the Payroll files could be used to help identify all Upfront loans given to Stanford employees.	0.30

10/13/09	Discussion with Charlene McDougall related to the process necessary to identify all Upfront loans given to Stanford Employees.	0.40
10/13/09	Call with David Arlington and Brendan Day of Baker Botts to discuss <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.50
10/13/09	Internal team discussion related to the potential impact of the Express proceed reinvestment scenarios.	1.30
10/13/09	Analysis of examples related to the suggested modifications for Express proceeds to determine the potential impact on the overall proceeds analysis.	3.20
10/14/09	Review of the 2006 Upfront loan schedule for loans that were forgiven prior to 12/31/2008.	1.40
10/14/09	Review of the 2003 Upfront loan schedule for loans forgiven prior to 12/31/2008.	1.30
10/14/09	Review of the 2005 Upfront loan schedule for loans that were forgiven prior to 12/31/2008.	1.30
10/14/09	Review of the 2007 Upfront loan schedule for loans that were forgiven prior to 12/31/2008.	1.30
10/14/09	Review of the 2004 Upfront loan schedule for loans forgiven prior to 12/31/2008.	1.20
10/15/09	Review of the Oracle HR Data for the Employee titles for Upfront loans in 2004.	0.70
10/15/09	Preparation of summary level source document list for the July 28, 2009 affidavit.	1.30
10/15/09	Review of the Oracle HR Data for the Employee titles for Upfront loans in 2007.	0.90
10/15/09	Review of the Oracle HR Data for the Employee titles for Upfront loans in 2006.	1.10
10/15/09	Review of the Oracle HR Data for the Employee titles for Upfront loans in 2005.	1.20
10/15/09	Preparation of KVT5 and KVT6 to include the calculated Gross Express proceed figures.	1.20
10/15/09	Review of the Oracle HR Data for the Employee termination dates for individuals receiving Upfront loans.	1.90
10/15/09	Review of the Oracle HR Data for the Employee titles for Upfront loans in 2003.	1.10
10/16/09	Tagging of the SIBL Transactions for Group ID 3256.	0.90
10/16/09	QC review of the Tagging of the SIBL Transactions for Group ID 3064.	0.60
10/16/09	Tagging of the SIBL Transactions for Group ID 3247.	1.00
10/16/09	Tagging of the SIBL Transactions for Group ID 3245.	0.70
10/16/09	Tagging of the SIBL Transactions for Group ID 3241.	0.80
10/16/09	Tagging of the SIBL Transactions for Group ID 3240.	0.90
10/16/09	Tagging of the SIBL Transactions for Group ID 3235.	0.80
10/16/09	Tagging of the SIBL Transactions for Group ID 3232.	0.90
10/16/09	QC of Tagging of the SIBL Transactions for Group ID 3024.	0.40
10/19/09	Quality review of the tagging of the <span style="border: 1px solid red; padding: 2px;">REDACTED</span> transaction detail for Group ID 3175.	0.40
10/19/09	Quality review of the tagging of the <span style="border: 1px solid red; padding: 2px;">REDACTED</span> transaction detail for Group ID 3028	0.40
10/19/09	Quality review of the tagging of the <span style="border: 1px solid red; padding: 2px;">REDACTED</span> transaction detail for Group ID 3063.	0.30
10/19/09	Quality review of the tagging of the <span style="border: 1px solid red; padding: 2px;">REDACTED</span> transaction detail for Group ID 3169.	1.10

10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3175.	0.90
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3171.	0.30
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3179.	0.60
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3262.	0.40
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3250.	0.20
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3260.	0.30
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3255.	0.30
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3038.	0.50
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3206.	0.60
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3179.	0.70
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3211.	0.40
10/19/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3252.	0.60
10/20/09	Review of the SGC 3/15/2006 Payroll register for individuals who received SIBL Commissions.	1.60
10/20/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3271.	1.10
10/20/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3268.	0.70
10/20/09	Review of the SGC 7/15/2005 Payroll register for individuals who received SIBL Commissions.	1.40
10/20/09	Review of the SGC 10/31/2005 Payroll register for individuals who received SIBL Commissions.	0.90
10/20/09	Review of the SGC 5/31/2005 Payroll register for individuals who received SIBL Commissions.	0.80
10/20/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3275.	0.80
10/20/09	Quality review of the tagging of the [REDACTED] transaction detail for Group ID 3273.	0.90
10/20/09	Discussion with Brendan Day over [REDACTED]	0.30
10/21/09	Quality review of the SGC SIBL Commission data capture from the Payroll reports for the period 8/15/2005.	1.60
10/21/09	Quality review of the SGC SIBL Commission data capture from the Payroll reports for the period 11/15/2005	1.70
10/21/09	Quality review of the SGC SIBL Commission data capture from the Payroll reports for the period 11/30/2005	0.80
10/21/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 5/30/2005.	1.10
10/21/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 5/15/2005.	1.20
10/21/09	Quality review of the SGC SIBL Commission data capture from the Payroll reports for the period 12/31/2005.	0.70

## *Invoice Activity*

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10/21/09	Quality review of the SGC SIBL Commission data capture from the Payroll reports for the period 12/15/2005.	1.70
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 1/15/2006.	0.70
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 11/15/2005.	0.70
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 10/31/2005.	0.80
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 10/15/2005.	0.90
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 6/30/2005.	1.00
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 6/15/2005.	0.90
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 10/15/06	0.80
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 1/30/2006.	0.80
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 11/30/2005.	0.70
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 2/15/2006.	0.70
10/22/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 2/28/2006.	0.70
10/23/09	Pulling of supporting workpapers related to the calculation of the FA loans, commissions, bonuses and PAR payments.	1.20
10/23/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 10/31/2006.	0.70
10/23/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 11/30/2006.	0.60
10/23/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 12/30/2006.	0.60
10/23/09	Quality review of the matching of the Payroll detail SIBL commissions to the calculation detail for the SGC SIBL commissions.	2.30
10/23/09	Quality review of the matching of Payroll Commission payments to the Source calculation sheets for SFIS Commissions for the period 10/15/2006.	0.60
10/26/09	Quality review of the aggregation of data into the Master TPC Bonus spreadsheet for the years 2005 - 2006.	1.30
10/26/09	Quality review of the aggregation of data into the Master SFIS Commissions spreadsheet for the years 2005 - 2006.	1.80

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## Invoice Activity

10/26/09	Quality review of the aggregation of data into the Master SGC SIBL commissions spreadsheet for the years 2005 - 2006.	2.40	
10/27/09	Analysis of the 2006 quarterly compensation calculations to determine the portion attributable to SIB and Non SIB for Marty Karvelis.	3.20	
10/27/09	Analysis of the 2006 quarterly compensation calculations to determine the portion attributable to SIB and Non SIB for Lori Bensing.	2.10	
10/27/09	Analysis of the 2006 quarterly compensation calculations to determine the portion attributable to SIB and Non SIB for Jason Green.	2.90	
10/27/09	Review of the FA schedule and list of 261 FA relief defendants in order to update the value of the Pershing accounts held for these employees.	2.20	
10/28/09	Analysis of the 2006 quarterly compensation calculations to determine the portion attributable to SIB and Non SIB for Scott Notowich.	3.10	
10/28/09	Analysis of the 2006 quarterly compensation calculations to determine the portion attributable to SIB and Non SIB for Al Trullenque.	3.20	
10/28/09	Analysis of the 2006 quarterly compensation calculations to determine the portion attributable to SIB and Non SIB for Jason Comeaux.	3.00	
10/29/09	Creation of the Employee SIB Proceed report schedule from the Query results for the Employee SIB Proceed analysis.	2.30	
10/29/09	Review of the matching of the payments found in the Quarterly Compensation calculations to the payroll records for Scott Notowich.	0.70	
10/29/09	Review of the matching of the payments found in the Quarterly Compensation calculations to the payroll records for Jason Green.	0.90	
10/29/09	Creation of the Master Summary schedule for the MD Quarterly compensation analysis for 2006.	2.30	
10/29/09	Review of the matching of the payments found in the Quarterly Compensation calculations to the payroll records for Lori Bensing.	0.80	
10/29/09	Quality review of the database queries created to provide the total SIB Commission and TPC bonus by individual for 2005 and 2006.	2.10	
10/30/09	Insertion of the Employee SIB Proceed results into the FA schedule.	2.70	
10/30/09	Insertion of the 2005 through 2006 TPC bonus and SFIS SIB Commission detail into the FA schedule.	1.70	
10/30/09	Review of the insertion of the 2005 through 2006 SGC SIB Commissions in the FA Schedule.	0.90	
10/30/09	Quality review of the updates to the Pershing account values for the Employee SIB proceed schedule and the FA/MD schedule.	1.40	
<b>\$288.00 per hour x total hrs of</b>		<b>178.40</b>	<b>\$51,379.20</b>

### Deepak Chheda

10/07/09	Stage the four evidence items F00734-3-MK1-E108, F00734-3-MK1-E131, F00734-3-JDs-E049, and F00734-3-JD2-E064 to TechLab bridge for NFP processing.	1.10	
10/08/09	Filter the exchange emails for FT. Lauderdale employees for date ranges between 01/01/2007 and 12/31/2008; perform the documentation and ship the data via FedEx.	4.10	
<b>\$280.00 per hour x total hrs of</b>		<b>5.20</b>	<b>\$1,456.00</b>

**Patrick Beeman**

10/01/09	Tag Lookup Table (KVT4 Additional Information Review and QC)	2.20
10/01/09	Review and QC of folders for information provided by applicants for periods not included in our current electronic data and incorporate additional information into this analysis (KVT4 Database Design and Updates)	3.80
10/01/09	Draft version of KVT-4 Proceeds and Reinvestment Calculation Report / KVT-4 Loan question	2.30
10/01/09	KVT-4 Proceeds and Reinvestment Calculation Report (v3) & KVT-4 Group-ids with transfers outside of the group	2.20
10/01/09	Review and QC of folders for information provided by applicants for periods not included in our current electronic data and incorporate additional information into this analysis (KVT4 Database Design and Updates)	3.20
10/02/09	KVT4 Reporting with Manual Adjustments	1.20
10/02/09	Draft version of KVT-4 QC (Proceeds and Reinvestment Calculation Report)	3.10
10/02/09	Group 308 Change - KVT-4 Proceeds and Reinvestment Calculation Report (v3) & KVT-4 Group-ids with transfers outside of the group	2.20
10/02/09	KVT4 Updated w/ Footnotes for GroupID Transfers (Group Breakouts)	1.80
10/05/09	Call with Kevin Blake to discuss KVT4, KVT5 & KVT6 updates	0.60
10/06/09	KVT5 Stipulation Review (Groups 5, 7, 17, 22, 24, 25, 27, 29, 31, 34, 37, 38, and 40)	2.70
10/06/09	KVT5 Grouping Changes (Database Update Group 2002, 2030 and 2032)	0.60
10/06/09	Account Group Update Group 1033 (AGNETA LAURIN, HANS LAURIN ANDAGNETA LAURIN, HANS LAURIN & Accounts 136984 & 136983)	1.10
10/06/09	Stanford Updated Call with Ebony Team	0.60
10/06/09	Group 2022 and Group 2023 transaction detail review for KVT5 (Review and QC of email information provided by applicants for periods not included in our current electronic data and incorporate additional information into this analysis)	2.90
10/06/09	Goeckel SEI Information two Goeckel accounts we discussed STSGC20058 and STSCG40047 and Potential Manual Adjustments	0.90
10/07/09	Account Updated for Group ID 1015	0.80
10/07/09	QC updated versions results of KVT4, KVT5 and KVT-6 (groupings, footnotes & proceeds)	3.20
10/07/09	SQL Table Account Update (Groups - 2002, 2030 and 2032)	1.30
10/08/09	Employee SIB Proceed Analysis (Mark Russel)	1.60
10/08/09	Net Winners/Losers Query (Scott Sizemore)	1.40
10/08/09	KVT4 v.16 Updates and QC for Global changes	2.30
10/09/09	Net Winners/Losers Query (Scott Sizemore)	2.10
10/12/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	3.80
10/12/09	Calculating Express Reinvestments where Express Proceeds can reinvest into Express deposits (and CD Proceeds can reinvest into CD deposits)	2.10
10/12/09	Calculating Express Reinvestments where Express Proceeds are transferred into a CD, the reinvested Express Proceeds are backed back out into regular Express Proceeds and that amount becomes CD funds independent of the CD reinvestment calculation	2.10

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10/12/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	2.10
10/13/09	Reporting on Withdrawals For Examiner Response (Analysis and QC)	1.10
10/13/09	Express Proceed Analysis Methods (Express and CD account reinvestments are considered separately or all SIB account types are treated the same)	2.70
10/13/09	Call with Baker Botts <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.10
10/13/09	Positive Penalty Issues (QC of Tagging and Money In Analysis for Net Winners/Losers)	2.20
10/13/09	Net Winner / Loser results with CD In and Express In (Examples for Baker Botts Review)	3.20
10/14/09	Code to Convert Transactions (SQL Script)	2.10
10/14/09	Reporting on Withdrawals For Examiner Response (Analysis and QC)	3.20
10/14/09	Reporting on Withdrawals For Examiner Response (Analysis and QC)	2.40
10/14/09	SIB Key Search Terms and Reporting (Bonus, Expense and Salary Script)	2.70
10/15/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	3.20
10/15/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	2.80
10/15/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	3.60
10/16/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	3.70
10/16/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	2.10
10/16/09	Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (form setup & SQL queries along with data review)	2.90
10/19/09	QC of Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (SQL queries used in data review)	3.80
10/19/09	QC of Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (SQL queries used in data review)	3.70
10/19/09	QC of Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (SQL queries used in data review)	3.30
10/20/09	QC of Employee Review for SIBL Accounts, Groupings and Transfers between groups, Proceeds (SQL queries used in data review)	3.90
10/20/09	KVT-Employee Error Trapping Queries (ignore flagging, transfers between groups, additional global QC checks)	3.20
10/20/09	KVT-Employee Error Trapping Queries (ignore flagging, transfers between groups, additional global QC checks)	2.70
10/21/09	KVT-Employee Global QC Checks (SQL Queries and Analysis for all KVT-Employee groups)	2.90

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10/21/09	KVT-Employee One-sided Transfers (QC Transfers in SQL Tables not contained in (FTITools \SSizemore).PosPhantomTrnsfrTrx\$, (FTITools\SSizemore). PhantomTrnsfrTrx\$, (FTITools\SSizemore). ILCDAAdditionalTrx)	3.70	
10/21/09	Account Groupings for KVT-Employee vs. KVT-4 (KVT-Employee database groups 3007, 3094 and 3259 were also associated with KVT-4 groups 551, 416 and 221, respectively)	3.20	
10/22/09	KVT-Employee Global QC Checks (SQL Queries and Analysis for all KVT-Employee groups)	3.80	
10/22/09	KVT-Employee Global QC Checks (SQL Queries and Analysis for all KVT-Employee groups)	3.30	
10/23/09	KVT-Employee Global QC Checks (SQL Queries and Analysis for all KVT-Employee groups)	3.80	
10/26/09	KVT-6 Database Creation (Next Top 50 for Review and Potential Addition to KVT-6)	2.60	
10/26/09	KVT-Employee Global QC Checks (Duplicate Case Statement)	2.10	
10/26/09	KVT-Employee Global QC Checks (SQL Queries and Analysis for all KVT-Employee groups)	2.60	
10/26/09	General Claims Review and Basic De-Duplication (requested by Craig Adams at Baker Botts)	1.90	
10/27/09	KVT-6 Database Creation (Next Top 50 for Review and Potential Addition to KVT-6)	2.30	
10/27/09	Suspicious Activity (Query Planning and Status Call)	1.70	
10/27/09	KVT-Employee Global QC Checks (Duplicate Case Statement Review & Tagging Adjustments)	3.10	
10/27/09	General Claims Review and Basic De-Duplication (requested by Craig Adams at Baker Botts)	2.30	
10/28/09	Libyan Foreign Investment Co (KVT-6 Group 2) search for 1) total investment 2) withdrawals and 3) amount in SIBL	2.10	
10/28/09	KVT-Employee Query Results (CD Proceeds, Express Analysis and Loans)	2.80	
10/28/09	MEDIA INQUIRY for Electronic Power Associations (EPA) within Mississippi (Research in CMSI)	1.40	
10/28/09	Claim Search for claim d6f8a0f822c8570e05554 1b68a22332d (Roland Timmerman, additional information <span style="border: 1px solid red; padding: 2px;">REDACTED</span> )	3.80	
10/29/09	KVT6-2 Account Groupings QC (Account Review, Legal Name, Mailing Name and Address Matching Review)	3.70	
10/29/09	Email Support for KVT-4 (7/27/2009) - Email Review for Adjustments	1.60	
10/29/09	Current Employee Loan Model QC (Positive Interest Amount QC)	1.90	
10/29/09	KVT-Employee Report QC (CD Proceeds, Express Analysis and Loans)	2.20	
10/30/09	KVT6-2 Account Groupings QC (Account Review, Legal Name, Mailing Name and Address Matching Review)	2.20	
10/30/09	Review of KVT6-2 Groups 4020 and 4023 (transfer review between groups)	3.20	
10/30/09	Claims Mater DeDuped Workbook - Review & QC Pre-Email Groups	2.40	
	\$280.00 per hour x total hrs of	180.40	\$50,512.00

**Daniel Palmer**

10/01/09	Correspondence with J. Day (FTI) re: 10/1 cash position report.	0.80
10/01/09	Correspondence with R. Hernandez (Stanford) re: AP for the week ending 10/9.	1.10
10/01/09	Initiate disbursement to satisfy Florida real estate tax obligation.	1.20

## *Invoice Activity*

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10/01/09	Reconcile 10/1 cash report which outlines cash activity to date.	1.10
10/01/09	Review/Analyze 10/1 cash activity.	1.80
10/02/09	Correspondence with J. Day (FTI) re: cash activity on 10/2 and receipt of Havell wire.	1.20
10/02/09	Review correspondence regarding Havell wire transfers.	1.10
10/02/09	Correspondence with J. Day (FTI) re: receipt of GPF Commissions.	0.40
10/02/09	Review/Analyze cash activity for 10/2	1.30
10/05/09	Develop and analyze 10/5 cash report, outlining prior days' cash activity.	2.10
10/05/09	Continue to review invoices for 10/07 disbursement date.	1.50
10/05/09	Review invoices to be paid for week ended 10/9.	1.90
10/05/09	Develop worksheet detailing invoices to be paid for week ending 10/9.	2.10
10/06/09	Update 10-07 AP list for additional invoices received.	1.80
10/06/09	Update master wire listing to include 10/07 wires.	1.20
10/06/09	Review checks to be disbursed on 10-07 for completeness and accuracy.	1.30
10/06/09	Working session with M. Bader and S. Pastrana (Stanford) re: 10-07 disbursements.	2.10
10/06/09	Review complete disbursement listing for recurring payments.	0.90
10/06/09	Input wires for 10-07 disbursement date.	1.90
10/07/09	Correspondence with A. Baron (Stanford) re: payment of current week's St. Croix utility bills.	0.80
10/07/09	Review cash activity for JPMorgan accounts to confirm receipt of incoming wires.	1.00
10/07/09	Review 10/7 wires for confirmation of release.	0.80
10/07/09	Working session with M. Bader and S. Pastrana (Stanford) re: disbursements of outgoing wires.	1.80
10/07/09	Participate in conference with counsel and Stanford re: real estate course of action.	2.10
10/07/09	Begin developing worksheet to identify regular, recurring payments in order to keep current on critical payables.	2.50
10/08/09	Complete 10/8 cash report.	1.80
10/08/09	Working session with M. Bader and S. Pastrana re: 10/8 cash report outlining prior days' cash activity.	1.90
10/08/09	Continue to develop worksheet to identify regular, recurring payments in order to keep current on critical payables.	2.50
10/09/09	Review JPMorgan account activity to confirm receipt of incoming wires.	1.40
10/09/09	Complete 10/9 cash report outlining prior days' cash activity.	1.80
10/12/09	Develop and analyze 10/12 cash report, outlining prior days' cash activity.	2.00
10/12/09	Review invoices to be paid for the week ended 10/16.	2.30
10/12/09	Develop worksheet detailing invoices to be paid for week ending 10/16.	1.90
10/12/09	Continue to review invoices for 10/14 disbursement date.	1.80
10/13/09	Update master wire listing to include 10/14 wires.	1.00
10/13/09	Update 10-14 AP list for additional invoices received.	2.10
10/13/09	Management meeting with J. Day and Stanford employees re: updates to receivership situation.	1.20
10/13/09	Review checks to be disbursed on 10-14 for completeness and accuracy.	1.50
10/13/09	Input and processing of wires to be released on 10/14	1.70
10/13/09	Working session with S. Pastrana (Stanford) re: input of wires for disbursement.	1.90

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10/14/09	Working session with M. Bader and S. Pastrana (Stanford) re: development of cash report for 10/14.	2.50
10/14/09	Review 10/7 wires for confirmation of release.	0.80
10/14/09	Correspondence with A. Baron (Stanford) re: payment of current week's St. Croix utility bills.	0.80
10/14/09	Review cash activity for JPMorgan accounts to confirm disbursements and receipts.	1.00
10/14/09	Continue working session with S. Pastrana and M. Bader (Stanford) re: development of daily cash reports.	1.60
10/14/09	Resolve wire clearing issue with JPMorgan relating to attempted payments of two invoices.	1.80
10/14/09	Prepare intercompany wire transfers to fund interest bearing account.	1.40
10/15/09	Develop Matrix for Virgin Island recurring payments to ensure timely payments.	1.70
10/15/09	Call with J. Day, A. Poplis, and P. Beeman (FTI) regarding status of filed claims.	0.40
10/15/09	Inquiry to JPMorgan regarding status of payroll checks.	0.80
10/15/09	Review and tie-out 10-15 cash report.	1.80
10/15/09	Working session with S. Pastrana and M. Bader regarding 10-15 cash report and method of incorporating weekly disbursements to cash reports.	2.50
10/16/09	Review and reconciliation of 10/16 cash report	1.00
10/16/09	Begin reconciliation of claims database to Company's A/P output.	2.30
10/19/09	Develop and analyze 10/19 cash report, outlining prior days' cash activity.	1.80
10/19/09	Continue to review invoices for 10/21 disbursement date.	2.10
10/19/09	Develop worksheet detailing invoices to be paid for week ending 10/23.	1.60
10/19/09	Review invoices to be paid for week ended 10/21.	2.30
10/20/09	Develop matrix to be used in St. Croix outlining payments to be made each week.	1.40
10/20/09	Call with JPMorgan about status of check which had been stopped.	0.90
10/20/09	Review and reconciliation of 10/20 daily cash report	1.10
10/20/09	Review checks to be disbursed on 10-21 for completeness and accuracy.	1.30
10/20/09	Management meeting with J. Day and Stanford employees re: updates to receivership situation.	1.20
10/20/09	Input wires for 10-21 disbursement date.	1.20
10/20/09	Update 10-21 AP list for additional invoices received.	1.90
10/20/09	Update master wire listing to include 10/21 wires.	1.00
10/21/09	Review 10/21 wires for confirmation of release.	0.80
10/21/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	2.30
10/21/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	2.50
10/21/09	Meeting with J. Day (FTI) and Stanford management team re: claims reconciliation process.	1.00
10/21/09	Review and reconciliation of 10/21 cash report	1.10
10/21/09	Review and reconciliation of 10/21 cash report and related daily cash activity	0.80
10/21/09	Review outstanding payables list for pre vs. post receivership liabilities.	1.70
10/22/09	Working session with J. Day (FTI) and Stanford management team re: claims reconciliation status and overall receivership updates.	1.00
10/22/09	Review and reconciliation of 10/22 cash report	1.30

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## Invoice Activity

10/22/09	Develop list of reconciled claims to provide to K. Jackson (Stanford) to pull invoices for review and documentation.	1.70	
10/22/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	1.90	
10/22/09	Review updated post-receivership payables list and incorporate into claims reconciliation process.	1.50	
10/23/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	1.60	
10/23/09	Review and reconciliation of 10/23 daily cash report	0.80	
10/23/09	Review JPMorgan accounts for incoming wires per request by J. Day (FTI).	1.70	
10/26/09	Review invoices to be paid on 10/28.	2.50	
10/26/09	Review and reconciliation of 10/26 cash report	0.80	
10/26/09	Develop worksheet detailing invoices to be paid for week ending 10/30.	2.20	
10/26/09	Continue to review invoices for 10/28 disbursement date.	1.50	
10/27/09	Continue vendor claims reconciliation process - include October filed claims	1.70	
10/27/09	Update master wire listing to include 10/28 wires.	0.70	
10/27/09	Update 10-28 AP list for additional invoices received.	1.40	
10/27/09	Research yacht operating expenses for use in court document per J. Day (FTI).	2.50	
10/27/09	Management meeting with F. Fram, R. Davis, and K. Jackson (Stanford) re: receivership updates and corporation status for entities.	1.40	
10/27/09	Continue vendor claims reconciliation process - reconcile claims to outstanding AP list.	2.50	
10/27/09	Research Stanford payments to Kroll & Associates per request from J. Day (FTI).	0.80	
10/27/09	Review and reconciliation of 10/27 daily cash report	0.80	
10/27/09	Review checks to be disbursed on 10-28 for completeness and accuracy.	1.30	
10/27/09	Input wires for 10-28 disbursement date.	0.80	
10/28/09	Review 10/28 wires for confirmation of release.	0.80	
10/28/09	Review master critical payments list for recurring payments and inquire to M. Bader (Stanford) re: possible past due amounts.	1.80	
10/28/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	2.50	
10/28/09	Review and reconciliation of 10/28 daily cash report	1.10	
10/28/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	2.50	
10/29/09	Review 10/26 claims pull to confirm and classify additional claims.	1.40	
10/29/09	Review JPMorgan cash activity for confirmation of receipt of incoming wires.	1.20	
10/29/09	Develop 10/29 cash report for prior day's cash activity and distribute to Stanford management.	2.20	
10/30/09	Develop list of additional reconciled claims and distribute to K. Jackson (Stanford) for invoice pull	2.10	
10/30/09	Correct cash reports and master critical payments list for overstatement of disbursements and receipts caused by returned wires.	2.40	
10/30/09	Develop 10/30 cash report outlining prior day's cash activity.	0.80	
10/30/09	Correspondence with J. Day (FTI) re: receipt of 2 large wires.	0.70	
	\$268.00 per hour x total hrs of	162.90	\$43,657.20

Anna Campbell

10/22/09	Keyword, date and attachment search of email for A. Rincon on request of FLC group.	0.60	
10/23/09	Continue keyword, date and attachment search of email for A. Rincon on request of FLC group.	1.10	
		\$264.00 per hour x total hrs of	1.70 \$448.80

**Kathleen Prichard**

10/01/09	Compilation of current SGC, STC, and JPM net worth values for updated KVT-4 schedule. Evaluation of accounts released since schedule was last produced.	0.70
10/01/09	Analysis of effect of splitting (1) David Hinojosa and Laura Andonegui Gonzalez and (2) Fetzer Overseas Limited and Laura Gonzalez de Andonegui , Inmobiliaria EAL, Laura Gonzalez de Andonegui, and Laura Gonzalez de Andonegui and Laura Andonegui Gonzalez	1.80
10/01/09	Implementation of updated held account values for updated KVT-4 schedule.	0.60
10/01/09	Group splitting for (1) David Hinojosa and Laura Andonegui Gonzalez and (2) Fetzer Overseas Limited and Laura Gonzalez de Andonegui , Inmobiliaria EAL, Laura Gonzalez de Andonegui, and Laura Gonzalez de Andonegui and Laura Andonegui Gonzalez within the database.	0.90
10/01/09	Search for past documentation regarding the relationship between Flex Ltd. and Nadi Holdings.	1.00
10/01/09	Group splitting for (1) Franklin Stansel and (2) Vineta/Howard Stansel within the database and on the updated schedule.	0.40
10/01/09	Group splitting for (1) Mancuso and (2) Lydia O Lemoine within the database and on the updated schedule.	0.40
10/01/09	Group splitting for (1) Cranston and (2) Kelso within the database and on the updated schedule.	0.30
10/01/09	Download of current SGC net worth values for updated KVT-4 schedule.	0.40
10/01/09	Reconciliation of group 554a (FETZER OVERSEAS LIMITED AND LAURA GONZALEZ DE ANDONEGUI AND INMOBILIARIA EAL) original KVT-4 amount to the new KVT-4 amount.	0.20
10/01/09	Data compilation by quarter of client-provided FA SIBL CD sale "scorecards".	1.90
10/01/09	Reconciliation of group 554 (DAVID HINOJOSA AND LAURA ANDONEGUI GONZALEZ) original KVT-4 amount to the new KVT-4 amount.	0.20
10/01/09	Analysis of additional account to be held for group 141 (Antinori).	0.20
10/01/09	Reconciliation of group 498 (WILLIAM A. MANCUSO AND JEAN G. MANCUSO) original KVT-4 amount to the new KVT-4 amount.	0.20
10/01/09	Reconciliation of group 498a (JEAN G. MANCUSO AND LYDIA O. LEMOINE) original KVT-4 amount to the new KVT-4 amount.	0.20
10/02/09	Comparison of named FAs to FAs who have undergone analysis due to a submitted application.	0.90
10/02/09	Team setup, including Sharepoint List creation and procedure explanation, for FA SIB activity analysis	0.90
10/02/09	Compilation of current SGC, STC, and JPM net worth values for updated KVT-4 schedule. Evaluation of accounts released since schedule was last produced.	0.20
10/02/09	Analysis of FA SIB activity existence using [REDACTED] and Data Pro data	1.90

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10/05/09	Organization and assignment explanation for KVT-5 emailed attachment analysis.	0.80
10/05/09	Analysis of supplemental documentation for additional transaction data for Gregory Alan Maddox, named on KVT-5.	0.30
10/05/09	Analysis of supplemental documentation for additional transaction data for John R. Painter, named on KVT-5.	0.30
10/05/09	Analysis of supplemental documentation for additional transaction data for CHRISTOPHER ALLRED, named on KVT-5.	0.30
10/05/09	Team phone call regarding current project workstreams and priorities.	0.40
10/05/09	Client phone call regarding exhibit materials for Jesus Hernandez, a SIB customer.	0.40
10/05/09	Initial folder review for supplemental documentation for individuals named on KVT-5.	1.30
10/05/09	Analysis of supplemental documentation for additional transaction data for Justine H. Smith, named on KVT-5.	0.30
10/05/09	Analysis of supplemental documentation for additional transaction data for EDUARDO IGARTUA RUILOBA AND LA ESTANCIA C.C., named on KVT-5.	0.30
10/05/09	Analysis of supplemental documentation for additional transaction data for Thomas Moran, named on KVT-5.	1.20
10/05/09	Analysis of supplemental documentation for additional transaction data for CHRISTOPHER ALLRED, named on KVT-5.	0.30
10/05/09	Analysis of supplemental documentation for additional transaction data for CHRISTOPHER ALLRED, named on KVT-5.	0.30
10/05/09	Analysis of supplemental documentation for additional transaction data for CHRISTOPHER ALLRED, named on KVT-5.	0.30
10/06/09	Analysis of employee accounts held (Financial Advisors, Senior Management, and Directors/Officers) that have been reviewed for SIB activity, have SIB accounts, or do not have SIB accounts.	1.10
10/06/09	Documentation of client "Identities Request" for Jesus Alcocer, Jesus Hernandez, Jesus Hernandez Alcocer, Adelina Federico, Adelina Balderrama, Adelina Balderrama Federico, Rosa Maribel Oyervides including query and result documentation.	1.90
10/06/09	Updated account status reporting and updating of customer release database.	0.90
10/06/09	Analysis of new principal/interest amounts for individuals named on KVT-5 to signed principal/interest amounts.	1.20
10/06/09	Quality control check of supplemental transaction data for JON KARL GOECKEL AND LORETTA B. GOECKEL, named on KVT-5.	0.40
10/06/09	Analysis of new proceeds amounts for individuals named on KVT-5 to signed stipulation amounts.	1.80
10/06/09	Quality control check of supplemental transaction data for Thomas Moran, named on KVT-5.	0.60
10/06/09	Quality control check of supplemental transaction data for SUSAN CREEKMORE HEIM, named on KVT-5.	0.60
10/07/09	Updated account status reporting and updating of customer release database.	0.30
10/07/09	Creation of updated KVT-5 schedule implementing new CD principal/interest query results, manual adjustments, and amount funded to Receiver's escrow account.	1.90
10/07/09	Analysis of transactions date versus statement date for corresponding address data for Rosa Oyervides .	0.70
10/07/09	Quality control check of Crystal Report for Proceeds and Reinvestment Calculations	0.30

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10/07/09	Updates to KVT-6 schedule to implement footnotes explaining outside transfers.	1.10
10/07/09	Work plan production for SIB analysis of Stanford Employees with held accounts	1.80
10/07/09	Discussion with Stanford IT regarding the location of A.J. Rincon's laptop images. A.J. Rincon tracked Stanford FA commissions data in 2005 and 2006.	0.40
10/07/09	Creation of updated KVT-6 schedule implementing new CD principal/interest query results, manual adjustments, and amount included on the original KVT-6 schedule filed 7/28.	1.90
10/08/09	Updates to KVT-5 and KVT-6 schedules to implement client-requested cosmetic changes.	0.50
10/08/09	Employee SIB analysis review form initial construction.	1.50
10/08/09	Organization of consolidated SIB data and quality control check for employee SIB review database use.	1.30
10/08/09	Analysis of available customer SIB data including legal name, mailing name, and addresses for database use.	1.80
10/08/09	Analysis of 261 FAs involved in lawsuit compared to 180 employees with held Pershing accounts to quantify budget projections.	0.70
10/08/09	Analysis of available transactional SIB data and transactional Pershing wire data for database use.	1.80
10/09/09	Employee SIB analysis review form VBA script writing to query SIB transactional results.	1.90
10/09/09	Employee SIB analysis review form query analysis for sub-form SIB transactional results.	1.90
10/09/09	Employee held Pershing account analysis.	0.70
10/12/09	Creation of guidelines to follow when tracking transfers from employee SIB account to outside account.	1.10
10/12/09	Analysis of 180 employees with held Pershing accounts versus 97 employees without held Pershing accounts for analysis prioritization.	0.40
10/12/09	Initial explanation of Employee SIB analysis process; assignment of tasks	1.90
10/12/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for ARTURO RODRIGUEZ DIAZ.	0.40
10/12/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for ALVARO TRULLENQUE.	0.40
10/12/09	Creation of user-friendly SQL query to be used for employees SIB analysis.	1.20
10/12/09	Analysis of available customer SIB data including legal name, mailing name, and addresses for database use.	1.80
10/12/09	Organization of consolidated SIB data and quality control check for employee SIB review database use.	1.30
10/12/09	VBA code rewrite to allow search term results to appear data view in employee SIB analysis database.	1.30
10/12/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for ANDREA FREEDMAN.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for CHARLES J VOLLMER.	0.40
10/13/09	Consolidation of accounts belonging to related parties Ana Dongiglio & Ignacio Felice.	0.50
10/13/09	Identification of SIB loan and ILCD accounts of Stanford employees.	0.70

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10/13/09	Creation of queries to transfer data collected in employee SIB database to employee tagging database to perform transactional analysis for employees with SIB accounts.	1.20
10/13/09	Creation of tagging database to perform transactional analysis for employees with SIB accounts.	1.20
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for EDDIE T ROLLINS.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for AUDREY KRODEL TRUMAN.	0.50
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for JULIAN BRADHAM JR.	0.40
10/13/09	Employee database maintenance including compacting, repairing and deletion of extraneous tables and queries.	0.70
10/13/09	Meeting to discuss Employee SIB analysis transaction tagging process; assignment of tasks	0.50
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for JON NEE.	0.50
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for LAWRENCE J MESSINA.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for JOHN MARK HOLLIDAY.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for CLAUDIA VICTORIA MARTINEZ.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for MARIA C VILLANUEVA.	0.30
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for DAVID WHITTEMORE.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for CHRISTOPHER R PRINDLE.	0.30
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for ROBERT O LENOIR.	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for JAMES K FONTENOT.	0.50
10/14/09	Identification of employee SIB accounts that are identified as loan accounts	0.80
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Rocky Roys.	0.50
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Tatiana Saldivia.	0.30
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Alfonso Ortega.	0.60
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Rochelle Sidney.	0.40
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Walter Orejuela.	0.40
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Alberto Montero.	0.30
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Aymeric Martinoia.	0.50
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Martine Hernandez.	0.40
10/14/09	Employee database maintenance including compacting, repairing and deletion of extraneous tables and queries.	0.70

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10/14/09	Uploading of employee SIB accounts and pre-tagging of transactions.	0.60
10/14/09	Identification of employee groups with complete SIB account uploads to assign for transaction tagging.	0.60
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Nancy Soto.	0.50
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Humberto Lepage.	0.50
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Nelson Ramirez.	0.40
10/15/09	Transaction tagging for classification of transaction type for employee Nancy Soto.	0.90
10/15/09	Transaction tagging for classification of transaction type for employee Peter Siragna.	1.20
10/15/09	Uploading of SIB account numbers matched to employees to database for tagging.	0.80
10/15/09	Transaction tagging for classification of transaction type for employee William O. Stone Jr.	1.10
10/15/09	Identification of employee SIB accounts that are identified as loan accounts	0.80
10/16/09	Transaction tagging for classification of transaction type for employee THE RIFFLE 1999 CHILDREN'S TRUST.	0.60
10/16/09	Transaction tagging for classification of transaction type for employee MATTHEW R DREWS REV TRUST.	0.90
10/16/09	Transaction tagging for classification of transaction type for employee TIMOTHY L BAMBAUER.	0.60
10/16/09	Transaction tagging for classification of transaction type for employee STEPHEN M GREENHAW.	0.40
10/16/09	Transaction tagging for classification of transaction type for employee ROGER L FULLER.	0.90
10/16/09	Transaction tagging for classification of transaction type for employee Aymeric Martinoia.	0.80
10/16/09	Transaction tagging for classification of transaction type for employee Marcos Iturriza.	0.80
10/16/09	Transaction tagging for classification of transaction type for employee Juan Carlos Gonzalez.	0.70
10/16/09	Transaction tagging for classification of transaction type for employee David Haggard.	1.10
10/16/09	Transaction tagging for classification of transaction type for employee GREGORY GIBSON.	0.40
10/19/09	Analysis of all Pershing wires from SIB for accounts held for Stanford employees.	1.20
10/19/09	Concatenate of all accounts held for Stanford employees by GroupID	0.40
10/19/09	Quality control check of all SIB transaction tags for Stanford employee Nicolas Valera.	1.20
10/19/09	Quality control check of all SIB transfer IDs for Stanford employee Maria Manerba.	1.50
10/19/09	SGC/JPM held account identification for employees.	1.20
10/19/09	Normalization of SIB legal and mailing names for Stanford employees	1.90
10/19/09	Quality control check of all SIB transaction tags for Stanford employee Maria Manerba.	1.20
10/20/09	Review of SGC payroll commissions for 11/15/2005	2.30
10/20/09	Review of SGC payroll commissions for 6/15/2005	1.90
10/20/09	Review of SGC payroll commissions for 5/30/2006	0.70

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10/20/09	Review of SGC payroll commissions for 8/31/2006	0.40
10/20/09	Updated account status reporting and updating of customer release database.	0.30
10/20/09	Quality control check of all SIB transaction tags for Stanford employee Humberto Lepage.	0.50
10/20/09	Quality control check of all SIB transfer IDs for Stanford employee Humberto Lepage.	1.50
10/20/09	Quality control check of all SIB transfer IDs for Stanford employee Maria Manerba.	1.90
10/21/09	Quality control review of SGC payroll commissions for 4/15/2006	0.60
10/21/09	Table conversion from MS Access to MS SQL for Employee SIB account analysis query	0.20
10/21/09	Quality control review of SGC payroll commissions for 1/15/2006	0.60
10/21/09	Quality control review of SGC payroll commissions for 3/31/2005	0.60
10/21/09	Quality control review of SGC payroll commissions for 4/15/2005	0.60
10/21/09	Quality control review of SGC payroll commissions for 4/30/2005	0.60
10/21/09	Quality control review of SGC payroll commissions for 9/15/2005	0.60
10/21/09	Quality control review of SGC payroll commissions for 9/30/2005	0.60
10/21/09	Quality control review of SGC payroll commissions for 5/15/2006	0.60
10/21/09	Quality control review of SGC payroll commissions for 10/31/2005	0.60
10/21/09	Analysis of relationship between Miriam Bimstein Dantus and Labtec, linked together on KVT-4	0.20
10/21/09	Quality control review of SGC payroll commissions for 4/30/2006	0.60
10/21/09	Quality control review of SGC payroll commissions for 3/15/2005	0.60
10/21/09	Creation of table to house transactions related to employees for SIB proceed query analysis	0.70
10/21/09	Quality control review of SGC payroll commissions for 10/15/2005	0.60
10/22/09	KVT-4 Database maintenance including query clean-up, table verification, and declaration verification for production.	1.90
10/22/09	Quality control review of SFIS payroll commissions for 1/15/2005	0.60
10/22/09	Quality control review of SFIS payroll commissions for 2/15/2005	0.60
10/22/09	Quality control review of SFIS payroll commissions for 12/15/2005	0.60
10/22/09	Creation of table to house transactions related to employees for SIB proceed query analysis	0.30
10/22/09	Table conversion from MS Access to MS SQL for Employee SIB account analysis query	0.40
10/22/09	Quality control review of SFIS payroll commissions for 3/31/06	0.30
10/22/09	Quality control review of SFIS payroll commissions for 5/30/06	0.30
10/22/09	Quality control review of SFIS payroll commissions for 6/30/06	0.30
10/22/09	Quality control review of SFIS payroll commissions for 8/31/06 (electronic)	0.30
10/22/09	Quality control review of SFIS payroll commissions for 12/15/06 (electronic)	0.30
10/22/09	Updated account status reporting and updating of customer release database.	0.30
10/22/09	Quality control check of TPC bonus information for 2005 and 2006.	0.90
10/22/09	Quality control review of SFIS payroll commissions for 11/15/06 (electronic)	0.30
10/22/09	Quality control review of SFIS payroll commissions for 1/31/2005	0.60
10/23/09	Incorporation of updated STC, SGC, and JPM held account values into KVT-4 and KVT-4 with Express Proceeds schedules.	1.20
10/23/09	SGC/JPM held account identification for employees.	0.70
10/23/09	Updated KVT-4 Database creation including queries, tables, and declaration verification for production.	1.90

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10/23/09	Incorporation of updated STC, SGC, and JPM held account values into KVT-4 and KVT-4 with Express Proceeds schedules.	1.20
10/23/09	Updated account status reporting and updating of customer release database.	0.30
10/23/09	Table conversion from MS Access to MS SQL for Employee SIB account analysis query	0.40
10/23/09	Concatenate of all accounts held for Stanford employees by GroupID	0.40
10/24/09	Incorporation of updated STC, SGC, and JPM held account values into KVT-4 and KVT-4 with Express Proceeds schedules.	1.50
10/24/09	KVT-4 Database maintenance including query clean-up, table verification, and declaration verification for production.	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 2a (Alcayaga)	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 415 (Rathbone)	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 6 (ATP)	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 131 (Topp)	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 57 (Watts)	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 47 (Dawson)	0.40
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 422 (Hop)	0.40
10/26/09	Production set-up for KVT-4 proceeds amounts filed 7/27.	1.90
10/26/09	Review of Stanford-provided employee listing for Job Name "Financial Advisor" compared to the FTI-produced FA listing.	1.00
10/26/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 38 (Swindell)	0.40
10/26/09	Listing of SIB account names linked to (1) Stanford employees with held Pershing accounts and (2) Stanford FAs involved in a lawsuit for Baker Botts approval.	1.20
10/27/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group JOSEPH R. THIBODEAUX AND SUSAN E. THIBODEAUX	0.40
10/27/09	Analysis of held accounts for FAs compared to FAs involved in Baker Botts lawsuit	0.40
10/28/09	Analysis of Pershing account releases for Magnolia Electric Power per the account release protocol.	1.90
10/28/09	Electronic tracking and management of support for 7/27 KVT-4 proceeds support documents	1.90
10/28/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 163a (Leblanc)	0.40
10/28/09	Follow up with client regarding SIB account names matched to employees.	0.30
10/28/09	Identification of employees and their relations who are listed on KVT-4 for account inclusion on Employee SIB proceeds schedule.	1.20
10/28/09	Updated account status reporting and updating of customer release database.	0.30
10/28/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 221 (Haddad)	1.20
10/28/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 185 (Herro)	0.40

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## *Invoice Activity*

10/28/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 9 (Becker)	0.40	
10/28/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 51 (Cohen)	0.40	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 66 (Leu)	0.40	
10/29/09	Emailed support analysis for CHARLES A. JAMES	0.30	
10/29/09	Emailed support analysis for THOMAS PRITCHARD	0.30	
10/29/09	Emailed support analysis for RAMON MALCA	0.30	
10/29/09	Emailed support analysis for MARIE ROCHELLE SIDNEY MARTINEZ AND DIVO MILAN HADDAD.	0.30	
10/29/09	Updating of Employee SIB proceeds schedule to include accounts held as "\$0 Net Worth.	1.10	
10/29/09	Office location analysis for FAs with commissions or loan in 2005 or 2006 who were added to the FA schedule.	0.40	
10/29/09	Emailed support analysis for DAVID A. RUBIN AND DAWN L. RUBIN	0.30	
10/29/09	Emailed support analysis for CARROLL D. LEU	0.30	
10/29/09	Emailed support analysis for PHILIP M. ZIMMERMAN AND JENNIFER B. ZIMMERMAN	0.30	
10/29/09	Emailed support analysis for SINGAPORE PUNTAMITA PTE., LTD.	0.30	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 301 (Watkins)	0.40	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 29 (Rubin)	0.40	
10/29/09	Emailed support analysis for STICHTING PARTICULIER FONDS EL TRIBUTO	0.30	
10/29/09	QC of 7/27 KVT-4 proceeds support documents including ARWs, email support, stipulations, and other various support.	1.70	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 574 (Colgin)	0.40	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 454 (Provence)	0.40	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 444 (Mosqueda)	0.40	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 308 (Pritchard)	0.40	
10/29/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 302 (Singapore)	0.40	
10/29/09	Emailed support analysis for BETTE JO HEASLIP	0.30	
10/30/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 301 (Watkins)	0.40	
10/30/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 574 (Colgin)	0.40	
10/30/09	QC of 7/27 KVT-4 proceeds support documents including ARWs, email support, stipulations, and other various support.	0.90	
10/30/09	Example preparation of SIB proceeds support production materials for group 131 (Topp) and group 47 (Dawson).	0.90	
10/30/09	Internal discussion call regarding 7/27 KVT-4 support production.	0.50	
10/30/09	Electronic tracking and management of support for 7/27 KVT-4 proceeds support documents	1.90	
<b>\$248.00 per hour x total hrs of</b>		<b>161.90</b>	<b>\$40,151.20</b>

**Michael Kirk**

## *Invoice Activity*

09/14/09	Intake, documentation, duplication, and delivery to Ringtail for review of Michael Bober PST data for Baker Botts review request.	1.10	
	\$248.00 per hour x total hrs of	1.10	\$272.80
<b>Lara Nemerov</b>			
10/06/09	Review Ebony Identities Request Queries and Results from K. Prichard (FTI)	1.00	
10/30/09	Provide analysis of clients by state- ending balances and count of total clients for SVC request	3.00	
	\$232.00 per hour x total hrs of	4.00	\$928.00
<b>Barbara Arellano</b>			
10/01/09	Adjust KVT-5 Calculation of Proceeds Crystal Report	1.50	
10/05/09	Email review of transactional data for KTV-5 clients: Robert Gillikin And Martha Gillikin, Thomas J. Moran, John R. Painter, Dennis J. Ferra And Karen S. Ferra, Daniel Paul Landry And Dianna Lynn Landry, Henry A. Klein, Carlos Felipe Pena ,David Jonathan Drew	5.80	
10/06/09	Email review of transactional data for KTV-5 clients: Martha Aguado De Donnadieu And Emilio Donnadieu Aguado, Verona Belle Spatz, Estate Of Justine H. Smith, Susan Creekmore Heim, Ralph Macdonald, William K. Greiner, Linda Mcspadden Mcneil, Eduardo Igartua Ruiloba And La Estancia C.C.,Bobby G. Wilkerson, George B. Lormand, Jr., Eric R. George, Christopher Allred and Terence Beven And Elizabeth Beven	3.40	
10/07/09	Adjust KVT-5 Calculation of Proceeds Crystal Report	2.80	

10/08/09	Review and consolidate FA commissions and SIBL commissions and bonuses for Stanford employees: Freddy Fiorillo, Abraham Dubrovsky, Al Trullenque, Alfonso Ortega, Alfredo Herraez, Alfredo Jimenez, Ana Dongilio, Ana Tanur, Andrea Berger, Andres Delgado, Arturo Prum, Arturo Rodriguez Diaz, Atlee Gaal, Beatriz Pena, Charles Jantzi, Charles Vollmer, Christopher Thomas, Claudia Vingerhoedt, Claudia Martinez, Daniel Hernandez, Daniel Vitrian, David Haggard, David Nanes, David Taberbero, David Whittemore, Don Cooper, Doug Shaw, Elias Barbar, Elside Prieto, Enrique Cabrera, Eric Gildhorn, Eric Urena, Ernesto Pena, Evely Villalon, Fabio Bramanti, Faran Kassam, Fausto Callava, Fernando Braojos, Francois Lessard, Frans Vingerhoedt, Gary Haindel, Gerardo Meave, Gonzalo Tirado, Gregg Gelber, Henry Mills, Humberto Lepage, Humberto Lopez, Iris Marcovich, Isaac Bar, Jack Gonzalez, Jaime Pons, James Cross, James Fontenot, James Lebaron, Jane Chernovetzky, Janie Martinez, Jason Fair, Jason Green, Jay Comeaux, John Santi, John Schwab, Jon Barrack, Jorge Villasmil, Jr. Stone, Juan Araujo, Juan Carlos Terrazas, Julio Ruelas, Kemal Balcisoy, Leila Pinto, Leon Zaidner, Leonor Ramirez, Louis Schaufele, Luis Felipe Lozano, Luis Giusti, Luis Hermosa, Lupe Northam, Marc Banjan, Maria Eugenia Putz, Maria Teresa Manerba, Maria Villanueva, Mark Groesbeck, Mark Tidwell, Martine Hernandez, Matthew Drews, Mauricio Aviles, Michael Ralby, Michael Word, Miguel Valdez, Monica Ardesi, Monica Novitsky, Nancy Soto, Neal Clement, Neil Emery, Nicolas Valera, Norman Blake, Oscar Correa, Oswaldo Bencomo, Patrick Cruickshank, Peter Montalbano, Peter Siragna, Rafael Carriles, Ramiro Gomez-Rincon, Ray Deragon, Robert Otis Lenoir, Roberto A (Sr) Pena, Roberto A Pena, Roberto R Pena, Roberto Ulloa, Rochelle Sidney, Ronald Clayton,	7.60
10/09/09	Review consolidated officer payroll for 2005 and 2006: Abraham Dubrovsky, Adriana Gentilini, Alejandro Munguia Cortes, Alexandre Braune, Andrea Palma-Gomez, Brian Royal, Carlos Perez, Daniel Hernandez, Elias Barbar, Elside Prieto, Eric Marruffo, Evelyn Vasquez, Fausto Call	6.00
10/12/09	Review of SIBL accounts for Proceeds for Stanford employees: AUDREY KRODEL TRUMAN, AUDREY KRODEL TRUMAN, AUDREY KRODEL TRUMAN, J A BARRACK &, JAMES R ALGUIRE, JAMES R ALGUIRE, MICHAEL BOBER, MICHAEL BOBER, ROGER L FULLER, ROGER L FULLER, SANTOS W RICARDO, PETER MONTALBANO, SARAMINTA PEREZ, SEP FBO JAMES K FONTENOT, THE RIFFLE 1999 CHILDREN'S TRUST, TIMOTHY A VANDERVER III, and TIMOTHY L BAMBAUER	11.30
10/13/09	Review of SIBL accounts for Proceeds for Stanford employees: John Fry, Atlee Gaal, Juan Carlos Gonzalez, Vivian Guarch, David Haggard, Jon Hanna, Daniel Hernandez, Martine Hernandez, Patrica Herr, Steven Hoffman, Leonor Ramirez, Nelson Ramirez, Tatiana Saldivia, Nicolas Valera, Jaime Vargas, Pete Vargas, and Mario Vieira.	10.90
10/14/09	KVT reconcilliation analysis of transactional data for the following Stanford employee, Evely Villalon	2.50
10/14/09	KVT reconcilliation analysis of transactional data for the following Stanford employees: CHARLES J VOLLMER, CHRISTOPHER R PRINDLE, DONAL BAHRENBURG and HENRY J MILLS	6.70

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10/15/09	KVT reconcillation analysis of transactional data for the following Stanford employees: NANCY BROWNLEE RLVR , SARAMINTA PEREZ , Nicolas Valera and Jaime Vargas	9.20
10/16/09	KVT reconcillation analysis of transactional data for the following Stanford employees: ANDREA FREEDMAN and ARTURO RODRIGUEZ DIAZ	4.60
10/19/09	SIBL analysis of CD transfers that occurred on 2/16/2009 : Deposits into a CD account.	2.30
10/19/09	Consolidation of ADP FA names to the current FA master list.	1.30
10/19/09	SIBL analysis of CD transfers that occurred on 2/16/2009 : Transfers from an express account into a CD account.	2.20
10/19/09	Create Access database form for TD Cash Tracing analysis: Create tables to be used for forms.	4.50
10/20/09	SIBL analysis of CD transfers that occurred on 2/16/2009 : Transfers from an express account into a CD account.	3.50
10/20/09	Create Access database form for TD Cash Tracing analysis: Create form and link tables to form.	4.20
10/20/09	Identify incoming TD wires not matched by the TD Cash Tracing process.	1.30
10/21/09	SIBL analysis of CD transfers that occurred on 2/16/2009 : Deposits into a CD account.	2.00
10/21/09	Complete consolidation of 12/06 Payroll commissions amounts to ADP.	2.30
10/21/09	Complete consolidation of 11/06 Payroll commissions amounts to ADP.	2.40
10/21/09	Reconciliation of SGC commission payment types for 4/06, 11/05 and 12/06	3.20
10/22/09	Consolidation of TPC FA names against master FA name listing.	1.70
10/22/09	Reconciliation of ADP FA Payroll payments to TPC payments for 4/06, 11/06 and 12/06 to check list document.	3.50
10/22/09	Records payment types received by Fa's for the following periods : 11/05, 12/06 and 4/06.	1.80
10/22/09	Complete consolidation of SGC 4/06 Payroll commissions to ADP.	2.00
10/23/09	Reconciliation of FA names (extracted from SFIS, SGC and TPC) and the master Financial Advisor name list.	2.20
10/23/09	Reconciliation of Financial advisors extracted from the following sources: SFIS, SGC and TPC.	1.60
10/23/09	Consolidation of FA commissions for the following periods : 11/05, 12/06 and 4/06.	2.10
10/26/09	SIBL account QC - review of possible duplicate accounts in look up table.	2.00
10/26/09	Create Access database form for TD Cash Tracing analysis: Manual review of matches to SIBL.	2.80
10/26/09	Production: ARW support and proceeds reconcillation to KVT-4	3.90
10/27/09	FA analysis : November 06 payment types.	3.00
10/27/09	Compilation of master FA payment type spreadsheet.	2.20
10/27/09	Create TD Cash tracing database - create additional lookup tables to database for form.	1.80
10/27/09	FA analysis : December 06 payment types.	1.70
10/28/09	TD Cash tracing database clean up - Quality check matches to SIBL, cleaned data in fields manually test form for errors.	3.80
10/28/09	CMSI research of Magnolia accounts: related accounts, CD activity, proceeds amount, arw search.	1.70
10/28/09	SIBL/[REDACTED]/SEI research of Magnolia accounts: CD activity, proceeds amount and transactional detail activity.	2.30

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## Invoice Activity

10/28/09	Compilation of OCR payroll documents (2005 - 2006) for KVT production.	2.10	
10/29/09	Compilation of OCR payroll documents (2005 - 2006) for KVT production.	2.20	
10/29/09	KVT reconciliation of accounts for Grace Perez.	1.90	
10/29/09	Production: ARW support for KVT-4	4.20	
10/29/09	FA commission database analysis.	0.70	
10/30/09	Production: ARW support for KVT-4	4.90	
	\$220.00 per hour x total hrs of	157.60	\$34,672.00

### Abayomi Odunsi

10/01/09	Analysis of SIBL proceeds received by Robert Graham	2.10
10/01/09	Additional review and analysis of SIBL proceeds received by customers pertaining to customers contained on KVT4.	4.60
10/01/09	Continual review and analysis of SIBL proceeds received by customers.	3.90
10/02/09	Review of supporting documents relating to customer proceeds	3.80
10/05/09	Review of supporting documents relating to Lawson and review of SIBL outside the group transfers for individuals on KVT4	4.60
10/06/09	Review of supporting documents relating to customer proceeds that were emailed to the Stanford Receivership	4.10
10/08/09	Continue to review and analyze FA Commissions related to SFIS, SGC, and TPC	2.60
10/08/09	Review and analyze FA Commissions related to SFIS, SGC, and TPC	2.60
10/09/09	Perform standardization of names for FA's who received commissions	3.30
10/09/09	Continue standardization of names for FA's who received commissions and QC spreadsheets from other team members	3.30
10/12/09	Review transfers identified outside the group contained in the database for Groups 3195, 3198, 3200, 3201, 3204, 3226, 3228, 3231	2.30
10/12/09	Review transfers identified outside the group contained in the database for Groups 3023, 3043, 3041, 3171, 3013, 3062	2.30
10/12/09	Review transfers identified outside the group contained in the database for Groups 3117, 3141, 3169, 3007, 3136, 3094, 3075	4.00
10/13/09	Review any outstanding transfers outside the customers' group of accounts	2.60
10/13/09	Review transfers identified outside the group contained in the database for Groups 3253, 3254, 3255, 3266, 3267, 3268	3.60
10/13/09	Review transfers identified outside the group contained in the database for Groups 3232, 3233, 3234, 3235, 3237	3.00
10/14/09	Perform account tagging for groups 3041, 3029, 3108, 3028	3.20
10/14/09	Perform account tagging for groups 3205, 3206, and QC any additional groups that have been tagged	3.20
10/14/09	Perform account tagging for groups 3038, 3186, 3198, 3199	3.20
10/15/09	QC the grouping of customer accounts related to clawback litigation	3.60
10/15/09	Review any outstanding transfers outside the customers' group of accounts	3.60
10/15/09	Review any outstanding transfers outside the customers' group of accounts	2.00
10/16/09	Continue to perform queries for any transfers for customers on KVT4	3.30
10/16/09	Perform queries for any transfers for customers on KVT4	3.30

## *Invoice Activity*

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10/19/09	Continue to review ADP payroll information and agree payments to SFIS data sheets	2.20
10/19/09	Reconcile any differences from the review of ADP payroll to SFIS data sheets	3.00
10/19/09	Review ADP payroll information and agree payments to SFIS data sheets	3.20
10/20/09	QC and compile 2006 SFIS commissions analysis performed by team members and make any necessary updates	3.20
10/20/09	Update master SGC commissions file with any changes during the QC process	2.70
10/20/09	Perform comparison of 2005 - 2006 FA's to 2007 - 2008 to determine any new FA's to add to our listing	3.20
10/21/09	Perform OCR of SFIS FA commissions and trace amounts paid in ADP	3.20
10/21/09	Continue to review OCR documents related to SGC and payments made in ADP	3.50
10/21/09	Perform OCR of SGC FA commissions and trace amounts paid in ADP	3.60
10/22/09	Review TPC bonus information per data sheets and trace back to ADP reports	3.40
10/22/09	QC remaining TPC groups performed by team members and compile final results	3.00
10/22/09	Continue to review TPC bonus reports and reconcile differences that occur	3.10
10/23/09	Combine SGC, SFIS, and TPC analysis performed for 2005 and 2006 into one master file and review any discrepancies	2.40
10/23/09	OCR ADP reports and investigate potentially new FA's who received commissions	1.70
10/23/09	Continue to QC any discrepancies within our Master commissions file	2.10
10/24/09	Review of supporting documents relating to customer proceeds	1.40
10/26/09	Investigate differences contained in our analysis for TPC Bonuses	2.50
10/26/09	Investigate differences contained in our analysis for SFIS Commissions	2.70
10/26/09	Investigate differences contained in our analysis for SGC Commissions	3.30
10/27/09	QC Normalization of FA commissions compared to FA's from 2007-2008	2.30
10/27/09	QC analysis performed for SGC Commissions	3.30
10/27/09	QC analysis performed for SFIS Commissions	2.70
10/27/09	QC analysis performed for TPC Bonuses	2.50
10/28/09	QC and compile SGC payment type analysis performed by team members	3.50
10/28/09	Continue to QC and compile SGC payment type analysis performed by team members and make any necessary updates that occur	3.30
10/28/09	Review SGC payment type information for FA's in 2006	2.90
10/29/09	Continue to analyze the amount of commission earned each year for FA's	3.70
10/29/09	Perform access queries to determine the amount of commissions received throughout the year for each FA	2.80
10/29/09	Reconcile FA's contained across all groups and eliminate any duplicates and consolidate into a master database	3.30
10/30/09	Update FA Listing with SIBL Proceeds earned by various FA's	3.30
10/30/09	Review and investigate any additional FA's that will be potentially added to our FA listing	3.50

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		\$212.00 per hour x total hrs of	168.60	\$35,743.20
<b>Bridget Meacham</b>				
10/12/09	Overview of project and process for searching for related SIBL accounts.		0.60	
10/12/09	Completed related SIBL account review for M. Trent Miller (3133).		0.40	
10/12/09	Completed related SIBL account review for Lena M. Stinson (3138).		0.50	
10/12/09	Completed related SIBL account review for LOUIS J SCHAUFELE III (3108).		0.30	
10/12/09	Worked on related SIBL account review for Oswaldo Bencomo.		2.30	
10/12/09	Completed related SIBL account review for Maria C. Villaneuva (3015).		0.50	
10/12/09	Completed related SIBL account review for Charles J. Vollmer (3019).		0.50	
10/12/09	Completed related SIBL account review for CLAUDIA VICTORIA MARTINEZ (3179).		0.60	
10/12/09	Completed related SIBL account review for Daniel T. Bogar (3139).		0.30	
10/12/09	Completed related SIBL account review for David H. Stephens (3102).		0.20	
10/12/09	Completed related SIBL account review for Mauricio Aviles.		0.90	
10/12/09	Completed related SIBL account review for Isaac Bar.		0.60	
10/12/09	Completed related SIBL account review for Lawrence J. Messina (3163).		1.00	
10/13/09	Completed related SIBL account review of Oswaldo Bencomo.		1.20	
10/13/09	Completed related SIBL account review for 3264 and 3265.		0.30	
10/13/09	Completed related SIBL account review for 3249, 3257, 3258, and 3263.		0.50	
10/13/09	Completed related SIBL account review for 3239, 3242, 3243, and 3244.		0.50	
10/13/09	Completed related SIBL account review for 3203, 3207, and 3238.		0.40	
10/13/09	Completed related SIBL account review of James Cox (3202).		0.30	
10/13/09	Completed related SIBL account review of Jane Chernovetzky (3199).		0.60	
10/13/09	Completed related SIBL account review for William O. Stone, Jr. (3262).		0.40	
10/13/09	Completed related SIBL account review for Nancy Soto.		1.00	
10/13/09	Completed related SIBL account review for Maria Putz (3250).		0.40	
10/13/09	Completed related SIBL account review for Dulce Perezmora 3247.		0.30	
10/13/09	Completed related SIBL account review for Eduardo Picon (3248) and trained S. Chawla on related SIBL account review.		2.00	
10/14/09	Completed related SIBL account transaction tagging for 3105.		0.50	
10/14/09	Worked on related SIBL account transaction tagging for 3170.		2.00	
10/14/09	Trained for SIBL account transaction tagging with R. Templeton. Completed SIBL account transaction tagging for 3070.		1.80	
10/14/09	Completed related SIBL account transaction tagging for 3063.		1.30	
10/14/09	Completed related SIBL account transaction tagging for 3064.		2.20	
10/14/09	Completed related SIBL account transaction tagging for 3093 and 3062.		1.10	
10/15/09	Completed related SIBL account transaction tagging for 3169 and 3171.		0.60	
10/15/09	Completed related SIBL account transaction tagging for 3179, 3139, and 3091.		0.70	

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10/15/09	Readdressed account 3064 to include tagging of transactions for accounts not previously linked to 3064.	1.00
10/15/09	Completed related SIBL account transaction tagging for 3175.	0.80
10/15/09	Completed related SIBL account transaction tagging for 3170.	5.50
10/16/09	Completed related SIBL account transaction tagging for 3076.	0.80
10/16/09	Worked on related SIBL account transaction tagging for 3231.	2.30
10/16/09	Completed related SIBL account transaction tagging for 3027 (Nee).	0.80
10/19/09	Worked on related SIBYL account transaction tagging for 3231.	6.30
10/19/09	Input data from PDF of SGC ADP Payroll for payroll date 2/28/2005 PDF.	0.70
10/20/09	Input SGC payroll data from 5/15/2005 ADP payroll PDF.	1.40
10/20/09	Input SGC payroll data from 9/15/2005 ADP payroll PDF.	0.80
10/20/09	Input SGC payroll data from 11/30/2005 ADP payroll PDF.	0.50
10/20/09	Input SGC payroll data from 8/31/2005 ADP payroll PDF.	0.30
10/20/09	Input SGC payroll data from 7/31/2005 ADP payroll PDF.	0.30
10/20/09	Input SGC payroll data from 3/15/2005 ADP payroll PDF.	1.30
10/20/09	Input SGC payroll data from 7/15/2006 ADP payroll PDF.	1.00
10/20/09	Input SGC payroll data from 4/15/2006 ADP payroll PDF.	1.10
10/20/09	Input SGC payroll data from 1/31/2006 ADP payroll PDF.	0.40
10/20/09	Input SGC payroll data from 12/15/2005 ADP payroll PDF.	0.80
10/20/09	Input SGC payroll data from 4/30/2005 ADP payroll PDF.	0.70
10/21/09	Performed SGC SIBL commission payment payroll analysis for 2/2005.	0.30
10/21/09	Performed SGC SIBL commission payment payroll analysis for 1/2006.	0.60
10/21/09	Performed SGC SIBL commission payment payroll analysis 7/2005.	0.30
10/21/09	Performed SGC SIBL commission payment payroll analysis for 1/2005.	0.70
10/21/09	Input SFIS Commission payment payroll for 10/2006.	0.60
10/21/09	Input SFIS Commission payment payroll for 8/2006.	1.50
10/21/09	Input data from PDF of TPC Agreement 2005.	1.80
10/21/09	Input SFIS Officer Payroll Commissions for February 2006.	2.30
10/21/09	Performed SGC SIBL commission payment payroll analysis for 8/2005.	0.40
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 2/2005 PDF.	0.60
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 5/2005 PDF.	1.00
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 1/2006 PDF.	0.40
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 6/2006 PDF.	0.90
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 7/2005 PDF.	0.30
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 1/2005 PDF.	0.50
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 8/2005 PDF.	0.30
10/22/09	Performed SGC SIBL commission payment payroll analysis for 1/2006.	0.40
10/22/09	Performed SGC SIBL commission payment payroll analysis for 5/2006 and 6/2006.	1.90
10/23/09	Performed quality control review on TPC Money Machines data.	0.50
10/23/09	Performed quality control review of TPC SGC Superstars data.	0.50

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10/23/09	SGC SIBL commission payment payroll alternate pay summary.	0.50	
10/23/09	Performed PDF search on the following names: Norta, Llana and Moringa, Victoria.	0.70	
10/26/09	Quality reviewed the selected check images associated with Trustmark account 1553 for items over \$100k to tie into REDACTED, the recording system for SIB.	5.30	
10/26/09	Performed SGC SIBL commission payment payroll alternate pay summary.	0.20	
10/27/09	Printed out the bank statements for 2008-09 for account 7357 for production.	0.50	
10/27/09	Performed Broker Dealer Loan and Commission Cash Tracing for account 7357.	1.20	
10/27/09	Printed analysis of account 1670 for production.	0.50	
10/27/09	Performed quality control review of TPC payments.	0.50	
10/27/09	Provided support for the declarations provided by FTI on 10/29.	2.70	
10/27/09	Quality reviewed the selected check images associated with Trustmark account 1553 for items over \$100k to tie into REDACTED, the recording system for SIB.	4.80	
10/28/09	Provided documentation and quality control review for the Declaration of Jeffrey Ferguson dated 10/29/2009.	3.30	
10/28/09	Provided documentation and quality control review for the Declaration of Jeffrey Ferguson dated 10/29/2009.	1.00	
10/28/09	Performed quality control review of SGC payments - Notowich and Karvelis.	2.30	
10/29/09	Performed quality control review of SGC payments - Notowich and Karvelis.	2.50	
10/29/09	Gathered support for KVT production preparation.	3.00	
	\$196.00 per hour x total hrs of	98.40	\$19,286.40

**Rebecca Templeton**

10/01/09	Performed review of pre tagged population detail for Group ID 424.	0.30	
10/01/09	Quality review of pre tagged population detail for Group ID 316, 35, 91, 227, 19	2.50	
10/01/09	Reviewing and verifying account/transaction changes to Group IDs 316,417,424,583	3.00	
10/01/09	Performed review of pre tagged population detail for Group ID 6	0.20	
10/02/09	Review List of FAs to determine if SIB accounts exist and require additional analysis	2.00	
10/05/09	Separate analysis of Group ID 308 into Group ID 308 and 308a.	2.10	
10/06/09	Continued review of Stanford Receivership email box for supporting documentation.	1.90	
10/06/09	Quality review of calculations, comments and formatting for KVT-6 schedule.	1.50	
10/06/09	Quality review KVT-5 schedule including review of calculations, comments and formatting.	1.60	
10/06/09	Review of Stanford Receivership email box for supporting documentation.	2.30	
10/07/09	Discussion regarding KVT-6 finalization with P.Beeman, K.Blake, M.Russell, K.Prichard, A.Kneoppel, R.Templeton	0.40	
10/07/09	Quality review of calculations, formatting and standardizing comments for KVT-5.	2.90	
10/07/09	Updated review and reconciliation of Group ID 1015, for KVT-6 to verify interest and principal allocation after addition of accounts.	3.80	
10/08/09	Quality review of calculations, formatting and standardizing comments for KVT-4.	1.80	

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10/08/09	Preliminary review of MDs - Bradham, McCann, Green, Comeaux, Bensing, Karveils, Ramirez, Chaisson, Notowich - to determine if further analysis for 2005 and 2006 should be performed.	4.00
10/09/09	Compile document support for each specified Group ID for KVT-4 schedule.	3.80
10/09/09	Compile document support for each specified Group ID for KVT-4 schedule.	3.70
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3049 "WILLIAM A WHITAKER".	0.40
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3168 "ARTURO RODRIGUEZ DIAZ".	0.60
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3055 "YOLANDA SUAREZ".	0.50
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3001 "DONALD R MILLER".	0.40
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3024 "DONAL BAHRENBURG".	0.40
10/12/09	Discussion with team regarding Employee SIBL Proceed analysis.	0.50
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3177 "JOSE E TORRES".	0.50
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3014 "DAVID WHITTEMORE".	0.40
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3054 "JULIAN BRADHAM JR".	0.60
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3170 "DAVID HANDELMAN POPLAWSKY".	0.80
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3064 "DOUGLAS B SHAW".	0.40
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3111 "ALVARO TRULLENQUE".	0.50
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3038 "TREVOR D LING".	0.50
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3124 "CARLOS A PEREZ".	0.90
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3050 "ALLEN H JOHNSON JR".	0.50
10/12/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3149 "ANDREA FREEDMAN".	0.40
10/13/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3214 "Eric Gildhorn".	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3191 "Oswaldo Bencomo"..	0.80
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3122 "JAY T COMEAUX".	0.50
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3056 "MATTHEW R DREWS REV TRUST"..	0.50
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3191 "Oswaldo Bencomo"..	1.20
10/13/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3211 "Rosalia Fontanals".	0.50

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10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3119 "JASON LEBLANC".	0.40
10/13/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3216 "Ramiro Gomez-Rincon".	0.50
10/13/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3217 "Joaquin Gonzalez".	0.40
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3128 "PABLO M ALVARADO"..	0.70
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3198 "Rafael Carriles"..	0.90
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3201 "Oscar Correa"..	0.60
10/13/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3138 "LENA M STINSON".	0.40
10/13/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3185 "Juan Araujo".	0.60
10/14/09	Performed quality control for tagging transaction detail for Group ID 3001 "DONALD R MILLER".	1.50
10/14/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3186 "Monica Ardesi".	1.30
10/14/09	Discuss quality review process and procedures for tagging analysis with Katie Prichard and Paul Dudinski.	1.20
10/14/09	Performed quality control for tagging transaction detail for Group ID 3105 "MICHAEL E KEPESKY".	1.60
10/14/09	Quality control analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3199 "Jane Chernovetzky"..	0.40
10/14/09	Instruct Bridget Meacham and Sheena Chawla on tagging process of Employee SIBL Proceed analysis.	1.70
10/15/09	Performed quality control for tagging transaction detail for Group ID 3114 "STEPHEN D ROBINSON".	1.80
10/15/09	Performed quality control for tagging transaction detail for Group ID 3077 "MICHAEL S MACDONALD".	1.60
10/15/09	Performed quality control for tagging transaction detail for Group ID 3075 "STEPHEN B SLEWITZKE".	1.50
10/15/09	Performed quality control for tagging transaction detail for Group ID 3180 "NORBERT G NIEUW".	1.70
10/19/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3275.	1.40
10/19/09	Analysis of SIB accounts, related names, related accounts, and account transfers for Group ID 3273	1.60
10/20/09	Meeting with Craig Kolodjeski to review and discuss supporting documents for Karyl Van Tassel's declaration.	2.00
10/20/09	Meeting and correspondence with Stanford employees Steve Lindstrom and Rhonda Davis to discuss obtaining ownership documents for specific assets.	1.50
10/20/09	Review and reconciliation of supporting documents, specifically , Aug 3 Pie Charts and related support , for Karyl Van Tassel's declaration.	4.00
10/20/09	Continued review and reconciliation of supporting documents for Karyl Van Tassel's declaration.	1.50

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## *Invoice Activity*

10/21/09	Examine and review accounting and financial support for Karyl Van Tassel's declaration.	3.90	
10/21/09	Review and reconciliation of supporting documents including schedules from Jeanette Day regarding property and asset support for Karyl Van Tassel's declaration.	3.60	
10/22/09	Continued updating supporting documents, specifically , Aug 3 Pie Charts and related support , for Karyl Van Tassel's declaration.	1.20	
10/22/09	Examine and review accounting and financial support for Karyl Van Tassel's declaration, specifically Tier 2 Investment and Liquidation summaries, and other	2.80	
10/22/09	Updated supporting documents, specifically , Aug 3 Pie Charts and related support , for Karyl Van Tassel's declaration.	4.00	
10/23/09	Preparation of workpapers to support KVT declaration, specifically merchant banking analysis	3.00	
10/23/09	Continued preparation of workpapers to support KVT declaration.	3.00	
10/26/09	Continued review and reconcile supporting documents, specifically , FA Payout Analysis schedule, FA listing schedule, cash tracing schedules and related support , for Karyl Van Tassel's declaration.	1.80	
10/26/09	Facilitate quality review with Bridget Meacham and Sheena Chawla for reconciliation of supporting documents, specifically, Top 100 Outgoing Check Currency analysis , for Karyl Van Tassel's declaration.	2.10	
10/26/09	Review and reconcile supporting documents, specifically , FA Payout Analysis schedule, FA listing schedule, cash tracing schedules and related support , for Karyl Van Tassel's declaration.	3.40	
10/26/09	Update supporting documents, specifically , Aug 3 Pie Charts and related support , for Karyl Van Tassel's declaration.	3.80	
10/27/09	Review and reconcile supporting documents for Karyl Van Tassel's declaration, specifically FA payout analysis including compensation by loans, commissions, bonuses, and referral fees.	3.30	
10/27/09	Examine and review accounting and financial support for Karyl Van Tassel's declaration.	3.10	
10/27/09	Review and reconcile supporting documents for KVT declaration, specifically , asset summary schedules and discussions with Jeanette Day and Stanford employees Steve Lindstrom/Rhonda Davis relating to Stanford assets.	2.80	
10/28/09	Meeting and discussions with Craig Kolodjeski and Jeff Ferguson regarding production documents and KVT declaration support.	2.40	
10/28/09	Compile and review workpapers for KVT declaration.	3.20	
10/28/09	Preparation of workpapers to support KVT declaration.	3.30	
10/29/09	Status meeting and discussion with Karyl Van Tassel, Jeff Ferguson, and Craig Kolodjeski regarding workpapers and supporting documents for KVT declaration.	3.10	
10/29/09	Preparation of workpapers to support KVT declaration, specifically Tier 2 investment summary, Sjoblum package, SIBL incoming and outgoing transaction and CD summary reports.	2.70	
10/29/09	Review and reconciliation of workpapers for production and KVT declaration.	2.20	
10/30/09	Call with team to discuss workpapers for production and KVT-4 and KVT-6 support.	0.60	
10/30/09	Compile workpapers for KVT declaration and production.	3.10	
10/30/09	Call with Karyl Van Tassel, Jeff Ferguson, Craig Kolodjeski, Kevin Blake, Mike Wei and counsel discussing production, KVT-4, KVT-6 and related issues.	1.50	
10/30/09	Review and reconciliation of workpapers for KVT declaration.	2.80	
	<u>\$196.00 per hour x total hrs of</u>	<u>147.50</u>	<u>\$28,910.00</u>

**Abigail Poplis**

10/01/09	Reconciled group ID 250a for final KVT-4 reconciliation: changes included transactions <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> produced from findings in customer submitted data	1.10
10/01/09	QCed the tagging of transactions for group ID 6	1.20
10/01/09	Reconciled group ID 9 for final KVT-4 reconciliation: changes included transactions <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> produced from findings in customer submitted data	1.00
10/01/09	QCed the tagging of transactions for group ID 424	0.80
10/01/09	Reconciled group ID 239 for final KVT-4 reconciliation: changes included transactions <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> , produced from findings in customer submitted data	1.20
10/01/09	Tagged transactions for group ID 239	0.90
10/01/09	Tagged transactions for group ID 227	1.00
10/01/09	Analyze, organize, and format general claims	1.00
10/01/09	Reconciled group ID 311 for final KVT-4 reconciliation: changes included transactions <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> , produced from findings in customer submitted data	1.30
10/02/09	FA Analysis: Comprehensive search of SIBL Database to confirm which FA's held SIBL accounts, which have been analyzed, and which need to be further analyzed	3.00
10/05/09	Primary review to determine email submission of supplemental customer information of KVT-5 groups	3.50
10/06/09	Quality checking customer information against group transaction information in SIBL database	0.70
10/06/09	Quality checking customer information against group transaction information in SIBL database	0.50
10/06/09	Quality checking customer information against group transaction information in SIBL database	0.50
10/06/09	Quality checking account grouping, and KVT-5 grouping to ensure correct labeling	1.30
10/06/09	Quality checking customer information against group transaction information in SIBL database and entering transaction data that occurred between 6/06 and 12/06 into database	1.20
10/06/09	Quality checking customer information against group transaction information in SIBL database	1.50
10/06/09	Quality checking customer information against group transaction information in SIBL database	0.80
10/07/09	Pulled account detail for certain customer accounts and investigated additional potential related accounts	0.50
10/07/09	Tagged transactions for group 1033	0.30
10/07/09	Investigated transfers with accounts outside of the group to elaborate initial analysis for group 1017	0.70
10/08/09	Financial Advisor analysis to determine and consolidate quantities paid to FA's from 2005 to 2006	3.50
10/08/09	Financial Advisor QC to verify formulas and amounts between values recorded and manipulated in FA analysis from 2005 to 2006	1.00
10/09/09	Financial Advisor analysis to determine quantities paid to FA's from 2005 to 2006	2.00
10/09/09	Matching national identifiers with FA to create unique identifiers, and QCing	1.30
10/12/09	Discussions regarding Financial Advisor analysis to determine quantities paid to FA's from 2005 to 2006	1.00
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: 3275, Frans Vingerhoedt	0.90

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10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3030, WARD GOOD	0.50
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3074, LOUIS M PERRY &	0.20
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3077, MICHAEL S MACDONALD	0.30
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3105, MICHAEL E KEPESKY	0.40
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3135, CHRISTOPHER B THOMAS	0.30
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3096, BROWN B BAINE	0.40
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3002, SCOTT S CHAISSON	0.20
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3048, AARON M NELSON	0.30
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3146, BRENT S SIMMONS	0.40
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3112, DIRK J HARRIS &	0.30
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: 3274, Evelyn Villalon	1.10
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3148, NANCY BROWNLEE RLVR	0.60
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3059, MICHAEL V. CONRAD	0.40
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3089, WILEY C HUTCHINS JR	0.20
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3269, Eric Urena	0.60
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: 3276, Ihab Yassine	1.20
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3031, DONALD P WHITLEY	0.20
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3145, DONNA S GUERRERO	0.30
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3091, EDWARD T SIMMONS	0.20
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3092, LARRY J GOLDSMITH	0.40
10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3144, GEORGE J CAIRNES	0.30

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10/13/09	Employee SIBL account review, analyzing account transfers to determine account relationships: Group 3035, ROBERT C LONG	0.20
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3138,LENA M STINSON	1.80
10/14/09	Employee SIBL account review, Tagging account transactions for further review: Group 3014, DAVID WHITTEMORE	1.20
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3015,MARIA C VILLANUEVA	0.30
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3001, DONALD R MILLER	0.80
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3013, ERNESTO H PENA	1.40
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3122, JAY T COMEAUX &	1.00
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3163, LAWRENCE J MESSINA	0.40
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3133,M TRENT MILLER	0.80
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3143, MARIA CRUZ SALGADO & LUPE NORTHAM	0.90
10/14/09	Employee SIBL account review, Tagging account transactions for further review: 3143, MARIA CRUZ SALGADO & LUPE NORTHAM	0.60
10/14/09	Employee SIBL account review, Tagging account transactions for further review: Group 3124, CARLOS A PEREZ	0.20
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3114,STEPHEN D ROBINSON	0.80
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3006,PETER MONTALBANO	0.40
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3180,NORBERT G NIEUW	0.90
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3128,PABLO M ALVARADO	1.20
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3136,ROBERT RYAN WROBLESKE	0.40
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3016,MICHAEL BOBER	1.50
10/15/09	Call to discuss process in downloading claims submitted by email	0.70
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3188,Isaac Bar	1.60
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3187,Mauricio Aviles	1.30
10/15/09	Employee SIBL account review, Tagging account transactions for further review: 3147,NIGEL J BOWMAN	0.70
10/16/09	Employee SIBL account review, Tagging account transactions for further review: 3222,Daniel Hernandez	1.30
10/16/09	Employee SIBL account review, Tagging account transactions for further review: 3195,Fabio Bramanti	1.40
10/16/09	Employee SIBL account review, Tagging account transactions for further review: 3211,Rosalia Fontanals	1.10
10/16/09	Employee SIBL account review, Tagging account transactions for further review: 3216,Ramiro Gomez-Rincon	0.40
10/16/09	Employee SIBL account review, Tagging account transactions for further review: 3191,Oswaldo Bencomo	0.80

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## *Invoice Activity*

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10/19/09	Employee SIBL account review, Tagging account transactions for further review: 3223, Martine Hernandez	1.20
10/19/09	Employee SIBL account review, Tagging account transactions for further review: 3191, Oswaldo Bencomo	2.10
10/19/09	Financial Advisor analysis to determine quantities paid to FA's from 2005 to 2006	1.00
10/19/09	Commission payroll analysis and QC: SIBL commissions for 2/15/05	2.30
10/19/09	Employee SIBL account review, Tagging account transactions for further review: 3228, Faran Kassam	3.60
10/20/09	Commission payroll analysis and QC: SIBL commissions for 2/15/02	1.40
10/20/09	Primary review to discover email submission of supplemental FA information to determine SIBL activity	3.20
10/20/09	Primary review to discover email submission of supplemental FA information to determine SIBL activity	3.80
10/20/09	Financial Advisor analysis to determine quantities paid to FA's from 2005 to 2006	0.80
10/21/09	Reviewed data and reconciled to ADP data for the selling group "The Golden Eagles"	0.80
10/21/09	Reviewed SFIS data and reconciled to ADP data for the month of March	1.20
10/21/09	Reviewed SGC data and reconciled to ADP data for the month of April	0.90
10/21/09	Reviewed data and reconciled to ADP data for the selling group "The Money Machines"	1.10
10/21/09	Reviewed SGC data and reconciled to ADP data for the month of September	0.70
10/21/09	Reviewed SFIS data and reconciled to ADP data for the month of April	1.30
10/21/09	Reviewed SGC data and reconciled to ADP data for the month of March	1.10
10/21/09	Reviewed data and reconciled to ADP data for the selling group "The New Money Machines"	0.70
10/21/09	Reviewed SFIS data and reconciled to ADP data for the month of December	1.50
10/21/09	Reviewed data and reconciled to ADP data for the selling group "The Conquistadors"	0.50
10/22/09	Analyzed SGC payment data and reconciled to ADP data for the months of November 2005	0.80
10/22/09	Analyzed SGC payment data and reconciled to ADP data for the months of October 2005	0.70
10/22/09	Analyzed SGC payment data and reconciled to ADP data for the months of September 2005	1.30
10/22/09	Analyzed SGC payment data and reconciled to ADP data for the months of April 2005	0.90
10/22/09	Reviewed SGC data and reconciled to ADP data for the month of October	0.60
10/22/09	Analyzed SGC payment data and reconciled to ADP data for the months of March 2005	0.70
10/22/09	Reviewed SGC data and reconciled to ADP data for the month of November	0.60
10/23/09	Discussions regarding Employee SIBL Proceed Logic check	1.00
10/23/09	Analyzed and categorized SGC payment types for March, April, September and October 2005	1.50

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## Invoice Activity

10/23/09	Employee SIBL proceed analysis: Logic check reconciliation, groups 3231, 3235, 3236, 3246, 3248, 3250	2.00	
10/25/09	Employee SIBL proceed analysis: Logic check reconciliation, groups 3260, 3261, 3262, 3266, 3268, 3270, 3274, 3275, 3251, 3252	2.90	
10/26/09	General Claims- QCing claims the were downloaded from Outlook to Excel using VBA, and the current workbook	0.90	
10/26/09	General Claims- downloading claims from Outlook to Excel using VBA, and updating the current workbook to reflect the claims that have been added since the last download.	1.50	
10/26/09	General Claims- discussions regarding work preformed and methods used	0.80	
10/26/09	ARW support for KVT-4 groups- QCing withdrawal amounts in comparison to KVT-4, and reconciling the differences	4.00	
10/27/09	ARW support for KVT-4 groups- QCing withdrawal amounts in comparison to KVT-4, and reconciling the differences	4.00	
10/27/09	General Claims- discussions relaying work to other parties	0.50	
10/27/09	Continuation of ARW support for KVT-4 groups- QCing withdrawal amounts in comparison to KVT-4, and reconciling the differences	2.40	
10/27/09	TPC Normalization and QC for database	1.20	
10/27/09	SFIS Normalization and QC for database	1.80	
10/28/09	ARW support for KVT-4 groups- QCing withdrawal amounts in comparison to KVT-4, and reconciling the differences	4.00	
10/28/09	ARW support for KVT-4 groups- QCing withdrawal amounts in comparison to KVT-4, and reconciling the differences	2.50	
10/28/09	General Claims- discussions integrating additional claims received before squirrel mail box was put in place	0.90	
10/28/09	MD Comp Analysis Files for Payroll Matching	2.50	
10/29/09	ARW support for KVT-4 groups- QCing withdrawal amounts in comparison to KVT-4, and reconciling the differences	3.40	
10/29/09	MD Comp Analysis Files for Payroll Matching	3.60	
10/29/09	General Claims- discussions integrating additional claims received before squirrel mail box was put in place	0.90	
10/29/09	General Claims- Frank Casey Claim-Analyzed support	0.50	
10/30/09	General Claims QC	0.60	
10/30/09	Production: ARW support for KVT-4	1.00	
10/30/09	Production: ARW support for KVT-4	4.00	
<b>\$188.00 per hour x total hrs of</b>		<b>149.80</b>	<b>\$28,162.40</b>

**Iain Andrews**

10/01/09	Data review from Orlando office (search for bonus calculation schedules from Charlene McDougall and Linda Wingfield)	2.00	
<b>\$188.00 per hour x total hrs of</b>		<b>2.00</b>	<b>\$376.00</b>

**John Kluka**

10/01/09	Locating and uploading extract of expense reimbursement process data from Orlando office	2.90	
10/01/09	Making adjustments to KVT-4 loan summary model for additional loan transactions found in customer provided documents	1.20	
10/01/09	Tagging customer provided supplemental data transactions for Group ID 316	0.70	
10/01/09	Tagging customer provided supplemental data transactions for Group ID 91	0.60	

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10/01/09	Tagging customer provided supplemental data transactions for Group ID 35	0.90
10/13/09	Research of potential SIBL accounts for group 50190.	0.40
10/13/09	Research of possible SIBL accounts for group 50181.	0.40
10/13/09	Research of potential SIBL accounts for group 50189.	0.40
10/13/09	Research of possible SIBL accounts for group 50184.	0.30
10/13/09	Research of potential SIBL accounts for group 50196.	0.30
10/13/09	Research of possible SIBL accounts for group 50182.	0.50
10/13/09	Research of potential SIBL accounts for group 50194.	0.50
10/13/09	Research of possible SIBL accounts for group 50183.	0.40
10/13/09	Research of potential SIBL accounts for group 50193.	0.40
10/13/09	Research of potential SIBL accounts for group 50192.	0.50
10/14/09	Performed tagging of transaction detail for Group ID 3251.	3.50
10/14/09	Performed tagging of transaction detail for Group ID 3215.	4.00
10/14/09	Continued tagging of transaction detail for Group ID 3215.	0.50
10/15/09	Creation of summary and transfers for employees with loans model.	3.80
10/15/09	Performed tagging of transaction detail for Group ID 3055.	1.10
10/15/09	Performed tagging of transaction detail for Group ID 3214.	3.10
10/16/09	Continued Creation of summary and transfers for employees with loans model.	0.90
10/16/09	Performed tagging of transaction detail for Group ID 3041.	1.20
10/16/09	Performed tagging of transaction detail for Group ID 3043.	0.80
10/16/09	Performed tagging of transaction detail for Group ID 3049.	1.40
10/16/09	Performed tagging of transaction detail for Group ID 3054.	0.70
10/19/09	Review of SGC Payroll data for SIBL commissions paid between 1/1/06 - 1/15/06	3.20
10/19/09	Performed tagging of transaction detail for Group ID 3113.	1.60
10/19/09	Performed tagging of transaction detail for Group ID 3276.	1.30
10/19/09	Review of Receivership emails for employees who submitted supplemental documentation with their claim.	3.10
10/20/09	Performed tagging of transaction detail for Group ID 3277.	2.10
10/20/09	Continued Review of Receivership emails for employees who submitted supplemental documentation with their claim.	4.00
10/20/09	Further Review of Receivership emails for employees who submitted supplemental documentation with their claim.	2.30
10/21/09	Review of Bonus payments in ADP to SGC employees during April 2006.	1.20
10/21/09	Review of SGC Payroll data for SIBL commissions paid between 3/16/06 - 3/31/06	1.30
10/21/09	Review of Bonus payments in ADP to SGC employees during July 2006.	1.90
10/21/09	Review of Bonus payments in ADP to SGC employees during January 2006.	1.60
10/21/09	Review of SGC Payroll data for SIBL commissions paid between 6/16/06 - 6/30/06	0.90
10/21/09	Executed SQL script for transfer pay downs for Employee loan accounts to determine components of interest, principal, and unclassified.	2.40
10/22/09	Review of ADP SIBL Draw data for July and August 2006 SIBL brokers.	2.90
10/22/09	Manual determination for components of interest, principal, and unclassified for transfer pay downs of Employee loan accounts for group IDs 3133 and 3214.	2.30
10/22/09	Creation of pivot tables for Employee loan model	3.30

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10/23/09	Determination of compensation pay types SIBL brokers received during 11/05, 4/06, 7/06, 8/06, and 12/06.	3.60	
10/26/09	Performed related accounts review for all 54 KVT-6-2 Group IDs	4.00	
10/26/09	Review of additional SIBL accounts with large proceeds to determine if they should be added to KVT-6.	4.00	
10/27/09	Performed related accounts review for all 54 KVT-6-2 Group IDs	4.00	
10/27/09	Continued related accounts review for all 54 KVT-6-2 Group IDs	4.00	
10/27/09	Further related accounts review for all 54 KVT-6-2 Group IDs	1.90	
10/28/09	Performed related accounts review for all 54 KVT-6-2 Group IDs	4.00	
10/28/09	Adjustments made to pivot tables of Employee Loan Model.	1.50	
10/28/09	Execution of script for determination of new components for Employee Loan Model.	1.70	
10/28/09	Continued related accounts review for all 54 KVT-6-2 Group IDs	1.40	
10/29/09	Identification of Loan accounts and ILCD accounts in KVT-6-2.	1.10	
10/29/09	Review and compilation of Trustmark bank statements between 2008-present	3.70	
10/29/09	Performed related accounts review for all 54 KVT-6-2 Group IDs	4.00	
10/30/09	Review of all related party contracts for service agreements details.	3.80	
	\$188.00 per hour x total hrs of	109.50	\$20,586.00

**Paul Dudzinski**

10/12/09	Account Matching For Jason Leblanc.	0.20	
10/12/09	Account Matching For Sylvia Aquino.	0.30	
10/12/09	Account Matching For Ana Dongilio.	0.70	
10/12/09	Account Matching For Randall Pickett.	0.50	
10/12/09	Account Matching For Torben Garde Due.	0.70	
10/12/09	Account Matching For Pablo M Alvarado.	0.30	
10/12/09	Account Matching For Stephen D Robinson.	0.30	
10/12/09	Account Matching For Oswaldo Jesus Bencomo Jimenez.	0.60	
10/12/09	Account Matching For Jose E Torres.	0.50	
10/12/09	Account Matching For Jon Nee.	0.20	
10/12/09	Account Matching For John Mark Holliday.	0.20	
10/12/09	Account Matching For Matthew R Drews Rev Trust.	0.30	
10/12/09	Account Matching For Norbert G Nieuw.	0.50	
10/12/09	Account Matching For Pedro Delgado.	0.30	
10/12/09	Account Matching For Rolando H. Mora.	0.70	
10/12/09	Account Matching For Aymeric Martinoia.	0.40	
10/12/09	Account Matching For Neil Emery.	0.70	
10/12/09	Account Matching For Maria Cruz Salgado & Lupe Northam.	0.50	
10/12/09	Account Matching For Stephen M Greenhaw.	0.30	
10/12/09	Account Matching For Walter Orejuela.	0.70	
10/12/09	Account Matching For Alberto Montero.	0.40	
10/12/09	Account Matching For Jay T Comeaux.	0.20	
10/12/09	Account Matching For Marina Feldman.	0.40	
10/13/09	Second Review Of Account Matching For Clement.	0.40	
10/13/09	Account Matching For Felice.	0.40	
10/13/09	Second Review Of Account Matching For Bramanti.	0.80	
10/13/09	Second Review Of Account Matching For Car.	0.70	
10/13/09	Account Matching For Delgado.	0.60	
10/13/09	Second Review Of Account Matching For D'Amato.	0.20	
10/13/09	Second Review Of Account Matching For Barrack.	0.20	
10/13/09	Second Review Of Account Matching For Fiorillo.	0.20	
10/13/09	Second Review Of Account Matching For Gibson.	0.20	
10/13/09	Second Review Of Account Matching For Mills.	0.20	
10/13/09	Second Review Of Account Matching For Bambauer.	0.80	
10/13/09	Account Matching For Dongilio.	0.50	

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10/13/09	Second Review Of Account Matching For Aviles.	0.80
10/13/09	Second Review Of Account Matching For Miller.	0.20
10/13/09	Second Review Of Account Matching For Valdez.	0.20
10/13/09	Second Review Of Account Matching For Cruickshank.	0.30
10/13/09	Second Review Of Account Matching For Wrobleske.	0.40
10/13/09	Second Review Of Account Matching For Bober.	0.40
10/13/09	Second Review Of Account Matching For Riffle.	0.50
10/13/09	Second Review Of Account Matching For Ling.	0.60
10/13/09	Second Review Of Account Matching For Perez.	0.60
10/13/09	Second Review Of Account Matching For Whitaker.	0.70
10/13/09	Account Matching For Alfonso Ortega.	0.50
10/14/09	Second Review Of Account Matching For Delgado.	0.50
10/14/09	Second Review Of Account Matching For Lessard.	0.40
10/14/09	Second Review Of Account Matching For Manerba.	0.70
10/14/09	Second Review Of Account Matching For Marcovich.	0.20
10/14/09	Second Review Of Account Matching For Perezmora.	0.20
10/14/09	Second Review Of Account Matching For Picon.	4.00
10/14/09	Second Review Of Account Matching For Ardessi.	0.40
10/14/09	Second Review Of Account Matching For Hernandez.	0.80
10/14/09	Second Review Of Account Matching For Fontanals.	0.60
10/14/09	Second Review Of Account Matching For Gildhorn.	1.80
10/14/09	Second Review Of Account Matching For Rincon.	0.40
10/15/09	Second Review Of Account Matching For Fiorillo.	2.30
10/15/09	Second Review Of Account Matching For Trullenque.	1.50
10/15/09	Second Review Of Account Matching For Truman.	0.60
10/15/09	Second Review Of Account Matching For Perez.	0.80
10/15/09	Second Review Of Account Matching For Pena.	4.20
10/16/09	Second Review Of Account Matching For Fiorillo.	3.00
10/16/09	Second Review Of Account Matching For Ventrice.	1.80
10/16/09	Account Matching For Ortega.	2.60
10/19/09	Second review of account matching for Picon.	2.00
10/19/09	Continue account matching for group 3246.	1.50
10/19/09	Second review of account matching for Herr.	0.20
10/19/09	Second review of account matching for Putz.	0.40
10/19/09	Second review of account matching for Haggard.	0.50
10/19/09	Account Matching For Group 3246.	3.00
10/19/09	Second review of account matching for Martinoia.	0.50
10/19/09	Second review of account matching for group 3248.	1.50
10/20/09	Second review of account matching for group 3248.	2.00
10/20/09	Second review of account matching for Emery.	1.50
10/20/09	First review of SGC commission data for 6/30/2005.	1.00
10/20/09	First review of SGC commission data for 7/30/2006.	1.00
10/20/09	First review of SGC commission data for 8/15/2006.	1.00
10/20/09	First review of SGC commission data for 8/15/2005.	0.60
10/20/09	First review of SGC commission data for 12/31/2005.	1.00
10/20/09	First review of SGC commission data for 5/15/2006.	0.50
10/20/09	First review of SGC commission data for 10/15/2005.	0.30
10/20/09	First review of SGC commission data for 1/15/2006.	0.40
10/21/09	First review of SGC commission data for 3/15/2006.	0.60
10/21/09	First review of SGC commission data for 2/28/2006.	0.20
10/21/09	First review of SGC commission data for 1/31/2005.	0.20
10/21/09	First review of SGC commission data for 7/15/2005.	1.50
10/21/09	First review of SGC commission data for 7/15/2006.	0.80
10/21/09	First review of SGC commission data for 6/15/2006.	0.70
10/21/09	First review of SGC commission data for 7/31/2005.	0.50
10/21/09	First review of SGC commission data for 2/28/2005.	0.20

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10/21/09	First review of SGC commission data for 5/30/2006.	0.40
10/21/09	First review of SGC commission data for 1/15/2005.	1.50
10/21/09	First review of SGC commission data for 8/31/2005.	0.10
10/21/09	First review of SGC commission data for 3/31/2006.	0.20
10/21/09	First review of SGC commission data for 8/30/2006.	0.40
10/21/09	First review of SGC commission data for 2/15/2005.	0.90
10/21/09	First review of SGC commission data for 1/30/2006.	0.20
10/21/09	First review of SGC commission data for 6/30/2006.	0.30
10/21/09	First review of SGC commission data for 2/15/2006.	0.80
10/22/09	Second review of SGC commission data for 7/15/2005.	0.40
10/22/09	Second review of SGC commission data for 9/30/2006.	0.60
10/22/09	Second review of SGC commission data for 3/31/2005.	0.40
10/22/09	Second review of SGC commission data for 9/15/2006.	0.50
10/22/09	Second review of SGC commission data for 6/15/2006.	0.50
10/22/09	Second review of SGC commission data for 5/15/2006.	0.50
10/22/09	Second review of SGC commission data for 8/31/2005.	0.50
10/22/09	Second review of SGC commission data for 3/15/2005.	0.30
10/22/09	Second review of SGC commission data for 2/28/2005.	0.30
10/22/09	Second review of SGC commission data for 3/15/2006.	0.30
10/22/09	Second review of SGC commission data for 6/30/2006.	0.30
10/22/09	Second review of SGC commission data for 7/31/2005.	0.40
10/22/09	Second review of SGC commission data for 7/15/2006.	0.30
10/22/09	Second review of SGC commission data for 2/28/2006.	0.20
10/22/09	Second review of SGC commission data for 2/28/2005.	0.20
10/22/09	Second review of SGC commission data for 2/15/2005.	0.20
10/22/09	Second review of SGC commission data for 2/15/2006.	0.20
10/22/09	Second review of SGC commission data for 8/15/2005.	0.50
10/22/09	Second review of SGC commission data for 1/30/2006.	0.20
10/22/09	Second review of SGC commission data for 4/15/2005.	0.10
10/22/09	Second review of SGC commission data for 7/15/2005.	0.20
10/22/09	Second review of SGC commission data for 1/31/2005.	0.20
10/22/09	Second review of SGC commission data for 3/31/2006.	0.30
10/22/09	Second review of SGC commission data for 5/30/2006.	0.30
10/22/09	Second review of SGC commission data for 6/15/2006.	0.30
10/22/09	Second review of SGC commission data for 8/31/2005.	0.20
10/22/09	Second review of SGC commission data for 8/30/2006.	0.30
10/22/09	Second review of SGC commission data for 1/15/2005.	0.20
10/22/09	Second review of SGC commission data for 4/30/2005.	0.10
10/22/09	Second review of SGC commission data for 7/31/2005.	0.20
10/23/09	Further quality review of commission and payroll data.	2.50
10/23/09	Continue quality review of commission and payroll data.	3.00
10/23/09	Quality review of commission and payroll data.	2.50
10/26/09	Produce ARW support for group 19.	0.30
10/26/09	Produce ARW support for group 32.	0.30
10/26/09	Produce ARW support for group 20.	0.20
10/26/09	Produce ARW support for group 29.	0.20
10/26/09	Produce ARW support for group 17.	0.20
10/26/09	Produce ARW support for group 4.	0.20
10/26/09	Produce ARW support for group 13.	0.30
10/26/09	Produce ARW support for group 11.	0.40
10/26/09	Produce ARW support for group 10.	0.20
10/26/09	Produce ARW support for group 35.	0.20
10/26/09	Produce ARW support for group 9.	0.20
10/26/09	Produce ARW support for group 6.	0.30
10/26/09	QC SGC commissions analysis.	1.50
10/26/09	Produce ARW support for group 27.	0.40

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## Invoice Activity

10/26/09	Produce ARW support for group 37.	0.20	
10/26/09	Continue to QC SGC commissions analysis.	2.00	
10/26/09	Produce ARW support for group 36.	0.20	
10/26/09	Produce ARW support for group 38.	0.20	
10/27/09	Produce ARW support for group 77.	0.40	
10/27/09	Produce ARW support for group 81.	0.40	
10/27/09	Produce ARW support for group 90.	0.50	
10/27/09	Produce ARW support for group 77.	0.30	
10/27/09	Produce ARW support for group 91.	0.40	
10/27/09	Produce ARW support for group 74.	0.40	
10/27/09	Produce ARW support for group 93.	0.20	
10/27/09	Produce ARW support for group 92.	0.60	
10/27/09	Produce ARW support for group 96.	0.30	
10/27/09	Produce ARW support for group 73.	0.10	
10/27/09	Produce ARW support for group 72.	0.20	
10/27/09	Produce ARW support for group 67.	0.50	
10/27/09	Produce ARW support for group 66.	0.20	
10/27/09	Produce ARW support for group 63.	0.40	
10/27/09	Produce ARW support for group 60.	0.40	
10/27/09	Produce ARW support for group 58.	0.20	
10/27/09	Produce ARW support for group 105.	0.40	
10/27/09	Produce ARW support for group 57.	0.20	
10/27/09	Produce ARW support for group 52.	0.30	
10/27/09	Produce ARW support for group 47.	0.20	
10/27/09	Produce ARW support for group 46.	0.20	
10/27/09	Produce ARW support for group 97.	0.30	
10/27/09	Produce ARW support for group 79.	0.40	
10/27/09	Produce ARW support for group 104.	0.30	
10/27/09	Produce ARW support for group 54.	0.30	
10/27/09	Produce ARW support for group 106.	0.30	
10/27/09	Produce ARW support for group 111.	0.30	
10/27/09	Produce ARW support for group 44.	0.20	
10/27/09	Produce ARW support for group 108.	0.30	
10/27/09	Produce ARW support for group 107.	0.10	
10/27/09	Produce ARW support for group 99.	0.10	
10/27/09	Produce ARW support for group 51.	0.30	
10/27/09	Produce ARW support for group 101.	0.30	
10/28/09	Produce ARW support for group 72.	0.30	
10/28/09	Produce ARW support for group 92.	0.20	
10/28/09	Produce ARW support for group 91.	0.30	
10/28/09	Produce ARW support for group 96.	0.20	
10/28/09	Produce ARW support for group 93.	0.30	
10/28/09	Continue to QC ARW production preparations for KVT-4.	2.00	
10/28/09	Produce ARW support for group 73.	0.20	
10/28/09	Produce ARW support for group 74.	0.40	
10/28/09	Produce ARW support for group 77.	0.20	
10/28/09	Produce ARW support for group 79.	0.30	
10/28/09	Produce ARW support for group 81.	0.50	
10/28/09	Produce ARW support for group 90.	0.40	
10/28/09	QC ARW production preparations for KVT-4.	2.00	
10/29/09	QC ARW production preparations for KVT-4.	2.00	
\$180.00 per hour x total hrs of		119.50	\$21,510.00

**Sheena Chawla**

10/13/09	Participated in training session for account review process.	1.50	
10/13/09	Reviewed account of Leon Zaidner for related accounts.	1.50	

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10/13/09	Reviewed Group ID 3227 for additional accounts that may be related to account holder.	1.30
10/13/09	Reviewed account of William Leighton to identify potential related accounts.	1.70
10/14/09	Tagged account of LARRY J GOLDSMITH, Group ID 3092.	1.00
10/14/09	Tagged account of MICHAEL S MACDONALD, Group ID #3077.	1.20
10/14/09	Tagged account of ALVARO TRULLENQUE, Group ID # 3111	0.70
10/14/09	Tagged account of J A BARRACK, Group ID #3088.	0.50
10/14/09	Reviewed account of Peter Siragna for potential related accounts.	0.50
10/14/09	Reviewed account of Rochelle Sidney for potential related accounts.	1.40
10/14/09	Reviewed account of Leon Zaidner for potential related accounts.	3.50
10/15/09	Tagged transactions related to account held by Neil Emery, Group ID# 3209.	2.30
10/15/09	Tagged transactions related to account held by STEPHEN B SLEWITZKE, Group ID #3075.	1.20
10/15/09	Tagged transactions related to account held by Andres Delgado, Group ID #3204.	2.30
10/15/09	Tagged transactions related to account held by Torben Garde Due, Group ID #3208.	2.30
10/16/09	Tagged accounts related to Patrica Herr, Group ID #3224.	1.00
10/16/09	Tagged transactions related to account held by Marina Feldman, Group ID #3210.	2.00
10/19/09	Recorded SIBL commissions for account holders in April of 2005.	2.30
10/19/09	Recorded SIBL commissions for account holders in March of 2005.	2.30
10/19/09	Recorded SIBL commissions for account holders in January of 2005.	3.50
10/19/09	Tagged transactions related to Group ID #3248.	1.60
10/20/09	Input SGC payroll data from 12/2006 ADP payroll PDF.	2.50
10/20/09	Recorded SIBL commissions for account holders in September of 2005.	3.30
10/20/09	Recorded SIBL commissions for account holders in April of 2006.	2.30
10/21/09	Located individuals per the ADP file and compared names and SIBL commissions with information listed in the SFIS file for November 2006.	2.50
10/21/09	Recorded SIBL account information for June 2005 per ADP file.	1.00
10/21/09	Recorded SIBL withdrawals for May 2005 per ADP file.	1.70
10/21/09	Located individuals per the ADP file and compared names and SIBL commissions with information listed in the SFIS file.	3.30
10/22/09	Compared listed SIBL information with information listed in ADP file for September 2006.	2.50
10/22/09	Located account types owned by people with no SIBL information for May 2005.	1.00
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 12/2005 PDF.	2.00
10/22/09	Performed quality control check of missing SIBL payments in SGC SIBL commission payment payroll analysis 5/2006 PDF.	2.50
10/23/09	Searched PDFs for information regarding Nortia Llana and Victor Moringa.	1.30
10/23/09	Located account types owned by people with no SIBL information for June 2005.	1.00
10/23/09	Identified account types owned by people with no SIBL information for December 2005.	0.70
10/23/09	Recorded SIBL account information per ADP for June 2006.	1.30

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## *Invoice Activity*

10/26/09	Quality review and reconciliation of supporting documents for Karyl Van Tassel's declaration – reviewed Trustmark account 1707 selected check images over \$500k to tie into [REDACTED], the recording system for SIB.	4.00	
10/26/09	Quality review and reconciliation of supporting documents for Karyl Van Tassel's declaration – reviewed Trustmark account 1707 selected check images over \$500k to tie into [REDACTED], the recording system for SIB.	1.50	
10/27/09	Organized invoices to be produced for declaration related to the Seahawk boat.	2.50	
10/27/09	Preparation of workpapers for Karyl Van Tassel's declaration.	4.00	
10/27/09	Preparation of workpapers for Karyl Van Tassel's declaration.	3.50	
10/28/09	Quality review and reconciliation of supporting documents for Karyl Van Tassel's declaration – reviewed Trustmark account 1707 selected check images over \$500k to tie into [REDACTED], the recording system for SIB.	4.00	
10/28/09	Quality review and reconciliation of supporting documents for Karyl Van Tassel's declaration. – reviewed Trustmark account 1097 selected check images over \$500k to tie into [REDACTED], the recording system for SIB.	1.50	
10/28/09	Quality review and reconciliation of supporting documents for Karyl Van Tassel's declaration. – reviewed Trustmark account 7357 selected check images over \$500k to tie into [REDACTED], the recording system for SIB.	1.30	
10/28/09	Facilitate quality review with Bridget Meacham and Sheena Chawla for reconciliation of supporting documents, specifically, Top 100 Outgoing Check Currency analysis, for Karyl Van Tassel's declaration.	0.70	
10/29/09	Preparation of workpapers for Karyl Van Tassel's declaration.	4.00	
10/29/09	Preparation of workpapers for Karyl Van Tassel's declaration.	4.00	
10/30/09	Preparation of workpapers for Karyl Van Tassel's declaration.	3.50	
	\$180.00 per hour x total hrs of	99.00	\$17,820.00



**Expense Detail  
Invoice 7216301**

Name	Group	Home Office	Expense Type	Date	Description	Amount
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/2/2009	Airfare from Dallas to Houston on 9/28/09	<b>140.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/2/2009	Airfare from Houston to Dallas on 10/2/09	<b>155.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/11/2009	Airfare from Dallas to Houston on 10/11/09	<b>155.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/16/2009	Airfare from Houston to Dallas on 10/16/09	<b>157.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/18/2009	Airfare from Dallas to Houston on 10/18/09	<b>157.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/23/2009	Airfare from Houston to Dallas on 10/23/09	<b>157.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/25/2009	Airfare from Dallas to Houston on 10/25/09	<b>157.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	10/30/2009	Airfare from Houston to Dallas on 10/30/09	<b>157.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Hotel & Lodging	10/2/2009	Houston hotel expenses for 4 nights 9/28/09 - 10/2/09	<b>1,001.52</b>
Abayomi Odunsi	FLC	Dallas, TX	Hotel & Lodging	10/16/2009	Houston hotel expenses for 5 nights 10/11/09 - 10/16/09	<b>1,114.23</b>
Abayomi Odunsi	FLC	Dallas, TX	Hotel & Lodging	10/23/2009	Houston hotel expenses for 5 nights 10/18/09 - 10/23/09	<b>1,105.65</b>
Abayomi Odunsi	FLC	Dallas, TX	Hotel & Lodging	10/30/2009	Houston hotel expenses for 5 nights 10/25/09 - 10/30/09	<b>1,105.65</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/2/2009	Taxi from Airport to Home	<b>15.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/2/2009	Taxi from Home to Airport	<b>15.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/2/2009	Taxi from Airport to Client Site	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/2/2009	Taxi from Client Site to Airport	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/11/2009	Taxi from Airport to Client Site	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/11/2009	Taxi from Home to Airport	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/16/2009	Taxi from Airport to Home	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/18/2009	Taxi from Airport to Client Site	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/18/2009	Taxi from Home to Airport	<b>15.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/23/2009	Taxi from Airport to Client Site	<b>15.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/23/2009	Taxi from Client Site to Airport	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/25/2009	Taxi from Home to Airport	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/25/2009	Taxi from Airport to Client Site	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/30/2009	Taxi from Airport to Home	<b>15.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/2/2009	Internet Service Access (3 days)	<b>42.06</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/12/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/13/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/14/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/15/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/16/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/19/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/20/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/21/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/23/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/26/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/27/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/28/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/29/2009	Internet Service Access	<b>9.95</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	10/30/2009	Internet Service Access	<b>9.95</b>
<b>TOTAL - Abayomi Odunsi</b>						<b>\$6,364.21</b>

Abigail Poplis	Tech	Chicago, IL	Airfare/Trainfare	10/2/2009	Airfare from Houston to Chicago on 10/2/09	<b>149.50</b>
Abigail Poplis	Tech	Chicago, IL	Airfare/Trainfare	10/12/2009	Airfare from Chicago to Houston on 10/12/09	<b>379.60</b>
Abigail Poplis	Tech	Chicago, IL	Airfare/Trainfare	10/16/2009	Airfare from Houston to Miami on 10/16/09, returned 10/18/09	<b>887.70</b>
Abigail Poplis	Tech	Chicago, IL	Airfare/Trainfare	10/22/2009	Airfare from Houston to Chicago on 10/22/09	<b>357.10</b>
Abigail Poplis	Tech	Chicago, IL	Airfare/Trainfare	10/25/2009	Airfare from New York to Houston on 10/25/09	<b>465.20</b>
Abigail Poplis	Tech	Chicago, IL	Airfare/Trainfare	10/30/2009	Airfare from Houston to Chicago on 10/30/09	<b>383.10</b>
Abigail Poplis	Tech	Chicago, IL	Parking	10/22/2009	Parking at Hotel	<b>74.00</b>
Abigail Poplis	Tech	Chicago, IL	Hotel & Lodging	10/2/2009	Houston hotel expenses for 4 nights 9/28/09 - 10/2/09	<b>597.76</b>
Abigail Poplis	Tech	Chicago, IL	Hotel & Lodging	10/16/2009	Houston hotel expenses for 4 nights 10/12/09 - 10/16/09	<b>592.76</b>



Abigail Poplis	Tech	Chicago, IL	Hotel & Lodging	10/22/2009	Houston hotel expenses for 4 nights 10/18/09 - 10/22/09	592.76
Abigail Poplis	Tech	Chicago, IL	Hotel & Lodging	10/30/2009	Houston hotel expenses for 5 nights 10/25/09 - 10/30/09	740.95
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/2/2009	Taxi from Airport to Home	45.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/2/2009	Taxi from Client Site to Airport	70.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/12/2009	Taxi from Home to Airport	45.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/12/2009	Taxi from Airport to Hotel	72.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Airport to Hotel	35.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Hotel to Airport	20.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/22/2009	Taxi from Airport to Home	43.65
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Airport to Hotel	60.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Hotel to Airport	42.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	70.00
Abigail Poplis	Tech	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Airport to Home	43.00
Abigail Poplis	Tech	Chicago, IL	Telephone	10/2/2009	Internet Service Access	7.95
Abigail Poplis	Tech	Chicago, IL	Telephone	10/25/2009	Internet Service Access	7.95
<b>TOTAL - Abigail Poplis</b>						<b>\$5,781.98</b>

Barbara Arellano	Tech	Los Angeles, CA	Airfare/Trainfare	10/12/2009	Airfare from Los Angeles to Houston on 10/11/09	523.85
Barbara Arellano	Tech	Los Angeles, CA	Airfare/Trainfare	10/19/2009	Airfare from Houston to Ft. Lauderdale on 10/18/09, returned 10/18/09	887.70
Barbara Arellano	Tech	Los Angeles, CA	Airfare/Trainfare	10/26/2009	Airfare from Houston to Orlando on 10/23/09, returned 10/25/09	897.70
Barbara Arellano	Tech	Los Angeles, CA	Airfare/Trainfare	10/30/2009	Airfare from Houston to Los Angeles on 10/30/09	482.79
Barbara Arellano	Tech	Los Angeles, CA	Hotel & Lodging	10/16/2009	Houston hotel expenses for 5 nights 10/11/09 - 10/16/09	790.92
Barbara Arellano	Tech	Los Angeles, CA	Hotel & Lodging	10/23/2009	Houston hotel expenses for 5 nights 10/18/09 - 10/23/09	740.95
Barbara Arellano	Tech	Los Angeles, CA	Hotel & Lodging	10/30/2009	Houston hotel expenses for 5 nights 10/25/09 - 10/30/09	740.95
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/11/2009	Taxi from Airport to Hotel	70.00
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/16/2009	Taxi from Airport to Home	55.00
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/25/2009	Taxi from Home to Airport	40.00
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/25/2009	Taxi from Airport to Hotel	61.38
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/26/2009	Taxi from Airport to Hotel	61.38
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/26/2009	Taxi from Home to Airport	35.00
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	65.00
Barbara Arellano	Tech	Los Angeles, CA	Taxi/Subway	10/30/2009	Taxi from Airport to Home	60.85
<b>TOTAL - Barbara Arellano</b>						<b>\$5,513.47</b>

Bridget Meacham	FLC	Chicago, IL	Airfare/Trainfare	10/9/2009	Airfare from Chicago to Houston on 10/11/09, returned 10/16/09	558.70
Bridget Meacham	FLC	Chicago, IL	Airfare/Trainfare	10/15/2009	Airfare from Chicago to Houston on 10/18/09	301.60
Bridget Meacham	FLC	Chicago, IL	Airfare/Trainfare	10/18/2009	Airfare from Houston to Chicago on 10/29/09	111.10
Bridget Meacham	FLC	Chicago, IL	Hotel & Lodging	10/16/2009	Houston hotel expenses for 5 nights 10/11/09 - 10/16/09	740.95
Bridget Meacham	FLC	Chicago, IL	Hotel & Lodging	10/29/2009	Houston hotel expenses for 11 nights 10/18/09 - 10/29/09	1,628.77
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/11/2009	Taxi from Home to Airport	45.00
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/11/2009	Taxi from Airport to Hotel	53.25
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	60.00
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Airport to Home	41.65
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Home to Airport	45.00
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Airport to Hotel	60.00
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/29/2009	Taxi from Client Site to Airport	50.00
Bridget Meacham	FLC	Chicago, IL	Taxi/Subway	10/29/2009	Taxi from Airport to Home	47.00
<b>TOTAL - Bridget Meacham</b>						<b>\$3,743.02</b>

Craig Kolodjeski	FLC	Washington, D.C.	Airfare/Trainfare	10/19/2009	Airfare from Washington to Houston on 10/19/09, returned 10/22/09	1,093.70
Craig Kolodjeski	FLC	Washington, D.C.	Hotel & Lodging	10/22/2009	Houston hotel expenses for 3 nights 10/19/09 - 10/22/09	663.39



**Expense Detail  
Invoice 7216301**

Craig Kolodjeski	FLC	Washington, D.C.	Parking	10/22/2009	Parking at Airport	<b>4.00</b>
Craig Kolodjeski	FLC	Washington, D.C.	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site	<b>70.00</b>
<b>TOTAL - Craig Kolodjeski</b>						<b>\$1,831.09</b>

Customer Support	Tech	Annapolis - FTI Hosting LLC	Computer Hard Drives	9/30/2009	Purchase of Hard Drives	<b>200.00</b>
<b>TOTAL - Customer Support</b>						<b>\$200.00</b>

Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	9/16/2009	Airfare from Charlotte to Houston on 9/21/09, returned 9/24/09	<b>1,014.31</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	9/24/2009	Airfare from Charlotte on 9/28/09, returned on 10/1/09	<b>1,043.31</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	9/30/2009	Airfare from Charlotte to Houston on 10/5/09, returned 10/8/09	<b>1,014.31</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	10/9/2009	Airfare from Charlotte to Houston on 10/12/09, returned 10/15/09	<b>1,014.31</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	10/19/2009	Airfare from Charlotte to Houston on 10/19/09, returned 10/22/09	<b>1,023.79</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	10/26/2009	Airfare from Charlotte to Houston on 10/26/09, returned 10/29/09	<b>1,023.79</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	10/29/2009	Airfare change fee	<b>45.71</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	9/24/2009	Houston hotel expenses for 3 nights 9/21/09 - 9/24/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	10/2/2009	Houston hotel expenses for 3 nights 9/28/09 - 10/1/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	10/9/2009	Houston hotel expenses for 3 nights 10/5/09 - 10/8/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	10/16/2009	Houston hotel expenses for 3 nights 10/12/09 - 10/15/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	10/22/2009	Houston hotel expense for 3 nights 10/19/09 - 10/22/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	10/29/2009	Houston hotel expenses for 3 nights 10/26/09 - 10/29/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	9/21/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	9/21/2009	Taxi from Airport to Client Site	<b>63.25</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	9/24/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	9/24/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	9/28/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	9/28/2009	Taxi from Airport to Client Site	<b>60.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/1/2009	Taxi from Airport to Home	<b>30.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/1/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/5/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/8/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/8/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/12/2009	Taxi from Airport to Client Site	<b>60.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/12/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/15/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/15/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/19/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site	<b>60.00</b>



Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/22/2009	Taxi from Airport to Home	28.00
Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/22/2009	Taxi from Client Site to Airport	73.00
Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/26/2009	Taxi from Home to Airport	28.00
Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/29/2009	Taxi from Client Site to Airport	60.00
Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/29/2009	Taxi from Airport to Home	28.00
Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Telephone	9/30/2009	Internet Service Access	14.02
Daniel Palmer	Corp Fin	Charlotte, NC - Corp	Telephone	10/6/2009	Internet Service Access	14.02

TOTAL - Daniel Palmer \$11,194.16

EEC DC Location 551	EEC	Washington, DC	Computer Hard Drives	9/1/2009	Purchase of Hard Drives	75.00
EEC DC Location 551	EEC	Washington, DC	Computer Hard Drives	9/14/2009	Purchase of Hard Drives	90.00
EEC DC Location 551	EEC	Washington, DC	Computer Hard Drives	9/14/2009	Purchase of Hard Drives	85.00
EEC DC Location 551	EEC	Washington, DC	Computer Hard Drives	10/31/2009	Purchase of Hard Drives	170.00

TOTAL - EEC DC Location 551 \$420.00

Jeanette Day	Corp Fin	Charlotte, NC - Corp	Parking	10/1/2009	Parking at airport	64.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/1/2009	Airfare from Houston to Charlotte on 10/1/09	568.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/5/2009	Airfare from Charlotte to Houston on 10/5/09	518.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/8/2009	Airfare from Houston to Charlotte on 10/8/09	568.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/8/2009	Refund of Airfare	-50.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Parking	10/8/2009	Parking at airport	76.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/12/2009	Airfare from Charlotte to Houston on 10/12/09	568.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/18/2009	Airfare from Portland to Houston on 10/18/09	574.60
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Parking	10/22/2009	Parking at airport	176.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/23/2009	Airfare from Houston to Charlotte on 10/22/09.	518.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/26/2009	Airfare from Charlotte to Houston on 10/26/09	518.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/29/2009	Airfare from Houston to Charlotte on 10/29/09	518.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Airfare/Trainfare	10/29/2009	Refund of Airfare	-121.90
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Hotel & Lodging	10/1/2009	Houston hotel for 3 nights, 9/29/09 - 10/1/09	564.16
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Hotel & Lodging	10/8/2009	Houston hotel for 3 nights, 10/5/09 - 10/8/09	630.19
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Hotel & Lodging	10/15/2009	Houston hotel for 3 nights, 10/12/09 - 10/15/09	832.72
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Hotel & Lodging	10/22/2009	Houston hotel for 3 nights, 10/19/09 - 10/21/09	628.29
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Hotel & Lodging	10/29/2009	Houston hotel for 3 nights, 10/26/09 - 10/28/09	632.09
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/1/2009	Taxi from Client Site to Airport	70.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp	Taxi/Subway	10/5/2009	Taxi from Airport to Client Site	60.00



Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/12/2009	Taxi from Airport to Client Site	59.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport.	74.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site.	61.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	10/26/2009	Taxi from Airport to Client Site.	60.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Telephone	10/17/2009	Internet Service Access	7.95
<b>TOTAL - Jeanette Day</b>						<b>\$8,174.80</b>

Jeffrey Ferguson	FLC	Dallas, TX	Airfare/Trainfare	10/8/2009	Airfare from Dallas to Houston on 10/12/09, returned 10/13/09	310.20
Jeffrey Ferguson	FLC	Dallas, TX	Airfare/Trainfare	10/23/2009	Airfare from Dallas to Houston on 10/27/09, returned 10/28/09	314.20
Jeffrey Ferguson	FLC	Dallas, TX	Hotel & Lodging	10/13/2009	Houston hotel expense for 1 night 10/12/09	221.13
Jeffrey Ferguson	FLC	Dallas, TX	Hotel & Lodging	10/29/2009	Houston hotel expenses for 2 nights, 10/27/09 - 10/27/09	513.60
Jeffrey Ferguson	FLC	Dallas, TX	Parking	10/12/2009	Parking at Airport	24.00
Jeffrey Ferguson	FLC	Dallas, TX	Miscellaneous Vendor Services	10/27/2009	Miscellaneous expense for Parking at Love Field for 2 days	24.00
Jeffrey Ferguson	FLC	Dallas, TX	Internet Service	10/28/2009	Internet Service Access	14.02
Jeffrey Ferguson	FLC	Dallas, TX	Parking at	10/29/2009	Parking at Airport	12.00
Jeffrey Ferguson	FLC	Dallas, TX	Taxi/Subway	10/13/2009	Taxi from Client Site to Airport	46.92
Jeffrey Ferguson	FLC	Dallas, TX	Parking	10/27/2009	Parking at AirPort	54.00
Jeffrey Ferguson	FLC	Dallas, TX	Taxi/Subway	10/29/2009	Taxi from Client Site to Airport	49.80
<b>TOTAL - Jeffrey Ferguson</b>						<b>\$1,583.87</b>

John Kluka	Tech	Chicago, IL	Airfare/Trainfare	10/1/2009	Airfare Change Fee	150.00
John Kluka	Tech	Chicago, IL	Airfare/Trainfare	10/18/2009	Airfare from Detroit to Houston on 10/18/09	469.60
John Kluka	Tech	Chicago, IL	Airfare/Trainfare	10/23/2009	Airfare from Houston to Chicago on 9/23/09	403.10
John Kluka	Tech	Chicago, IL	Airfare/Trainfare	10/25/2009	Airfare from Chicago to Houston on 9/25/09	404.60
John Kluka	Tech	Chicago, IL	Airfare/Trainfare	10/30/2009	Airfare from Houston to Chicago on 10/30/09	403.10
John Kluka	Tech	Chicago, IL	Hotel & Lodging	10/1/2009	Houston hotel expenses for 3 nights 9/28/09 - 10/1/09	663.39
John Kluka	Tech	Chicago, IL	Hotel & Lodging	10/23/2009	Houston hotel expenses for 5 nights 9/18/09 - 9/23/09	1,105.65
John Kluka	Tech	Chicago, IL	Hotel & Lodging	10/30/2009	Houston hotel expenses for 5 nights 10/25/09 - 10/30/09	1,105.65
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/1/2009	Taxi from Airport to Home	40.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/1/2009	Taxi from Client Site to Airport	70.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Home to Airport	40.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Airport to Hotel	65.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/23/2009	Taxi from Airport to Home	42.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/23/2009	Taxi from Client Site to Airport	50.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Home to Airport	42.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Airport to Hotel	50.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	52.00
John Kluka	Tech	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Airport to Home	42.00
John Kluka	Tech	Chicago, IL	Telephone	10/25/2009	Internet Service Access	14.02
<b>TOTAL - John Kluka</b>						<b>\$5,212.11</b>

Kevin Blake	Tech	Chicago, IL	Airfare/Trainfare	10/12/2009	Airfare from Chicago to Houston on 10/12/09, returned 10/15/09	757.70
Kevin Blake	Tech	Chicago, IL	Airfare/Trainfare	10/19/2009	Airfare from Chicago to Houston on 10/19/09, returned 10/21/09	715.70
Kevin Blake	Tech	Chicago, IL	Hotel & Lodging	10/15/2009	Houston hotel expenses for 3 nights 10/12/09 - 10/15/09	522.99
Kevin Blake	Tech	Chicago, IL	Hotel & Lodging	10/21/2009	Houston hotel expense adjustment	-5.00
Kevin Blake	Tech	Chicago, IL	Hotel & Lodging	10/21/2009	Houston hotel expenses for 2 nights 10/19/09 - 10/21/09	301.38
Kevin Blake	Tech	Chicago, IL	Parking	10/12/2009	Parking at Hotel	10.00
Kevin Blake	Tech	Chicago, IL	Parking	10/13/2009	Parking at Hotel	10.00
Kevin Blake	Tech	Chicago, IL	Parking	10/14/2009	Parking at Hotel	10.00
Kevin Blake	Tech	Chicago, IL	Taxi/Subway	10/12/2009	Taxi from Home to Airport	69.75



Kevin Blake	Tech	Chicago, IL	Taxi/Subway	10/15/2009	Taxi from Client Site to Airport	70.00
Kevin Blake	Tech	Chicago, IL	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site	70.00
Kevin Blake	Tech	Chicago, IL	Taxi/Subway	10/19/2009	Taxi from Home to Airport	67.00
Kevin Blake	Tech	Chicago, IL	Taxi/Subway	10/21/2009	Taxi from Client Site to Airport	70.00
Kevin Blake	Tech	Chicago, IL	Taxi/Subway	10/21/2009	Taxi from Airport to Home	40.00
<b>TOTAL - Kevin Blake</b>						<b>\$2,709.52</b>

Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/2/2009	Airfare from Houston to Dallas on 10/2/09	155.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/5/2009	Airfare from Dallas to Houston on 10/5/09	155.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/9/2009	Airfare from Houston to Dallas on 10/9/09	155.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/12/2009	Airfare from Dallas to Houston on 10/12/09	155.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/16/2009	Airfare from Houston to Dallas on 10/16/09	157.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/19/2009	Airfare from Dallas to Houston on 10/19/09	142.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/23/2009	Airfare from Houston to Dallas on 10/23/09	157.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/26/2009	Airfare from Dallas to Houston on 10/26/09	142.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	10/30/2009	Airfare from Houston to Dallas on 10/30/09	157.10
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	10/2/2009	Houston hotel expenses for 4 nights 9/28/09 - 10/2/09	966.42
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	10/9/2009	Houston hotel expenses for 4 nights 10/5/09 - 10/9/09	889.00
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	10/16/2009	Houston hotel expenses for 4 nights 10/12/09 - 10/16/09	884.52
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	10/23/2009	Houston hotel expenses for 4 nights 10/19/09 - 10/23/09	884.52
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	10/30/2009	Houston hotel expenses for 4 nights 10/26/09 - 10/30/09	884.52
Mark Russell	FLC	Dallas, TX	Parking	10/2/2009	Parking at Airport	60.00
Mark Russell	FLC	Dallas, TX	Parking	10/9/2009	Parking at Airport	60.00
Mark Russell	FLC	Dallas, TX	Parking	10/16/2009	Parking at Airport	60.00
Mark Russell	FLC	Dallas, TX	Parking	10/23/2009	Parking at Airport	60.00
Mark Russell	FLC	Dallas, TX	Parking	10/30/2009	Parking at Airport	60.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/2/2009	Taxi from Client Site to Airport	50.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/5/2009	Taxi from Airport to Client Site	50.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/9/2009	Taxi from Client Site to Airport	50.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	45.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site	50.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/26/2009	Taxi from Airport to Client Site	50.00
Mark Russell	FLC	Dallas, TX	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	50.00
Mark Russell	FLC	Dallas, TX	Telephone	10/1/2009	Internet Service Access	14.02
<b>TOTAL - Mark Russell</b>						<b>\$6,543.90</b>

Michael Wei	Tech	San Francisco, CA	Airfare/Trainfare	10/16/2009	Airfare from Houston to San Francisco on 10/16/09	543.70
Michael Wei	Tech	San Francisco, CA	Airfare/Trainfare	10/19/2009	Airfare from San Francisco to Houston on 10/19/09	897.60
Michael Wei	Tech	San Francisco, CA	Airfare/Trainfare	10/21/2009	Airfare from Houston to San Francisco on 10/21/09	543.70
Michael Wei	Tech	San Francisco, CA	Hotel & Lodging	10/16/2009	Houston hotel expenses for 2 nights 10/14/09 - 10/16/09	296.38
Michael Wei	Tech	San Francisco, CA	Parking	10/16/2009	Parking at Airport	80.00
Michael Wei	Tech	San Francisco, CA	Taxi/Subway	10/14/2009	Taxi from Airport to Client Site	65.00
Michael Wei	Tech	San Francisco, CA	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	65.00
<b>TOTAL - Michael Wei</b>						<b>\$2,491.38</b>

Patrick Beeman	Tech	Chicago, IL	Airfare/Trainfare	10/11/2009	Airfare from Chicago to Houston on 10/11/09, returned 10/16/09	847.70
Patrick Beeman	Tech	Chicago, IL	Airfare/Trainfare	10/18/2009	Airfare from Chicago to Houston on 10/18/09, returned 10/22/09	757.70
Patrick Beeman	Tech	Chicago, IL	Airfare/Trainfare	10/25/2009	Airfare from Chicago to Houston on 10/25/09, returned 10/30/09	767.70
Patrick Beeman	Tech	Chicago, IL	Hotel & Lodging	10/2/2009	Houston hotel expenses for 4 nights 9/28/09 - 10/2/09	884.52
Patrick Beeman	Tech	Chicago, IL	Hotel & Lodging	10/16/2009	Houston hotel expenses for 5 nights 10/11/09 - 10/16/09	1,105.65
Patrick Beeman	Tech	Chicago, IL	Hotel & Lodging	10/22/2009	Houston hotel expenses for 4 nights 10/18/09 - 10/22/09	884.52



Patrick Beeman	Tech	Chicago, IL	Hotel & Lodging	10/30/2009	Houston hotel expenses for 5 nights 10/25/09 - 10/30/09	1,105.65
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/2/2009	Taxi from Client Site to Airport	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/2/2009	Taxi from Airport to Home	45.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/11/2009	Taxi from Airport to Hotel	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/11/2009	Taxi from Home to Airport	45.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Airport to Home	45.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Airport to Hotel	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Home to Airport	45.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/22/2009	Taxi from Airport to Home	45.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/22/2009	Taxi from Client Site to Airport	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Airport to Hotel	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Home to Airport	45.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	75.00
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Airport to Home	45.00
<b>TOTAL - Patrick Beeman</b>						<b>\$7,193.44</b>

Paul Dudzinski	FLC	Atlanta, GA	Airfare/Trainfare	10/12/2009	Airfare from Atlanta to Houston on 10/12/09	283.60
Paul Dudzinski	FLC	Atlanta, GA	Airfare/Trainfare	10/16/2009	Airfare from Houston to Raleigh on 10/16/09	302.45
Paul Dudzinski	FLC	Atlanta, GA	Airfare/Trainfare	10/19/2009	Airfare from Raleigh to Houston on 10/19/09	302.45
Paul Dudzinski	FLC	Atlanta, GA	Airfare/Trainfare	10/23/2009	Airfare from Houston to Raliegh on 10/23/09	258.85
Paul Dudzinski	FLC	Atlanta, GA	Airfare/Trainfare	10/26/2009	Airfare from Raliegh to Houston on 10/26/09	258.85
Paul Dudzinski	FLC	Atlanta, GA	Airfare/Trainfare	10/28/2009	Airfare from Houston to Roanoke on 10/28/09	260.70
Paul Dudzinski	FLC	Atlanta, GA	Hotel & Lodging	10/16/2009	Houston hotel expenses for 4 nights 10/12/09 - 10/16/09	641.16
Paul Dudzinski	FLC	Atlanta, GA	Hotel & Lodging	10/23/2009	Houston hotel expenses for 4 nights 10/19/09 - 10/23/09	592.76
Paul Dudzinski	FLC	Atlanta, GA	Hotel & Lodging	10/28/2009	Houston hotel expenses for 2 nights 10/26/09 - 10/28/09	296.38
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/12/2009	Taxi from Home to Airport	39.00
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/12/2009	Taxi from Airport to Client Site	51.81
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	65.88
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/19/2009	Taxi from Home to Airport	20.00
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site	68.00
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/26/2009	Taxi from Home to Airport	38.50
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/26/2009	Taxi from Airport to Client Site	67.00
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/28/2009	Taxi from Client Site to Airport	75.00
Paul Dudzinski	FLC	Atlanta, GA	Taxi/Subway	10/28/2009	Taxi from Airport to Home	40.00
<b>TOTAL - Paul Dudzinski</b>						<b>\$3,662.39</b>

Robert Giles	FLC	Dallas, TX	Airfare/Trainfare	10/15/2009	Airfare from Dallas to Houston on 10/12/09, returned 10/15/09	310.20
Robert Giles	FLC	Dallas, TX	Hotel & Lodging	10/15/2009	Houston hotel expenses for 3 nights 10/12/09 - 10/15/09	663.39
Robert Giles	FLC	Dallas, TX	Parking	10/12/2009	Parking at Airport	12.00
Robert Giles	FLC	Dallas, TX	Parking	10/13/2009	Parking at Airport	12.00
Robert Giles	FLC	Dallas, TX	Parking	10/14/2009	Parking at Airport	12.00
Robert Giles	FLC	Dallas, TX	Parking	10/15/2009	Parking at Airport	12.00
Robert Giles	FLC	Dallas, TX	Taxi/Subway	10/12/2009	Taxi from Airport to Client Site	50.02
Robert Giles	FLC	Dallas, TX	Taxi/Subway	10/15/2009	Taxi from Client Site to Airport	47.56
<b>TOTAL - Robert Giles</b>						<b>\$1,119.17</b>

RT-Chicago HOSTING	Tech	Chicago, IL	Native File Processing Fee	10/31/2009	Meta Data extraction and processing of Data to be loaded into Email Review tool	15,599.68
RT-Chicago HOSTING	Tech	Chicago, IL	Online Hosting Fees	10/31/2009	Hosting of data in Email Review tool	3,970.08
RT-Chicago HOSTING	Tech	Chicago, IL	Online Loading Fees	10/31/2009	Loading of processed Meta Data into Email Review tool	1,144.32
<b>TOTAL - RT-Chicago HOSTING</b>						<b>\$20,714.08</b>



Scott Sizemore	Tech	Los Angeles, CA	Airfare/Trainfare	10/15/2009	Airfare from San Diego to Houston on 10/19/09, returned 10/21/09	1,014.70
Scott Sizemore	Tech	Los Angeles, CA	Airfare/Trainfare	10/21/2009	Airfare from San Diego to Houston on 10/26/09, returned 10/28/09	1,086.70
Scott Sizemore	Tech	Los Angeles, CA	Hotel & Lodging	10/16/2009	Houston hotel expenses for 4 nights 10/12/09 - 10/16/09	884.52
Scott Sizemore	Tech	Los Angeles, CA	Hotel & Lodging	10/21/2009	Houston hotel expenses for 2 nights 10/19/09 - 10/21/09	442.26
Scott Sizemore	Tech	Los Angeles, CA	Hotel & Lodging	10/28/2009	Houston hotel expenses for 2 nights 10/26/09 - 10/28/09	442.26
Scott Sizemore	Tech	Los Angeles, CA	Parking	10/16/2009	Parking at Airport	125.00
Scott Sizemore	Tech	Los Angeles, CA	Taxi/Subway	10/12/2009	Taxi from Airport to Client Site	62.50
Scott Sizemore	Tech	Los Angeles, CA	Taxi/Subway	10/16/2009	Taxi from Client Site to Airport	70.00
Scott Sizemore	Tech	Los Angeles, CA	Taxi/Subway	10/19/2009	Taxi from Airport to Client Site	68.00
Scott Sizemore	Tech	Los Angeles, CA	Taxi/Subway	10/21/2009	Taxi from Client Site to Airport	70.00
Scott Sizemore	Tech	Los Angeles, CA	Taxi/Subway	10/26/2009	Taxi from Airport to Client Site	68.00
Scott Sizemore	Tech	Los Angeles, CA	Taxi/Subway	10/28/2009	Taxi from Client Site to Airport	70.00
<b>TOTAL - Scott Sizemore</b>						<b>\$4,403.94</b>

Sheena Chawla	FLC	Chicago, IL	Airfare/Trainfare	10/12/2009	Airfare from Chicago to Houston on 10/16/09	399.00
Sheena Chawla	FLC	Chicago, IL	Airfare/Trainfare	10/15/2009	Airfare from Houston to Chicago on 10/16/09, returned 10/18/09	774.70
Sheena Chawla	FLC	Chicago, IL	Airfare/Trainfare	10/21/2009	Airfare from Houston to Chicago on 10/23/09, returned 10/25/09	807.70
Sheena Chawla	FLC	Chicago, IL	Airfare/Trainfare	10/29/2009	Airfare from Houston to Chicago on 10/30/09	357.10
Sheena Chawla	FLC	Chicago, IL	Hotel & Lodging	10/16/2009	Houston hotel expenses for 3 nights 10/13/09 - 10/16/09	598.78
Sheena Chawla	FLC	Chicago, IL	Hotel & Lodging	10/23/2009	Houston hotel expenses for 5 nights 10/18/09 - 10/23/09	1,119.67
Sheena Chawla	FLC	Chicago, IL	Hotel & Lodging	10/30/2009	Houston hotel expenses for 5 nights 10/25/09 - 10/30/09	1,105.65
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/12/2009	Taxi from Home to Airport	45.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/12/2009	taxi from Airport to Home	60.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Airport to Home	46.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/16/2009	Taxi from Hotel to Airport	65.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Airport to Hotel	67.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/18/2009	Taxi from Home to Airport	47.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/23/2009	Taxi from Airport to Home	47.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/23/2009	Taxi from Client Site to Airport	60.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Airport to Hotel	65.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/25/2009	Taxi from Home to Airport	42.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Client Site to Airport	61.00
Sheena Chawla	FLC	Chicago, IL	Taxi/Subway	10/30/2009	Taxi from Airport to Home	45.00
Sheena Chawla	FLC	Chicago, IL	Telephone	10/19/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/20/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/21/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/22/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/25/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/26/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/28/2009	Internet Service Access	14.02
Sheena Chawla	FLC	Chicago, IL	Telephone	10/29/2009	Internet Service Access	14.02
<b>TOTAL - Sheena Chawla</b>						<b>\$5,924.76</b>

<b>TOTAL EXPENSES</b>						<b>\$104,781.29</b>
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Stanford Financial Receivership  
 FTI Billing Breakout  
 Time Incurred on October Invoice Issued November 10

Baker Botts Description	Amount	Percentage
Clawback Litigation	\$ 511,812	63%
General Litigation	158,347	20%
Cash Management	95,405	12%
Claims	24,967	3%
General Receivership	10,822	1%
Government Production	7,438	1%
Account Review Process	446	0%
<b>Grand Total</b>	<b>\$ 809,237</b>	<b>100%</b>



***Forensic and Litigation Consulting***

January 15, 2010

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

Re:  
FTI Job No. 008334.0239

Enclosed is our invoice for professional services rendered in connection with the above referenced matter. This invoice covers professional fees from November 1, 2009 through November 30, 2009.

Please do not hesitate to call me to discuss this invoice or any other matter.

Sincerely yours,

Karyl Van Tassel  
Senior Managing Director

Enclosures



**Invoice Remittance**

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

January 15, 2010  
FTI Invoice No. 7222541  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through November 30, 2009

Professional Services.....	\$778,725.60
Expenses.....	\$56,434.44
<b>Total Amount Due this Period.....</b>	<b>\$835,160.04</b>
Previous Balance Due.....	\$7,793,899.56
<b>Total Amount Due.....</b>	<b><u>\$8,629,059.60</u></b>

**Please Remit Payment To: FTI Consulting, Inc.**  
**P.O. Box 630391**  
**Baltimore, MD 21263-0391**  
**(800) 334-5701**

REDACTED

REDACTED



## Invoice Summary

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

January 15, 2010  
FTI Invoice No. 7222541  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through November 30, 2009

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Name	Title	Rate	Hours	Total
Karyl Van Tassel	Senior Managing Director	\$488.00	69.1	\$33,720.80
Simon Strong	Senior Managing Director	\$444.00	5.0	\$2,220.00
Anthony Tabb	Managing Director	\$460.00	2.2	\$1,012.00
Michael Wei	Managing Director	\$440.00	27.6	\$12,144.00
Jeffrey Ferguson	Managing Director	\$424.00	107.6	\$45,622.40
Jeanette Day	Director	\$468.00	121.2	\$56,721.60
James R. Scarazzo	Director	\$400.00	5.1	\$2,040.00
Craig Kolodjeski	Director	\$392.00	114.8	\$45,001.60
Robert Giles	Director	\$372.00	123.2	\$45,830.40
Kevin Blake	Director	\$368.00	180.8	\$66,534.40
Nicole Donnelly	Director	\$360.00	3.9	\$1,404.00
Daniel Roffman	Director	\$344.00	29.6	\$10,182.40
Brian Kim	Director	\$344.00	0.4	\$137.60
Andrew Sheehan	Director	\$340.00	4.1	\$1,394.00
Ann Emberson	Director	\$320.00	3.0	\$960.00
Amy Kneoppel	Director	\$316.00	122.7	\$38,773.20
Scott Sizemore	Director	\$312.00	198.9	\$62,056.80
Mark Russell	Senior Consultant	\$288.00	170.1	\$48,988.80
Deepak Chheda	Senior Consultant	\$280.00	13.0	\$3,640.00
Patrick Beeman	Senior Consultant	\$280.00	167.3	\$46,844.00
Daniel Palmer	Consultant	\$268.00	138.8	\$37,198.40
Anna Campbell	Senior Consultant	\$264.00	8.2	\$2,164.80
Kyle Amschler	Senior Consultant	\$260.00	8.1	\$2,106.00
Hairong Qu	Senior Consultant	\$260.00	110.9	\$28,834.00



*Invoice Summary*

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

January 15, 2010  
FTI Invoice No. 7222541  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through November 30, 2009

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<b>Name</b>	<b>Title</b>	<b>Rate</b>	<b>Hours</b>	<b>Total</b>
Kathleen Prichard	Senior Consultant	\$248.00	137.4	\$34,075.20
Michael Kirk	Senior Consultant	\$248.00	10.1	\$2,504.80
Bonnie Harvey	Senior Consultant	\$236.00	3.7	\$873.20
Barbara Arellano	Consultant	\$220.00	156.1	\$34,342.00
Rebecca Templeton	Consultant	\$196.00	122.8	\$24,068.80
John Kluka	Consultant	\$188.00	136.5	\$25,662.00
Lara Nemerov	Consultant	\$232.00	10.5	\$2,436.00
Abayomi Odunsi	Consultant	\$212.00	133.5	\$28,302.00
Bridget Meacham	Consultant	\$196.00	48.6	\$9,525.60
Abigail Poplis	Consultant	\$188.00	97.1	\$18,254.80
Jeffrey Blanton	Consultant	\$180.00	17.5	\$3,150.00
			<b>2609.4</b>	<b>\$778,725.60</b>
<b>Expenses</b>				<b>\$56,434.44</b>
<b>Invoice Total</b>				<b>\$835,160.04</b>

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**\*\*Invoice Total has been discounted by \$194,681.40**

FTI Consulting performed the following tasks performed from November 1 through November 30, 2009:

- Provided assistance with the Receivership operations procedures including: assisting with employee retention, performing daily treasury procedures, preparing cashflow/forecasting model and assisting in the accounting function.
- Processed and loaded electronic data into document review tool to support the litigation needs of the case, including but not limited to Financial Advisor information.
- Responded to SEC and DOJ requests regarding data and electronic evidence.
- Responded to various media inquiries and public information requests.
- Prepared analysis of CD proceeds received by customers in accordance with 5<sup>th</sup> Circuit ruling of net winners/net losers. Analysis was performed for the following groups:
  - Individuals with accounts held at Pershing and SEI
  - Individuals who have previously contributed to the Receivership's escrow account
  - Top 100 customers with SIB withdraws
- Prepared analysis of CD proceeds received by various groups of employees.
- Retrieved and began analysis of Stanford Financial information stored in Montreal Canada.
- Prepared analysis of Bonuses, Commissions and Loans provided to Financial Advisors.
- Reviewed loaded electronic evidence (email and other) for relevant information to support Receivership litigation efforts including former employees/financial advisor litigation and Chapter 15 litigation.
- Provided research and analysis related to ongoing litigation conducted by the Receivership including review of company email and hard copy documents.
- Prepared for production supporting information related to the affidavits of Karyl Van Tassel
- Conducted research and analysis to support declarations and briefs to be filed in Chapter 15 litigation.

**PROFESSIONAL SERVICES**
**Karyl Van Tassel**

11/02/09	Review documents supporting declaration	1.50
11/02/09	Review prior affidavit of N.H. Smith	0.80
11/03/09	Review production request documents	1.30
11/03/09	Review support for Chapter 15 declaration	2.50
11/04/09	Review of Chapter 15 Declaration and support and follow up issues	1.30
11/04/09	Review of last declaration related to cash tracing for inclusion in Chapter 15 work	1.50
11/04/09	Review KVT Chapter 15 Supplemental Declaration	0.50
11/05/09	Review filings in London regarding COMI	1.80
11/05/09	Review Davis plea agreement and transcript	2.00
11/05/09	Review of N.H. Smith Chapter 15 declaration	1.50
11/06/09	Review further support for Chapter 15 Declaration in preparation for Chapter 15 testimony	1.80
11/06/09	Review Hickmont declaration and compare to documents regarding the issues raised	0.80
11/06/09	Review Rowley declaration and compare to documents regarding the issues raised	0.50
11/06/09	Discuss staffing and status of work streams. Prioritize needs by BB.	1.00
11/06/09	Discuss Chapter 15 hearing and testimony with counsel	1.30
11/09/09	Review documents for Chapter 15 production	1.50
11/09/09	Review support for Declaration production	1.80
11/09/09	Discuss with counsel status of Chapter 15 update	1.30
11/10/09	Review production documents	1.80
11/10/09	Review updated employee proceeds schedule	1.30
11/11/09	Review of Chapter 15 status and documentation	2.50
11/12/09	Review status of requests for discussion with counsel	1.30
11/12/09	Reviewing documents and emails for potential production for Chapter 15	1.50
11/13/09	Reviewing case law related to clawback actions	1.00
11/13/09	Reviewing transaction data to determine methodology for net winner/loser calculations	0.80
11/13/09	Review findings and strategy for Chapter 15 production	1.50
11/13/09	Reviewing documents supporting COMI for status with BB	1.80
11/16/09	Discuss net winner and loser methodology	0.80
11/16/09	Reviewing examples of net winner/loser calculations	1.50
11/17/09	Reviewing status of net winners/losers calculation	0.80
11/17/09	Reviewing transactions supporting example net winner/loser calculation	1.00
11/17/09	Reviewing new documents and information for Chapter 15	1.00
11/17/09	Reviewing documents for potential production for declaration	1.30
11/18/09	Review schedule of net winners/losers	1.50
11/18/09	Reviewing documents for Chapter 15	0.80
11/18/09	Reviewing status of net winner/loser analysis on summary spreadsheet of results.	0.80
11/19/09	Discuss findings from Montreal file review	0.80
11/20/09	Review investor litigation report examples	0.80
11/20/09	Discuss investor litigations issues and methodology with BB	0.50
11/20/09	Review findings on Lusky net winner/loser calculation	0.50
11/20/09	Update BB on Chapter 15 findings	1.50
11/20/09	Review status of Chapter 15 analysis with J. Ferguson	1.30
11/23/09	Review reconciliations of former KVT-4 with net winner/loser calculation	0.80



11/24/09	Review additional emails discovered for Chapter 15 production	1.00	
11/25/09	Reviewing support for paragraphs 1-17 of the clawback declaration	1.00	
11/25/09	Review documents and emails related to SIB policies and procedures	0.80	
11/25/09	Review documents and emails related to Henry Failings	1.80	
	<span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>		
11/25/09	Reviewing emails and documents related to issue of 20/20 expenditures	0.80	
11/25/09	Call with counsel to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.50	
11/29/09	Discussions with counsel regarding <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30	
11/30/09	Reviewing additional documents related to Harry Failing Stanford Group structure	2.50	
11/30/09	Review additional documentation identified regarding personal Stanford payments using CD funds	1.75	
11/30/09	Reviewing additional data regarding Stanford 20/20 payments	1.50	
11/30/09	Review additional documentation identified related to cash tracing update for 2007	1.25	
		\$488.00 per hour x total hrs of	69.10
			\$33,720.80

**Jeanette Day**

11/02/09	Follow up with Andy Beakey on tax due dates state by state to prepare cash forecast	0.10	
11/02/09	Meet with Kerry Jackson for status update of month-end July close	1.00	
11/02/09	Answer receivership emails - verify claims, review responses to CD holders, and respond to vendor questions.	1.30	
11/02/09	Call with Greg Santin, Mellon, on status of Casuarina delinquent property taxes and current amount due for 2009. Verify payment online	0.50	
11/02/09	Contact Mellon for monthly interest statement.	0.10	
11/02/09	Meet with Daniel Palmer on payment of Casuarina 20 property taxes for 2008 and status of contacts with the county	1.10	
11/02/09	Call miami-Dade county to follow up on voiding of check for '08 delinquent property tax that was subsequently paid via wire and confirm that '09 taxes remain unpaid	0.70	
11/02/09	Call with Kim Epstein on 20 Casuarina	0.40	
11/02/09	Review Craig's list and update for open items Miami property tax, CD's at Trustmark, and Dissolution of Companies for meeting scheduled tomorrow morning.	0.90	
11/02/09	Reconcile 9/28 database download to HR filed claims previously provided to HR. Provide new pull information to Char McDougall, Connie Guerra, and Benie George on 6 new claims plus revised info for 2 claims.	1.30	
11/02/09	Review daily cash reconciliation and tie to Bank statements and subledger	0.30	
11/02/09	Update Kristie Blumeneschein, Valerie Thomas, Craig Adams, and Ralph Janvey on status of Casuarina 20 taxes and follow-up on check	0.60	
11/03/09	Review weekly AP list and provide changes and questions to Daniel Palmer	0.70	
11/03/09	Bi-weekly management meeting on administration of estate with Char McDougall, Fred Fram, Rhonda Davis, Kerry Jackson, and Daniel Palmer. Update on month-end closing, 401(k) status, and claim status.	1.20	
11/03/09	Search for T&E claims in filed claims to close-out category.	0.60	
11/03/09	Prepare for Call with Craig Adams, Fred Fram, Rhonda Davis, Steve Lindstrom, and Malcolm Lovett on estate administration.	0.40	
11/03/09	Call with Craig Adams, Fred Fram, Rhonda Davis, Steve Lindstrom, and Malcolm Lovett on estate administration.	1.60	
	<span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>		



11/03/09	Follow up with Doug Rogers and Herschel Hamner on \$5K amegy check and provide answer to Kerry Jackson for accounting purposes.	0.20
11/03/09	Prepare memo on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> claim for Malcolm Lovett and Craig Adams providing breakdown of total amount into compensation, reimbursement claims, etc and update status of category payout. Offset move amounts with projected amount provided by Graebel.	1.50
11/03/09	Prepare total claim summary for Malcolm Lovett and Craig Adams with <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> . Divide "secured" claims and "other" claims into existing categories and update totals.	1.90
11/03/09	Final review of weekly AP after revisions and send to Craig Adams, Malcolm Lovett, and Fred Fram for approval.	0.30
11/03/09	Follow up on CSC payments with Daniel Palmer	0.10
11/03/09	Research and Confirm payment of patina decor awning replacement with Charlie Gale and clearing of check.	0.50
11/03/09	Follow-up with Char McDougall and Tiffany Petty on aged hr checks and permission to contact employees on status.	0.20
11/03/09	Meet with Char McDougall to discuss HR claim reconciliation	0.60
11/03/09	Contact Ralph on location for checks and confirm with AP how to prepare the package this week for ease of signing and re-sending.	0.20
11/03/09	Review recurring payment list and ensure all monthly bills have been accounted for.	0.40
11/03/09	Request sweep of maturing CD's at Trustmark from Herschel Hamner and David Arlington	0.10
11/03/09	Prepare reconciliation of total claims from text downloaded files to previously download file for new additions and update Char McDougall on new HR claims and Paul Montgomery on new coins and bullion claim.	1.20
11/04/09	Research missing date info through past email confirmations. Tie to bank statement based on days accrued. Verify interest rate for period	0.70
11/04/09	Calculate allocation of interest per person for escrow account	2.00
11/04/09	Call with Patrick Beeman on how to access claims filed in first 8 days	0.20
11/04/09	Research and respond to emails on St. Croix vendors	0.50
11/04/09	Sort invoices for next week's AP	0.20
11/04/09	Reconcile new claim database to existing HR database	1.00
11/04/09	Call with Craig Adams, Fred Fram, Rhonda Davis, Steve Lindstrom, Malcolm Lovett, Gary Osborn, Andy Beaky, and Richard Husseini on property tax, status of existing legal entities, and year-end wind-down procedures	1.50
11/04/09	Update lease chart with recent settlement info for E&Y property tax.	0.50
11/04/09	Send out wire confirmations	0.10
11/04/09	Verify dates from bank statement of deposit for escrow account to allocate interest amount participants	0.70
11/04/09	Review and respond to receivership emails - verify claims, HR status, status of claims.	0.60
11/05/09	Update of weekly AP - Baldwyn and yacht.	0.60
11/05/09	Research missing claim by number and name in downloaded database, text files, and online email folders, including sent mail. Touch base with Patrick Beeman and Baker Botts.	1.30
11/05/09	Bi-Weekly management meeting with Fred Fram, Rhonda Davis, Char McDougal, Kerry Jackson, and Daniel Palmer to discuss administration of estate. 401(k) document status, w2 for unpaid bounce d check on loan, and August accounting close	0.80
11/05/09	Respond to receivership emails - VAT, St. Croix inventory of weapons, Kim Sanchez, CD claim	0.70
11/05/09	Update critical master list for pivot to include in updated cash flow	1.30
11/05/09	Call with Patrick Beeman on claims filing	0.20
11/05/09	Review real estate order for miscellaneous asset sales	0.80



11/05/09	Update op costs for 5050 update	0.60
11/05/09	Review and respond to info on Aruba employee.	0.20
11/05/09	Change interest calculation on escrow account based on info provided by Kathy May, JPM	1.50
11/06/09	Update missing claims list with Fred Fram and Helen Duskin for new email	0.20
11/06/09	SIBL account wiring instructions	0.10
11/06/09	Bank of Antigua account review at Trustmark and potential rolling of account through Herschel Hamner.	0.20
11/06/09	Research Accounting for incoming checks	0.30
11/06/09	Coordinate wire for Proposed sale of Panama	0.30
11/06/09	AP for yacht update with Harry Freyn	0.20
11/06/09	Call with Fred Fram on missing claims info	0.20
11/06/09	Update Craig Adams on status of missing claims	0.20
11/06/09	Touch base with Ralph Janvey and coordinate early ADP wires for holiday following week	0.10
11/06/09	Review cash reconciliation and tie out to bank statements and subledger	0.50
11/06/09	Search for filing of SIB claims for Craig Adams and Kevin Sadler	1.10
11/06/09	Research and update Andrew York on Hawker info	0.30
11/06/09	Begin preparing Next week's ap for payments- Prentiss county power bills	0.30
11/09/09	Discuss unpaid T&E and reconciliation with filed claims	0.40
11/09/09	Respond to receivership emails re: Chapter 15 claim and statement requests	2.00
11/09/09	Research Michael Kepesky claim	1.00
11/09/09	Advise Char McDougall on updates to HR database including needed PARs info and review of offer letters.	1.00
11/09/09	Respond to Sue Ayers on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> of need to date check pre-April.	0.10
11/09/09	Reconcile Kepesky claim to offer letter	1.00
11/09/09	Update Andrew York on accounting for Hawker	0.60
11/09/09	Discuss Hawker available records with Patsy Wilson	0.40
11/09/09	Meet with Mark Russell to discuss Kepesky claims and validation.	0.30
11/09/09	Meet with Kerry Jackson to discuss staffing needs	1.00
11/09/09	Review and revise "Craig's List" for Craig Adams conference call	0.50
11/09/09	Call with Char McDougall and K. Jackson on Pars.	0.20
11/09/09	Call with Andrew York on Hawker Expense.	0.30
11/09/09	Call with Craig Adams and James Thomas on missing filed claims	0.30
11/09/09	Meet with Kerry Jackson and Mark Russell on PARs calculation	0.10
11/10/09	Email Sue Ayers on suggested solution for <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> after administration of estate meeting	0.30
11/10/09	Meet with Araceli Vega to discuss funding of payroll for Aruba to determine jurisdiction for remaining employee	0.20
11/10/09	Respond to receivership emails - Fidessa vendor claim, filed CD claim verification	1.00
11/10/09	Prepare for administration of estate meeting	0.10
11/10/09	Bi-weekly management meeting with Fred Fram, Char McDougall, Rhonda Davis, Kerry Jackson, and Daniel Palmer. Overview of Craig Adams meeting and distraction of estate by department going forward.	1.00
11/10/09	Call with Craig Adams, Steve Lindstrom, Fred Fram for update on administration of estate	0.70
11/10/09	Property tax research. For bills with past due dates, research status and '09 amounts online by county. Pulled 5 St. Croix properties to determine current status.	1.00
11/10/09	Review reconciliation of cash to bank statements and subledger	0.20
11/10/09	De-dupe the list of former employees hold at this time list	0.70
11/10/09	Research Brian Kenney on Pars, non-CD Commissions, vacation, draw, and Amex bills	0.50
11/10/09	Discuss Amex bills and handling going forward.	0.20



11/10/09	Pull filed complaint against FA's and ensure HR list of employees not to pay at this time are clear.	0.50
11/10/09	Research and respond to receivership emails on Baldwin Taxes, repair costs for Sea Eagle, Aviation expense info request, and T&E for St. Croix visit	0.50
11/10/09	Continue with property tax invoices after weekly meeting and pull 8 more from online. Double check that subledgers do not contain the info.	1.00
11/10/09	Create and consolidate list of employees that will not be paid at this time	1.00
11/10/09	Meet with Mark Russell to discuss Rebecca Reeves payment	0.10
11/10/09	Look for claim in squirrel mail and FTI database with Helen Duskin to ensure claims process is inclusive.	0.40
11/11/09	Update CDH cash flow, change pivot based on latest AP paid subledger and check rental income calculation	0.70
11/11/09	Meet with Connie Guera and Bernie George on how to confirm if employee check had cleared	0.50
11/11/09	Forecast expected cash outflow for November and December to determine burn rate and date that new funding will be needed	2.00
11/11/09	Determine current occupancy expenses and monthly vendors by property for forecast update	0.40
11/11/09	Breakout interest earned for cash flow forecast	0.80
11/11/09	Meet with Daniel Palmer on status update of claims	0.20
11/11/09	Research monthly cost and vendors for Yacht for forecasting remainder of year and determine burn rate of cash.	0.70
11/11/09	Meet with Connie Guera and Bernie George on how to confirm if employee check had cleared	0.50
11/11/09	Revise YTD cash flow work book for discrepancies and cross check discrepancies	0.90
11/11/09	Meet with Fred to discuss hold all payments to identified employees and update consolidated list as discussed	0.40
11/11/09	Update cash flow/forecast workbook with October actuals	0.80
11/11/09	Meet with Steve Lindstrom to discuss St. Croix issues.	0.40
11/11/09	Update "hold payments to certain employees with new names provided by Mark Russell	0.80
11/11/09	Meet with Fred Fram and Helen Duskin to discuss CD database after receivership email	1.00
11/12/09	Coordinate meeting on CD database	0.20
11/12/09	Call with Fred Fram for update on Craig Adams suggestions re: cd database and identification of claims.	0.50
11/13/09	Update Fred Fram on pending cash flow needs for state tax	0.30
11/13/09	Research and advise Charlie Gale on status of a payment.	0.20
11/13/09	Call with Jim Jones to discuss pending state income tax and cash needs	0.20
11/13/09	Conference call on CD Database with Tony Tabb, Kevin Blake, Craig Adams, and Fred Fram	0.50
11/13/09	Review daily cash report for reconciliation to bank statement and subledger	0.10
11/16/09	Discuss Verizon/Pershing past due invoice with Daniel Palmer	0.30
11/16/09	Confirm wires sent last week - Stutt wire and Yachts wire	0.10
11/16/09	Prepare for call with Roland Timmerman on his claim - review summary, reconciliation, and his support.	0.40
11/16/09	Meet with Daniel Palmer to discuss timing and AP process during Thanksgiving holiday	0.20
11/16/09	Review and respond to Anita baron re: Paradise Waste claim	0.20
11/16/09	Review interest calc for escrow funds per depositor	1.00
11/16/09	Prepare state franchise tax checks for receiver	0.50
11/16/09	Discuss Roland Timmerman coin commission claim with Char McDougall	0.40
11/16/09	Call with Craig Adams and Char McDougall on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> Claim	0.20
11/16/09	Search for Fidessa Claim in database	0.20



11/16/09	Follow up on suspense items at Trustmark with Jim Outlaw	0.20
11/16/09	Provide claim numbers for receivership email responses - Jacara Trust, Texas employment tax refund	0.30
11/16/09	Confirm no answer on Aruba employee and request assistance.	0.20
11/16/09	Review and answer receivership emails - status of Pershing accounts, request for SIPC claim, tax status of losses	1.20
11/16/09	Research claim numbers - Jansing claim confirmed in database, Blaine hawking, Shulman, channel Dynamix	1.50
11/16/09	Update HR Hold list with new names - Frisard, etc.	0.30
11/16/09	Review cash reconciliation and tie to bank statement and subledger	0.30
11/16/09	Discuss Cafeteria plan bank account at Trustmark and status of refunding bounced payflex checks from new JPM account with Kerry Jackson	0.40
11/17/09	Research wire instructions for escrow wire and confirm ultimate beneficiary as asset manager	0.30
11/17/09	Send AP request list for review to Malcolm Lovett, Craig Adams, and Fred Fram	0.10
11/17/09	Update cash flow burn rate with new REDACTED figure for November	0.50
11/17/09	Double check wire instructions and account for escrow balances for Daniel Palmer	0.10
11/17/09	Agree wire instructions for escrow account to calculated interest balances	0.30
11/17/09	Send weekly AP wire requests and escrow wire requests to Ralph Janvey for approval	0.10
11/17/09	Revise cash flow to date model and build forecast inputs for remainder of year by category	1.00
11/17/09	Discuss next week's AP process with Daniel Palmer and Fred Fram	0.30
11/17/09	Research Dayna Burns T&E request and purpose in claims database	0.20
11/17/09	Discuss Process for Non-CD Commission checks given pending holiday with Daniel Palmer	0.30
11/17/09	Update team on status of Miami-Date taxes and voiding of check with Marie Barnhart and Kerry Jackson	0.10
11/17/09	Confirm process for sending escrow wires to Fred Fram	0.30
11/17/09	Research w-9 request as "purchaser" in venture capital transaction given receivership status as seller and confirm no action needed.	0.40
11/17/09	Bi-weekly management meeting for administration of estate with Char McDougall, Fred Fram, Rhonda Davis, Daniel Palmer, and Kerry Jackson	0.70
11/17/09	Confirm Dewey Thom's claim status to Helen Duskin	0.10
11/17/09	Review "Craig's List" for Wednesday meeting with Craig Adams.	0.10
11/17/09	Add discussion points for administration of estate, including taxes	
11/17/09	Review receivership emails and respond to Jonathan Payne's claim	0.40
11/17/09	Review weekly AP for completeness and details	0.70
11/17/09	Confirm holding tax payments until due date with Kim Epstein and AP crew	0.30
11/17/09	Update process for confirming bounced payflex check and process to reimburse to Char McDougall	0.30
11/18/09	Call with Craig Adams, Fred Fram, Rhonda Davis, Steve Lindstrom on estate administration: legal department status, REDACTED	0.70
11/18/09	Research \$50K send to Fidelity National Title - Search AP paid to date, call national title to confirm Ralph Janvey as Seller, call John Greer to confirm no action needed.	0.70
11/18/09	Meet with Kerry Jackson to discuss flexcorp payments made may 20 from JPM account	0.40
11/18/09	Review list of received checks for ownership - Children's champions of Hope, 909 third Co, Intercha	1.20
11/18/09	Review escrow wires	0.40
11/18/09	Review open T&E list and split into different categories as paid, hold, duplicate, partially paid, overpaid	1.50



11/18/09	Discuss open T&E items of underpaid, overpaid, and not yet paid with Kerry Jackson	0.40
11/18/09	Research/double check no pay, overpaid T&E payments. Need to go through check by check to see if check issued was for T&E and not other item. Document check number and amount. Verify check cleared	1.70
11/18/09	Call with Ulf Marxen, Fred Fram, Steve Lindstrom, and Rhonda Davis on [REDACTED]	0.30
11/18/09	Update cash flow to date with latest master critical and clear variances. Add rent and update forecast for remainder of November/December. double check monthly payments that have not yet occurred for the month and due for payment.	0.90
11/18/09	Look for Oracle emails on Latin America	0.10
11/18/09	Call with Valerie Thomas on Klein wires and confirm wire instructions.	0.30
11/18/09	Review reconciled filed claims HR database	0.80
11/18/09	Meeting with Malcolm Lovett and Fred Fram on T&E, non-cd commissions, claim status, bulk release, taxes, VPN access, Finra invoices, timeline of claims	1.00
11/19/09	Confirm no record or knowledge of ESPN contract	0.10
11/19/09	Meet with Char McDougall and Bennie George to discuss negotiations of HR claims and needed documentation.	0.60
11/19/09	Follow up on unpaid monthly vendors with Steve Lindstrom and Daniel palmer, Anita Baron, and Rosci yachting center	0.40
11/19/09	Discuss Virgin Islands with Steve Lindstrom	0.40
11/19/09	Confirm Hawker October maintenance	0.20
11/19/09	Review cash reconciliation and tie to bank statements and sub ledger	0.30
11/19/09	Bi-Weekly management meeting with Fred Fram, Char McDougall, Kerry Jackson, Rhonda Davis, and Daniel Palmer on claim status and unpaid T&E	1.10
11/19/09	Call with Kim Epstein and confirm wire instructions for Davis Holdings	0.30
11/19/09	Provide wire instructions for Stanford venture capital Holdings, inc	0.10
11/19/09	Review receivership emails on tax info for Metzinger, batteries for hawker, and Shareholder meeting	0.60
11/19/09	Confirm FedEx holiday procedures with Fred Fram	0.30
11/19/09	Review and respond to receivership emails on CD accounts ,tax losses, and management fee claims	0.70
11/19/09	Meet with Kerry Jackson and Daniel Palmer to discuss balance sheets and E&Y meeting prep	0.60
11/19/09	Update cash flow to date and send cash burn to Craig Adams and Fred Fram	0.50
11/19/09	Call with sammy valenti, Trustmark, to discuss Bank of Antigua accounts	0.30
11/20/09	Review cash reconciliation and tie to bank statement and subledger	0.30
11/20/09	Call with Fred Fram on number of filed vendor and HR claims and dollar amounts for London filing	0.30
11/20/09	Review declaration for Hawker	0.60
11/20/09	Follow-up on VI vendor payments	0.30
11/20/09	Review and request release for Escrow wires	0.30
11/20/09	Follow-up on property tax status with Jim Jones	0.20
11/20/09	Follow-up on masked account number for escrow wire	0.20
11/23/09	Review weekly AP list	1.00
11/23/09	Review and respond to receivership emails - Virgin Islands Asphalt products corp, VI Property tax litigation, SIBL cd's, flexcorp bounced checks, laundering	1.50
11/23/09	Follow-up on delinquent property tax with Jim Jones, E&Y	0.50
11/23/09	Discuss weekly AP process with Daniel palmer	0.30
11/23/09	Review escrow wires	0.40
11/23/09	Confirm all monthly payments have been made for November	0.40



11/23/09	Research date of release of former employees and company worked <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	1.00	
11/24/09	Response to commission check deposit	0.20	
11/24/09	Calculate net cash flow for escrow account given fees for Reg D	1.00	
11/24/09	Bi-weekly management meeting call with Rhonda Davis, Fred Fram, Char McDougall, and Daniel Palmer. 401(k) open items	0.60	
11/24/09	Regulation D Call with Daniel Palmer	0.20	
11/24/09	Review and respond to receivership emails - Aruba employee, claims	1.00	
11/24/09	Craig's list call with Fred Fram, Steve Lindstrom, Craig Adams, and Rhonda Davis on estate administration	0.50	
11/24/09	Fees on bank accounts exceeding 6 transactions discussion with Kathy May, JPM	0.60	
11/25/09	Follow-up on Francis Casey claim with Steve Lindstrom and Craig Adams	0.60	
11/25/09	Follow-up on bank of Antigua bank accounts at Trustmark	0.20	
11/25/09	Review escrow wires	0.50	
11/25/09	Search for cd claim for Vik Kurylak	0.50	
11/30/09	Wire instructions for held wire	0.50	
11/30/09	Review cash reconciliation and tie to bank statements and subledger	0.40	
11/30/09	Weekly AP requests consolidation	0.40	
11/30/09	Call with Malcolm Lovett about cash	0.20	
11/30/09	Review and respond to receivership emails - Dell vendor claim, hearing date, loan payments, Fidessa claim, Michael Tannery coins commissions	1.50	
11/30/09	Confirm status of vendor claims	0.20	
11/30/09	Update receivership cash flow through end of November and forecast cash burn rate through year-end for Bill Stutts	2.00	
11/30/09	Discuss Francis Casey claim with Steve Lindstrom	0.30	
11/30/09	Review Craig's list for call with Craig Adams on Tuesday	0.20	
11/30/09	Research Trustmark bank statements	0.20	
<b>\$468.00 per hour x total hrs of</b>		<b>121.20</b>	<b>\$56,721.60</b>

**Anthony Tabb**

11/18/09	Review Montreal Server Directories <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	0.40	
11/19/09	Status Update for Baker Botts regarding Montreal Server <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	0.50	
11/30/09	Review of Data Acquisition of Montreal Server. Specific review for full <span style="border: 1px solid red; padding: 1px;">REDACTED</span> bak file. BoA accounting records.	1.30	
<b>\$460.00 per hour x total hrs of</b>		<b>2.20</b>	<b>\$1,012.00</b>

**Simon Strong**

11/16/09	Conduct calls on Beki Reeves service - updating of property searches and coordination of site visits	1.40	
11/17/09	Revisions and updates to Reeves contact information need for potential service by Receiver	3.20	
11/24/09	Review of subpoena regarding Perraud	0.40	
<b>\$444.00 per hour x total hrs of</b>		<b>5.00</b>	<b>\$2,220.00</b>

**Michael Wei**

11/02/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	2.00	
11/03/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	2.00	
11/04/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	2.00	
11/04/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	



11/05/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	2.00	
11/06/09	Internal status meeting with Karyl Van Tassel, Jeff Ferguson, Kevin Blake, Shawn Giles, and Craig Kolodjeski to discuss outstanding workstreams.	0.80	
11/06/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	2.00	
11/09/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	
11/10/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	
11/11/09	Meeting with Jeff Ferguson, Drew Sheehan, and Katie Prichard to identify customer accounts reviewed and released through the Stipulated Partial Release process.	0.50	
11/11/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	
11/11/09	Internal update call to review workstreams in preparation for the Chapter 15 hearing.	0.50	
11/12/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	
11/13/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	
11/14/09	Review/manage FTI analysis of investors included on KVT-6 schedule to include an additional 50 investor groups.	1.00	
11/16/09	Meeting with Baker Botts (Kevin Sadler & David Arlington) and FTI (Karyl Van Tassel, Shawn Giles, Craig Kolodjeski, & Kevin Blake) to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.50	
11/16/09	Internal meeting with Karyl Van Tassel, Jeff Ferguson, Shawn Giles, Amy Kneoppel, and Mark Russell to discuss outstanding workstreams.	0.50	
11/16/09	Internal meeting with Scott Sizemore, Kevin Blake, Amy Kneoppel, and Mark Russell to identify work for net winners & losers analysis for investor groups on KVT-4.	0.50	
11/16/09	Internal follow-up meeting with Karyl Van Tassel, Shawn Giles, Craig Kolodjeski, & Kevin Blake to discuss net winners & losers analysis for investor groups on KVT-4.	0.30	
11/17/09	Review of the SQL queries created to perform the analysis of net winners & net losers to verify that the code performs the correct analysis.	2.00	
11/17/09	Internal status call to discuss production of the net winner/net losers schedule.	0.50	
11/18/09	Review of the SQL queries created to perform the analysis of net winners & net losers to verify that the code performs the correct analysis.	2.00	
11/19/09	Review of the SQL queries created to perform the analysis of net winners & net losers to verify that the code performs the correct analysis.	2.00	
11/19/09	Internal status call to discuss discrepancies found during the QC of the net winner/net losers results <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.50	
\$440.00 per hour x total hrs of		27.60	\$12,144.00

**Jeffrey Ferguson**

11/02/09	Review various court filings of Nigel John Hamilton-smith related to Chapter 15 hearings in various jurisdictions.	2.10	
11/02/09	Review court filings of the Receiver from various jurisdictions regarding Chapter 15.	1.20	
11/03/09	Review various court filings of Nigel John Hamilton-smith related to Chapter 15 hearings in various jurisdictions.	3.10	
11/03/09	Review court filings of the Receiver from various jurisdictions regarding Chapter 15.	1.00	



11/04/09	Review various court filings of Nigel John Hamilton-smith related to Chapter 15 hearings in various jurisdictions.	1.00
11/04/09	FTI conference call to discuss documentation required for upcoming Chapter 15 evidentiary hearing.	1.30
11/05/09	Review court filings of the Receiver from various jurisdictions regarding Chapter 15.	1.80
11/06/09	Review court filings of the Receiver from various jurisdictions regarding Chapter 15.	2.50
11/06/09	Conference call with FTI team and separate discussions with S. Giles and C. Kolodjeski to discuss the analyses required for the Chapter 15 hearing.	2.20
11/06/09	Conference call with counsel to discuss required analysis for Chapter 15 hearing.	1.00
11/09/09	Review James Davis' plea agreement for purposes of developing workplan	2.00
11/09/09	Conference call with FTI team to discuss the required analyses for Chapter 15 hearing. Discussed staffing, deliverable, and due date of each workstream.	1.00
11/09/09	Conference call with counsel to discuss Chapter 15 workplan.	0.80
11/10/09	Review work product resulting from various Chapter 15 workstreams	2.00
11/10/09	Develop workplan for various Chapter 15 analyses/workstreams	2.80
11/10/09	Review various court opinions for purposes of developing Chapter 15 analyses.	2.50
11/11/09	Review work product resulting from various Chapter 15 workstreams	5.30
11/12/09	Review work product resulting from various Chapter 15 workstreams	5.70
11/13/09	Review work product resulting from various Chapter 15 workstreams	3.80
11/13/09	Update call with counsel regarding Chapter 15 workstreams and analyses.	1.50
11/13/09	Call with Karyl Van Tassel regarding Chapter 15 workstreams and analyses	1.00
11/16/09	Conference call with Counsel regarding <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.80
11/16/09	Review redemption process analysis for purposes of Chapter 15 litigation	1.50
11/16/09	Review Failing documents regarding 2003 reorganization for purposes of Chapter 15 litigation	1.10
11/16/09	Review 2007 tracing analysis for purposes of Chapter 15 litigation	0.30
11/16/09	Review Hunton and Williams and Ben Barnes tracing analysis	0.80
11/17/09	Review net winners and losers calculations-preliminary	1.20
11/17/09	Review loan analysis for purposes of Chapter 15 litigation	0.80
11/17/09	Review 2007 tracing analysis for purposes of Chapter 15 litigation	2.50
11/18/09	Review net winners and losers calculations-preliminary	3.80
11/18/09	Review analyses of <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for purposes of identifying Stanford accounts held in SIBL -Chapter 15 litigation	0.80
11/18/09	Review of Stanford 20/20 tracing-Chapter 15 litigation	0.50
11/19/09	Review 2007 tracing analysis for purposes of Chapter 15 litigation	0.50
11/19/09	Review of Stanford 20/20 tracing-Chapter 15 litigation	0.80
11/19/09	Review Hunton and Williams and Ben Barnes tracing analysis	1.00
11/19/09	Review analyses and support gathered related to 2004 and 2008 transfer of assets between SVCH and SIBL- Chapter 15 litigation	1.50
11/19/09	Review payments of RAS Amex for purposes of Chapter 15 litigation	1.10
11/19/09	Internal conference call regarding adjustments to net winners and losers calculations for purposes of investor clawback litigation	0.90
11/19/09	Review Leroy King ECCB communications for purposes of Chapter 15 litigation	0.90



11/19/09	Review net winners and losers calculations-preliminary	1.30		
11/20/09	Internal conference call regarding net winners and losers calculations-Lusky grouping	0.50		
11/20/09	Review of Stanford 20/20 tracing-Chapter 15 litigation	1.00		
11/20/09	Review 2007 tracing analysis for purposes of Chapter 15 litigation	0.50		
11/20/09	Status call with counsel regarding Chapter 15 workstreams	1.50		
11/20/09	Review of specific tracing analysis related to SA5555, Stanford Aircraft, and Sea Eagle for purposes of Chapter 15 litigation.	2.50		
11/20/09	Review Hunton and Williams and Ben Barnes tracing analysis <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	2.00		
11/23/09	Review tracing of payment to Hunton Williams	1.50		
11/23/09	Review tracing analysis of Ben Barnes payments	1.00		
11/23/09	Review 2007 cash tracing analysis for purposes of Chapter 15 litigation	1.00		
11/23/09	Stanford 20/20 cash tracing and financial statement analysis-Chapter 15 litigation.	0.80		
11/24/09	Review tracing analysis and supporting documentation regarding SIBL transfers to BOA during 2008	2.50		
11/24/09	Review tracing/accounting analysis of SFGC payments of RAS Amex bills	2.30		
11/24/09	Review payments to SIBL auditors for purposes of Chapter 15 litigation	2.80		
11/25/09	Stanford 20/20 cash tracing and financial statement analysis-Chapter 15 litigation.	3.00		
11/25/09	Review 2007 cash tracing analysis for purposes of Chapter 15 litigation	2.80		
11/25/09	Chapter 15 analyses update call with counsel	1.50		
11/28/09	Supervise the collection of documentation for purposes of the Chapter 15 litigation production.	2.10		
11/29/09	Supervise the collection of documentation for purposes of the Chapter 15 litigation production.	1.80		
11/29/09	Conference call with counsel regarding production for Chapter 15 litigation	0.80		
11/30/09	Accumulate, review, and provide counsel with documents to be produced for purposes of the Chapter 15 litigation	8.00		
	\$424.00 per hour x total hrs of	107.60	\$45,622.40	

**James R. Scarazzo**

11/18/09	Review list of 330 employees for assets, email and collected data per Lynne Dodges' request.	2.60		
11/19/09	Completed review of data available for the additional 331 Financial Advisors.	1.30		
11/20/09	Made copies of Ulloa and Comeaux PST files per L Doge request	1.20		
	\$400.00 per hour x total hrs of	5.10	\$2,040.00	

**Craig Kolodjeski**

11/02/09	Conference call with R. Templeton to discuss document production and related support	1.50		
11/02/09	Review of documentation and related support for HSBC payments	1.80		
11/02/09	Review of SIB bank information from Trustmark and Bank of Houston related to 2007 activity.	1.20		
11/02/09	Preparation for and participation in conference call with J. Ferguson to discuss document production requirements.	1.20		
11/02/09	Review of FA bonus payments, Commissions and PAR payments.	2.70		
11/03/09	Review and analysis of supporting documentation to be provided associated with K. Van Tassel declaration.	2.00		
11/03/09	Review of N.H. Smith and K. Van Tassel declarations and affidavits filed in conjunction with Chapter 15 proceedings.	2.00		
11/03/09	Review of Trustmark account 1707 activity and related tracing efforts.	0.90		



11/03/09	Preparation for and participation in conference call with J. Ferguson and S. Giles to discuss Chapter 15 litigation.	1.90
11/03/09	Review of supporting documentation detailing cumulative amount of Bonuses paid to FAs based upon percentage of CD sales	0.80
11/04/09	Preparation for and participation in conference call with K. Van Tassel and others to discuss Chapter 15 litigation.	2.70
11/09/09	Preparation for and participation in conference call to discuss upcoming filings to support Chapter 15 litigation	1.10
11/09/09	Conference call with Becca Templeton to discuss 2007 tracing of bank accounts at Trustmark and Bank of Houston.	0.60
11/09/09	Call with Scott Sizemore to discuss analysis of CD loan accounts	0.50
11/10/09	Review and analysis of 2007 cash tracing of Bank of Houston and Trustmark accounts for SIB, SFGGM, SGH, and SFGC.	3.10
11/10/09	Review of documents related to Stanford 20/20 funding and related payments.	1.00
11/10/09	Review and analysis of financial statements and related documents of Stanford Aircraft LLC, Stanford 5555 and Stanford 20/20.	2.50
11/11/09	Review and analysis of Stanford 5555 funding and payments and related tracing activity.	2.70
11/11/09	Call with J. Ferguson to discuss updates to Chapter 15 litigation support work	0.80
11/11/09	Call with R. Templeton to discuss status of 2007 cash tracing and related activities	0.50
11/11/09	Analysis of Stanford Aircraft LLC payments and funding from SFGGM Bank of Houston accounts	2.60
11/11/09	Review of documents and bank records for payments made to Proskauer Rose	1.50
11/12/09	Review of Stanford Aircraft documents and related funding payments.	1.00
11/12/09	Analysis of Sea Eagle funding and related cash tracing	1.20
11/12/09	Drafting and revisions to chart comparing Toronto Dominion bank balances and SIB redemptions and deposits	0.90
11/12/09	Search for documents related to payments made to Proskauer Rose	1.50
11/12/09	Review of work streams and status of multiple Chapter 15 litigation project items	0.80
11/12/09	Review of 2007 cash tracing pie charts and account analysis for SIB, SFGGM, SFGC, and SGH	2.10
11/13/09	Call with R. Templeton to discuss status of check image search for RAS AMEX invoices.	0.50
11/13/09	Review of documents and analysis related to personal purchases of RAS for Chapter 15 litigation	1.00
11/13/09	Review of electronic documents to identify source of funding for Stanford 20/20 tournament	4.50
11/16/09	Review of Daily Transaction Summary Report for Hunton Williams related transactions	1.20
11/16/09	Preparation for and participation in conference call with counsel (K. Sadler) to discuss net winners and net losers calculation.	0.80
11/16/09	Review of previously filed litigation regarding calculation of net winners and losers.	1.10
11/16/09	Drafting and revisions to schedule of Proskauer Rose payments and incorporation of oracle data	1.60
11/16/09	Review of transactional activity to determine source of \$12 million wire payment made by Stanford.	0.60
11/17/09	Quality control review of Bank of Houston and Trustmark SIB, SFGC, and SFGGM bank accounts cash tracing schedules	3.30
11/17/09	Review of bank information from SIB's TD 1670 account for Stanford 20/20 related payments.	1.00
11/17/09	Review of bank information and related company support for information regarding payments made to the Ben Barnes Group	4.70
11/18/09	Review of bank information and related company documents for payments made to Ben Barnes Group	0.90



11/18/09	Review of company information to ascertain funding of Stanford 20/20 tournament	3.50	
11/18/09	Review of Toronto Dominion quality control review of SIB bank accounts for cash tracing purposes.	1.50	
11/18/09	Review of documents and company information related to payments made to Hunton Williams	1.00	
11/19/09	Review of Toronto Dominion database for payments made to members of the Stanford Superstars	1.30	
11/19/09	Review of schedule detailing out payments made to American Express on behalf of RAS	0.60	
11/19/09	Review of company and bank information related to ESPN broadcasting contract for Stanford 20/20 tournament.	4.10	
11/20/09	Search for and review of documents related to ESPN payments made to Stanford	1.20	
11/20/09	Analysis of debits in the Toronto Dominion 1670 account during 2007.	2.80	
11/20/09	Conference call with Counsel (A. York, K. Saddler ) to discuss Ben Barnes Group, Hunton Williams, and ESPN related workstreams.	0.50	
11/23/09	Review and edits to analysis of Toronto Dominion 1670 outgoing payments in 2007.	2.10	
11/23/09	Review and analysis of Ben Barnes emails and related supporting documentation.	1.90	
11/23/09	Review of General ledger activity related to the Ben Barnes Group.	2.00	
11/23/09	Revisions and edits to analysis of Toronto Dominion 2007 1670 incoming transactions.	2.00	
11/24/09	Review of Stanford 2020 emails and analysis of intercompany funding.	3.10	
11/24/09	Review of documents related to Ben Barnes Group	2.10	
11/24/09	Review of emails and electronic searches for ESPN payments made related to 2020 Tournament	3.20	
11/25/09	Review of email documentation related to COMI related issues including intercompany funding.	2.50	
11/25/09	Review of Toronto Dominion data related to 2020 related payments.	1.90	
11/25/09	Review of server index of documents received from Canada	0.70	
11/27/09	Review and analysis of Stanford 20/20 payments to participants and funding of entity cash flows.	3.50	
11/30/09	Review of electronic evidence and email documentation regarding relationship between SGC and Pershing	1.80	
11/30/09	Review of declaration supporting clawback litigation and related document production	2.90	
11/30/09	Review of bank data, emails, and other documentation related to production for Chapter 15 litigation.	1.20	
11/30/09	Review of first Chapter 15 declaration filed by Karyl Van Tassel.	3.10	
	\$392.00 per hour x total hrs of	114.80	\$45,001.60

**Robert Giles**

11/02/09	QC and review of tagging of transactions for KVT6 groups 4001, 4002, 4013, 4014, and 4015	1.80	
11/02/09	Review of previously filed Chapter 15 litigation support and related documents.	2.20	
11/02/09	Project management and supervision of KVT6 analysis	0.40	
11/03/09	Review and analysis of Nigel Hamilton-Smith affidavits and filings in cross border insolvency cases	2.30	
11/03/09	Discussions and planning with team regarding Chapter 15 hearing	0.40	
11/03/09	Global qc and review of KVT6 tagging and query operations	1.30	
11/03/09	Review and qc of latest results from the employee analysis	1.00	
11/03/09	QC and review of transactional coding of groups 4016, 4017, 4019, 4028, and 4032 for KVT6	2.10	
11/03/09	Review and study of Chapter 15 filings and support.	0.80	
11/03/09	Project management and supervision of KVT6 analysis	0.30	
11/04/09	Project management and supervision of KVT6 analysis	0.30	



11/04/09	Global qc and review of KVT6 tagging and query operations	0.90
11/04/09	Review and qc of latest results from the employee analysis	0.20
11/04/09	Review and analysis of Nigel Hamilton-Smith affidavits and filings in cross border insolvency cases	1.40
11/04/09	Strategy and planning call with team to discuss Chapter 15 workstreams and deadlines.	2.10
11/04/09	Review and study of Chapter 15 filings and support.	0.50
11/05/09	Project management and supervision of KVT6 analysis	0.20
11/05/09	Additional analysis of transactions in Group 4019 in KVT6 analysis	0.80
11/05/09	Review and study of Chapter 15 filings and support.	0.70
11/09/09	Responding to Baker Botts request to look into Kepesky information for settlement of FA lawsuit	0.30
11/09/09	Meeting with staff to start tasks on additional Chapter 15 workstreams	0.70
11/09/09	Review of existing emails and documentation from other legal inquiries to be used in Chapter 15 hearing	0.90
11/09/09	Call with team to staff and plan work to be performed for Chapter 15 hearing	0.90
11/09/09	Establish and create workplan and procedures to present to Baker Botts for Chapter 15 hearing	1.70
11/10/09	Creating Ringtail searches and procedures to determine employee involvement and process for loan and redemption requests	1.10
11/10/09	Creating Ringtail review procedures and searches for review of Juan Rodriguez-Tolentino	0.80
11/10/09	Directing and supervising Ringtail review for Chapter 15 analysis	1.90
11/10/09	Review of documents/emails discovered from Ringtail search for Chapter 15 analysis	0.70
11/10/09	Review of Ringtail for payments to Rebecca Reeves Stanford	0.80
11/10/09	Creating and establishing Ringtail search and procedures for Harry Failing's <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	2.30
11/10/09	Reviewing and analyzing Stanford policies and procedures for loans and redemptions.	0.40
11/11/09	Creation of searches and procedures in Ringtail to look for OBO funding process	2.40
11/11/09	Review and analysis of shareholder funding schedule to determine entities and timing of OBO payments	2.00
11/11/09	Review of search results in Ringtail for OBO analysis	0.70
11/11/09	Review of documents/emails discovered from Ringtail search for Chapter 15 analysis	0.90
11/11/09	Call with team to discuss status and additional steps in workstream.	0.50
11/11/09	Directing and supervising Ringtail review for Chapter 15 analysis	1.20
11/11/09	Running search terms by custodian in Ringtail for Harry Failing review	0.80
11/12/09	Directing and supervising Ringtail review for Chapter 15 analysis	2.20
11/12/09	Review of search results in Ringtail for OBO analysis	2.50
11/12/09	Analysis and summarizing of results from Ringtail search for OBO transfers	2.00
11/12/09	Review and analysis of relevant documents found from Harry Failing, Juan Rodriguez, and loan process Ringtail searches	1.70
11/13/09	Discussions with team regarding additional Ringtail search requests from Baker Botts	0.30
11/13/09	Directing and supervising Ringtail review for Chapter 15 analysis	1.10
11/13/09	Call with team (Baker Botts, FTI, and Stanford Receivership) to discuss <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.50
11/16/09	Call with team to discuss net winner/net loser analysis	0.50
11/16/09	Review of net winner/net loser case law for help in determining calculation in clawback litigation	0.30
11/16/09	Research and Ringtail review into payments on behalf of shareholder for Chapter 15	0.30
11/16/09	Follow-up call with team to discuss and plan net winner/net loser analysis	0.40



11/16/09	Directing and managing Ringtail review process for Chapter 15 production	1.10
11/16/09	Review of documents tagged in Ringtail related to Failing's <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.80
11/16/09	Research and Ringtail review for payments to Hunton & Williams for Baker Botts	2.40
11/16/09	Research and Ringtail review for payments to Carlton Fields for Baker Botts	1.20
11/17/09	Research and Ringtail review into payments on behalf of shareholder for Chapter 15	2.90
11/17/09	Additional research and analysis into payments to Hunton & Williams	0.60
11/17/09	Review and QC of revised KVT6 analysis	0.40
11/17/09	Research and Ringtail review of payments for Sea Eagle	2.10
11/17/09	Review of Ringtail search results for Chapter 15 production	1.30
11/17/09	Net winner/net loser update call with team	0.30
11/17/09	Directing and managing Ringtail review process for Chapter 15 production	0.80
11/18/09	Review and research of payments to Stanford Aviation for Chapter 15	0.90
11/18/09	Review of Ringtail results for FSRC reporting and additional research into Stanford's FSRC reporting	3.10
11/18/09	Research and analysis of FSRC/Antiguan banking regulations for SIBL and ringtail search for those responsible for that compliance for use in Chapter 15	0.20
11/18/09	Directing and managing Ringtail review process for Chapter 15 production	1.40
11/18/09	Additional review and analysis of OBO transfers for Chapter 15	1.10
11/18/09	Ringtail review and research into SVCH transfers to SIBL for Chapter 15	0.60
11/18/09	Review and analysis of Ringtail results for communications with Leroy King for Chapter 15	1.20
11/18/09	Review and QC of revised KVT analyses	0.70
11/19/09	Review and QC of revised KVT analyses	0.50
11/19/09	Review and research of payments to Stanford Aviation for Chapter 15	0.60
11/19/09	Research and ringtail review of payments for Stanford 20/20 cricket	2.20
11/19/09	Directing and managing Ringtail review process for Chapter 15 production	1.30
11/19/09	Discussions with team and planning for use of customer data from SPR process	0.40
11/19/09	Further analysis and schedule preparation for Stanford payments to Hunton & Williams	1.80
11/19/09	Research and analysis of FSRC/Antiguan banking regulations for SIBL and ringtail search for those responsible for that compliance for use in Chapter 15	2.00
11/20/09	Review and analysis of Ringtail search results for FSRC documents	1.50
11/20/09	Review and analysis of ringtail search results and SIBL bank data for payments to cricket players	1.20
11/20/09	Research into Stanford activity in Grenada for Baker Botts	0.30
11/20/09	Directing and managing Ringtail review process for Chapter 15 production	1.40
11/20/09	Review and QC of revised KVT analyses	0.30
11/20/09	Research and analysis of FSRC/Antiguan banking regulations for SIBL and ringtail search for those responsible for that compliance for use in Chapter 15	0.80
11/23/09	Update call with team to discuss chapter 15 workstreams and document production	0.40
11/23/09	Ringtail research and review for Stanford 20/20 payments.	1.20
11/23/09	Ringtail review and research for chapter 15 support and analysis	2.30



11/23/09	Project management and directing of chapter 15 analysis, Ringtail review, and document production	1.30	
11/23/09	Research into print and mail facility in Puerto Rico for Baker Botts	0.40	
11/23/09	Review of revised net winner/net loser analysis	0.70	
11/23/09	Review of SIBL financial statements for Chapter 15 analysis	1.00	
11/23/09	Discuss with team and Baker Botts on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.60	
11/24/09	Research and review of Stanford 20/20 payments to determine the source of funds and directing of the funds flow	1.60	
11/24/09	Discussions with team regarding documentation for chapter 15 analysis and additional workstreams to be completed.	1.30	
11/24/09	Project management and directing of chapter 15 analysis, Ringtail review, and document production	1.20	
11/24/09	Research and review for additional documents supporting chapter 15 analysis for document production	2.90	
11/29/09	Managing and directing of document production process	1.50	
11/29/09	Review and QC of affidavit/declaration support binders for document production	4.20	
11/30/09	Call with team to discuss outstanding workstreams - Chapter 15 and clawback litigation	0.50	
11/30/09	Further review and analysis of documents to be produced in Chapter 15 matter	2.90	
11/30/09	Ringtail research and review for document production for Chapter 15 matter	2.50	
11/30/09	Review and analysis of documents to be produced in Chapter 15 matter	3.00	
11/30/09	Review and preparation of documents for production in Chapter 15 matter	2.80	
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\$372.00 per hour x total hrs of		123.20	\$45,830.40

**Kevin Blake**

11/02/09	Review of all other sources of potential evidence for any SFG accounts for EPA of Mississippi for media inquiry	2.00
11/02/09	Discussion and analysis re: previous release of Pershing accounts for EPA of Mississippi	2.00
11/02/09	Preparation and discussion re: revised available bank data matrix for delivery to BB	1.20
11/02/09	Detailed review of additional detail requested by BB for media inquiry re: EPA of Mississippi and SIBL activity	2.00
11/02/09	Detailed review and management of investigation of SIBL activity for additional subset of Stanford employees	2.00
11/03/09	Preparation of detailed subsequent response to BB re: media inquiry for EPA of Miss and SIBL activity	2.00
11/03/09	Detailed review and management of investigation of SIBL activity for additional subset of Stanford employees	1.50
11/03/09	Detailed investigation and review of additional SIBL information available for FBI request of information re: Valdez SIBL accounts	2.00
11/03/09	Detailed review of SIBL information and investigative findings for media inquiry of EPA of Miss	2.00
11/03/09	Preliminary review of FBI request for information re: Valdez SIBL accounts	2.00
11/04/09	Preparation for and participation in internal status call re: current workstreams, requests and Ch. 15 hearing news	2.00
11/04/09	Management and oversight of review and inclusion of additional 51 names to KVT-6	2.00
11/04/09	Detailed review of potential group of additional names for inclusion on KVT-6	1.20
11/04/09	Final qc and review of response to BB for SIBL activity for additional subset of Stanford employees	2.00
11/04/09	Detailed investigation and review of additional SIBL information available for FBI request of information re: Valdez SIBL accounts	2.00



11/05/09	Detailed investigation and review of additional SIBL information available for FBI request of information re: Valdez SIBL accounts	2.00
11/05/09	Discussion and management of preliminary analysis for FBI request of information re: Valdez SIBL accounts	2.00
11/05/09	Preparation for internal status call re: current workstreams, requests and Ch. 15 hearing news	0.90
11/05/09	Detailed review of potential group of additional names for inclusion on KVT-6	1.60
11/05/09	Management and oversight of review and inclusion of additional 51 names to KVT-6	2.00
11/06/09	Discussion and management of preliminary analysis for FBI request of information re: Valdez SIBL accounts	2.00
11/06/09	Detailed review of resulting analysis for FBI request of information re: Valdez SIBL accounts	2.00
11/06/09	Preliminary discussion and review of 2007 cash tracing process between SIBL & TD Bank	2.00
11/06/09	Preliminary review and revision to methodology memo re: investigation of suspicious SIBL activity	2.00
11/06/09	Preparation for and participation in internal status call re: current workstreams, requests and Ch. 15 hearing news	0.70
11/09/09	Review of existing draft memo for proposed sibl suspicious activity analysis	0.90
11/09/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/09/09	Revision and continued review/analysis for draft memo for proposed sibl suspicious activity analysis	1.60
11/09/09	Continued review and management of revised KVT-6 analysis	1.10
11/09/09	Preliminary review of draft memo of documentation for previous and continued cash tracing process and procedure between SIBL and TD Bank	2.00
11/09/09	Discussion and review of revised draft of memo for proposed sibl suspicious activity analysis	1.40
11/10/09	Discussion and management of detailed analysis for FBI request of information re: Valdez SIBL accounts	2.00
11/10/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/10/09	Preparation for and participation in conference call with BB re: status of current and ongoing workstreams	1.50
11/10/09	Preliminary review of potential venezuelan customers/addresses within revised KVT-6	2.00
11/10/09	Continued review and management of revised KVT-6 analysis	2.00
11/11/09	Preparation for and participation in internal FTI call re: Chapter 15 tasks and progress	0.90
11/11/09	Participation in discussion re: potential creation of SIBL contact information dataset for potential mass communication	2.00
11/11/09	Review of Oracle Financials for revised spelling of Rebecca Reeves Stanford information	0.60
11/11/09	Draft of communication response to BB for analysis for FBI request of information re: Valdez SIBL accounts	1.80
11/11/09	Continued review and management of revised KVT-6 analysis	1.30
11/11/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	1.10
11/11/09	Discussion and management of detailed analysis for FBI request of information re: Valdez SIBL accounts	2.00
11/12/09	Preparation of communication and delivery of revised KVT-6 and supporting schedules to BB	1.20
11/12/09	Detailed review of venezuelan supporting schedule for the revised version of KVT-6	2.00
11/12/09	Participation in discussion re: potential creation of SIBL contact information dataset for potential mass communication	1.10
11/12/09	Detailed review of revised version of KVT-6 and related supporting schedules/reports	2.00



11/12/09	Continued review and management of revised KVT-6 analysis	2.00
11/12/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/13/09	Preparation of preliminary estimate for creation of SIBL contact information dataset for potential mass communication	2.00
11/13/09	Participation in slight revisions and their discussion to revised KVT-6 analysis	1.20
11/13/09	Continued review and management of revised KVT-6 analysis	2.00
11/13/09	Participation in discussion re: potential creation of SIBL contact information dataset for potential mass communication	1.10
11/13/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/16/09	Preparation for and participation in internal discussion re: 5th circuit court ruling and resulting net/winner analysis	1.20
11/16/09	Preparation for and participation in discussion with BB re: 5th circuit court ruling and resulting net/winner analysis	1.40
11/16/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/16/09	Detailed review of preliminary results of 2007 cash tracing process between SIBL & TD Bank	2.00
11/16/09	Detailed review of revised version of KVT-6 and supporting venezuelan report	2.00
11/16/09	Detailed review of preliminary template for review of net winner/loser analysis	2.00
11/16/09	Detailed review of preliminary net winner/loser analysis of MLB players	2.00
11/17/09	Detailed review of quality control and accuracy checks for sample population of net winner/loser analysis of KVT-4	2.00
11/17/09	Preliminary review of Chapter 15 information request re: Oracle financials system	0.60
11/17/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/17/09	Detailed review of preliminary net winner/loser analysis of KVT-4/5/6	2.00
11/17/09	Detailed review of preliminary template for review of net winner/loser analysis	2.00
11/17/09	Detailed review of preliminary results of 2007 cash tracing process between SIBL & TD Bank	2.00
11/18/09	Detailed analysis of examples within reconciliation to BB net winner/loser calculation for KVT-5	2.00
11/18/09	Review of catalog of requested and received Trustmark bank data	0.50
11/18/09	Detailed review of preliminary results of 2007 cash tracing process between SIBL & TD Bank	2.00
11/18/09	Preliminary review of Chapter 15 information request re: Oracle financials system	2.00
11/18/09	Detailed discussion re: potential issues in net winner/loser calculation and quality control review	2.00
11/18/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/18/09	Detailed review of reconciliation to BB net winner/loser calculation for KVT-5	2.00
11/18/09	Creation of by month TD bank account balances for monthly SIBL transaction summary	2.00
11/19/09	Detailed review of preliminary results of 2007 cash tracing process between SIBL & TD Bank	2.00
11/19/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/19/09	Detailed review and preparation of analysis for specific example	2.00

REDACTED



11/19/09	Oversight and management of identification of net winner/losers analysis that has filed stipulations and/or applications to the Receivership	1.10
11/19/09	Detailed analysis of examples within reconciliation to BB net winner/loser calculation for KVT-5	2.00
11/19/09	Preliminary review of Chapter 15 information request re: Oracle financials system	2.00
11/19/09	Detailed review of reconciliation to BB net winner/loser calculation for KVT-5	2.00
11/20/09	Preparation for and participation in discussion re: detailed specific example for <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30
11/20/09	Continued management and oversight of 2007 cash tracing process between SIBL & TD Bank	2.00
11/20/09	Detailed review of reconciliation to BB net winner/loser calculation for KVT-5	2.00
11/20/09	Detailed analysis of examples within reconciliation of net winner/loser analysis to previous versions of KVT-4/5/6	2.00
11/20/09	Detailed review and preparation of analysis for specific example <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	2.00
11/23/09	Review and management of request for Oracle Financials information for "Print To Mail Solutions"	0.90
11/23/09	Review and management of additional 2007 cash tracing transactions from TD Bank to SIBL that were previously unmatched	0.80
11/23/09	internal discussion and detailed review of current net winner/loser status of KVT-4/5/6 populations	2.00
11/23/09	Internal discussion and detailed review of current status of reconciliation of selected KVT-5 customers and net winner/loser calculation	2.00
11/23/09	Preparation for and participation in discussion with Baker Botts re: <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	2.00
11/23/09	Internal discussion and detailed review of additional address information for KVT-6 net winner/loser population	0.70
11/23/09	Review and management of request for Oracle Financials information for "Ben Barnes"	0.70
11/24/09	Internal discussion and detailed review of additional address information for KVT-6 net winner/loser population	0.80
11/24/09	Review and management of request for Oracle Financials information for "Stanford 20/20 LLC"	2.00
11/24/09	Participation in discussion re: additional sources of address information for selected KVT-4/5/6 population	2.00
11/24/09	Review and management of additional 2007 cash tracing transactions from TD Bank to SIBL that were previously unmatched	2.00
11/24/09	Internal discussion and detailed review of current status of reconciliation of selected KVT-5 customers and net winner/loser calculation	1.20
11/29/09	Review of Baker Bott's inquiry and potential response re: Broker's knowledge of CDs sales as a hedge fund	0.60
11/30/09	Preparation for and participation in internal discussion re: workstream status, chapter 15 production and new workstreams/requests	2.00
11/30/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00
11/30/09	Preparation for and participation in discussion of calculation to estimate new list of ungrouped/untagged SIBL clients for analysis	2.00
11/30/09	Participation in discussion and preliminary review of providing all TD Bank data	2.00
11/30/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00



11/30/09	Participation in brief discussion of Baker Bott's inquiry and potential response re: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.10	
	\$368.00 per hour x total hrs of	180.80	\$66,534.40

**Nicole Donnelly**

11/30/09	Update shared files system with collected Montreal data	1.50	
11/30/09	Verification of forensic images from Montreal- 6 servers and 5 computers.	2.40	
	\$360.00 per hour x total hrs of	3.90	\$1,404.00

**Brian Kim**

11/17/09	Create review fields and templates per L. Dodge; conference with L. Dodge re (FTI) Source field.	0.20	
11/23/09	Conference with L. Dodge re searching for email addresses in Ringtail.	0.10	
11/30/09	Conference with J. Ferguson re production capabilities and formats.	0.10	
	\$344.00 per hour x total hrs of	0.40	\$137.60

**Daniel Roffman**

11/17/09	Prepare for trip to Montreal. Pack forensic hardware and allocate hard drives for use in Montreal. Install specialized email software per email from Baker Botts regarding Email Server searches. Install additional software such as Norton Ghost	2.90	
11/18/09	Analyze hard drives containing encryption. Duplicate hard drive to create working copy.	2.80	
11/18/09	Review file listings.. Create Excel spreadsheet of all business documents for distribution to counsel. Identify 1 GB WinRAR file for further analysis.	1.10	
11/18/09	Open unencrypted hard drives and begin creating file listings of contents from servers. Send file listings to FTI team and counsel.	3.60	
11/18/09	Meet with Nicolas Nadeau Ouellet (Osler) and George Hendy (Osler) to discuss forensic analysis of hard drives. Document hard drives provided to me by Nicolas Nadeau Ouellet (Osler).	3.40	
11/19/09	Conference call with Josh Robinson (FTI), Tony Tabb (FTI) and Craig Kolodjeski (FTI). Identify files of interest on forensic images. Create additional file listings of virtual hard disks. Conference call with Bill Stutts (Baker Botts), Nicolas Nadeau Ouellet (Osler), Bob Howell (Baker Botts), Kevin Sadler (Baker Botts), Tony Tabb (FTI), Craig Kolodjeski (FTI), Josh Robinson (FTI).	3.60	
11/19/09	Mount virtual hard disks to create additional file listings. Analyze virtual hard disk contents. Review contents with Josh Robinson (FTI).	3.10	
11/19/09	Continue to create file listings from hard drive images. Analyze hard drives using EnCase Forensic software.	3.60	
11/20/09	Document additional information from Montreal trip. Review previous reports and photographs to information from most recent Montreal trip to try and identify server uses. Tie out server sizes in comparison to image sizes.	2.40	
11/26/09	Review and respond to emails regarding the shipping of data from Canada to FTI's data center.	0.30	
11/27/09	Review and respond to emails regarding the shipping of data from Canada to FTI's data center.	0.20	
11/28/09	Email correspondence with media staging team regarding the encryption used on one of the hard drives from Montreal.	0.20	
11/29/09	Provide update to Baker Botts, Osler and FTI team about the staging of data. Email to Karyl Van Tassel (FTI) regarding forensic verification of data.	0.30	
11/30/09	Review Montreal data. Work with Nicole Donnelly (FTI) to organize and verify data.	2.10	



	\$344.00 per hour x total hrs of	29.60	\$10,182.40
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**Andrew Sheehan**

11/02/09	Review SCM data/accounts	0.40	
11/03/09	Collect status reports per Baker Botts request	0.40	
11/04/09	Collect status reports per Baker Botts request	1.00	
11/11/09	Review of SPR process	0.50	
11/12/09	Review of SPR process	0.60	
11/13/09	Review of SPR process	0.40	
11/20/09	Review address information for SIB customers	0.50	
11/24/09	Collection of STC address information	0.30	
	\$340.00 per hour x total hrs of	4.10	\$1,394.00

**Ann Emberson**

11/16/09	Respond to email inquiry from L. Dodge re <span style="border: 1px solid red; padding: 1px;">REDACTED</span> correspond with L. Dodge re D. Arlington's request for new user account form and status of Satterfield's PST; respond to D. Arlington's email inquiry re <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	0.40	
11/17/09	Verify H. Chapell existing Ringtail account and submit request to provide her access to Ebony PED; verify data posted by collection team and submit request with instructions for staging, processing and loading the PSTs.	0.40	
11/18/09	Respond to an email inquiry from L. Dodge re the projected completion data for processing and loading the PST data for the 9 additional custodians; correspond and conference with M. Aly and J. Scarazzo re two corrupt PSTs (T. Espy and C. Satterfield).	0.40	
11/19/09	Correspond with L. Dodge re information required to set up new Ringtail user accounts; complete Ringtail Account Creation form for two new users; submit same to Client Services for action; correspond with L. Dodge re data currently in processing.	0.60	
11/23/09	Conference with L. Dodge re processing K. Walker; verify posted data and submit request with instructions for processing and loading K. Walker's PST data to Ringtail; verify data posted data and submit request with instructions for processing and loading L. Wingfield's PST data to Ringtail per L. Dodge.	0.60	
11/24/09	Verify posted data and submit request with instructions for processing and loading P. Cruickshank's PST data to Ringtail.	0.20	
11/25/09	Correspond with A. York and L. Dodge re processing and loading of Walker, Wingfield and Cruickshank's PSTs; correspond with L. Dodge and A. York re the availability of Satterfield and Cruickshank PST data in Ebony PED.	0.40	
	\$320.00 per hour x total hrs of	3.00	\$960.00

**Amy Kneappel**

11/02/09	Compiled Karyl Van Tassel Affidavits for Canadian, UK, Antiguan and US courts for review to support Chapter 15 deposition	2.30	
11/02/09	Organize existing KVT affidavits and exhibits for review to support Chapter 15 deposition preparation	1.40	
11/03/09	Culled documents received from Baker Botts to determine which documents would be needed to support Chapter 15 deposition preparation	2.70	
11/03/09	Identified Nigel Hamilton Smith and Karyl Van Tassel affidavits sent from Baker Botts to support Chapter 15 deposition preparation	1.80	
11/04/09	Catalogued and reviewed UK Filings sent from Baker Botts to support Chapter 15 deposition preparation	2.20	
11/04/09	Catalogued and reviewed Antiguan legal filings sent from Baker Botts	1.90	
11/04/09	Catalogued and reviewed Canadian legal filings received from Baker Botts in support of deposition preparation for Chapter 15 hearing	2.10	



11/04/09	Reviewed and catalogued US legal filing received from Baker Botts in support of Chapter 15 deposition preparation	1.60
11/05/09	Catalogued and reviewed legal filings related to the Chapter 15 filings in support of deposition preparation	1.10
11/06/09	Reviewed index of all filings related to Chapter 15 filings and additional Affidavits filed in support of all litigation in the Stanford case.	1.70
11/06/09	Conference call regarding the strategy for Karyl Van Tassel's deposition in the Chapter 15 hearing.	0.80
11/06/09	Provided direction and supervision of the organization and compilation of all legal filings received by Baker Botts in support of the Chapter 15 deposition preparation	0.50
11/09/09	Review Failing box 1 of 37 for evidence <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.20
11/09/09	structured primarily for RAS' tax purposes Review Failing box 5 of 37 for evidence <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.10
11/09/09	Review Failing box 2 of 37 for evidence <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30
11/10/09	Review Failing box 13 of 37 for evidence <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.10
11/10/09	Review Failing box 12 of 37 for evidence <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.10
11/10/09	Review Failing box 8 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.40
11/10/09	Review Failing box 18 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30
11/10/09	Review Failing box 16 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.60
11/10/09	Review Failing box 10 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.20
11/10/09	Review Failing box 14 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.30
11/11/09	Review Failing box 33 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.90
11/11/09	Review Failing box 19 of 37 for evidence that <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.20



11/11/09	Review Failing box 23 of 37 for evidence [REDACTED]	1.30
11/11/09	Review Failing box 25 of 37 for evidence [REDACTED]	1.50
11/11/09	Review Failing box 28 of 37 for evidence [REDACTED]	1.10
11/11/09	Review Chamberlain box 1 of 6 for evidence [REDACTED]	0.80
11/11/09	Review Chamberlain box 2 of 6 for evidence [REDACTED]	0.90
11/11/09	Status call with managing director regarding status of Failing document review	0.50
11/11/09	Review Failing box 31 of 37 for evidence [REDACTED]	1.30
11/12/09	Continued to review documents copied from Failing and Chamberlain boxes and segregated them into two categories: [REDACTED]	2.80
11/12/09	Review Failing hard drive for documents related to two categories: [REDACTED]	3.60
11/12/09	Organized documents and drafted comments for Jeff Ferguson to present to Baker Botts regarding [REDACTED]	1.80
11/13/09	Continued to review Failing hardrive for evidence [REDACTED]	2.80
11/13/09	Reviewed Failing hardrive for evidence [REDACTED]	3.30
11/13/09	Review documents segregated into Failing categories of interest: [REDACTED]	1.10
11/16/09	Review Recalculation of Group ID 2010	0.50
11/16/09	Review Recalculation of Group ID 2008	0.60
11/16/09	Determine the difference of CD - Interest presented on KVT5 and Net Winners/Losers Calculation for Group IDs 2007 - 2012, compile results for Baker Botts	2.90
11/16/09	Review Recalculation of Group ID 2009	0.60
11/16/09	Review Recalculation of Group ID 2013	0.50
11/16/09	Compare Recalculations of Group IDs 2007 - 2013 to original KVT5 results	0.80



11/16/09	Facilitate the Recalculation process to review the results of the Net Winners/Losers results - choosing sample, reviewing template, assigning samples	2.20
11/16/09	Review Recalculation of Group ID 2011	0.60
11/16/09	Review Recalculation of Group ID 2011	0.50
11/16/09	Review Recalculation of Group ID 2007	0.50
11/16/09	Review Recalculation of Group ID 2012	0.50
11/17/09	Compile results of recalculation for Baker Botts for Group IDs 221, 270, 277, 289, 302, 416, 418, 422, 553, 454b, 454c, 513, 570	1.50
11/17/09	Review Recalculation of Group ID 553	0.60
11/17/09	Status call to discuss query results of Net Winners/Losers and the recalculations methodology	0.50
11/17/09	Review Recalculation of Group ID 416	0.60
11/17/09	Review Recalculation of Group ID 422	0.50
11/18/09	Continue to review Harry Failing documents related to organizational structure to determine categorization <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	3.40
11/18/09	Continue review of Harry Failing documents related to organizational structure to determine categorization <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	2.80
11/18/09	Review Harry Failing documents related to organizational structure to determine categorization <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	2.40
11/19/09	Status call related to reconciliation of CD Interest and Net Winners/Losers calculation	0.50
11/20/09	Continue to review Harry Failing documents related to organizational structure <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	3.40
11/23/09	Document gaps in support for Canadian Affidavit	3.60
11/23/09	Conference call with Jeff Ferguson and Stanford team to discuss production work flow	0.80
11/23/09	Final review of Failing documents to ensure that all documents were appropriately categorized <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	2.30
11/23/09	Continued documentation of gaps in support binders for Canadian Affidavit	1.40
11/24/09	Compile, organize and review supporting documentation for UK Affidavits	3.50
11/24/09	Compile, organize and review supporting documentation for Canadian Affidavits	3.70
11/24/09	Review prepared documentation of data for support binders to send to Jeff Ferguson	0.80
11/28/09	Compare first United Kingdom Affidavit to Second US Affidavit to identify difference so support could be produced	4.90
11/29/09	Compare second United Kingdom Affidavit to Second US Affidavit to identify difference so support could be produced	3.80
11/29/09	Continue to compare second United Kingdom Affidavit to Second US Affidavit to identify difference so support could be produced	3.50
11/30/09	Gathering and categorization of the required source documents required for the chapter 15 production.	1.80
11/30/09	Production and categorization of supporting source documents related to Karyl's second US affidavit in the chapter 15 matter.	4.30



11/30/09	Production and categorization of supporting source documents related to Karyl's Clawback affidavit.	3.10		
11/30/09	Creation of the electronic versions of source documents related to the required production of the supporting source documents related to Chapter 15 hearing.	1.20		
			\$316.00 per hour x total hrs of	122.70 \$38,773.20

**Scott Sizemore**

11/02/09	QC account grouping of group ID 4042	0.30
11/02/09	QC account grouping of group ID 4044	0.30
11/02/09	QC account grouping of group ID 4043	0.30
11/02/09	QC account grouping of group ID 4045	0.50
11/02/09	QC account grouping of group ID 4047	0.30
11/02/09	QC account grouping of group ID 4050	0.30
11/02/09	Investigate missing group numbers from transactions in KVT6-2 population	0.50
11/02/09	Call with P. Beeman regarding Stanford Capital Management.	0.10
11/02/09	QC v19 of KVT-4 for held Pershing account numbers and frozen amounts.	0.30
11/02/09	QC account grouping of group ID 4051	0.30
11/02/09	QC account grouping of group ID 4052	0.50
11/02/09	QC account grouping of group ID 4054	0.30
11/02/09	QC account grouping of group ID 4046	0.30
11/02/09	QC account grouping of group ID 4053	0.30
11/02/09	QC account grouping of group ID 4035	0.30
11/02/09	QC account grouping of group ID 4041	0.50
11/02/09	QC account grouping of group ID 4039	0.30
11/02/09	QC account grouping of group ID 4038	0.30
11/02/09	QC account grouping of group ID 4040	0.80
11/02/09	QC account grouping of group ID 4036	0.30
11/02/09	QC account grouping of group ID 4034	0.30
11/02/09	QC account grouping of group ID 4033	0.80
11/02/09	Investigate total deposits and withdrawals for specific Baker Botts investigation of media inquiry. Investigate and resolve tagging issues caused by automatic tagging. Determine last balances held by each account.	0.60
11/02/09	QC account grouping of group ID 4048	0.30
11/02/09	QC account grouping of group ID 4037	0.30
11/03/09	Call with P. Beeman to discuss current tasks and issues	0.30
11/03/09	Update account / group id listing for KVT6-2 groups.	0.50
11/03/09	Investigate deposits for media inquiry group.	0.30
11/03/09	Investigate and fix initial balance tagging on KVT6-2	1.30
11/03/09	QC Employee tables for added groups, run model, check results for added groups, and check Employee loan model for changes.	0.40
11/03/09	Update group ID notes on Sharepoint for KVT6-2 groups with issues or questions.	0.50
11/03/09	Investigate items where name previously appears on other spreadsheet / groups	2.00
11/03/09	QC tagging for group id 4010	2.00
11/03/09	QC account grouping of group ID 3999	0.30
11/03/09	QC account grouping of group ID 3998	0.50
11/03/09	Call with M. Russell to discuss needed analyses and potential solutions.	0.30
11/03/09	QC tagging for group id 4009	1.00
11/04/09	Recheck account grouping of group ID 4020	0.30
11/04/09	Review tagging of group id 4002	0.50
11/04/09	QC tagging of group ID 4012	1.50
11/04/09	Check database for group number population.	0.50
11/04/09	Recheck account grouping of group ID 4040. Fix accounts and update database.	0.80
11/04/09	Assign KVT6-2 review tasks to staff and answer questions.	0.50
11/04/09	QC tagging of group 4011	1.00



11/04/09	QC tagging on group ID 4022 and fix issues.	1.00
11/04/09	Recheck account grouping of group ID 4023	0.30
11/04/09	Research potential one-sided transfers for group ID 4004. Determine phantom entries needed.	0.50
11/04/09	Recheck account grouping of group ID 4025	0.40
11/04/09	QC tagging for group ID 4024	1.30
11/04/09	QC transfers of group ID 4026	0.50
11/04/09	Recheck account grouping of group ID 4006	0.30
11/04/09	QC tagging for group ID 4021	1.30
11/04/09	Recheck account grouping of group ID 4033	0.30
11/04/09	QC transfers for group ID 4001	0.30
11/04/09	QC transfers for group ID 4002	0.30
11/04/09	Recheck account grouping of group ID 4053	0.30
11/05/09	QC account grouping for group ID 4059	0.30
11/05/09	QC account grouping for group ID 4058	0.30
11/05/09	QC account grouping for group ID 4057	0.30
11/05/09	QC account grouping for group ID 4055	0.30
11/05/09	QC tagging for group 4026	2.00
11/05/09	QC tagging for group 4026	0.50
11/05/09	QC tagging of group 4018 and fix issues.	0.80
11/05/09	QC account grouping for group ID 4056	0.30
11/05/09	Re-QC account grouping for group ID 4019 after staff edits.	0.30
11/05/09	Re-QC account grouping for group ID 4020 after staff edits.	0.30
11/05/09	Re-QC account grouping for group ID 4023 after staff edits.	0.30
11/05/09	QC account grouping for group ID 4060	0.30
11/05/09	Re-QC account grouping for group ID 4025 after staff edits.	0.30
11/05/09	QC tagging of group ID 4005	1.50
11/05/09	QC tagging of group ID 4007	0.30
11/05/09	QC tagging for group ID 4004	0.50
11/05/09	QC tagging for group ID 4028	1.00
11/05/09	Review account grouping of 4019 group id. Assign work to staff.	0.80
11/05/09	QC account grouping for group ID 4062	0.30
11/05/09	QC account grouping for group ID 4061	0.30
11/06/09	QC tagging of group ID 4046	0.50
11/06/09	QC tagging for group ID 4043	0.80
11/06/09	QC tagging for group ID 4050	1.00
11/06/09	QC tagging for group ID 4051. Resolve incorrect transfers. Identify duplicate transactions and fix tagging. Re-QC results.	2.00
11/06/09	QC tagging for group ID 4044	0.50
11/06/09	QC tagging for group ID 4025	1.00
11/06/09	QC tagging for group ID 4045. Research follow-up issues and solve. Fix incorrect tagging.	2.00
11/06/09	QC tagging of group ID 4047	0.30
11/06/09	QC tagging for group ID 4023. Resolve errors and recheck.	2.30
11/09/09	QC tagging of group ID 4057. Identify 1-sided transfers that need phantom transactions created to trace funds within the group.	1.30
11/09/09	QC tagging for group ID 4058. Research initial balance tagging issues and resolve.	0.80
11/09/09	QC tagging of group ID 4055. Fix incorrect tags and reexamine.	0.80
11/09/09	QC tagging of group ID 4053. Resolve unmatched transfer links and update.	1.20
11/09/09	QC tagging for group ID 4008	0.40
11/09/09	QC tagging for group ID 4056	0.20
11/09/09	QC tagging for group ID 4030	0.60
11/09/09	QC tagging for group ID 4029	0.50
11/10/09	QC tagging of group ID 4019. Determine that tagging is incomplete and pass back to staff for completion.	0.30
11/10/09	QC tagging of group ID 4019	0.50
11/10/09	QC potential 1-sided transfer transactions for KVT-6-2 population. Identify transactions truly needing phantom transactions and create complementary transactions.	0.50



11/10/09	QC KVT6-2 loan model structure without pay-down component (which requires initial query results). Determine accounts inaccurately included. Determine tagging deficiencies and correct. Update loan model.	2.00
11/10/09	Research total SIB loan payments from 2007-2009. Investigate transactions of all ungrouped and untagged loan accounts. Determine potential withdrawals and transfers to express accounts for withdrawals. Identify reversals of loan payments	1.40
11/10/09	Discuss with P. Beeman and J. Kluka regarding prioritization and to dos for KVT6-2 loan models	0.30
11/10/09	Research total SIB loan payments from 2007-2009. Investigate transactions of all ungrouped and untagged loan accounts. Determine potential withdrawals and transfers to express accounts for withdrawals. Identify reversals of loan payments	1.30
11/10/09	Research total SIB loan payments from 2007-2009. Investigate transactions of all ungrouped and untagged loan accounts. Determine potential withdrawals and transfers to express accounts for withdrawals. Identify reversals of loan payments	2.00
11/10/09	Research total SIB loan payments from 2007-2009. Investigate transactions of all ungrouped and untagged loan accounts. Determine potential withdrawals and transfers to express accounts for withdrawals. Identify reversals of loan payments	2.00
11/10/09	QC issues with tagging of group ID 4020 identified by staff. Determine corrections and implement.	0.50
11/10/09	Update senior management with current status, issues, and project timeline of completion for KVT6-2 schedule.	0.50
11/10/09	QC linked transfers of KVT6-2 population where there are potential issues with the linking based on description, amounts or potential duplication.	1.30
11/10/09	Perform global KVT6-2 tagging checks for inconsistent tagging, potential duplicates, and potential reversals. Identify transactions to research and update incorrect entries.	2.00
11/10/09	Call with P. Beeman to discuss issues with group ID 4019 and staffing.	0.10
11/11/09	Investigate issues with group ID 4020 and why query code is having issues calculating proceeds. Resolve tagging issues on transfers, relink appropriately and update tables.	1.50
11/11/09	Rerun proceeds query for KVT6-2 population.	0.50
11/11/09	QC query results for group ID 4012	0.50
11/11/09	Determine tagging issue exists causing query to loop. Diagnose issue and correctly tag transactions.	1.00
11/11/09	QC query results for group ID 4010	0.50
11/11/09	QC query results for group ID 4013	0.50
11/11/09	Load kvt6-2 tables and test contents. Adjust loading code to new names of tables.	0.80
11/11/09	Read and respond to client request regarding address and CD information.	0.30
11/11/09	Rerun KVT6-2 proceeds query and determine difference in results from original results.	1.00
11/11/09	QC KVT6-2 Loan model and fix errors.	0.50
11/11/09	Investigate issues with group ID 4033 and why query code is having issues calculating proceeds. Resolve tagging issues and update tables.	1.50
11/11/09	QC query results for group ID 4023	0.30
11/11/09	Rerun KVT6-2 proceeds query with QC corrections and phantom transaction corrections for 1-sided transfers.	1.00
11/11/09	Determine availability of staff for QC of query results and assign work.	0.50
11/11/09	QC query results for group ID 4025	0.30
11/11/09	Run proceeds query on KVT6-2 population	0.80
11/11/09	QC query results for group ID 4026	0.30
11/11/09	QC query results for group ID 4029	0.30



11/11/09	Investigate results for group ID 4033 and why they do not tie to manual recalculation. Determine account number association error and adjust.	2.00
11/11/09	Develop global query to QC KVT6-2 query results	1.00
11/11/09	QC query results for group ID 4019	0.30
11/12/09	QC current KVT6 schedule.	1.00
11/12/09	Write and QC script to identify transfers outside of the group. Use script to QC transfers outside group of original KVT-6 groups	2.00
11/12/09	Review staff work on outside transfers from KVT6-2 population. Send work back to staff with comments and suggestions.	1.00
11/12/09	Discuss footnote complications with P. Beeman and S. Giles	0.30
11/12/09	Review logic check results from staff work on KVT6-2 output. Give guidance on how to resolve apparent issues.	0.20
11/12/09	QC new query results for group ID 4020	0.50
11/12/09	Review new submission of KVT6-2 outside transfers by staff. Send analysis back with corrections.	0.30
11/12/09	QC new version of KVT6 schedule	1.00
11/12/09	QC outside transfer work for KVT6-2 and subsequent withdrawals from the group transferred to. Identify issues and inconsistencies within the analysis. Determine true subsequent withdrawals from the group transferred to in order to calculate holdback amount of transferring group.	2.00
11/12/09	Allocate and assign work to staff	0.50
11/12/09	QC holdback comments for footnotes	0.50
11/12/09	QC final KVT6 schedule and note corrections to staff	1.30
11/12/09	QC KVT6 sub-schedule of Venezuelan accounts	0.50
11/12/09	Review KVT6-2 loan model results	0.30
11/13/09	Answer question posed by Baker Botts about <span style="border: 1px solid red; padding: 2px;">REDACTED</span> Prepare schedule totaling redemptions by group ID and month.	1.00
11/13/09	Adjust transfer QC script for employee schedule and investigate outside transfers.	1.30
11/13/09	Add subsequent transfers to outside group totals as additional withdrawals from the group transferred to.	1.00
11/13/09	Develop QC script for transfer populations. Build in exchange rate for foreign currency transactions.	2.00
11/13/09	Review KVT-4 transfers between groups to identify new group to group transfers caused by grouping of accounts for Employee and KVT-6 schedules.	1.50
11/13/09	Review submitted kvt6 schedules to Baker Botts	0.50
11/13/09	Provide update to team on status of net winners / losers analysis	0.50
11/13/09	QC staff KVT -4 analysis of potential new group to group transfers due to additional KVT-6 grouping. Provide corrections and send back to staff for corrections.	1.50
11/14/09	Answer Baker Botts question regarding Group ID 2020.	0.50
11/16/09	QC tags for 4059 for tagging discrepancies	0.30
11/16/09	Write additional code for KVT4 and KVT5 query processes to add additional requested information for Net Winner / Loser analysis. QC issues and resolve. Prepare schedule of query output for discussion.	4.00
11/16/09	QC current KVT6 schedule. Add Q4 2008 withdrawal history for additional two group IDs added to Venezuelan schedule.	1.50
11/16/09	Call with P. Beeman regarding fees and penalties and historical methodology.	0.20
11/16/09	Respond to questions on Net Winners / Losers regarding historical methodology and current investigation.	0.50
11/16/09	Conference call with M. Wei, K. Blake, P. Beeman, and M. Russell regarding Net Winners / Losers status and issues.	0.50
11/16/09	QC tagging for group ID 4006	0.30
11/16/09	QC tags for 4004 for tagging discrepancies	0.30
11/16/09	QC money in and money out for KVT6-2	1.30



11/16/09	Call with M. Wei regarding scheduling conference call on Net Winners / Losers status	0.10
11/17/09	Write summary email of net winner / loser analysis results status and current issues.	0.30
11/17/09	Resolve issues between current Net Winners / Losers schedules and previous KVT4 and KVT5 schedules	0.80
11/17/09	Write additional code for KVT4 and KVT5 query processes to add additional requested information for Net Winner / Loser analysis. QC issues and resolve. Prepare schedule of query output for discussion.	2.00
11/17/09	Review Venezuelan accounts	0.30
11/17/09	Determine penalized withdrawals and trace funds for Baker Botts requested groups. Write summary email	1.00
11/17/09	Call with M. Wei and K. Prichard regarding assistance with finalizing schedules	0.20
11/17/09	Call with K. Prichard regarding issues with group ID 35	0.10
11/17/09	Calls with J. Kluka regarding issues with group IDs 581 and 311	0.30
11/17/09	Investigate transaction history of group 2002 per request.	0.30
11/17/09	Investigate group ID 91 in terms of potential tagging issues.	0.60
11/17/09	Investigate tagging of group ID 311	0.80
11/17/09	Conference call with senior project management regarding current issues and timeline for Net Winners /Losers analysis	0.50
11/17/09	Revise Net Winners / Losers schedule and update with comparisons to prior KVT-4 and KVT-5 schedules.	1.50
11/17/09	Investigate current issues with Net Winners / Losers query process in regards to group ID 35	0.80
11/17/09	Call with K. Prichard regarding needed formatting changes on Net Winners / Losers schedules.	0.30
11/18/09	Rerun Net Winner Queries to test fix.	0.50
11/18/09	Call with A. Kneepfel to discuss Investor Litigation issues.	0.10
11/18/09	Team conference regarding Net Winner / Loser query results.	0.30
11/18/09	Revise Net Winner / Loser query output to requested fields	0.50
11/18/09	Rerun query results after fixing duplicate issues	0.50
11/18/09	QC Net Winner/ Loser results. Find and fix duplicate issues.	0.50
11/18/09	Call with K. Blake regarding reinvestments / net winners status	0.30
11/18/09	Revise Net Winners / Losers code to fix group ID 35 issue	1.80
11/18/09	Call with M. Wei regarding investments.	0.40
11/18/09	Call with P. Beeman regarding additional information table QC	0.10
11/18/09	Call with J. Kluka regarding group ID 91 issues	0.10
11/18/09	Call with P. Beeman regarding group ID 553	0.10
11/18/09	Call with P. Beeman regarding group ID population	0.10
11/18/09	QC and fix Net Winner / Loser query structure to ensure all restored transactions are available for use by query.	2.00
11/18/09	Test that all transactions with a populated group id are being processed by the Net Winner / Loser query. Investigate items not included in the query and determine that these represent new Employee groups currently being investigated and will be analyzed later.	0.30
11/18/09	Rerun Net Winner query results after fixing restored transactions issues	0.50
11/18/09	Recalculate and QC group 2015	0.50
11/18/09	Recalculate and QC group 2005	0.50
11/18/09	Call with P. Beeman, K. Blake regarding diff between KVT4 and Net Winner calculations	0.50
11/18/09	Recalculate and QC group 2041	0.50
11/18/09	Call with P. Beeman to discuss recalculation results.	0.10
11/18/09	Recalculate and QC group 2017	0.50
11/18/09	Call with J. Kluka to discuss recalculation results.	0.10
11/18/09	QC group ID 394 issue	0.30
11/19/09	QC group ID 2002 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	Call to P. Beeman regarding QC work	0.10



11/19/09	Update Sharepoint with notes on recalculations	0.30
11/19/09	Analyze group ID 2023 reconciliation	0.50
11/19/09	QC group ID 2022 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	QC group ID 2021 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	QC group ID 2003 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	QC group ID 2024 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	Search for stipulation on group ID 2023 for use in comparing B. Day's methodology and current Net Winners / Losers methodology.	0.50
11/19/09	QC group ID 2002 recalculation of Net Winners / Losers and compare to B. Day version.	0.80
11/19/09	Prepare discussion QC document for group id 2023	0.50
11/19/09	Conference call with J. Ferguson, K. Blake, P. Beeman, K. Prichard, A. Kneepfel, M. Wei, and M. Russell regarding Net Winners / Losers and current issues.	0.80
11/19/09	Research details on group ID 2023 and write status email to Baker Botts on group ID	0.50
11/19/09	QC group ID 2023 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	QC group ID 2025 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	QC group ID 2004 Net Winner / Loser recalculation and compare to B. Day calculation. Review relevant stipulations if available.	0.30
11/19/09	Call to K. Blake regarding 2023 reconcile	0.10
11/19/09	Respond to emails regarding group ID 2023	0.30
11/19/09	Analyze B. Day methodology and how he is treating reinvestments.	0.50
11/19/09	Call to P. Beeman regarding group ID 593	0.10
11/19/09	QC and compare group ID 2023 to B. Day calculations	0.50
11/19/09	QC and compare group ID 2022 to B. Day calculations	1.00
11/20/09	QC Net Winner / Loser for group ID 570	0.50
11/20/09	Call with IP. Beeman regarding QC of group 541	0.10
11/20/09	QC reconciliation of group ID 2037 Net Winner / Loser to KVT-5 CD Interest and B. Day Calculations.	0.50
11/20/09	QC reconciliation of group ID 2038 Net Winner / Loser to KVT-5 CD Interest and B. Day Calculations.	0.50
11/20/09	QC reconciliation of group id 2028 to Net winner / loser calculation and B. Day calculations	0.80
11/20/09	QC groupid 2035 Net Winner / Loser	0.50
11/20/09	QC Net Winner / Loser calculation for group ID 553	0.50
11/20/09	QC Net Winner / Loser calculation for group ID 275	0.50
11/20/09	Call with IP. Beeman regarding QC of group 2040	0.10
11/20/09	Create and download cross-reference and lookup tables for local review and answering of Baker Botts questions while SDH is unavailable.	0.80
11/20/09	Update notes on group IDs 2022, 2023 on Sharepoint	0.30
11/20/09	QC Net Winner / Loser for group ID 270	0.80
11/20/09	QC Net Winner / Loser for group ID 301	0.50
11/20/09	QC Net Winner / Loser for group ID 604	0.30
11/20/09	Download updates and lookup tables for offline work when SDH is unavailable.	0.80
11/20/09	QC Net Winner / Loser calculation for group ID 494	0.50
11/20/09	Extract new self-certification information from Oracle and highlight new applications.	0.30
11/20/09	QC reconciliation of group id 2026 to Net winner / loser calculation and B. Day calculations	0.80
11/20/09	Pull new self-certification applications out of SQL for load into Oracle	0.50
11/20/09	QC reconciliation of group id 2033 to Net winner / loser calculation and B. Day calculations	0.50



11/20/09	Conference call with K. Van Tassel, J. Ferguson, K. Blake regarding group id 2023.	0.50
11/20/09	Change SQL coding to split out Principal and Interest Changes. QC results and determine revisions to Net Winner / Loser calculation independently based on changes to principal and interest.	1.00
11/23/09	Consolidate list of assumptions used in Net Winner / Loser analysis and implications and send to Project Ebony management team.	1.80
11/23/09	Call with K. Blake and P. Beeman regarding comparison of Net Winner / Loser results to B. Day calculations	1.00
11/23/09	QC Nationality version provided to B. Day to determine version of results	0.30
11/23/09	Create Net Winner / Loser query results by schedule and QC.	1.00
11/23/09	Call with B. Day to answer questions on groups that were updated	0.10
11/23/09	Provide guidance to staff on how to prepare schedules requested by Baker Botts	0.30
11/23/09	Create schedule of transactions supporting Money In calculation for group ID 1002 per Baker Botts request	0.50
11/23/09	QC Net Winner / Loser calculation for group ID 581	0.50
11/23/09	Research current queries for Net Winner / Loser and analysis of selected accounts	0.80
11/23/09	Create, QC and format schedule of groups that were updated between versions to send to B. Day.	0.80
11/23/09	Investigate SIB accounts that close during selected time periods. Based on historical data determine most likely population of accounts.	2.00
11/23/09	Conference call with B. Day, K. Blake, K. Van Tassel, and S. Giles regarding comparison of B. Day Net Winner / Loser calculation to query results.	0.50
11/23/09	Provide query results to staff for KVT-6 schedule	0.10
11/23/09	Call with P. Beeman regarding questions on group ID 581	0.10
11/23/09	Revise schedule of accounts closing to a group-level schedule. Incorporate feedback and split schedule by potential impact to KVT-4, KVT-5, and KVT-6 schedule Net Winner / Loser calculations.	1.00
11/23/09	Create draft schedule of accounts within current analysis population that likely closed within selected time periods	0.50
11/24/09	QC Net Winner / Loser calculation to KVT-4 Interest Proceeds results from group ID 112	0.50
11/24/09	QC Net Winner / Loser calculation to KVT-5 Interest Proceeds results from group ID 2002	0.30
11/24/09	QC Net Winner / Loser calculation to KVT-5 Interest Proceeds results from group ID 2001	0.50
11/24/09	QC Net Winner / Loser calculation to KVT-4 Interest Proceeds results from group ID 454c	0.30
11/24/09	QC Net Winner / Loser calculation to KVT-4 Interest Proceeds results from group ID 454b.	0.50
11/24/09	QC Net Winner / Loser calculation for group ID 1013	0.50
11/24/09	QC Net Winner / Loser calculation for group ID 4018	1.30
11/24/09	QC Net Winner / Loser calculation for group ID 4017	0.50
11/24/09	Modify GL search script for Oracle tables to search for given legal entities or set of books IDs	0.80
11/24/09	QC Net Winner / Loser calculation for group ID 1001	0.30
11/24/09	QC Net Winner / Loser calculation for group ID 4017	0.50
11/24/09	QC Net Winner / Loser calculation for group ID 1005	0.50
11/24/09	Create global QC for Money In, Money Out, and Accrued Loan Interest calculations for Net Winners / Losers	0.50
11/24/09	QC Net Winner / Loser for group ID 4025	0.80
11/24/09	QC Net Winner / Loser calculation for group ID 1037	0.50
11/24/09	QC Net Winners / Loser calculations for group ID 1002	0.50
11/24/09	Call with P. Beeman regarding request to modify Oracle search script.	0.10



11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 1015	0.30	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 2022	1.30	
11/25/09	Create global QC for Money In, Money Out, and Accrued Loan Interest calculations for Net Winners / Losers	2.00	
11/25/09	Build QC worksheet to compare query Net Winner / Loser results to transactional calculations.	1.00	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 308a	1.00	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 1027	1.00	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 1007	0.50	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 1033	0.30	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 1045	0.50	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 1046	0.30	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 3226	0.20	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 3256	0.20	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 3267	0.20	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 3273	0.30	
11/25/09	Investigate differences between Net Winner / Loser query results and transactional results for group id 2040	0.50	
11/30/09	Review KVT-4 / 6 sample result spreadsheet	0.30	
11/30/09	Review previous KVT-6 investor script and investigate underlying tables	0.60	
11/30/09	QC Net Winner / Loser calculations for group 1027. Determine why transactional recalculation differs from query answer. Fix errant transfer linking to correct transactional links and confirm correct answer is query result.	2.00	
11/30/09	Call with P. Beeman regarding 11/30 conference call summary and current priorities	0.20	
11/30/09	Call with K. Blake regarding options with KVT-6 investor identification changes	0.10	
11/30/09	Write and test script to determine next 100 investors for KVT-6 by Net Winner calculation (without consideration of transfers to/from outside groups) from unreviewed investors.	2.00	
11/30/09	Fix incorrect initial balance tagging for ungrouped accounts prior to new KVT-6 investor identification	0.80	
11/30/09	Identify and consolidate original STC information from SEI and other sources and send to affidavit support team	2.00	
11/30/09	Call to J. Coker regarding needed affidavit assistance for STC information	0.10	
11/30/09	Identify original tables for client legal names by statement ID	0.50	
11/30/09	Call with M. Russell regarding needed affidavit assistance on STC information	0.10	
11/30/09	Conference call with J. Ferguson, C. Kolodjeski, A. Kneoppel, and M. Russell regarding needed affidavit assistance.	0.10	
11/30/09	Call with P. Beeman regarding if group no field is currently static	0.10	
11/30/09	Investigate foreign currency transactions without exchange rate lookup values. Determine needed exchange rates	0.50	
11/30/09	Call with P. Beeman regarding needs for KVT-6 investor identification	0.10	
	\$312.00 per hour x total hrs of	198.90	\$62,056.80

**Mark Russell**

11/02/09	Preparation of the supporting schedule for the clawback affidavit for the TPC Bonus amounts for 2007, 2008, and 2009.	1.80
11/02/09	Preparation of supporting detail schedule for the Claw back affidavit in relation to the SIBL Commissions for 2007, 2008 and 2009.	2.40
11/02/09	Reconciliation of the KVT-4 held pershing accounts and values to the schedule of held accounts prepared by FITS.	2.30
11/02/09	Update of the KVT-4 schedule for released accounts and updated Pershing Values.	2.20
11/03/09	Review of the supporting documentation for the clawback affidavit for paragraphs 18 - 30.	2.20
11/03/09	Review of the supporting documentation for the clawback affidavit for paragraphs 31 - 43.	2.10
11/03/09	Preparation of the supporting analysis of FAs receiving 1 - 3 % of SIBL CD sales in compensation.	3.10
11/03/09	Review of the supporting detail of the clawback affidavit for paragraphs 1 - 17.	2.30
11/04/09	Call with Brendan Day and Andrew York to discuss the PAR payments included in the FA/MD schedule.	0.30
11/04/09	Targeted ringtail review for instances where Stanford disclosed the that SIBL had three Tiers of investments.	1.40
11/04/09	Review of the supporting documentation for the clawback affidavit for paragraphs 43 - 54.	2.20
11/04/09	Analysis of the Employee proceeds to detail those employees who withdrew CD funds from August 2008 through February 2009.	2.60
11/04/09	Analysis of the Employee proceeds to detail those employees who incurred an early withdrawal penalty from August 2008 through February 2009.	2.70
11/05/09	Preparation of the trending analysis of SIB Assets under management for SGC FAs from January 2008 through January 2009.	3.10
11/05/09	Preparation of the trending analysis of SIB Assets under management for SFIS FAs from January 2008 through January 2009.	2.30
11/05/09	Preparation of the summary analysis of employees who incurred an early withdrawal penalty or withdrew CD funds from August 2008 through February 2009.	1.90
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4033.	0.40
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4036.	0.30
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4037.	0.60
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4039.	0.30
11/06/09	Review of KVT6-2 groupings to determine the proper allocation of accounts for groups 4014, 4027 and 4054.	1.20
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4038.	0.30
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4020.	0.30
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4042.	0.40
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4040.	0.40
11/06/09	Review of the transaction tagging for KVT6-2 Group ID 4031.	0.50
11/09/09	Review of SIBL Procedure manuals to understand the defined process for SIBL CD loans and redemptions.	2.30
11/09/09	Development of the work plan for the electronic evidence review for the Chapter 15 hearing.	1.70
11/09/09	Analysis of the claim proposal submitted by Mr. Kepesky.	2.70
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1009.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1044.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1020.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1038.	0.20





11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1013.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1010.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1003.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1006.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1035.	0.10
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1014.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1005.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1032.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1036.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1026.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1048.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1047.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1012.	0.20
11/10/09	Analysis of the remaining CD balance for the Customers in KVT-6 - Group ID 1041.	0.20
11/11/09	Development of the search criteria for electronic evidence related to the SIBL CD redemption process and individuals responsible for making exceptions to established policy.	1.80
11/11/09	Development of the search criteria for electronic evidence related to the SIBL CD loan granting process and individuals responsible for making exceptions to established policy.	2.10
11/11/09	Quality review of the KVT-6 schedule, updated to include the addition of 51 new SIBL CD customers.	1.70
11/11/09	Review and summarization of payments to Rebecca (Beki) Reeves-Stanford found in the personal bank statements of Allen Stanford.	2.70
11/12/09	Review of electronic evidence to determine who approved exceptions to established procedures for the granting of SIBL CD loans and SIBL CD redemptions.	3.60
11/12/09	Review of electronic evidence for documents related to the approval of SIBL CD customer loans.	3.40
11/12/09	Development of the electronic evidence search criteria for documents related to payments made to Leroy King.	2.10
11/13/09	Targeted review of electronic evidence for documents related to upcoming SIBL CD redemptions from October 2008 - January 2009.	1.90
11/13/09	Continued review of electronic evidence for emails related to the control of SIBL funds as it relates to upcoming SIBL CD redemptions.	3.20
11/13/09	Review of electronic evidence for documents related to the control of SIBL funds as it relates to upcoming SIBL CD redemptions.	3.10
11/16/09	Updates and changes to the Former Employee Schedule to adjust the calculation to use the original loan amount and for header footer and description changes requested by counsel.	1.80
11/16/09	Reconciliation of the compensation amounts in the filing exhibit to the updated Former Employee Schedule.	3.10
11/16/09	Update of the Former Stanford Employee schedule to remove former employees not named in the suit of 331 individuals.	2.30



11/16/09	Reconciliation of the held Pershing accounts and account values used in the Former Employee Schedule to the detail prepared by FITS.	2.30
11/17/09	Update to the Former Stanford Employee schedule to include severance payments received after 1/1/2005.	2.60
11/17/09	Updates to the Cumulative loan totals in the Former Stanford Employee schedule [REDACTED]	2.70
11/17/09	Addition of the 72 employees added to the Former Employee Suit to the Former Stanford Employee schedule.	2.80
11/17/09	Review of the Former Stanford Employee schedule to identify employees in need of a SIBL CD Proceed analysis.	1.70
11/18/09	Review of electronic evidence for documents related to the control of the SIBL CD redemption process from Jan 2009.	2.50
11/18/09	Review of electronic evidence for documents related to the control of the SIBL CD redemption process from Dec. 2008.	2.40
11/18/09	Review of electronic evidence for documents related to the control of the SIBL CD redemption process from Nov 2008.	2.10
11/18/09	Review of electronic evidence for documents related to the control of the SIBL CD redemption process from Oct 2008.	2.30
11/19/09	Review of electronic evidence for documents related to the management of funds surrounding SIBL CD redemptions in Nov. 2008	2.30
11/19/09	Review of electronic evidence for documents related to the management of funds surrounding SIBL CD redemptions in Oct. 2008.	2.20
11/19/09	Review of the [REDACTED] database for accounts in the name of Stanford International Bank.	0.60
11/19/09	Review of electronic evidence for documents related to the management of funds surrounding SIBL CD redemptions in Jan 2009.	2.00
11/19/09	Review of electronic evidence for documents related to the management of funds surrounding SIBL CD redemptions in Dec. 2008.	2.10
11/20/09	Review of electronic evidence to determine who created the SIB policy and procedures.	1.10
11/20/09	Research in to the requirements of the FSRC for reporting and bank minimum requirements.	1.10
11/20/09	Review of electronic evidence to determine what criteria the FSRC reviewed in the Stanford International Bank Quarterly and Yearly reviews.	2.30
11/23/09	Research into the Basel I and Basel II banking standards and requirement.	2.70
11/23/09	Electronic evidence review for the individuals responsible for the origination and updating of the SIBL anti-money laundering policy.	2.80
11/23/09	Electronic evidence review for documents related to the preparation of the SIBL "Know your Customer" policies.	2.90
11/24/09	Research of the SFGC A/R RAS receivable account in the Oracle accounting database to determine which how the receivable were reduced and/or paid off.	3.10
11/24/09	Summary of the process for which the SFGC A/R RAS account was written off to the SFGC Loans payable to SIBL and Paid in capital accounts.	2.10
11/24/09	Mapping and review of the ratios prepared for the FSRC reports to the inputs necessary for their calculation and the individuals responsible for the accounting and management of those assets, equity and liability categories.	3.20
11/28/09	Review of the schedules used to support Karyl's second US affidavit in the chapter 15 matter to identify the source documents required for production.	3.10



11/28/09	Cross reference of Karyl's first Canadian affidavit to the Karyl's second US affidavit in the Chapter 15 matter to determine if there was additional source documents required for production.	3.20	
11/28/09	Review of the exhibits filed in the Karyl's various affidavits filed in the UK, US, and Canada to determine the required source documents for production.	2.70	
11/29/09	Production and categorization of supporting source documents related to Karyl's second US affidavit in the chapter 15 matter.	3.10	
11/29/09	Creation of the electronic versions of source documents related to the required production of the supporting source documents related to Chapter 15 hearing.	3.30	
11/29/09	Gathering and categorization of the required source documents required for the chapter 15 production.	2.60	
11/30/09	Detailed review of the first third of Karyl's second US affidavit and upload of the source documents to Baker Botts for the chapter 15 hearing.	3.20	
11/30/09	Detailed review of the second third of Karyl's second US affidavit and upload of the source documents to Baker Botts for the chapter 15 hearing.	3.10	
11/30/09	Detailed review of the final third of Karyl's second US affidavit and upload of the source documents to Baker Botts for the chapter 15 hearing.	3.00	
11/30/09	Detailed review of Karyl's clawback affidavit for additional information requiring supporting source documents for the production.	2.60	
		\$288.00 per hour x total hrs of	170.10 \$48,988.80

**Deepak Chheda**

11/16/09	Analyze multiple data sources to identify all the available electronic data for a list of financial advisors provided by Baker Botts.	0.60	
11/17/09	Analyze multiple data sources to identify all the available electronic data for a list of financial advisors provided by Baker Botts.	3.80	
11/17/09	Analyze multiple data sources to identify all the available electronic data for a list of financial advisors provided by Baker Botts.	3.90	
11/18/09	Analyze multiple data sources to identify all the available electronic data for a list of financial advisors provided by Baker Botts.	3.90	
11/18/09	Analyze multiple data sources to identify all the available electronic data for a list of financial advisors provided by Baker Botts.	0.80	
		\$280.00 per hour x total hrs of	13.00 \$3,640.00

**Patrick Beeman**

11/02/09	KVT Employee Proceeds Update (Ben Finkelstein & Veronica Hernandez CD Proceed Search)	2.10	
11/02/09	Additional General Claims Information QC (Requested by Craig Adams at Baker Botts)	2.10	
11/02/09	Media Inquiry 5 SIBL Accounts Information Search (Total deposits, Total withdrawals and Total SIB balance remaining)	0.80	
11/02/09	Magnolia Electric Power Association (Pershing Application Review & Individual Contact Information Review)	2.10	
11/02/09	Employee Non-CD Commission Claims Analysis (Review of SSN & Pershing Information)	2.70	
11/03/09	Group 3998 and 3999 Tagging in the Ebony Review Employees database (Requested by Baker Botts)	2.10	
11/03/09	General Claims Updated Report (Additional Non-Email Claim Detail Provided by Baker Botts) - Review & QC	3.20	
11/03/09	Examiner Reports Email Search (Requested by Baker Botts)	1.10	



11/03/09	KVT6-2 Database Setup, Tagging and QC	2.10
11/03/09	Group 3998 and 3999 QC in the Ebony Review Employees database (Requested by Baker Botts)	0.90
11/04/09	KVT6-6 - Next Top 50 - 15 More Potential Names (Pershing & KVT6 Search)	2.10
11/04/09	General Claims Search Request (HR 10.26.09.xls & Process Request)	1.90
11/04/09	Examiner Report Request (Reports from June 28, July 2, July 9 and July 22)	1.20
11/04/09	KVT6-2 Tagging Script (Initial balance, duplicates, reversals, tag transfers, matching query)	2.70
11/04/09	Valdez Stanford International Bank Accounts (Email Search & Call)	0.60
11/05/09	KVT6-2 Global QC Checks (Duplicate Case Statement Review & Tagging Adjustments)	3.20
11/05/09	KVT6-2 Global QC Checks & Error Trapping (Duplicate Case Statement Review & Tagging Adjustments)	1.70
11/05/09	Group QC 4058 - Account Removal (110626, 110627, 111289, 169706, 176707, 179669, 312000, 313215 and 387120) accounts moved to group 4062.	1.10
11/05/09	Group 4055, 4056, 4057 QC for tagging	2.10
11/06/09	Mexican Investors Claims Search (Requested by Richard Roper at Thompson & Knight LLP)	1.30
11/06/09	Internal Status Call (Workstream Status, Email Search and Cash Tracing)	0.60
11/06/09	QC KVT6-2 Next Top 50 (Pershing Account Review)	1.60
11/06/09	KVT6-2 Global QC Checks & Error Trapping (Duplicate Case Statement Review & Tagging Adjustments)	3.10
11/06/09	FA Schedule Counsel Search (CMSI Backend)	1.40
11/09/09	Toronto Dominion Cash Tracing Process (Table QC a to ronto dominion bank transactional data Conca t)	1.10
11/09/09	Toronto Dominion Cash Tracing Process (SIB Account from Q Information Column in a toronto dominion bank transactional data Conca t)	3.80
11/09/09	KVT6-2 Global QC Checks & Error Trapping (Duplicate Case Statement 1 & 2 - Review of Tagging)	1.80
11/09/09	Toronto Dominion Cash Tracing Process (SIB Account from TD Data Table Review)	2.90
11/10/09	KVT6-2 Error Trapping Queries (Ignore Flags, Initial Balance Flags, Interest and Transfer Global QCs)	1.10
11/10/09	Group Numbers Ending SIB Balance Query (Groups 1001, 1010, 1013, 1016, 1017, 1045, and 1047)	1.70
11/10/09	Toronto Dominion Cash Tracing Process (SIB Account from Q Information Column in a toronto dominion bank transactional data Conca t)	3.80
11/10/09	Toronto Dominion Cash Tracing Process (SIB Account from TD Data Table Review)	2.70
11/11/09	KVT6 Updated Proceeds Report (Logic Check Review for Groups 4004, 4037, 4038, 4039, 4041, 4042, 4043, 4044, 4045, 4046, 4047, 4048 and 4050)	3.70
11/11/09	KVT6 Updated Proceeds Report (Logic Check Review for Groups 4051, 4052, 4053, 4055, 4056, 4057, 4058, 4059, 4060, 4061, and 4062)	2.20
11/11/09	KVT6 Updated Report (Building Report w/ latest query results, footnotes, loan models)	1.80
11/11/09	KVT6 Footnote Review (Transfers between groups for KVT6-2 Groups)	2.20
11/11/09	KVT6 Updated Query Results (Phantom Transaction Issue, Review of Group 4033 Tagging)	2.10
11/12/09	Updated KVT6 Transfers Groups to other KVT Reports (Calculations and Analysis)	3.20
11/12/09	Updated KVT6 Proceeds - Additional Tie Outs and QC	2.10



11/12/09	KVT6 Proceeds (Venezuela Schedule)	1.70
11/12/09	Updated KVT6 Report Footnotes and Transfer Groups	2.80
11/12/09	Checking Updated KVT6 to Original KVT6 for negative transfers	1.90
11/13/09	KVT6 Venezuela Group Proceeds & KVT6 Additional Groupings (Group Numbers 101-106)	1.20
11/13/09	Toronto Dominion Cash Tracing Process (SIB Account from Q Information Column in a toronto dominion bank transactional data Conca t)	1.90
11/13/09	Updated KVT4 QC with Updated SGC & STC Accounts Held Report - Nov 12, 2009 (Net Worth Updated)	1.60
11/13/09	TD Cash Tracing 2007 (Query Review for Beneficiary Customer and Information TD fields data extraction)	2.10
11/16/09	General Claim Search for 4e94713a48729dfa6f80d d3bee1f5323	0.60
11/16/09	MLB Players (Money In & Out) Call with Amy Kneepfel & Workbook for Baker Botts	1.10
11/16/09	Divo Milan Manual Calc (Net Winners and Losers)	1.60
11/16/09	Net Winners/Losers Fields (Recalculation Template & Tracking Sheet)	2.40
11/16/09	Updated KVT6 Report to include 106 Groups (4006, 4010, 4016, 4039, 4048 and 4050)	2.10
11/16/09	Requested Information on Baseball Players (CARLOS FELIPE PENA - 2007, DAVID JONATHAN DREW - 2008, JAY STUART BELL - 2009, JOHNNY DAVID DAMON - 2010, GREGORY ALAN MADDUX - 2011, BERNABE WILLIAMS - 2012, ANDRUW RUDOLF BERNARDO JONES - 2013)	2.90
11/17/09	KVT-4 and KVT-5 Schedules (Net Winner & Loser QC - Groups 581 & 311)	3.20
11/17/09	Haddad Net Winner/Loser QC	1.60
11/17/09	KVT-4 and KVT-5 Schedules (Draft Query Output for Net Winners / Losers)	3.10
11/17/09	KVT4 Net Winner/Loser Analysis	1.50
11/18/09	Net Winners & Losers Template and Instructions	1.80
11/18/09	Group 553 Net Winner & Loser QC	3.10
11/18/09	Net Winners/Losers QC (Reinvestments, Unclassified, Express Principal, Fees/Penalty and Other)	3.80
11/18/09	Nets Winner(Losers) - KVT-4 & KVT-5 Updated Qry Results	2.40
11/19/09	Net Winners/Losers vs. KVT4 Interest QC (Groups 394, 541, 301 and 570)	3.60
11/19/09	KVT4, 5, and 6 with Gross Express Proceeds Included (Query Results)	2.70
11/19/09	Oracle Data Request Acct (Trace RAS (Stanford) Amex activity in the GL)	1.10
11/19/09	KVT5 Net Winners/Losers - B. Day Calc QC (18 Groups QC)	2.10
11/20/09	KVT6 Sample Recalc Population & Updated Net Winners/Losers Calc	1.30
11/20/09	Examples Workbook to Summarize Reconciliation (Net Loser (Group 4), Net Winner > KVT5 Interest (Group 2) and Net Winner < KVT5 Interest (Group 35))	2.40
11/20/09	Account-Release Applications for Brendan Day Request (Application in CMSI)	3.10
11/20/09	CMSI Error & Updated Application Status for Brendan Day Search (Issues with ANNRS19UT01 and ANNRS19UT02)	1.20
11/23/09	Summary Report for FTI/Baker Botts Discussion on Reconciliation of KVT-5 Net Winner/Loser Calculations with Brendan Day	2.10
11/23/09	Groups with Final Balance Prior to Selected Periods (Analysis & QC)	0.90
11/23/09	KVT6 Net Winner (56 Groups) Address Search	1.10
11/23/09	Updated CD Interest Column for KVT6 and Report QC for Submission to Baker Botts	0.90
11/23/09	Summary Report for Proceeds Reconciliation between FTI Net Winners & Losers Calc and Brendan's KVT5 Net Winners Calc (based on old KVT5 and Stips)	1.80



11/23/09	KVT4 Net Winners & Losers Sample Testing (Groups 541 & 601)	0.60	
11/23/09	Net Winner/Loser Review Examples & Status Update	0.90	
11/23/09	KVT4 Net Winners & Losers Sample Testing (Groups 284 & 581)	0.90	
11/24/09	KVT6 Net Winners & Losers Sample Testing (Reconciled \$131.28 - Conversion Issues)	0.90	
11/24/09	Claim Email Search for Claim afb36b53b08d067eefc32 f406b6a800b)	0.40	
11/24/09	Additional Addresses for the KVT6 Listed Investors (Source Check)	1.10	
11/24/09	KVT6 Net Winners & Losers Sample Testing (Groups 1026 & 4021)	1.30	
11/24/09	KVT6 Net Winners & Losers Sample Testing (Groups 4057 & 4061)	1.20	
11/24/09	KVT6 Net Winners & Losers Sample Testing (Groups 1003 & 1011)	1.40	
11/24/09	KVT6 Net Winners & Losers Sample Testing (Groups 4051 & 1037)	1.10	
11/24/09	QC of Reconciliation Summary of KVT-4 and KVT-6 (Net Winner/Loser Calculations)	1.10	
11/30/09	Stanford conference call (Next 100 Net Winner/Losers & Bank Data Production)	0.50	
11/30/09	KVT4 & KVT6 Sample QC Testing (Summary Report & Difference Review)	2.10	
11/30/09	Net Winners/Losers Script to Group and Define next 100 Groups for reporting (Net Winners/Losers Proceed Analysis)	3.40	
11/30/09	Employee Proceeds for Additional Employee Proceeds #1 SharePoint List Tagging QC (Groups 3322, 3325 and 3332)	1.70	
		<u>\$280.00 per hour x total hrs of</u>	<u>167.30 \$46,844.00</u>

**Daniel Palmer**

11/02/09	Continue to review invoices for 11/4 disbursement date.	1.90
11/02/09	Develop worksheet detailing invoices to be paid for week ending 11/6.	2.40
11/02/09	Review invoices to be paid on 11/4.	2.50
11/02/09	Review of November 2nd cash report and related disbursements	0.80
11/03/09	Management meeting with F. Fram, R. Davis, and K. Jackson (Stanford) re: receivership updates.	1.00
11/03/09	Update master wire listing to include 11/4 wires.	0.60
11/03/09	Update 11-4 AP list for additional invoices received.	1.80
11/03/09	Continue vendor claims reconciliation process - reconcile claims to outstanding AP list.	2.50
11/03/09	Review checks to be disbursed on 11-4 for completeness and accuracy.	1.10
11/03/09	Input wires for 11-4 disbursement date.	0.80
11/03/09	Develop 11/3 cash report for daily cash activity.	1.40
11/04/09	Vendor claim reconciliation process - update vendor claims list to include additional claims discovered from February and March.	2.50
11/04/09	Review 11/4 wires for confirmation of release.	0.80
11/04/09	Review master critical payments list for recurring payments and inquire to M. Bader and A. Baron (Stanford) re: payments due for Virgin Island Properties.	2.00
11/04/09	Review of November 4th cash report and related disbursements	0.90
11/04/09	Vendor claim reconciliation process - identify claims which reconcile to outstanding payables listing.	2.50
11/05/09	Working session with J. Day (FTI) and Stanford management team re: claims reconciliation status and overall receivership updates.	1.00
11/05/09	Develop 10/29 cash report for prior day's cash activity and distribute to Stanford management.	2.10
11/05/09	Respond to inquiry regarding payment of repair on Tupelo building.	1.20
11/05/09	Vendor claims reconciliation - develop list of invoices for additional reconciled claims for support pull.	2.30



11/05/09	Review escrow interest calculation using information provided by bank and J. Day (FTI).	1.80
11/06/09	Review of November 6th cash report and related disbursements	0.90
11/06/09	Correspondence with K. Jackson (Stanford) re: invoice pull for reconciled claims.	0.40
11/06/09	Claims reconciliation process - identify claims that reference invoices not in Stanford AP system for further research.	2.50
11/06/09	Correspondence with A. Vega (Stanford) regarding updates to critical payments list.	0.20
11/06/09	Gather incoming wiring instructions and distribute to J. Greer (BB).	0.20
11/09/09	Prepare group of 11-10 disbursements primarily for ADP as 11-11 was a holiday	1.70
11/09/09	Continue to review invoices for 11/11 disbursement date.	1.90
11/09/09	Review invoices to be paid on 11/11.	2.50
11/09/09	Develop worksheet detailing invoices to be paid for week ending 11/13.	1.80
11/09/09	Review of November 9th cash report and related disbursements	0.80
11/10/09	Update 11-11 AP list for additional invoices received.	1.60
11/10/09	Update master wire listing to include 11/11 and 11/10 wires.	0.90
11/10/09	Review checks to be disbursed on 11-11 for completeness and accuracy.	1.10
11/10/09	Management meeting with F. Fram, R. Davis, and K. Jackson (Stanford) re: receivership updates.	0.90
11/10/09	Input wires for 11-11 disbursement date.	0.80
11/10/09	Develop 11/10 cash report for daily cash activity.	1.30
11/10/09	Continue vendor claims reconciliation process - reconcile claims to outstanding AP list.	2.50
11/10/09	Review and adjust T&E payments to match list of employees not currently receiving payments	1.20
11/11/09	Review of November 11th cash report and related disbursements	0.90
11/11/09	Review and respond to emails re: recurring monthly payments slated for payment soon and absence of payment for vendor which we had not paid in 2 months	1.30
11/11/09	Correspondence with S. Lindstrom re: vendor contract not yet rejected which had a payment slated for 2010 and a claim in the system.	1.10
11/11/09	Vendor claim reconciliation process - continue to review claims with vendor names that do not exist in AP system.	2.50
11/11/09	Review 11/10 wires for confirmation of release.	0.80
11/11/09	Vendor claim reconciliation process - review claims with vendor names that do not exist in AP system.	2.50
11/12/09	Review current day's JPMorgan cash activity and respond to inquiry from J. Greer (Baker Botts) re: wire receipt	0.90
11/12/09	Vendor claims reconciliation - develop list of invoices for additional reconciled claims for support pull and distribute to K. Jackson (Stanford).	2.50
11/12/09	Develop 11/12 cash report for prior day's cash activity and distribute to Stanford management.	2.30
11/12/09	Update master critical list to reflect two rounds of disbursements (11-10 and 11-12) and add payroll disbursement.	1.40
11/12/09	Review 11-12 wires for confirmation of release.	0.70
11/13/09	Review and amending of November 13th cash report	1.60
11/13/09	Correspondence with S. Lindstrom (Stanford) re: payments to Otis Elevators.	0.60
11/13/09	Correspondence with M. Bader (Stanford) regarding master critical list and inquiry re: payments to FedEx	0.80
11/16/09	Review invoices to be paid on 11/18.	2.50
11/16/09	Review of November 16th cash report and related bank activity.	0.80
11/16/09	Develop worksheet detailing invoices to be paid for week ending 11/20	2.00
11/16/09	Continue to review invoices for 11/18 disbursement date.	2.20



11/16/09	Prepare disbursements related to 2008 delinquent taxes per E&Y request	1.40
11/17/09	Update 11-17 AP list for additional invoices received.	1.50
11/17/09	Input wires related to the release of escrow funds to two clients	0.70
11/17/09	Update cash report to reflect payment of 2008 taxes	0.90
11/17/09	Continue vendor claims reconciliation process - reconcile claims to outstanding AP list.	1.80
11/17/09	Review of November 17th cash report and bank activity.	0.80
11/17/09	Management meeting with F. Fram, R. Davis, and K. Jackson (Stanford) re: receivership updates.	0.90
11/17/09	Review checks to be disbursed on 11-18 for completeness and accuracy.	1.30
11/17/09	Update master wire listing to include 11/18 wires	0.70
11/18/09	Review 11/18 wires for confirmation of release.	0.80
11/18/09	Develop and review 11/18 cash report for daily cash activity	1.40
11/18/09	Meeting with K. Jackson (Stanford) re: available balance sheet info for various entities	0.70
11/18/09	Update cash report file to include category for release of funds held in escrow in order to distinguish from daily A/P	1.00
11/18/09	Correspondence with S. Lindstrom re: payment of HOA fees and maintenance invoices	0.60
11/18/09	Update master critical payment list to include tax payments and escrow payments	1.10
11/18/09	Input wires related to funds held in escrow for three clients	0.90
11/18/09	Vendor claim reconciliation process - continue to review claims with vendor names that do not exist in AP system.	2.50
11/19/09	Develop 11/19 cash report for prior day's cash activity and distribute to Stanford management.	2.30
11/19/09	Correspondence with J. Day (Stanford) re: unpaid vendors for month of November and preparation for Thanksgiving week disbursements	1.10
11/19/09	Vendor claims reconciliation - develop list of invoices for additional reconciled claims for support pull and distribute to K. Jackson (Stanford).	2.50
11/19/09	Review escrow wires for confirmation of release.	0.40
11/20/09	Correspondence with A. Baron (Stanford) re: Virgin Islands unpaid invoices	0.60
11/20/09	Develop and review 11/20 cash report outlining prior day's cash activity	2.20
11/20/09	Input wires for the release of escrow funds to three clients	1.40
11/20/09	Correct cash report file for mistaken JPMorgan entries - update prior day's disbursement activity	1.20
11/23/09	Review and November 23rd bank activity and cash report	0.70
11/23/09	Develop worksheet outlining payments to be made for week ending 11/27	2.30
11/23/09	Review and input wire information for release of escrow finds to 4 clients	1.60
11/23/09	Review invoices to be paid for week ending 11/27	2.50
11/23/09	Correspondence with M. Bader (Stanford) re: AP disbursements from previous week	0.60
11/23/09	Input wire information for AP wires to be disbursed on 11/24	1.20
11/24/09	Review of November 24th cash report	0.70
11/24/09	Correspondence with J. Day (FTI) re: regulation D fees incurred as a result of escrow disbursements	1.80
11/24/09	Update Master critical payment list for additional 11-24 disbursements	0.80
11/24/09	Review and input wire information for release of escrow funds to 2 clients	1.00
11/25/09	Correspondence with J. Day (FTI) and H. Duskin (Stanford) re: release of escrow funds	0.60
11/25/09	Review and input wire information relating to escrow funds for two clients	1.00



11/25/09	Review of November 25th cash report and related activity	0.80		
11/30/09	Review invoices to be paid for week ending 12/4	2.50		
11/30/09	Develop worksheet outlining details of 12-2 AP disbursements	1.80		
11/30/09	Review of November 30th cash report and related activity	0.80		
11/30/09	Meeting with M. Bader (Stanford) re: unpaid invoices	0.40		
11/30/09	Research vendor claim information per J. Day (FTI)	0.60		
11/30/09	Develop worksheet outlining wires to be disbursed on 12-2	0.40		
11/30/09	Collect all JPMorgan October statements and disburse to K. Jackson (Stanford) for October close	0.80		
11/30/09	Prepare summary of vendor claim reconciliation process per J. Day (FTI)	0.70		
			\$268.00 per hour x total hrs of	
			138.80	\$37,198.40

**Anna Campbell**

11/10/09	Email review for given dates for Juan Rodriguez	0.50		
11/16/09	Provide information about exchange email to Ann Emberson.	0.20		
11/16/09	Prepared SEC production 34, Supplement 1, exchange emails and documentation.	3.90		
11/17/09	Delivery preparation and documentation to native file processing - 9 email PST files for Michael Arthur, Neal J. Clement, Thomas P. Espy, Douglas M. McDaniel, Henry J. (Hank) Mills, Carlos Antonio (Tony) Perez, Louis J. Schaufele, Roberto Ulloa, C. Satterfield.	2.90		
11/23/09	Delivery of email that belongs to Kye Walker , Linda Wingfield to native file processing group.	0.70		
			\$264.00 per hour x total hrs of	
			8.20	\$2,164.80

**Hairong Qu**

11/03/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 250, 250a, 251, 252, 255-256, 260.	0.80		
11/03/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 291-300, 29, 302- 315	1.90		
11/03/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 316-319, 319a, 32, 321-323,326,330-332,3 36-338	0.80		
11/03/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 263, 265, 267-268, 27, 270-290.	1.80		
11/04/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 307, 324 - 326, 339-350, 35-36.	1.80		
11/04/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 454-461, 463-467, 463-467, 46-47, 477-481.	1.90		
11/04/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 355-359, 361-362, 365, 367, 441-442,444, 446, 448-452, 44.	1.90		
11/04/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 482 - 500.	1.70		
11/04/09	Performed tagging of transaction detail for Group ID 4036 -	1.30		
11/05/09	Continued to prepare supporting documents for 7/27 KVT-4 schedule - Group 4036.	0.40		
11/05/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 4037.	1.60		
11/05/09	Prepared supporting documents for 7/27 KVT-4 schedule - Group 4037.	1.50		
11/09/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 6 (HOU00896)	1.00		
11/09/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 3 (HOU00893)	0.90		
11/09/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 2 (HOU00892)	2.20		
11/10/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 17 (HOU00907)	1.60		



11/10/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 15 (HOU00905)	1.70
11/10/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 11 (HOU00901)	1.80
11/10/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 9 (HOU00899)	0.80
11/10/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 7 (HOU00897)	2.00
11/11/09	Reviewed documents in order to determine Failing's [REDACTED] - Chamberlain Box 3	1.20
11/11/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 20 (HOU00910)	1.00
11/11/09	Reviewed documents in order to determine Failing's [REDACTED] - Chamberlain Box 6	2.20
11/11/09	Reviewed documents in order to determine Failing's [REDACTED] - Chamberlain Box 4 and Box 5	1.10
11/11/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 24	1.30
11/11/09	Reviewed documents in order to determine Failing's [REDACTED] - Box 21 (HOU00911) & Box 22 (HOU00912)	1.40
11/12/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 1	1.60
11/12/09	Reviewed documents in order to determine Failing's [REDACTED] - Additional Box 1	1.80
11/12/09	Reviewed documents in order to determine Failing's [REDACTED] - Additional Box 2	1.70
11/12/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 2	1.90
11/13/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 4	1.80
11/13/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 5	1.30
11/13/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 6	1.40
11/13/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 3	1.50
11/16/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 10	1.70
11/16/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 9	1.60
11/16/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 8	0.80
11/16/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 7	1.40
11/16/09	Organized and classified documents relevant to Failing's [REDACTED] - Batch 11	1.20



11/17/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.50
	Batch 12		
11/17/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.60
	Batch 13		
11/17/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.90
	Batch 14		
11/18/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.50
	Batch 18		
11/18/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.60
	Batch 17		
11/18/09	Performed reconciliation KVT5 winner/loser vs. original KVT5 result and Brendan calculation result - Group 2040		1.20
11/18/09	Performed reconciliation KVT5 winner/loser vs. original KVT5 result and Brendan calculation result - Group 2038		1.20
11/18/09	Performed reconciliation KVT5 winner/loser vs. original KVT5 result and Brendan calculation result - Group 2037		1.30
11/18/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.90
	Batch 16		
11/19/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.50
	Batch 19		
11/19/09	Performed reconciliation KVT4 winner/loser vs. original KVT4 result - Group 310		1.20
11/19/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.10
	Batch 21		
11/19/09	Performed reconciliation KVT4 winner/loser vs. original KVT4 result - Group 570		1.10
11/19/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.40
	Batch 20		
11/19/09	Updated notes to reconciliation on Sharepoint worksheet.		0.60
11/20/09	Organized and classified documents relevant to Failing's [REDACTED]	-	1.90
	Batch 22		
11/20/09	Searched for filing status for selected customers.		2.50
11/20/09	Conference call concerning counsel's request to confirm filing status for selected customers.		0.30
11/23/09	Worked on reconciling Group 4017 on KVT-6 schedule.		0.30
11/23/09	Prepared summary status for supports of KVT US Declaration (US#2)- 6/9/09.		1.90
11/23/09	Prepared summary status for supports of KVT UK Affidavit (UK#2) - 6/09.		1.80
11/23/09	Prepared supports for KVT US Declaration (US#1) - 4/17/09.		0.90
11/23/09	Reviewed copied Failing Documents for [REDACTED]		0.60
11/23/09	Prepared summary status for supports of KVT UK Affidavit (UK#1) - 5/25/09.		1.70
11/24/09	Prepared supports for KVT Canadian Affidavit (CAN#1) - 5/22/09 part 2.		1.90
11/24/09	Prepared supports for KVT US Declaration (US#1) - 4/17/09.		2.70
11/24/09	Prepared supports for KVT Canadian Affidavit (CAN#1) - 5/22/09 part 1.		1.90
11/24/09	Prepared supports for KVT Canadian Affidavit (CAN#1) - 5/22/09 part 3.		1.80



11/25/09	Organized exhibits for KVT United Kingdom Affidavit (UK#1) - 5/25/09	0.60	
11/25/09	Edited the consolidated status summary for supports of KVT Declarations/Affidavits	1.50	
11/25/09	Prepared summary status for supports of KVT Canadian Affidavit (CAN#1) - 5/22/09.	0.90	
11/25/09	Organized exhibits for KVT US Declaration (US#2) - 6/9/09	0.80	
11/30/09	Prepared production for KVT affidavits/declarations - part 4	1.70	
11/30/09	Prepared production for KVT affidavits/declarations - part 3	1.60	
11/30/09	Prepared production for KVT affidavits/declarations - part 2	1.70	
11/30/09	Prepared production for KVT affidavits/declarations - part 1	1.30	
11/30/09	Prepared production for KVT affidavits/declarations - part 5	1.20	
11/30/09	Prepared production for KVT affidavits/declarations - part 6	1.50	
	\$260.00 per hour x total hrs of	110.90	\$28,834.00

**Kyle Amschler**

11/17/09	Reviewing documents on Ringtail related to FSRC.	2.30	
11/17/09	Reviewing electronic documents related to the FSRC.	2.80	
11/18/09	Reviewing documents electronically related to FSRC.	3.00	
	\$260.00 per hour x total hrs of	8.10	\$2,106.00

**Kathleen Prichard**

11/02/09	Analysis of relationship between employee Fabio Bramanti and SIB account belonging to MARIA ROSELLA OSTILLA	0.10	
11/02/09	Analysis of relationship between employee David Handelman Poplawsky and SIB account belonging to LIORA HANDELMAN ORJAIME GARFUNKEL SAINZ	0.10	
11/02/09	Analysis of relationship between employee Marcos Iturriza and SIB account belonging to MANUEL IGNACIO ITURRIZA VOLCAN	0.10	
11/02/09	Analysis of relationship between employee David Handelman Poplawsky and SIB account belonging to REBECA POPLAWSKY	0.10	
11/02/09	Analysis of relationship between employee David Handelman Poplawsky and SIB account belonging to SILVIA POPLAWSKY OBERFELD	0.10	
11/02/09	Analysis of relationship between employee Humberto J Lopez and SIB account belonging to JOSE HUMBERTO LOPEZ NIEDERER	0.10	
11/02/09	Analysis of relationship between employee Claudia Victoria Martinez and SIB account belonging to CLAUDIA VINGERHOEDT	0.10	
11/02/09	Analysis of relationship between employee Monica Ardesi and SIB account belonging to BELKYS MARCANO	0.10	
11/02/09	Analysis of relationship between employee Monica Ardesi and SIB account belonging to FLAVIA ARDESI DE SOCORRO	0.10	
11/02/09	Analysis of relationship between employee Isaac Bar and SIB account belonging to ADA ROSA SPIERER	0.10	
11/02/09	Analysis of relationship between employee Oswaldo Jesus Bencomo Jimenez and SIB account belonging to MARIA ANGELICA PEREZ REYES OR HELMER BARRIOS BAPTISTA	0.10	
11/02/09	Analysis of relationship between employee Marcos Iturriza and SIB account belonging to MARCO J. ITURRIZA ORNILKA M VOLCAN	0.10	
11/02/09	Analysis of relationship between employee Juan Carlos Gonzalez and SIB account belonging to JUAN CARLOS GONZALEZ RODRIGUEZ	0.20	
11/02/09	Analysis of relationship between employee Martine Hernandez and SIB account belonging to ALFRED VAN DER VLIES	0.10	
11/02/09	Drafting of response to Media Inquiry regarding the release of accounts for Magnolia EPA of McComb, MS; incorporation of Phase I and Phase II account release protocol.	1.80	



11/02/09	Analysis of account status for non-cd commission claims with Pershing accounts.	0.30
11/02/09	Updating of KVT-4 to remove any released accounts and to update all held SGC, and JPM net worth values.	0.90
11/02/09	Updating of KVT-4 to remove any released accounts and to update all held SGC, STC, and JPM net worth values.	0.90
11/02/09	Analysis of relationship between employee David Handelman Poplawsky and SIB account belonging to ALINE LITMANOWICZ POPLAWSKY	0.10
11/02/09	Analysis of relationship between employee David Haggard and SIB account belonging to ROBERT D. HAGGARD	0.20
11/02/09	Analysis of relationship between employee Fabio Bramanti and SIB account belonging to CLAUDIO BRAMANTI OSTILLA	0.10
11/02/09	Analysis of relationship between employee Juan Carlos Gonzalez and SIB account belonging to JUAN CARLOS GONZALEZ BAROLDI	0.20
11/02/09	Analysis of relationship between employee Ana Dongilio and SIB account belonging to IGNACIO JOSE ANTONIO FELICE SANCHEZ	0.10
11/02/09	Analysis of relationship between employee Jane Chernovetzky and SIB account belonging to JORDI TOIBER CHERNOVETZKY	0.10
11/02/09	Analysis of relationship between employee Jane Chernovetzky and SIB account belonging to ANA CHERNOVETZKY DE TOIBER	0.20
11/02/09	Analysis of relationship between employee Rafael Carriles and SIB account belonging to LAURA ELENA ORTIZ GOMEZ	0.10
11/02/09	Analysis of relationship between employee Marcos Iturriza and SIB account belonging to MANUEL IGNACIO ITURRIZA VOLCAN ORDESIREE ALEJANDRA LINARES PINTO	0.10
11/02/09	Analysis of relationship between employee Daniel Hernandez and SIB account belonging to MIRTHA B. HERNANDEZ	0.10
11/02/09	Analysis of relationship between employee Miguel R Valdez and SIB account belonging to GWENDOLYN V VALDEZ	0.10
11/02/09	Analysis of relationship between employee James K Fontenot and SIB account belonging to B F H INVESTMENTS LLC	0.10
11/02/09	Analysis of relationship between employee Henry J Mills and SIB account belonging to DEBORAH K MILLS	0.10
11/02/09	Analysis of relationship between employee Henry J Mills and SIB account belonging to FRED H. MILLS, JR.	0.30
11/02/09	Analysis of relationship between employee Henry J Mills and SIB account belonging to MILLS CASHWAY PHARMACY	0.10
11/02/09	Analysis of relationship between employee Pablo M Alvarado and SIB account belonging to FANNY GARCIA DE ALVARADO	0.10
11/02/09	Analysis of relationship between employee Nancy Brownlee and SIB account belonging to AVIN S. BROWNLEE	0.10
11/02/09	Analysis of relationship between employee Andrea K Freedman and SIB account belonging to ANDREA BERGER	0.20
11/02/09	Analysis of relationship between employee Sylvia Aquino and SIB account belonging to RAUL GUILLERMO PEREZ	0.10
11/02/09	Analysis of relationship between employee Freddy Fiorillo and SIB account belonging to LEGEM ASESORES C.A.	0.10
11/02/09	Analysis of relationship between employee Marcos Iturriza and SIB account belonging to MARCO ANTONIO ITURRIZA VOLCAN ORLUCIA CAROLINA GUTIERREZ SILVA	0.10
11/02/09	Analysis of relationship between employee Freddy Fiorillo and SIB account belonging to BARRACUDA TRUST	0.10
11/03/09	Analysis of relationship between employee Evelyn Villalon and SIB account belonging to ARTURO MARTIN GONSALEZ	0.10
11/03/09	Analysis of relationship between employee Mark Tidwell and SIB account belonging to LAVADA KALVORT	0.10
11/03/09	Analysis of relationship between employee Maria Manerba and SIB account belonging to PARMA TRUST	0.10



11/03/09	Analysis of relationship between employee Mark Tidwell and SIB account belonging to KEITH MARLOW	0.10
11/03/09	Analysis of relationship between employee Tatiana Saldivia and SIB account belonging to FEDOR A SALDIVIA	0.10
11/03/09	Analysis of relationship between employee Rocky Roys and SIB account belonging to ROSARIO TERESA RIVERO VARELA	0.10
11/03/09	Analysis of Temporary Restraining Order and subsequent Orders regarding the freezing of accounts.	0.50
11/03/09	Updating of Employee SIB proceeds schedule to include two employees included in the non-CD commission claims worksheet per client request.	0.90
11/03/09	Analysis of relationship between employee Mario Vieira and SIB account belonging to EQUITY TRUST	0.10
11/03/09	Analysis of relationship between employee Evely Villalon and SIB account belonging to MARIA ASCANIO DE VIVENES	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to KARIM KASSAM	0.10
11/03/09	Analysis of relationship between employee Josefina Picon and SIB account belonging to ROBERTO JOSE COROMOTO DUBUC PICON	0.10
11/03/09	Analysis of relationship between employee Rocky Roys and SIB account belonging to KENNETH RICHARD ROYS	0.10
11/03/09	Analysis of relationship between employee Evely Villalon and SIB account belonging to HECTOR APONTE LOPEZ	0.10
11/03/09	Analysis of relationship between employee Evely Villalon and SIB account belonging to MANUEL DAS MERCES LUIS	0.10
11/03/09	Transactional activity download for Pershing accounts belonging to Magnolia Electric Power Association (MEPA); analysis of transactional activity.	0.90
11/03/09	Analysis of relationship between employee Peter Siragna and SIB account belonging to ALEXANDREA L. SIRAGNA	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to MRS. NURKHANU SHAMSUDIN KASSAM	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to MUNIR KASSAM ORNEWIN KASSAM	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to NEWIN KASSAM	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to RUBINA KASSAM	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to SHAISTA KASSAM	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to SHAZMEEN KASSAM	0.10
11/03/09	Analysis of relationship between employee Faran Kassam and SIB account belonging to ZAIBA KASSAM	0.10
11/03/09	Analysis of past Examiner Reports submitted per client request	0.40
11/03/09	Table conversion from MS Access to MS SQL for Employee SIB account analysis query	0.20
11/03/09	Analysis of relationship between employee Evely Villalon and SIB account belonging to ALY ROSARIO VIVENES ASCANIO	0.10
11/03/09	Analysis of relationship between employee Iris Marcovich and SIB account belonging to YOLANDA BURGOS EROSA OREDMUNDO REY ALBA ARAGON	0.10
11/03/09	Analysis of relationship between employee Tatiana Saldivia and SIB account belonging to GIOVANNI E. IGNAZZI F.	0.10
11/03/09	Database integrity control check, updating of tables based on analysis	0.40
11/03/09	Monthly account statement download for Pershing accounts belonging to Magnolia Electric Power Association (MEPA).	0.90
11/03/09	Updating of KVT-4 to update all held STC net worth values.	0.90



11/03/09	Analysis of relationship between employee Josefina Picon and SIB account belonging to EDUARDO ENRIQUE DUBUC PICON	0.10
11/03/09	Analysis of relationship between employee Alfonso Ortega and SIB account belonging to YENNYLIN SARDI DE ORTEGA	0.10
11/03/09	Analysis of relationship between employee Nanci Soto and SIB account belonging to ALIDA MARGARITA SOTO ORNANCI DEL CARMEN SOTO APONTE	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 51 (MATEO LICHÍ S. AND LUISA DE LICHÍ AND JAIME LICHÍ COHEN AND REBECA LICHÍ COHEN AND JACOBO LICHÍ COHEN AND SARA LICHÍ COHEN AND EDITH BOGUSKY BEAUJON)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 38 (CHRIS SWINDELL)	0.10
11/04/09	SQL query writing to determine all transactions that occurred on the day that an employee incurred a withdrawal greater than \$20,000. Withdrawals limited to dates after August 2008.	0.70
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 127 (EFRAIN DOS SANTOS MARQUEZ)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 112 (RA AND FARALL D. CANNING TRUST C AND RA CANNING AND FARALL D. CANNING)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 105 (HENRY A. MENTZ III)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 99 (INVHERNAR, INC. AND RODRIGO HERNANDEZ)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 11 (PATRICIA A. THOMAS)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 77 (PHILIP M. ZIMMERMAN AND JENNIFER B. ZIMMERMAN)	0.10
11/04/09	Production quality control analysis for 7/27 KVT-4 support for groups 2a-205.	1.50
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 171 (VAHLE, INC.)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 185 (ERIKA TERESA HERRO)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 131 (DAVID TOPP AND DORA TOPP)	0.10
11/04/09	SQL query writing to determine all transactions that occurred on the day that an employee incurred a SIB early redemption penalty. Penalties limited to dates after August 2008.	0.70
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 163a (GRACE CHEN LEBLANC)	0.10
11/04/09	Microsoft Outlook scan for all Examiners Reports submitted after June 2009 per client request.	0.40
11/04/09	Account analysis, including SIB, SGC and STC, for LUIS VALDEZ GUTIERREZ.	0.20
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 66 (CARROLL D. LEU)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 57 (JOHN S. WATTS JR)	0.10
11/04/09	Production quality control analysis for 7/27 KVT-4 support for groups 207 - 342.	0.90
11/04/09	Production quality control analysis for 7/27 KVT-4 support for groups 343 - 417.	0.90
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 47 (WILLIAM C. DAWSON)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 52 (MARTHA J. WITMER)	0.10



11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 29 (DAVID A. RUBIN AND DAWN L. RUBIN)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 6 (ATP TOUR, INC.)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 9 (JOSEPH R. BECKER AND LOLINE BECKER)	0.10
11/04/09	Production analysis for 7/27 KVT-4 proceeds adjustment support for group 194 (MICHAEL L. EISEMANN AND LINDA G. EISEMANN)	0.10
11/05/09	Printing of ARW support documents for production purposes for the original KVT-4 produced 7/27	0.30
11/05/09	Production quality control analysis for 7/27 KVT-4 support for groups 418 - 486.	0.60
11/05/09	Production quality control analysis for 7/27 KVT-4 support for groups 487 - 606.	0.90
11/05/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 415 (Clement)	0.40
11/05/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 10 (Ory)	0.40
11/05/09	Analysis of SIB proceed adjustments on KVT-4 (produced 7/27) for group 178 (Espinosa)	0.40
11/06/09	Analysis of applications for account release submitted by other people but grouped with listed FAs.	0.60
11/06/09	Quality control check of transaction tagging for KVT-6, version 2 for group 4052 (DAVID ABITBOL)	0.70
11/06/09	Quality control check of transaction tagging for KVT-6, version 2 for group 4041 (ANGELO VICTOR GONCALVES)	0.70
11/06/09	Quality control check of transaction tagging for KVT-6, version 2 for group 4059 (JUAN JOSE RODRIQUEZ POSADA)	0.70
11/06/09	Analysis of listed FAs who have filed an application for account release.	1.10
11/09/09	Quality control check of transaction tagging for KVT-6, version 2 for group 4062 (BENITO DE LUCA TRUST)	0.70
11/09/09	Analysis of all documents located in Harry Failing Box 37 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.80
11/09/09	Analysis of all documents located in Harry Failing Box 36 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.60
11/09/09	Quality control check of transaction tagging for KVT-6, version 2 for group 4061 (CREIGHTON DEVELOPMENT LLC)	1.10
11/09/09	Analysis of all accounts and related net worth values, SIB activity, and account statuses for Michael Kepesky's formal settlement offer	0.70
11/09/09	Quality control check of transaction tagging for KVT-6, version 2 for group 4048 (JHON E HERNANDEZ)	0.70
11/09/09	Analysis of all documents located in Harry Failing Box 35 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.90
11/10/09	Analysis of all documents located in Harry Failing Box 34 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.90
11/10/09	Analysis of all documents located in Harry Failing Box 30 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.70
11/10/09	Analysis of all documents located in Harry Failing Box 27 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.70
11/10/09	Analysis of all documents located in Harry Failing Box 26 (of 37) <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.90



11/10/09	Analysis of all documents located in Harry Failing Box 32 (of 37) <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	1.80
11/11/09	Reporting of check details for payments made from Allen Stanford bank accounts to Rebecca (Beki) Reeves including date, amount, sending account number, receiving account number, and check number.	0.70
11/11/09	Analysis of all documents located in Allen Stanford Bank Statements Box for evidence of payments to Rebecca (Beki) Reeves.	2.50
11/11/09	Conference calls regarding accounts reviewed and released during the month of June regarded as "Stipulated Partial Release" accounts.	1.00
11/11/09	Analysis of past status reports, data from Stanford account release team, and the account release database to determine the number of Stipulated Partial Release accounts reviewed and released in the month of June.	1.90
11/11/09	Analysis of number of accounts reviewed through the application process since June.	0.50
11/11/09	Analysis of all documents located in Harry Failing Box 29 (of 37) <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	1.70
11/12/09	Analysis of office locations for client-provided listing.	0.60
11/12/09	Research regarding full name, addresses, and employee position for <span style="border: 1px solid red; padding: 1px;">REDACTED</span> per client request.	0.90
11/12/09	Analysis of past status reports, data from Stanford account release team, and the account release database to determine the number of "Held for Insufficient Funds" accounts reviewed and released in the month of June.	1.00
11/12/09	Copying and categorization of all documents located in Harry Failing Box 1 (of 3) that pertain to <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	1.90
11/12/09	Written summarization of evidence found pertaining to Harry Failing's <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	1.00
11/12/09	Quality control analysis of check details for payments made from Allen Stanford bank accounts to Rebecca (Beki) Reeves including date, amount, sending account number, receiving account number, and check number.	0.90
11/12/09	Analysis of all documents located in Harry Failing Box 1 (of 3) for <span style="border: 1px solid red; padding: 1px;">REDACTED</span>	1.90
11/13/09	Updating of employee SIB proceed schedule to remove any released accounts and to update all held SGC, STC, and JPM net worth values.	1.30
11/13/09	Analysis of Sanford Steinberg, an FA who is also included in KVT-4 as well as the FA schedule.	1.20
11/13/09	Analysis with Stanford HR of alternate addresses for <span style="border: 1px solid red; padding: 1px;">REDACTED</span> as well as phone numbers, age, what he looked like, what his future plans were.	1.20
11/13/09	Updating of KVT-4 to remove any released accounts and to update all held SGC, and JPM net worth values.	1.90
11/16/09	Net Winners Recalculation analysis for GroupID 553 (KIRKWELL C.V.).	0.50
11/16/09	Conference call regarding Net Winners Recalculation analysis procedures.	0.50
11/16/09	Creation of SQL query to easily identify transfers into and out of group IDs for reconciliation purposes.	0.50
11/16/09	Analysis of accounts included in the employee proceeds schedule that do not appear on the list of accounts held produced by FITS.	1.20
11/16/09	Identification of groups with highest proceed amounts listed on KVT-4.	0.20



11/16/09	Analysis of 72 new employees added to the employee SIB schedule per client request for potential SIB accounts.	0.30
11/16/09	Analysis of any company accounts (with a different taxID) listed on KVT-4 associated with an employee.	0.40
11/16/09	GroupID and SSN assignments for 66 additional employees with potential SIB accounts. Uploading of data into account loading database for analysis.	1.10
11/16/09	Net Winners Recalculation analysis for GroupID 277 (ADRIANA RAMOS).	0.50
11/16/09	Alternate address search for 27 former Stanford employees per client request. HR listing, 2003 employee listing, Pershing listing, and SIB listing searched.	1.90
11/16/09	Net Winners Recalculation analysis for GroupID 270 (ROSA MARIBEL OYERVIDES).	0.50
11/17/09	Analysis of potential SIB accounts for employee Beatriz Pena.	0.20
11/17/09	Analysis of potential SIB accounts for employee Beatriz Pena.	0.20
11/17/09	Analysis of potential SIB accounts for employee Janie Martinez.	0.20
11/17/09	Analysis of potential SIB accounts for employee Virgil Harris.	0.20
11/17/09	Analysis of potential SIB accounts for employee Roberto A. Pena.	0.20
11/17/09	Analysis of potential SIB accounts for employee Roberto Pena.	0.20
11/17/09	Address search for 80 former Stanford employees per client request. HR listing, 2003 employee listing, Pershing listing, and SIB listing searched.	1.90
11/17/09	Analysis of possible aliases for former employee Jon Hanna per client request.	0.20
11/17/09	Compilation of results of the SIB net winners/losers query results for the previously submitted KVT-4 schedule. New values included "Money In", "Money Out", "Accrued Loan Interest", "Net Winner (Loser) Value", "Initial Interest Balance", "Total Fees"	1.70
11/17/09	Compilation of results of the SIB net winners/losers query results for the previously submitted KVT-5 schedule. New values included "Money In", "Money Out", "Accrued Loan Interest", "Net Winner (Loser) Value", "Initial Interest Balance", "Total Fees"	1.50
11/17/09	Analysis of possible aliases for former employee Marina Feldman per client request.	0.20
11/17/09	SSN search for 65 former Stanford employees per client request. HR listing, and Pershing listing searched.	1.20
11/17/09	SSN search for 12 former Stanford employees without alternative addresses on file per client request. HR listing, and Pershing listing searched.	0.90
11/18/09	Analysis of reconciliation procedure for Net Winners/Losers query results to KVT-5 and client's calculations	1.10
11/18/09	Updating of KVT-4 and KVT-5 to incorporate re-run Net Winner/Loser query results which resolved issues with restored information during selected periods not fully incorporated and also resolved issues with duplicates in lookup tables	1.90
11/18/09	Analysis of accounts held for employee John Santi.	0.30
11/18/09	Revision of KVT-4 and KVT-5 to include value filtering/ordering capabilities.	0.30
11/18/09	Loading of matched SIB accounts to 23 additional employees evaluated per client request. Account QC and conversion to tagging database.	1.90
11/18/09	QC of employees listed without SIB accounts for potential account matches.	1.50
11/18/09	Analysis of SIB accounts for group 3322 (Miguel A. Garces).	0.30
11/18/09	Conference call regarding client KVT-5 net winner/loser calculation using the figures from both the signed stipulations and the latest KVT-5	0.70
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group AMARA TRUST.	0.30



11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group ROSA MARIBEL OYERVIDES.	0.30
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group ALBERTO JAVIER BOTELLO REED and SILVIA GUADALUPE TAMEZ DE BOTELLO.	0.30
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group BORDEAUX INVESTMENTS X C.V. and PROVENCE MANAGEMENT STICHTING X AND BORDEAUX INVESTMENTS.	0.50
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group GNOE C.V..	0.30
11/19/09	Analysis of net winners' nationality per client request	1.90
11/19/09	Analysis of reconciliation procedures in regard to signed stipulation amounts.	0.50
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group MAURICIO ZEPEDA CARRANZA and ELENA TRON DE ZEPEDA CARRANZA.	0.30
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group ARTHUR TORNO.	0.30
11/19/09	Investigative analysis of all SIB address information, all held account address information, and all held account TaxIDs associated with KVT-4 group JOBEL TRUST and JOSEBEL TRUST and JOTABE TRUST.	0.30
11/19/09	Analysis of reconciliation procedures for KVT4, 5, and 6 with Gross Express Proceeds included to previously calculated CD interest amounts.	0.80
11/19/09	Data organization for all completed SPR summaries for Stipulated Partial Release account customers	0.50
11/19/09	Reconciliation of group 2002 (Thomas J. Moran) Gross Express Proceeds to previously calculated CD interest amounts.	0.80
11/19/09	Reconciliation of group 2001 (ROBERT GILLIKIN AND MARTHA GILLIKIN) Gross Express Proceeds to previously calculated CD interest amounts.	0.50
11/19/09	Analysis of net winners' nationality per client request	1.90
11/19/09	Analysis of signed stipulation for LUSKY INVESTMENT PARTNERSHIP, LP	0.70
11/20/09	Analysis of SQL statement to provide CD interest/ principal and Express interest/principal breakouts per group.	0.50
11/20/09	Conference call regarding submitted account application review procedure for all net winners.	0.70
11/20/09	Quality control check of analysis of submitted account application review procedure for all net winners.	0.70
11/20/09	Analysis of address of Bosques de Tejocotes 63-901, Col Bosques de las Lomas, MexicO DF 05120 MEXICO potentially associated with KVT-4 group ELENA TRON DE ZEPEDA CARRANZA.	0.30
11/20/09	Analysis of submitted account applications and associated filed names for KVT-4 groups 24 - 201.	1.90
11/20/09	Analysis of address of 200 PATTERSON AVE APT 514, SAN ANTONIO, TX 78209 potentially associated with KVT-4 group MAURICIO ZEPEDA CARRANZA.	0.30
11/23/09	Analysis of all available addresses for each of the 56 groups listed as Net Winners from the new KVT-6.	1.00
11/23/09	Updating of KVT-4 to reflect "Revised Net Winners / Losers".	1.40
11/23/09	Updating of KVT-5 to reflect "Revised Net Winners / Losers".	0.90
11/23/09	Updating of KVT-6 to reflect "Revised Net Winners / Losers".	1.10



11/23/09	Analysis of possible alternate addresses for KVT-4 group YAIR SHAMIR AND ELLA SHAMIR.	0.30	
11/23/09	Updating of KVT-6 to reflect group nationalities based on most current SIB statement address.	1.50	
11/23/09	Updating of KVT-4 to reflect updated listing of held accounts and net worth values.	0.90	
11/24/09	Analysis of available [REDACTED] and Data Pro address data; query writing to properly pull available data.	0.50	
11/24/09	Analysis of available pouch inventory logs for available investor address information.	0.50	
11/24/09	Analysis of miscellaneous statements for available investor address information.	0.30	
11/24/09	Summary of support listed for KVT Antiguan Affidavit filed 4/1/2009.	1.90	
11/24/09	Analysis of additional addresses for the investors including JUERGEN KURT WAGENTROTZ AND JURGEN KURT WAGENTROTZ ERNST, AYSE OYA ERHAN, NAIRC B.V., NAIRC-NETHERLANDS ANTILLEAN INSURANCE AND NAIRC-NETHERLANDS ANTILLEAN INSURANCE AND REINSURANCE COMPANY, INTERMEDIA LTD., TA TRUST, FAYHILL INTERNATIONAL, RAMON ALVAREZ BORONDO, LUPE MARTINEZ TRUST, SAXONIA FOUNDATIONNONNA E TRUST	1.00	
11/24/09	Summary of support listed for KVT Canadian Affidavit filed 5/22/2009	1.90	
11/25/09	Summary of support listed for KVT Canadian Affidavit filed 7/10/2009.	0.60	
11/25/09	Retrieval of account release applications for investor Robert C. Williams.	0.20	
11/25/09	Document production for KVT Canadian Affidavit filed 7/10/2009.	2.90	
11/30/09	Pouch log search for examples of CD account applications filed for investors by FAs	1.30	
11/30/09	Scanning, labeling, and identification of documents for production.	2.90	
11/30/09	Discussion of production possibilities for Pershing and SEI data	0.60	
11/30/09	Comparison of original monthly financial statements to copied monthly financial statements.	1.40	
11/30/09	General production activities including scanning, labeling, and identification of documents for production.	5.00	
11/30/09	Listing of all available physical addresses and any e-mail addresses for RAUL RODRIGUEZ MENDEZ (KVT-4 Group No. 388).	0.60	
	\$248.00 per hour x total hrs of	137.40	\$34,075.20

**Michael Kirk**

11/12/09	Intake of evidence, documentation, duplication and prepare delivery for SEC Production request 41.	2.40	
11/18/09	Re-deliver and analyze corrupt PST files.	1.10	
11/20/09	Examine contents of restored Exchange EDB files for requested custodian identification.	1.60	
11/20/09	Recover folders, perform signature and hash analysis and extract active and deleted business documents and email from Henry Amdio's external USB hard drive along with all metadata for delivery to Dallas office.	2.80	
11/20/09	Prepare deliverable documentation for November 20th 2009 Ulloa and Comeaux email request.	0.90	
11/24/09	Deliver requested FA's exchange email to NFP for processing and perform standard intake and documentation procedures for new custodian Email data.	1.30	
	\$248.00 per hour x total hrs of	10.10	\$2,504.80

**Bonnie Harvey**



11/17/09	Reviewed affidavits for specific discussion related to the Stanford 2008 real estate mark-up.	0.70	
11/17/09	Reviewed affidavits for specific discussion related to the Stanford 2008 real estate mark-up.	3.00	
		\$236.00 per hour x total hrs of	\$873.20

**Lara Nemerov**

11/02/09	Create client profiles for 45 individuals (employees) with non-cd commission claims	3.00	
11/05/09	Create client profiles for Luis Gutierrez, Marco Gutierrez, Joaquin Chacon	2.00	
11/10/09	Search within Oracle Financials for any records of payments to Rebecca Reeves	0.50	
11/10/09	Search for range of group numbers specific by P.Beeman (FTI) within <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> data for any indication of residence in Venezuela	1.00	
11/11/09	Check the Oracle Vendor List for Beki Stanford or variations thereof.	1.00	
11/13/09	Search for Proskauer Rose invoices in oracle payables	0.50	
11/16/09	Assist B.Arellano with name match process	1.00	
11/17/09	Assist B.Arellano with name match process	1.50	
		\$232.00 per hour x total hrs of	\$2,436.00

**Barbara Arellano**

11/02/09	Researched Magnolia proceeds using CMSI	1.80	
11/02/09	Review of SIBL transaction detail data relating to Trustmark checks	2.50	
11/02/09	Researched 45 Stanford employees and related account names on CMSI	3.20	
11/02/09	Researched potential related accounts for KVT groups 3999 and 3998.	1.30	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4013	1.30	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 3998	1.10	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 3999 (Ben Finkelstein)	0.50	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4001 (TEBEL CORPORATIO)	1.50	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4002 (MACOCOZAC S A DE C V)	0.70	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4004 (LEOPOLDO AROSEMENA CEVASCO)	0.90	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4009	0.80	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4010	0.80	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4011	1.30	
11/03/09	KVT SIBL transaction detail proceeds analysis for group 4012	1.10	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4028	0.30	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4019	0.80	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4035	1.70	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4034	1.10	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4016	1.00	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4015	0.40	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4038	1.20	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4039	0.50	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4041	0.50	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4046	0.60	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4047	0.90	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4048	0.30	
11/04/09	KVT SIBL transaction detail proceeds analysis for group 4017	0.80	
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4044	0.70	
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4053	1.00	
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4052	1.30	
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4050	1.50	



11/05/09	KVT SIBL transaction detail proceeds analysis for group 4043	0.50
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4007	0.50
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4032	0.50
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4061	2.00
11/05/09	KVT SIBL transaction detail proceeds analysis for group 4018	1.10
11/06/09	Prepare TD Cash Tracing memo	2.10
11/06/09	KVT SIBL transaction detail proceeds analysis for group 4029	0.80
11/06/09	KVT SIBL transaction detail proceeds analysis for group 4025	1.30
11/06/09	TD Cash Tracing Process 2007 - Create table containing TD tracing population and clean TD data for analysis.	2.80
11/09/09	TD Cash tracing - Parse SIB account number from TD beneficiary information	3.20
11/09/09	TD Cash tracing - Parse SIB account number from TD information fields for outgoing wires	3.80
11/09/09	TD Cash tracing - Parse SIB account number from TD information fields for incoming wires	1.80
11/10/09	TD Cash Tracing Analysis: Electronically match beneficiary names to SIB account names	3.20
11/10/09	KVT6-2 - logic testing for groups: 4001, 4002, 4005, 4007, 4008, 4010, 4011, 4015, 4016, 4017, 4018, 4020, 4021, 4022, and 4024	2.30
11/10/09	TD Cash Tracing Analysis: Electronically match originator names to SIB account names	3.10
11/10/09	TD Cash tracing - Parse SIB account number from TD information fields	1.30
11/11/09	Identify transfers that occur between groups within the KVT6-2 schedule.	2.30
11/11/09	Identify transfers that occur outside of groups belonging to the KVT6-2 schedule.	1.80
11/11/09	TD Cash tracing - Parse SIB account number from TD information fields	2.20
11/11/09	KVT6-2 group analysis: Identify subsequent withdrawals that occur after an outside transferred has occurred.	2.50
11/12/09	KVT6-2 group analysis: Identify subsequent withdrawals that occur after an outside transferred has occurred.	3.60
11/12/09	TD Cash Tracing Analysis: Update parsed out SIB accounts into master TD cash tracing table	1.30
11/12/09	KVT6-2 group analysis: Identify subsequent withdrawals that occur after an transfer has occurred between KVT6-2 groups.	2.40
11/12/09	TD Cash Tracing Analysis: Electronically match TD wires to SIB accounts	2.50
11/13/09	TD Cash Tracing Analysis: Parse out and clean up SIB account name data from TD originator field names	3.00
11/13/09	TD Cash Tracing Analysis: Parse out and clean up SIB account name data from TD originator field names	4.10
11/13/09	TD Cash Tracing Analysis: Write SQL script that summarizes the current status of the TD cash tracing analysis.	2.00
11/16/09	TD Cash Tracing: Set up tables in Oracle for electronic name matching procedure results.	2.70
11/16/09	TD Cash Tracing: Run VBA code in excel to set up parsed TD names to be run through the name matching procedure.	1.70
11/16/09	TD Cash Tracing: Import TD name tables in Oracle to be run through the procedure.	2.30
11/16/09	KVT-4: Calculation of net winner and losers for the following groups: RODRIGO RIVERA ALCAYAGA (1); TOCHAS TRUST (2); TOCHAS TRUST AND RODRIGO ALCAYAGA (3), GERARD A. DOWD , PECK FAMILY TRUST, STEPHEN M. BINGHAM, and DORRIS AND LULA BURCHETT TRUST AND DORRIS BURCHETT AND LULA BURCHETT	3.30
11/17/09	TD Cash Tracing: Manual review of procedure name matching results for incoming TD wire beneficiary names	1.60
11/17/09	TD Cash Tracing: Run name matching procedure used to match TD names to SIB account names.	2.40



11/17/09	TD Cash Tracing: Update master TD Cash Tracing table with new procedure match results.	2.30	
11/17/09	TD Cash Tracing: Test name matching procedure.	2.30	
11/17/09	TD Cash Tracing: Manual review of procedure name matching results for outgoing TD wire originator names	2.50	
11/17/09	TD Cash Tracing: Create name matching procedure used to match TD names to SIB account names.	3.00	
11/18/09	TD Cash Tracing: Update master TD Cash Tracing table with newly found SIB wire matches.	0.70	
11/18/09	TD Cash Tracing: Update master TD Cash Tracing table with newly found SIB wire matches.	1.50	
11/18/09	TD Cash Tracing: Manually review match results from the electronic matching of outgoing TD wires to SIB wires.	2.90	
11/18/09	TD Cash Tracing: Manually review match results from the electronic matching of incoming TD wires to SIB wires.	2.80	
11/18/09	TD Cash Tracing: Write SQL script that will electronically match TD wires to SIB by searching for all wires that have the same amount and fall within a 5 day difference.	2.70	
11/18/09	TD Cash Tracing: Electronically trace TD wires to SIB using the wire amount/date method.	1.70	
11/19/09	TD Cash Tracing: Electronically match parsed SIB accounts from the TD information field to SIB.	3.20	
11/19/09	TD Cash Tracing: Complete SQL script used identify what type of tracing category each TD wires falls into.	2.00	
11/19/09	TD Cash Tracing: Create status summary of TD Cash Tracing 2007 wires results.	2.40	
11/19/09	TD Cash Tracing: Identify what wires were not matched under the TD Cash Tracing process and send results over to FLC group for further review.	1.80	
11/20/09	Review of account release applications per BB request.	2.90	
11/20/09	QC TD Wire Cash Tracing results.	1.50	
11/20/09	TD Wire Cash Tracing results. Further review of wires not matched using the TD Cash tracing process.	3.40	
11/30/09	TD Cash Trace Testing by manually tying traced wires to SIB : Outgoing wires matched under the "Procedure of Originator Name" category.	2.10	
11/30/09	TD Cash Trace Testing by manually tying traced wires to SIB : Outgoing wires matched under the "Wire Amount/Date" category.	3.10	
11/30/09	TD Cash Tracing - reconcile TD transactional bank data table to TD data received in dvd 9.	3.80	
11/30/09	TD Cash Trace Testing by manually tying traced wires to SIB : Outgoing wires matched under the "exact originator name" category.	2.10	
		\$220.00 per hour x total hrs of	156.10 \$34,342.00

**Abayomi Odunsi**

11/02/09	Preparation of workpapers to support Karyl Van Tassel's Declaration, specifically compiling bank statements for FA loan payments.	3.40	
11/02/09	Quality review of supporting documents, specifically, Top 100 Outgoing Check Currency analysis, for Karyl Van Tassel's declaration.	3.10	
11/02/09	Preparation of workpapers to support Karyl Van Tassel's July 27th Declaration	3.20	
11/03/09	Preparation/collection of workpapers and support related to Karyl Van Tassel's July 27th Declaration	2.80	
11/03/09	Continued with quality review of the selected check images associated with Trustmark account 1553 for items over \$100k to tie into [REDACTED] for Karyl Van Tassel's Declaration.	3.10	
11/03/09	Quality reviewed the selected check images associated with Trustmark account 1553 for items over \$100k to tie into [REDACTED] for Karyl Van Tassel's Declaration.	3.40	



11/04/09	Perform quality review of supporting documents related to ARW proceeds analysis.	3.10
11/04/09	Continue to perform quality review of supporting documents related to ARW proceeds analysis and obtain any supporting documentation that explains proceed differences.	2.80
11/04/09	Compile ARW's used to support customer proceeds	2.90
11/05/09	Continue to perform review of KVT6-2 SIB Proceeds and tag transactions appropriately for groups 4056, 4057, 4059.	2.80
11/05/09	Perform review of KVT6-2 SIB Proceeds and tag transactions appropriately for groups 4031, 4045, 4055.	2.60
11/05/09	Complete quality review of supporting documents related to ARW proceeds analysis	2.80
11/10/09	Quality review of selected documents related to Juan Rodriguez Tollentino regarding SIB involvement.	2.40
11/10/09	Continued quality review of selected documents related to Juan Rodriguez Tollentino regarding SIB involvement.	2.80
11/10/09	Review of selected documents involving Corporate management regarding SIB involvement.	3.10
11/11/09	Quality review of selected documents related to Juan Rodriguez Tollentino regarding SIB involvement.	2.40
11/11/09	Review of selected documents involving Corporate management regarding SIB involvement.	2.90
11/11/09	Continued quality review of selected documents related to Juan Rodriguez Tollentino regarding SIB involvement.	2.80
11/12/09	Continued quality review of selected documents related to Juan Rodriguez Tollentino regarding SIB involvement.	2.80
11/12/09	Quality review of selected documents related to Juan Rodriguez Tollentino regarding SIB involvement.	2.80
11/12/09	Review of selected documents involving Corporate management regarding SIB involvement.	3.00
11/16/09	Continued review of selected documents involving Corporate management regarding changes of rates for CD's	2.80
11/16/09	Review of selected documents involving Corporate management regarding changes of rates for CD's	3.20
11/16/09	Quality review of selected documents related to rate approvals and adjustments	2.20
11/17/09	Review of selected documents involving Corporate management regarding changes of rates for CD's	3.60
11/18/09	Review of selected documents associated with rates for CD's involving members within the Memphis office.	3.30
11/18/09	Review of former Stanford employees schedule and update necessary address information.	2.80
11/18/09	Quality review of selected documents related to rate changes involving Fred Alien	2.60
11/19/09	Review of selected documents associated with Stanford 20/20 and transactions with cricket players and previously identified activity within Tenemos	2.60
11/19/09	Review of former Stanford employees schedule and update necessary address information.	2.60
11/19/09	Review of selected documents associated with Stanford 20/20 and transactions with cricket players.	3.30
11/20/09	Create summary schedule of cricket players involved in 20/20 that obtained SIB CDs	3.30
11/20/09	Review of selected documents associated with Stanford 20/20 and transactions with cricket players and previously identified activity within Tenemos	2.60
11/23/09	Review of selected documents associated with Stanford 20/20 and transactions with cricket players and previously identified activity within Tenemos	3.60
11/23/09	Update summary schedule of cricket players involved in 20/20 that obtained SIB CDs	3.80



11/23/09	Continued review of selected documents associated with Stanford 20/20 and transactions with cricket players and previously identified activity within Tenemos	1.90	
11/24/09	Additional review of documents within ringtail	2.70	
11/24/09	Review of documents authorizing the funding of prize money for Stanford 20/20	2.20	
11/24/09	Review of selected documents surrounding Stanford 20/20.	1.10	
11/24/09	Quality review of selected documents related to the creation of account 385942.	2.30	
11/25/09	Continue to review selected documents within ringtail supporting information related to Stanford 20/20.	1.00	
11/25/09	Analysis of third party revenue for various Stanford entities.	1.40	
11/25/09	Continue to review previously filed UK and Canadian affidavits and assure adequate documentation.	1.80	
11/25/09	Review of previously filed UK and Canadian affidavits and assure adequate documentation.	3.80	
11/29/09	Review of previously filed UK and Canadian affidavits and assure adequate documentation.	1.60	
11/30/09	Review documents involving funding of investments in ringtail and identify wires that were approved.	3.20	
11/30/09	Review of internal audit reports and determine the nature of the audit.	2.10	
11/30/09	Review of Stanford corporate data sheets and update support binder for production.	3.40	
11/30/09	Continue to review documents involving funding of investments in ringtail and identify wires that were approved.	1.70	
	\$212.00 per hour x total hrs of	133.50	\$28,302.00

**Bridget Meacham**

11/10/09	Printed out statements for Trustmark Account 3003108967. Created spreadsheet of all incoming wires and checks from 9/2007 through 2/2009.	2.50
11/11/09	Printed out statements for Trustmark Account 3003106326. Created spreadsheet of all incoming wires and checks from 9/2007 through 2/2009.	1.30
11/11/09	Performed ringtail review of documents pertaining to Harry Failing's [REDACTED]	3.50
11/12/09	Recorded search hit numbers for Redemption Management search.	2.50
11/12/09	Performed ringtail review of documents pertaining to Harry Failing's [REDACTED]	3.50
11/12/09	Performed ringtail review of documents pertaining to Harry Failing's involvement in financial statement issues.	0.50
11/13/09	Performed ringtail search and review for documents pertaining to Stanford 20/20.	4.50
11/17/09	Matched employee addresses to names for "new 80" employees.	1.10
11/17/09	Performed account grouping for "new 72" employees.	4.30
11/18/09	Matched employment start and termination date for former Stanford employees.	2.80
11/18/09	Performed account tagging for the following group IDs: 3353, 3356, 3370, 3371, 3372, 3333.	2.10
11/18/09	Performed Ringtail targeted search for documents pertaining to Leroy King's correspondence with Stanford employees regarding FSRC and SEC letters.	2.00
11/19/09	Performed account reconciliation for group ids 494 and 541.	2.50
11/19/09	Created a schedule of payments to Leroy King based on information included in the Indictment.	0.80
11/19/09	Performed a targeted Ringtail search to identify the payments between Stanford entities and Leroy King.	2.50
11/20/09	Performed targeted Ringtail search for Stanford involvement with Ben Barnes.	4.50
11/20/09	Matched organization name and job title to selected employee list.	2.00



11/21/09	Performed targeted Ringtail search for Stanford involvement with Ben Barnes.	2.00	
11/23/09	Performed a targeted Ringtail search to locate documents related to the ESPN contract for the Stanford 20/20 tournament held in late 2008.	2.50	
11/24/09	Performed a targeted Ringtail search to locate documents related to the ESPN contract for the Stanford 20/20 tournament held in late 2008.	0.60	
11/30/09	Performed account tagging for group ID 3353 and 3356.	0.60	
	\$196.00 per hour x total hrs of	48.60	\$9,525.60

**Rebecca Templeton**

11/02/09	Revise and update the following schedules and supporting documents, FA Payout Analysis and SGC Payroll Deposit Analysis for Karyl Van Tassel's Declaration.	2.00	
11/02/09	Examine and review supporting documents for Karyl Van Tassel's Declaration specifically, cash tracing schedules, Incoming and outgoing wire schedules, and [REDACTED] related schedules.	3.00	
11/02/09	Preparation of workpapers to support each referenced paragraph in Karyl Van Tassel's Declaration.	3.00	
11/03/09	Final updates to supporting schedules and documents for Karyl Van Tassel's Declaration specifically, [REDACTED] check analysis for Trustmark accounts 1553 and 1707.	3.00	
11/03/09	Referencing supporting schedules and documents for Karyl Van Tassel's Declaration.	2.00	
11/03/09	Examine and compile all relative legal filings from Canada Province of Quebec Court, Eastern Caribbean Court, High Court of Justice, and the Northern District Court of Texas related to Chapter 15 Bankruptcy proceedings.	2.00	
11/03/09	Communication with counsel regarding obtaining support for Karyl Van Tassel's Declaration.	1.00	
11/04/09	Creating index for legal filings related to Chapter 15 Bankruptcy proceedings.	2.00	
11/04/09	Continue compiling legal filings related to Chapter 15 Bankruptcy proceedings specifically, Karyl Van Tassel's Affidavits/Declarations, Ralph S Janvey's Affidavits/Declarations, Nigel Hamilton-Smith's Affidavits/Declarations.	2.00	
11/04/09	Compiling legal filings related to Chapter 15 Bankruptcy proceedings specifically, Karyl Van Tassel's Affidavits/Declarations, Ralph S Janvey's Affidavits/Declarations, Nigel Hamilton-Smith's Affidavits/Declarations.	4.00	
11/05/09	Compiling legal filings related to Chapter 15 Bankruptcy proceedings.	2.00	
11/05/09	Creating index for legal filings related to Chapter 15 Bankruptcy proceedings.	2.00	
11/06/09	Compile all relative legal filings from Canada Province of Quebec Court, Eastern Caribbean Court, High Court of Justice, and the Northern District Court of Texas related to Chapter 15 Bankruptcy proceedings.	4.00	
11/06/09	Examine and compile all relative legal filings from Canada Province of Quebec Court, Eastern Caribbean Court, High Court of Justice, and the Northern District Court of Texas related to Chapter 15 Bankruptcy proceedings.	3.00	
11/09/09	Continued cash tracing - 2007 supporting account detail for Bank of Houston accounts 8854, 8870, 8888.	1.70	
11/09/09	Compiling additional legal filings related to Chapter 15 Bankruptcy proceedings specifically, Karyl Van Tassel's Affidavits/Declarations, Ralph S Janvey's Affidavits/Declarations, Nigel Hamilton-Smith's Affidavits/Declarations.	1.10	
11/09/09	Cash tracing - 2007 supporting account detail for Bank of Houston accounts 8854, 8870, 8888.	3.70	



11/10/09	Cash tracing analysis - 2007 supporting account detail for Bank of Houston accounts 8706	1.50
11/10/09	Cash tracing - 2007 supporting account detail for Trustmark National Bank accounts 4586, 7357	3.30
11/10/09	Cash tracing - 2007 supporting account detail for Trustmark National Bank accounts 1097, 1558, 1707.	3.70
11/11/09	Continued cash tracing analysis for 2007 supporting account detail for Trustmark National Bank accounts 1097, 1558, 1707.	1.50
11/11/09	Prepared cash tracing analysis summary for 2007 supporting account detail for Trustmark National Bank and Bank of Houston accounts.	3.00
11/11/09	Examine and compile all relative legal filings from Canada Province of Quebec Court, Eastern Caribbean Court, High Court of Justice, and the Northern District Court of Texas related to Chapter 15 Bankruptcy proceedings.	1.50
11/12/09	Examine and review bank statements for Trustmark account 4586 line item support regarding American Express payments.	3.60
11/12/09	Discussion with Steve Lindstrom and other Stanford employee's regarding the location of R Allen Stanford's American Express statements.	0.50
11/12/09	Review and analyze Trustmark account 4586 bank statements for the years 2007-2009 in order to match payments from R Allen Stanford's American Express statements.	1.80
11/12/09	Examine check images for Trustmark account 4586 bank statements for the years 2007-2009 in order to support general ledger line item payments relating to R Allen Stanford's American Express statements. Documented findings.	2.10
11/13/09	Continued Ringtail search for R Allen Stanford American Express statements to support Oracle line item payments	2.30
11/13/09	Ringtail search for R Allen Stanford American Express statements to support Oracle line item payments	3.80
11/16/09	Recalculation of Group ID 302 - SINGAPORE PUNTAMITA PTE., LTD.	1.30
11/16/09	Recalculation of Group ID 289 - KRIMICH LTD.; MARIA TERESA SAN SEBASTIAN DE VALLE AND JOSE MARIA	1.10
11/16/09	Recalculation of Group ID 422 - GATITA BLANCA; LAURA ROZANES LOMBROZO AND MOISES BOGOMOLNY HOP; LUKAS CORP.	0.90
11/16/09	Recalculation of Group ID 416 - Aubrey O'neal Clement	0.70
11/16/09	Recalculation of Group ID 311 - Alberto Javier Botello Reed	0.80
11/16/09	Creating new winners recalculation template	1.10
11/16/09	Creating and reviewing schedule and previous analysis of RAS' American Express payments from Trustmark account 4586.	2.30
11/17/09	Recalculation of Group ID 72 - ROBERT J. WINTERS AND DARLENE P. WINTERS	0.70
11/17/09	Recalculation of Group ID 66 - CARROLL D. LEU	0.50
11/17/09	Recalculation of Group ID 570 - PAUL BYRD AND KYM BYRD	0.70
11/17/09	Recalculation of Group ID 575 - JOBEL TRUST; JOSEBEL TRUST; JOTABE TRUST	0.80
11/17/09	Recalculation of Group ID 454c - BORDEAUX INVESTMENTS IX C.V.; PROVENCE MANAGEMENT STICHTING IX AND BORDEAUX INVESTMENTS IX C.V.; FELIX MARIO HERNANDEZ LARROCOECHA	0.90
11/17/09	Recalculation of Group ID 454b - BORDEAUX INVESTMENTS III C.V.; PROVENCE MANAGEMENT STICHTING III AND BORDEAUX INVESTMENTS III C.V.; PROVENCE MANAGEMENT STICHTING III FBO BORDEAU	1.00
11/17/09	Examine 2007 supporting account detail for cash tracing.	0.50
11/17/09	Discussion with Craig Kolodjeski regarding 2007 cash tracing process.	0.30
11/17/09	Discussion with Tiffany Petty regarding ADP files. Uploaded and sent files to Mark Russell.	0.50



11/17/09	Recalculation of Group ID 63 - JUDITH H. MCCUTCHEON TRUST AND JUDITH H. MCCUTCHEON	0.60	
11/17/09	Recalculation of Group ID 67 - ROBERT J. BRUNO	0.60	
11/18/09	Discussion with Craig Kolodjeski regarding status and further request from counsel regarding quality review of 2007 cash tracing.	0.50	
11/18/09	Quality review of 2007 cash tracing supporting detail for deposits of Trustmark accounts 1097, 7357, and 1558. Reviewed following accounts for Trustmark deposits: Bank of Houston 8284, 8706, 8854, 8870, 8888 and Trustmark accounts 2150, 1707, and 4586.	3.80	
11/18/09	Quality review of 2007 cash tracing supporting detail for deposits of Trustmark accounts 2150, 1707, and 4586. Reviewed following accounts for Trustmark deposits: Bank of Houston 8284, 8706, 8854, 8870, 8888 and Trustmark accounts 1097, 1558, and 7357.	3.70	
11/19/09	Request and analyze general ledger data form Oracle for account 131114 - A/R RAS account.	2.30	
11/19/09	Ringtail search for RAS Personal American Express statements.	2.60	
11/19/09	Request and examine general ledger transactions from Oracle, specifically journal entry accounts related to the general ledger account 131114 - A/R RAS.	2.40	
11/20/09	Examine Toronto Dominion account 1670 and trace incoming wires not matched to [REDACTED] to other possible sources.	3.70	
11/20/09	Continued examination of Toronto Dominion account 1670 and trace incoming wires not matched to [REDACTED] to other possible sources.	2.30	
11/29/09	Creation of the electronic versions of source documents related to the required production of the supporting source documents related to Chapter 15 hearing.	3.20	
11/29/09	Production and categorization of supporting source documents related to Karyl's second US affidavit in the chapter 15 matter.	3.70	
11/30/09	Gathering and categorization of the required source documents required for the chapter 15 production.	3.50	
11/30/09	Compiling source documents related to Ch. 15 Bankruptcy for counsel.	2.60	
11/30/09	Compiling source documents of Karyl Van Tassel July 27th Declaration related to Ch. 15 Bankruptcy hearing.	3.10	
		\$196.00 per hour x total hrs of	122.80 \$24,068.80

**Abigail Poplis**

11/02/09	Normalizing , formatting and creating workbook of claims submitted February 18- April 2009, prior to set up of Squirrel Mail.	3.80
11/02/09	Normalizing , formatting and cleaning data contained in workbook of claims submitted February 18- April 2009, prior to set up of Squirrel Mail.	2.30
11/02/09	QCing general claims with multiple descriptions to ensure processing quality.	2.50
11/02/09	QCing unique general claims descriptions to ensure processing quality.	1.80
11/03/09	Running code and QCing to confirm compatibility	0.80
11/03/09	Normalizing , formatting and QCing workbook of claims submitted via email	3.50
11/03/09	De-duping workbook consisting of claims submitted February 18- April 2009, and squirrel mail submissions	3.80
11/04/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4026, NORFE S.A	2.20
11/04/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4024, RED MOUNTAIN TRUST	0.70
11/04/09	Related account review and analysis for KVT6-2: 4058, NUNCY TRUST	0.60
11/04/09	Related account review and analysis for KVT6-2: 4060, LUPE MARTINEZ TRUST	0.30
11/04/09	Related account review and analysis for KVT6-2: 4061, CREIGHTON DEVELOPMENT LLC	0.30



11/04/09	Related account review and analysis for KVT6-2: 4059, JUAN JOSE RODRIQUEZ POSADA	0.40
11/04/09	Related account review and analysis for KVT6-2: 4057, AYSE OYA ERHAN	0.50
11/04/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4022, SAXONIA FOUNDATION	1.20
11/04/09	Related account review and analysis for KVT6-2: 4056, SHENOOR JADAVJI	0.40
11/04/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4021, LLANDUDNO TRUST	1.30
11/04/09	Related account review and analysis for KVT6-2: 4055 FRANZ KONRAD ROSEN	0.60
11/04/09	Related account review and analysis for KVT6-2: 4062, BENITO DE LUCA TRUST	0.40
11/05/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4060, LUPE MARTINEZ TRUST	0.70
11/05/09	QCing related account review for added accounts	0.60
11/05/09	Discussions regarding submitted claims: confirming claims and producing supporting documentation	0.90
11/05/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4023, LUIS GERARDO HUIZA CASTELLANOS	1.10
11/05/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4058, NUNCY TRUST	2.30
11/05/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4040, JACOBO BAZBAZ SACAL	0.70
11/05/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4033, OCEAN WATERS HOLDINGS S.A.	1.30
11/05/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4042, INDUSTRIAS EL CARMEN C.A	0.60
11/06/09	Employee SIBL account review, Tagging account transactions for further review for KVT-6-2 : 4020, DAVID E. MORALES	2.70
11/06/09	Discussions regarding submitted claims: confirming claims and producing supporting documentation	0.50
11/16/09	Net winner recalculation for group 2009: JAY STUART BELL	0.40
11/16/09	Net winner recalculation for group 2010: JOHNNY DAVID DAMON	0.30
11/16/09	Net winner recalculation for group 2011: GREGORY ALAN MADDUX	0.30
11/16/09	Net winner recalculation for group 2012: BERNABE WILLIAMS	0.40
11/16/09	Discussions regarding process for determining Net Winners and Losers: recalculation and QC process	0.50
11/16/09	Determining employees with SIB and Pershing accounts: initial review and QC using CMSI	2.50
11/16/09	Answering questions regarding claim number 4e94713a48729dfa6f80d d3bee1f5323 and its submitted application.	0.50
11/16/09	Net winner recalculation for group 2007: CARLOS FELIPE PENA	0.40
11/16/09	Net winner recalculation for group 81;ROGER LEE HECKMAN (43); ROGER LEE HECKMAN AND BRENDA G. HECKMAN (44)	0.40
11/16/09	Net winner recalculation for group 92; DONNA W. ALLBRITTON (48)	0.30
11/16/09	Net winner recalculation for group 2008: DAVID JONATHAN DREW	0.30
11/17/09	QCing the break out of the deposits for various accounts in BOH: checking recorded wire amounts against TD database to ensure accuracy using various queries.	1.20
11/17/09	Performed Net winners recalculation for QC purposes for group 54; MYRNA PLATKIN (31)	0.40





11/17/09	Performed Net winners recalculation for QC purposes for group 36; ARTHUR J. ORDOYNE (20)	0.40
11/17/09	Performed Net winners recalculation for QC purposes for group 32; DEBRA S. GIBBS (18)	0.30
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3305; Jane E. Bates	0.50
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3339; Mayra C. Leon De Carrero	0.80
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3337; Joseph L. Klingen	0.50
11/18/09	Reconciling the difference between Brendan Day's stipulation calculation and recent FTI calculation , KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 2033; WILLIAM K. GREINER	0.70
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3352; Giampiero Riccio	0.40
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3365; Ana Tanur	0.90
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3323; Gustavo A. Garcia	0.70
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3315; James Cross	0.70
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3327; John Whitfield Wilks	0.80
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3308; Fernando Braojos	0.60
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3367; Daniel Vitrian	0.60
11/18/09	Reconciling the difference between Brendan Day's stipulation calculation and recent FTI calculation , KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 2002; THOMAS J. MORAN	0.90
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3368; Janie Martinez	0.40
11/18/09	Reconciling the difference between Brendan Day's stipulation calculation and recent FTI calculation , KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 2028; MARTHA AGUADO DE DONNADIEU AND EMILIO DONNADIEU AGUADO	0.80
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3342; Luis Felipe Lozano	0.50
11/18/09	Reconciling the difference between Brendan Day's stipulation calculation and recent FTI calculation , KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 2004; DENNIS J. FERRA AND KAREN S. FERRA	0.60
11/18/09	Employee SIBL account review: Tagging, categorizing and tracing account transactions for further review for Additional Employee Proceeds #1. FTI group ID 3312; Bernard Cools-Lartigue	0.90



11/18/09	Reconciling the difference between Brendan Day's stipulation calculation and recent FTI calculation , KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 2003; JOHN R. PAINTER	0.90
11/19/09	Reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 601; TERLINK, INC. (557)	0.90
11/19/09	Partial review for reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 270; ROSA MARIBEL OYERVIDES	1.50
11/19/09	Partial review for reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 301; WATKINS FAMILY LTD. PARTNERSHIP (224)	1.60
11/19/09	Reconciling the difference between Brendan Day's stipulation calculation and recent FTI calculation , KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 2035; EDUARDO IGARTUA RUILOBA AND LA ESTANCIA C.C.	0.90
11/19/09	Reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 604; BONNER HOLDINGS, LTD. (560); DONEGAN, LTD. (561)	0.80
11/19/09	Reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 275; THE ANTHONY JOSEPH ANTINORI TRUST AND ANTHONY JOSEPH ANTINORI; AND STEVEN JAMES ANTINORI IN HIS CAPACITY AS TRUSTEE OF THE ANTHONY JOSEPH ANTINORI TRUST (177); THE STEVEN JAMES ANTINORI TRUST AND STEVEN JAMES ANTINORI (178)	1.20
11/19/09	Partial review for reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 112; RA AND FARALL D. CANNING TRUST A AND RA CANNING AND FARALL D. CANNING (63); RA AND FARALL D. CANNING TRUST C AND RA CANNING AND FARALL D. CANNING (64)	1.20
11/20/09	Verify Baker Botts list of accounts with no submitted application	2.30
11/20/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 1003, JUERGEN KURT WAGENTROTZ, JURGEN KURT WAGENTROTZ ERNST	0.60
11/20/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 1005, MICHEL MORENO	0.70
11/20/09	Preparation for and participation in call for instructions to fulfill Brendan Day's request: verifying claims that have no submitted application	0.50
11/20/09	Call to explain the process of reconciling the difference between KVT-6 and recent FTI calculations to other team members	0.40
11/20/09	Set up tracking process for KVT-6, Net Winners/Losers, and folders to consolidate team work product	0.40
11/20/09	Partial review for reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 553; KIRKWELL C.V. (489)	0.60
11/20/09	Partial review for reconciling the difference between KVT-5 and recent FTI calculation, and stipulation calculation and KVT-5 for FTI group ID 541; MALTON OVERSEAS LTD. (473)	0.50
11/20/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 1026, INVERSIONES VARMOL TRUST, CARE OF DR. JORGE MARIO VARGAS P.	0.70



11/23/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 1037; TRIMECA (TRABAJOS INDUSTRIALES Y MECANICOS, TRIMECA, TRIMECA TRUST	0.30
11/23/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 4021, LLANDUDNO TRUST	0.50
11/23/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 4061; CREIGHTON DEVELOPMENT LLC	0.50
11/23/09	Determining method of reconciling groups with loans: including loan withdrawals	1.40
11/23/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 1002; LIBYAN FOREIGN INVESTMENT CO	0.50
11/23/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 1011; ANTONY MANSOUR ORREHAN MANSOUR, ANTONY MANSOUR, JOSEPHINE MERY AND FRANCOISE SOLANGE MERY, JOSEPHINE MERY	0.30
11/23/09	Partially reconciled the difference between KVT-6 and recent FTI calculation for FTI group ID 1007; SALBUR INVERSIONES C.A.	0.80
11/23/09	Partially reconciled the difference between KVT-6 and recent FTI calculation for FTI group ID 4017; INTERNATIONAL DEVELOPMENT GROUP I, LLC	0.60
11/23/09	Partially reconciled the difference between KVT-6 and recent FTI calculation for FTI group ID 1013; MANSURA ENTERPRISES C V	0.70
11/23/09	Partially reconciled the difference between KVT-6 and recent FTI calculation for FTI group ID 1001; GARY D. MAGNESS IRREVOCABLE TRUST, GARY MAGNESS, GMAG LLC, MAGNESS SECURITIES L.L.C.	0.60
11/23/09	Partially reconciled the difference between KVT-6 and recent FTI calculation for FTI group ID 1010; COMPANIA MEXICANA DE AVIACION S.A. DE C.V.	0.80
11/23/09	Partially reconciled the difference between KVT-6 and recent FTI calculation for FTI group ID 4018; COMPANIA MINERA CAOPAS SA DE CV	0.70
11/23/09	Reconciling the difference between KVT-6 and recent FTI calculation for FTI group ID 4051; ENRIQUE MIYASATO	0.40
11/24/09	Finished reconciling the difference, including loan amounts, between KVT-6 and recent FTI calculation for FTI group ID 4017; INTERNATIONAL DEVELOPMENT GROUP I, LLC	0.40
11/24/09	Finished reconciling the difference, including loan amounts, between KVT-6 and recent FTI calculation for FTI group ID 1001; GARY D. MAGNESS IRREVOCABLE TRUST, GARY MAGNESS, GMAG LLC, MAGNESS SECURITIES L.L.C.	0.70
11/24/09	Finished reconciling the difference, including loan amounts, between KVT-6 and recent FTI calculation for FTI group ID 1010; COMPANIA MEXICANA DE AVIACION S.A. DE C.V.	0.60
11/24/09	Finished reconciling the difference, including loan amounts, between KVT-6 and recent FTI calculation for FTI group ID 1013; MANSURA ENTERPRISES C V	0.50
11/24/09	Finished reconciling the difference, including loan amounts, between KVT-6 and recent FTI calculation for FTI group ID 4018; COMPANIA MINERA CAOPAS SA DE CV	1.30
11/24/09	Reconciliation of KVT-5 Net Winner/Loser Calculations, and Quality and Check of components within	1.10
11/30/09	FTI group ID 3367; Daniel Vitrian: Reviewed the tagging, categorizing and tracing account transactions for Additional Employee Proceeds #1.	1.40
11/30/09	Net Winners and Losers Reconciliation Consolidation QC for KVT-6	1.10

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\$188.00	per hour x total hrs of	97.10	\$18,254.80
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**John Kluka**

11/02/09	Continued Quality reviewed the selected check images associated with Trustmark account 1707 for items over \$500k to tie into <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for Karyl Van Tassel's Declaration.	2.10
11/02/09	Quality reviewed the selected check images associated with Trustmark account 1707 for items over \$500k to tie into <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for Karyl Van Tassel's Declaration.	4.00
11/02/09	Preparation of workpapers to support Karyl Van Tassel's July 27th Declaration.	3.20
11/03/09	Compiling workpapers to support Karyl Van Tassel's Declaration, specifically all service agreements referenced.	3.10
11/03/09	Review and adjustments of associated accounts for group ID 4019	1.20
11/03/09	Preparation of workpapers to support Karyl Van Tassel's July 27th Declaration.	3.80
11/04/09	Review and adjustments of associated accounts for group ID 4014	1.30
11/04/09	Review and adjustments of associated accounts for group ID 4040	1.10
11/04/09	Performed tagging of transaction detail for Group ID 4005 in KVT-6-2	3.50
11/05/09	Review and adjustments of associated accounts for group ID 4020	0.60
11/05/09	Review and adjustments of associated accounts for group ID 4023	1.00
11/05/09	Review and adjustments of associated accounts for group ID 4025	0.50
11/05/09	Review and adjustments of associated accounts for group ID 4054	0.40
11/05/09	Review and adjustments of associated accounts for group ID 4029	0.60
11/05/09	Performed tagging of transaction detail for Group ID 4008 in KVT-6-2	3.90
11/05/09	Review and adjustments of associated accounts for group ID 4053	0.70
11/05/09	Review and adjustments of associated accounts for group ID 4027	0.80
11/06/09	Performed tagging of transaction detail for Group ID 4030 in KVT-6-2	3.90
11/06/09	Performed tagging of transaction detail for Group ID 4029 in KVT-6-2	1.20
11/09/09	Continued Parsing information fields of Toronto Dominion outgoing wires that potentially contain SIBL account number information.	3.70
11/09/09	Review of Cash Tracing process memo for supporting documentation for supporting documentation for production.	0.40
11/09/09	Parsing information fields of Toronto Dominion outgoing wires that potentially contain SIBL account number information.	4.00
11/10/09	Creation of summary page for KVT-6-2 Employee Loan Model	3.40
11/10/09	Quality control check of KVT-6-2 transfers with positive interest, no transfer ID, are transferred to a different group, or are one-sided transfers.	2.90
11/10/09	Quality control check of KVT-6-2 tagging of ignored transactions and deposit transactions with positive interest.	1.80
11/11/09	Quality control check of KVT-6-2 proceeds calculations	3.20
11/11/09	Parsing information fields of Toronto Dominion outgoing wires that potentially contain SIBL account number information.	1.30
11/11/09	Creation of transfer paydown schedule for KVT-6-2 Loan Model	4.00
11/12/09	Compilation of employee home office locations as requested by Baker Botts	1.20
11/12/09	Quality control check of KVT-6-2 proceeds calculations	1.10
11/12/09	Parsing information fields of Toronto Dominion outgoing wires that potentially contain SIBL account number information.	3.40
11/12/09	Created a report of KVT-6 outgoing transfers to outside group IDs and the subsequent withdrawals to the transfer.	3.30
11/13/09	Created a report of KVT-4 outgoing transfers to outside group IDs and the subsequent withdrawals to the transfer.	4.00
11/13/09	Continued Creation of a report of KVT-6 outgoing transfers to outside group IDs and the subsequent withdrawals to the transfer.	2.50
11/16/09	QC of KVT-4 outside negative transfer analysis	1.60



11/16/09	Recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 311	2.30
11/16/09	Recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 418	0.90
11/16/09	Analysis of Employee Schedule negative outside transfers	2.30
11/16/09	Recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 581	1.80
11/17/09	Recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 91	1.90
11/17/09	QC of proceeds in/out calculation to determine net winner/loser of KVT-4 Group ID 302	1.70
11/17/09	Recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 221	2.40
11/18/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group ID 2022	2.00
11/18/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group ID 2025	1.00
11/18/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group ID 2021	1.30
11/18/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group ID 2026	0.60
11/18/09	Continued recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 91	1.10
11/18/09	Continued recalculation of proceeds in/out to determine net winner/loser of KVT-4 Group ID 311	1.40
11/18/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group ID 2024	0.90
11/18/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group ID 2023	1.90
11/19/09	Conversion of database information from Montreal location into spreadsheets for Baker Botts	3.90
11/19/09	Reconciling of proceeds in/out to KVT-4 CD interest proceeds amount for Group ID 454b	1.10
11/19/09	Extraction of Stanford Amex activity in the general ledger	0.80
11/19/09	Reconciling of proceeds in/out to KVT-4 CD interest proceeds amount for Group ID 454c	0.90
11/19/09	Reconciling of proceeds in/out to KVT-4 CD interest proceeds amount for Group ID 284	0.70
11/19/09	Batch ID data extraction from General Ledger	0.60
11/19/09	Performed vendor searches in General Ledger for potential recovery.	0.70
11/23/09	Reconciling of proceeds in/out to KVT-6 CD interest proceeds amount for Group ID 4025	1.00
11/23/09	Extracted invoice detail for Proskauer Rose, Ben Barnes Group, and Hunton & Williams.	1.60
11/23/09	Matching select TD incoming wire transactions with SIB deposit transactions	3.30
11/23/09	Reconciling of proceeds in/out to KVT-6 CD interest proceeds amount for Group ID 1013	1.50
11/23/09	Reconciling of proceeds in/out to KVT-6 CD interest proceeds amount for Group ID 1007	1.10
11/24/09	Creation of script to pull specific fields from general ledger for set of books ID 19014	1.80
11/24/09	QC of KVT-4, KVT-5, and KVT-6 sample sets for changes in Winner/Loser calculation in most current query	3.40
11/24/09	Creation of KVT-4 Reconciliation Summary for sample set	3.50
11/30/09	Finished KVT-4 Reconciliation Summary of CD Interest amount to Net Winner/Loser amount for sample set	1.60
11/30/09	Employees SIBL account review: Tagging, categorizing and tracing account transactions for further review for Employees SIB Proceeds Analysis: Groups 3322, 3325, and 3332.	2.80



11/30/09	Employees SIBL account review: QC Tagging, categorizing and tracing account transactions for further review for Employees SIB Proceeds Analysis: Groups 3315, 3323, 3337, and 3339.	2.00	
11/30/09	Employees SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for Employees SIB Proceeds Analysis: Groups 3342, 3352, 3365, 3367, 3327, and 3368.	2.00	
		\$188.00 per hour x total hrs of	136.50 \$25,662.00

**Jeffrey Blanton**

11/13/09	Review of Electronic Documents for references involving Allen Stanford and Leroy King and any associated payments.	2.60	
11/13/09	Review of Electronic Documents for references to association between Allen Stanford and Leroy King as blood brothers	2.50	
11/13/09	Review of Electronic Documents for payments made to Leroy King	3.20	
11/17/09	Searched Electronic Documents looking for information related to the Leroy King payments.	3.60	
11/17/09	Analyzed electronic documents looking for references between Allen Stanford and Leroy King	3.40	
11/18/09	Reviewed and analyzed Electronic Documents for references involving Leroy King and Allen Stanford as well as any blood oath.	1.20	
11/19/09	Compared deposits by Leroy King to the Historical DTSR Report to check for any possible links.	1.00	
		\$180.00 per hour x total hrs of	17.50 \$3,150.00



**Expense Detail  
Invoice 7222541**

Name	Group	Home Office	Expense Type	Date	Description	Amount
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	11/1/2009	Airfare from Dallas to Houston on 11/1/09	<b>160.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	11/6/2009	Airfare from Houston to Florida on 11/6/09	<b>160.10</b>
Abayomi Odunsi	FLC	Dallas, TX	Hotel & Lodging	11/6/2009	Houston Hotel Expenses for 5 nights, 11/1/09 - 11/6/09	<b>1,105.65</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	11/1/2009	Taxi from Home to Airport	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	11/1/2009	Taxi from Airport to Hotel	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	11/6/2009	Taxi from Airport to Hotel	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	11/6/2009	Taxi from Hotel to Airport	<b>55.00</b>
<b>TOTAL - Abayomi Odunsi</b>						<b>\$1,605.85</b>
Craig Kolodjeski	FLC	Washington, D.C.	Airfare/Trainfare	11/30/2009	Airfare from Washington to Houston on 11/30/09, returned 12/3/09	<b>551.70</b>
Craig Kolodjeski	FLC	Washington, D.C.	Taxi/Subway	11/30/2009	Taxi from Airport to Client Site	<b>65.00</b>
<b>TOTAL - Craig Kolodjeski</b>						<b>\$616.70</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/2/2009	Airfare from Charlotte to Houston on 11/2/09, returned 11/5/09	<b>1,042.80</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/9/2009	Airfare from Charlotte to Houston on 11/12/09, returned 11/15/09	<b>1,042.80</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/16/2009	Airfare from Charlotte to Houston on 11/16/09 returned 11/19/09	<b>1,042.80</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/30/2009	Airfare from Charlotte to Houston on 11/30/09, returned 12/3/09	<b>1,052.30</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	11/5/2009	Houston hotel expenses for 3 nights, 11/2/09 - 11/5/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	11/12/2009	Houston hotel expenses for 3 nights, 11/9/09 - 11/12/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	11/19/2009	Houston hotel expenses for 3 nights, 11/16/09 - 11/19/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/2/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/2/2009	Taxi from Airport to Client Site	<b>60.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/5/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/5/2009	Taxi from Client site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/9/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/12/2009	Taxi from Client site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/12/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/16/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/16/2009	Taxi from Airport to Client Site	<b>60.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/19/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/19/2009	Taxi from Client site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/30/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/30/2009	Taxi from Airport to Client Site	<b>60.00</b>
<b>TOTAL - Daniel Palmer</b>						<b>\$6,765.87</b>
Daniel Roffman	Tech	Washington, DC	Airfare/Trainfare	11/17/2009	Airfare from Washington to Montreal on 11/17/09	<b>578.35</b>
Daniel Roffman	Tech	Washington, DC	Airfare/Trainfare	11/19/2009	Airfare from Montreal to Washington on 11/19/09	<b>641.34</b>
Daniel Roffman	Tech	Washington, DC	Hotel & Lodging	11/19/2009	Montreal hotel expense for 1 night, 11/18/09	<b>227.43</b>
Daniel Roffman	Tech	Washington, DC	Taxi/Subway	11/17/2009	Taxi from Home to Airport	<b>16.00</b>
Daniel Roffman	Tech	Washington, DC	Taxi/Subway	11/17/2009	Taxi from Airport to Client Site	<b>45.22</b>



**Expense Detail  
Invoice 7222541**

Daniel Roffman	Tech	Washington, DC	Taxi/Subway	11/19/2009	Taxi from Airport to Office to Home	<b>21.00</b>
Daniel Roffman	Tech	Washington, DC	Taxi/Subway	11/19/2009	Taxi from Client site to Airport	<b>57.01</b>
<b>TOTAL - Daniel Roffman</b>						<b>\$1,586.35</b>

Hairong Qu	FLC	Houston	Mileage / Parking	11/9/2009	Parking at Client Location	<b>6.00</b>
Hairong Qu	FLC	Houston	Mileage / Parking	11/10/2009	Parking at Client Location	<b>11.00</b>
Hairong Qu	FLC	Houston	Mileage / Parking	11/11/2009	Parking at Client Location	<b>11.00</b>
<b>TOTAL - Hairong Qu</b>						<b>\$28.00</b>

Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/1/2009	Airfare from Jacksonville to Houston on 11/1/09	<b>396.20</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/5/2009	Airfare from Houston to Charlotte on 11/5/09	<b>518.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Mileage / Parking	11/6/2009	Parking at airport	<b>209.00</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/9/2009	Airfare from Charlotte to Houston on 11/9/09	<b>518.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/12/2009	Airfare from Houston to New York on 11/12/09	<b>518.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/15/2009	Airfare from New York to Houston on 11/15/09	<b>518.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/19/2009	Airfare from Houston to Charlotte on 11/19/09	<b>533.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Mileage / Parking	11/19/2009	Parking at airport	<b>176.00</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	11/30/2009	Airfare from Raleigh to Houston on 11/30/09	<b>539.60</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	11/5/2009	Houston hotel expenses for 4 nights, 11/1/09 - 11/5/09	<b>884.52</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	11/12/2009	Houston hotel expenses for 3 nights, 11/9/09 - 11/12/09	<b>646.39</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	11/19/2009	Houston hotel expenses for 4 nights, 11/15/09 - 11/18/09	<b>894.52</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/1/2009	Taxi from Airport to Hotel	<b>62.00</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/9/2009	Taxi from Airport to Client Site	<b>61.00</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/12/2009	Taxi from Hotel to Airport	<b>102.75</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/15/2009	Taxi from Airport to Hotel	<b>61.00</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	11/30/2009	Taxi from Airport to Hotel	<b>63.75</b>
<b>TOTAL - Jeanette Day</b>						<b>\$6,702.23</b>

Jeffrey Ferguson	FLC	Dallas, TX	Airfare/Trainfare	11/30/2009	Airfare from Dallas to Houston on 11/30/09, returned 12/2/09	<b>320.20</b>
Jeffrey Ferguson	FLC	Dallas, TX	Mileage / Parking	11/30/2009	Parking at Airport	<b>12.00</b>
Jeffrey Ferguson	FLC	Dallas, TX	Taxi/Subway	11/30/2009	Taxi from Airport to Client Site	<b>49.18</b>
<b>TOTAL - Jeffrey Ferguson</b>						<b>\$381.38</b>

John Kluka	Tech	Chicago, IL	Airfare/Trainfare	11/1/2009	Airfare from Chicago to Houston on 11/1/09	<b>407.60</b>
John Kluka	Tech	Chicago, IL	Airfare/Trainfare	11/4/2009	Airfare from Houston to Chicago on 11/4/09	<b>406.10</b>
John Kluka	Tech	Chicago, IL	Hotel & Lodging	11/4/2009	Houston hotel expenses for 3 nights, 11/1/09 - 11/4/09	<b>663.93</b>
John Kluka	Tech	Chicago, IL	Taxi/Subway	11/1/2009	Taxi from Airport to Hotel	<b>55.00</b>
John Kluka	Tech	Chicago, IL	Taxi/Subway	11/1/2009	Taxi from Home to Airport	<b>45.00</b>
John Kluka	Tech	Chicago, IL	Taxi/Subway	11/4/2009	Taxi from Office to Airport	<b>50.00</b>
John Kluka	Tech	Chicago, IL	Taxi/Subway	11/4/2009	Taxi from Airport to Home	<b>42.00</b>
John Kluka	Tech	Chicago, IL	Taxi/Subway	11/18/2009	Taxi from Office to Home	<b>15.00</b>
<b>TOTAL - John Kluka</b>						<b>\$1,684.63</b>

Karyl Van Tassel	FLC	Houston	Postage/Fedex/Courier	11/25/2009	Postage expense	<b>12.50</b>
<b>TOTAL - Karyl Van Tassel</b>						<b>\$12.50</b>



**Expense Detail  
Invoice 7222541**

Kathleen Prichard	FLC	Houston, TX	Mileage / Parking	11/10/2009	Parking at Client Location	<b>11.00</b>
Kathleen Prichard	FLC	Houston, TX	Mileage / Parking	11/11/2009	Parking at Client Location	<b>20.00</b>
<b>TOTAL - Kathleen Prichard</b>						<b>\$31.00</b>
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	11/2/2009	Airfare from Dallas to Houston on 11/2/09	<b>145.10</b>
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	11/6/2009	Airfare from Houston to Dallas on 11/6/09	<b>160.10</b>
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	11/9/2009	Airfare from Dallas to Houston on 11/9/09	<b>145.10</b>
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	11/11/2009	Airfare from Houston to Dallas on 11/11/09	<b>160.10</b>
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	11/28/2009	Airfare from Dallas to Houston on 11/28/09, returned 12/2/09	<b>320.20</b>
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	11/6/2009	Houston hotel expenses for 4 nights, 11/2/09 - 11/6/09	<b>884.52</b>
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	11/11/2009	Houston hotel expenses for 2 nights, 11/9/09 - 11/11/09	<b>442.26</b>
Mark Russell	FLC	Dallas, TX	Mileage / Parking	11/6/2009	Parking at Airport	<b>60.00</b>
Mark Russell	FLC	Dallas, TX	Mileage / Parking	11/11/2009	Parking at Airport	<b>30.00</b>
Mark Russell	FLC	Dallas, TX	Taxi/Subway	11/2/2009	Taxi from Airport to Client Site	<b>50.00</b>
Mark Russell	FLC	Dallas, TX	Taxi/Subway	11/6/2009	Taxi from Client site to Airport	<b>50.00</b>
Mark Russell	FLC	Dallas, TX	Taxi/Subway	11/9/2009	Taxi from Airport to Client Site	<b>50.00</b>
Mark Russell	FLC	Dallas, TX	Taxi/Subway	11/11/2009	Taxi from Hotel to Airport	<b>50.00</b>
<b>TOTAL - Mark Russell</b>						<b>\$2,547.38</b>
Michael Kirk	Tech	Washington, DC	Postage/Fedex/Courier	11/20/2009	Postage expense	<b>20.21</b>
Michael Kirk	Tech	Washington, DC	Postage/Fedex/Courier	11/20/2009	Postage expense	<b>21.51</b>
<b>TOTAL - Michael Kirk</b>						<b>\$41.72</b>
Michael Wei	Tech	San Francisco, CA	Airfare/Trainfare	10/26/2009	Airfare from San Francisco to Houston on 10/26/09	<b>534.60</b>
Michael Wei	Tech	San Francisco, CA	Airfare/Trainfare	10/29/2009	Airfare from Houston to Los Angeles on 10/29/09	<b>543.70</b>
Michael Wei	Tech	San Francisco, CA	Hotel & Lodging	10/21/2009	Houston hotel expenses for 2 nights, 10/19/09 - 10/21/09	<b>296.38</b>
Michael Wei	Tech	San Francisco, CA	Hotel & Lodging	10/29/2009	Houston hotel expenses for 3 nights, 10/26/09 - 10/29/09	<b>551.07</b>
Michael Wei	Tech	San Francisco, CA	Mileage / Parking	10/21/2009	Parking at Airport	<b>60.00</b>
Michael Wei	Tech	San Francisco, CA	Mileage / Parking	10/30/2009	Parking at Airport	<b>100.00</b>
Michael Wei	Tech	San Francisco, CA	Taxi/Subway	10/21/2009	Taxi from Client site to Airport	<b>60.00</b>
Michael Wei	Tech	San Francisco, CA	Taxi/Subway	10/26/2009	Taxi from Airport to Client Site	<b>65.00</b>
Michael Wei	Tech	San Francisco, CA	Taxi/Subway	10/29/2009	Taxi from Client site to Airport	<b>60.00</b>
<b>TOTAL - Michael Wei</b>						<b>\$2,270.75</b>
Native Files	SAAS	Washington, DC	Native File Processing Fee	11/30/2009	Native File Processing Fee (36.96 GB @ 820.00, GB expedited @ /GB)	<b>24,245.80</b>
<b>TOTAL - Native Files</b>						<b>\$24,245.80</b>
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	11/11/2009	Taxi from Office to Home	<b>8.00</b>
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	11/12/2009	Taxi from Office to Home	<b>9.00</b>
<b>TOTAL - Patrick Beeman</b>						<b>\$17.00</b>
Rebecca Templeton	FLC	Houston	Airfare/Trainfare	11/26/2009	Airfare from Lubbock to Houston on 11/29/09	<b>263.99</b>
<b>TOTAL - Rebecca Templeton</b>						<b>\$263.99</b>
Robert Giles	FLC	Dallas, TX	Mileage / Parking	11/29/2009	Parking at Airport	<b>12.00</b>
Robert Giles	FLC	Dallas, TX	Mileage / Parking	11/30/2009	Parking at Airport	<b>12.00</b>
Robert Giles	FLC	Dallas, TX	Taxi/Subway	11/29/2009	Taxi from Airport to Client Site	<b>49.61</b>
<b>TOTAL - Robert Giles</b>						<b>\$73.61</b>
RT-Chicago HOSTING	Tech	Chicago, IL	Online Hosting Fees	11/30/2009	Online Hosting Fees (114.76 GB @ 60.00/GB)	<b>5,508.48</b>



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*Expense Detail*  
*Invoice 7222541*

RT-Chicago HOSTING	Tech	Chicago, IL	Online Loading Fees 11/30/2009	Online Loading Fees (32.05 GB @ 80.00/GB)	<b>2,051.20</b>
TOTAL - RT-Chicago HOSTING					\$7,559.68
TOTAL EXPENSES					\$56,434.44

Stanford Financial Receivership  
 FTI Billing Breakout  
 Time Incurred on November Invoice Issued January 15

Baker Botts Description	Amount	Percentage
General Litigation	\$ 357,649	46%
Clawback Litigation	272,273	35%
Cash Management	77,165	10%
General Receivership	35,398	5%
Claims	24,946	3%
Government Production	11,294	1%
<b>Grand Total</b>	<b>\$ 778,726</b>	<b>100%</b>



***Forensic and Litigation Consulting***

February 11, 2010

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

Re:  
FTI Job No. 008334.0239

Enclosed is our invoice for professional services rendered in connection with the above referenced matter. This invoice covers professional fees from December 1, 2009 through December 31, 2009.

Please do not hesitate to call me to discuss this invoice or any other matter.

Sincerely yours,

Karyl Van Tassel  
Senior Managing Director

Enclosures



**Invoice Remittance**

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

February 11, 2010  
FTI Invoice No. 7225671  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through December 31, 2009

Professional Services.....	\$694,384.40
Expenses.....	\$40,891.45
Total Amount Due this Period.....	\$735,275.85
Previous Balance Due.....	\$5,085,022.23
<b>Total Amount Due.....</b>	<b>\$5,820,298.08</b>

*Please Remit Payment To: FTI Consulting, Inc.  
P.O. Box 630391  
Baltimore, MD 21263-0391  
(800) 334-5701*

*Wire Payment To:*

REDACTED

REDACTED

FTI Consulting performed the following tasks performed from December 1 through December 31, 2009:

- Provided assistance with the Receivership operations procedures including: assisting with employee retention, performing daily treasury procedures, preparing cashflow/forecasting model and assisting in the accounting function.
- Assisted on a variety of tax return related inquiries for the Receivership Estate.
- Responded to SEC, DOJ, IRS, and FBI requests regarding data and electronic evidence.
- Prepared analysis of CD proceeds received by customers in accordance with 5<sup>th</sup> Circuit ruling of net winners/net losers. Analysis was performed on approximately 250 groups of investors.
- Prepared analysis of CD proceeds received by various groups of employees including brokers that received commissions from CD sales.
- Analyzed data retrieved from Stanford offices in Montreal Canada. Analysis included, but was not limited to the following tasks:
  - Deleted files diagnostics
  - Reconstruction of Stanford International Bank customer account information
  - Review of Stanford International Bank accounting and ledger information
- Reviewed electronic evidence (email and other) for relevant information to support Receivership litigation efforts including former employees/financial advisor litigation and Chapter 15 litigation.
- Provided research and analysis related to ongoing litigation conducted by the Receivership including review of company email and hard copy documents.
- Conducted research and analysis to support declarations and briefs to be filed in Chapter 15 litigation.
- Assembled and produced documents compiled in response to Chapter 15 litigation.
- Documented, reviewed, and researched claims filed against the Estate.



## Invoice Summary

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

February 11, 2010  
FTI Invoice No. 7225671  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through December 31, 2009

Name	Title	Rate	Hours	Total
Karyl Van Tassel	Senior Managing Director	\$488.00	51.8	\$25,278.40
Simon Strong	Senior Managing Director	\$444.00	5.4	\$2,397.60
Joshua M. Robinson	Managing Director	\$500.00	8.6	\$4,300.00
Joseph E Summers	Director	\$468.00	3.5	\$1,638.00
Anthony Tabb	Managing Director	\$460.00	12.8	\$5,888.00
Michael Wei	Managing Director	\$440.00	1.5	\$660.00
Jeffrey Ferguson	Managing Director	\$424.00	56.4	\$23,913.60
Jeanette Day	Director	\$468.00	140.9	\$65,941.20
James R. Scarazzo	Director	\$400.00	2.5	\$1,000.00
Craig Kolodjeski	Director	\$392.00	39.1	\$15,327.20
Robert Giles	Director	\$372.00	60.6	\$22,543.20
Kevin Blake	Director	\$368.00	129.6	\$47,692.80
Nicole Donnelly	Director	\$360.00	24.2	\$8,712.00
Daniel Roffman	Director	\$344.00	21.5	\$7,396.00
Brian Kim	Director	\$344.00	2.1	\$722.40
Ann Emberson	Director	\$320.00	0.5	\$160.00
Amy Kneepel	Director	\$316.00	42.2	\$13,335.20
Scott Sizemore	Director	\$312.00	231.2	\$72,134.40
Mark Russell	Senior Consultant	\$288.00	113.2	\$32,601.60
Michael Burkhart	Senior Oracle DBA	\$300.00	31.8	\$9,540.00
Patrick Beeman	Senior Consultant	\$280.00	102.4	\$28,672.00
Runa Dey	Senior Consultant	\$280.00	2.0	\$560.00
Daniel Palmer	Consultant	\$268.00	121.4	\$32,535.20
Anna Campbell	Senior Consultant	\$264.00	0.5	\$132.00



*Invoice Summary*

Joseph A. Cialone, II  
Baker Botts  
One Shell Plaza  
910 Louisiana  
Houston, Texas 77002

February 11, 2010  
FTI Invoice No. 7225671  
FTI Job No. 008334.0239  
Terms NET 30  
FEDERAL I.D. NO. 52-1261113

Re:

Current Invoice Period: Charges Posted through December 31, 2009

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<b>Name</b>	<b>Title</b>	<b>Rate</b>	<b>Hours</b>	<b>Total</b>
Hairong Qu	Senior Consultant	\$260.00	136.7	\$35,542.00
Michael Kirk	Senior Consultant	\$248.00	8.9	\$2,207.20
Kathleen Prichard	Senior Consultant	\$248.00	89.0	\$22,072.00
Barbara Arellano	Consultant	\$220.00	177.5	\$39,050.00
Rebecca Templeton	Consultant	\$196.00	103.2	\$20,227.20
John Kluka	Consultant	\$188.00	133.3	\$25,060.40
Lara Nemerov	Consultant	\$232.00	2.0	\$464.00
Abayomi Odunsi	Consultant	\$212.00	170.1	\$36,061.20
Bridget Meacham	Consultant	\$196.00	73.5	\$14,406.00
Abigail Poplis	Consultant	\$188.00	126.2	\$23,725.60
Sheena Chawla	Consultant	\$180.00	118.6	\$21,348.00
Paul Dudzinski	Consultant	\$180.00	173.0	\$31,140.00
			<b>2517.7</b>	<b>\$694,384.40</b>
<b>Expenses</b>				<b>\$40,891.45</b>
<b>Invoice Total</b>				<b>\$735,275.85</b>

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**\*\*Invoice Total has been discounted by \$173,596.10**

**PROFESSIONAL SERVICES**
**Joshua M. Robinson**

12/04/09	Coordinate with D. Roffman on Montreal [REDACTED] file staging	0.30	
12/09/09	Work with Bluefinitly to begin to upload montreal [REDACTED] data	0.80	
12/10/09	Begin to review montreal [REDACTED] data transfers and work with Bluefinitly in transfer protocols	1.00	
12/11/09	Work with Bluefinitly to migrate [REDACTED] data to Oracle.	2.00	
12/16/09	Work with Blue finity on data transfer of [REDACTED]	1.40	
12/17/09	Prepare ending SIBL statement balance by broker and send to J. Ferguson (FTI) for review.	2.10	
12/18/09	Coordinate Montreal [REDACTED] data migration with M, Burkhart (FTI)	0.50	
12/28/09	Conference call with S. Sizemore, M. Burkhart T. Tabb, K.blake to review data found in [REDACTED]	0.50	
	\$500.00 per hour x total hrs of	8.60	\$4,300.00

**Karyl Van Tassel**

12/01/09	Update call regarding document production for Chapter 15	1.30	
12/01/09	Reviewing new Failing documents for potential production	2.30	
12/01/09	Reviewing cash tracing information	2.00	
12/01/09	Review information regarding payments for Stanford personal expenditures	1.80	
12/01/09	Reviewing new emails and documents related to redemptions, FRSC, and loans	1.80	
12/02/09	Review outline of files to be produced	1.50	
12/02/09	Review FTI work product uploaded for production	3.30	
12/03/09	Review new schedules and documents for production for Chapter 15	2.30	
12/03/09	Internal call to discuss DOJ production and subpoena	0.50	
12/03/09	Call with DOJ regarding production requests	1.30	
12/04/09	Review prior briefs filed for preparation of Chapter 15	1.80	
12/04/09	Reviewing FA schedule updates	1.50	
12/08/09	Prioritize Chapter 15 documents for new affidavit	2.50	
12/09/09	Prioritize Chapter 15 documents for new affidavit	5.50	
12/09/09	Discuss response to Vantis affidavit	1.00	
12/11/09	Discuss with counsel subjects for Chapter 15 declaration and response	1.00	
12/11/09	Review new 100 list of net winner/loser	1.50	
12/14/09	Review info on Stanford residency	2.50	
12/15/09	Review and edit response to Vantis in Chapter 15	2.50	
12/16/09	Reviewing information for response to Vantis in Chapter 15	2.00	
12/17/09	Status on Montreal review	1.50	
12/17/09	Reviewing documents for support of response to Vantis in Chapter 15	2.50	
12/18/09	Reviewing documents for inclusion in latest affidavit	2.50	
12/21/09	FLC-Customer Statement Analysis - Review documents for affidavit	2.00	
12/22/09	FLC-Customer Statement Analysis - Update discussion regarding status of 100 additional investors	0.80	
12/22/09	Review latest 100 non-Pershing investors results - FLC-Customer Statement Analysis	1.80	
12/24/09	Call with R. roper regarding Ft. Lauderdale subpoena and FTI Response	0.80	

		\$488.00 per hour x total hrs of	51.80	\$25,278.40
<b>Jeanette Day</b>				
12/01/09	Wire instructions for miscellaneous operations account		0.10	
12/01/09	Review account update and wires from suspense account from Trustmark		0.80	
12/01/09	Respond to receivership emails on STC status, CD info, held escrow wires, Stanford Eagle update, Interest on escrow, and Peru invoice		1.70	
12/01/09	Respond to receivership emails on Ling Yan claim, Peru, Vernon Crump payment, property tax, flexcorp bounced checks, and IRA		1.50	
12/01/09	Update of HR claim status table		1.00	
12/01/09	Review weekly AP list for completeness		1.00	
12/01/09	Call with Craig Adams, Fred Fram, Steve Lindstrom, and Rhonda Davis for estate administration.		0.90	
12/01/09	B-weekly management meeting with Char McDougall, Fred Fram, Rhonda Davis, Kerry Jackson, and Daniel Palmer. Discuss Virgil Harris, Flexcorp, and September month-end		1.00	
12/02/09	Update escrow balances by client		1.20	
12/02/09	Review receivership balance sheets and send questions to Kerry Jackson		1.90	
12/02/09	Discussion with Andi Beakey, E&Y on tax		0.30	
12/02/09	Review filed FA suit vs. Hold employee names		1.50	
12/02/09	Update and review hold list in HR reconciliation and compare to Beanie's hold list in database		2.00	
12/02/09	Call with Kim Epstein, baker botts, on taxes		0.30	
12/02/09	Search for services that provided tax for Andi Beakey		0.80	
12/03/09	Meet with Kerry Jackson to discuss cleanup of balance sheets		1.10	
12/03/09	Call with Fran Casey, Fred Fram, and Steve Lindstrom		0.50	
12/03/09	Review Ken Weednes and Gary Vollens other filed claims vs. claims database and confirm with HR		1.00	
12/03/09	Discuss Finra payment with Vik Kurylak and Fred Fram		0.30	
12/03/09	Meet with Fred Fram and Steve Lindstrom to discuss Fran Casey		1.10	
12/03/09	Work on reconciling T&E paid to claims for Gary Vollen - reconcile paid to unpaid and double check new payments		1.00	
12/04/09	Begin preparing list for next week's AP requests		0.60	
12/04/09	Call with Kerry Jackson on accounting and adjustments to liquidating balance sheets		1.40	
12/04/09	Respond to receivership emails - update on claims status, bankruptcy filing notices, cd status		2.00	
12/04/09	Work with baker botts to obtain settlement info for accounting records		1.00	
12/04/09	Call with Steve Lindstrom on operations		0.30	
12/04/09	Work with Anita baron to confirm nonpayment of pre-receiver amounts		0.50	
12/04/09	Review of list prepared by C. Adams and update with F. Fram		0.70	
12/04/09	Review settlement wires for escrow account		0.50	
12/04/09	Review cash reconciliation and tie to bank statements and subledger		0.50	
12/04/09	Confirm wire receipt for Andrew York		0.10	
12/04/09	Call with Fred Fram on claims		0.40	
12/07/09	Confirm incoming wires		0.20	
12/07/09	Confirm changes to HR database with Char McDougall		0.70	
12/07/09	Research and provide claim numbers and amounts for receivership responses to emails for Helen Duskin		2.00	
12/07/09	Review and confirm FTI requests.		0.40	

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12/07/09	Hassell Settlement agreement liquidation	0.20
12/07/09	Tax documentation info for Daniel palmer	0.30
12/07/09	Confirm address for checks for Andrew York	0.10
12/07/09	Confirm vendor claims inclusion in Oracle with Kerry Jackson	1.30
12/07/09	Provide settlements info for updated workbook for distribution	0.40
12/07/09	Research BVI links from Richard LaMothe	0.30
12/07/09	Review of list prepared by C. Adams and rescheduling for C. Adams meeting	0.40
12/07/09	Discuss HR requests W2 info needs	0.50
12/07/09	Review Escrow Wires	1.00
12/07/09	Research and Respond to info on claims database update requested by Kristie Blumenschein	0.20
12/08/09	Research flowerland tax invoice. Search for TIN and ownership for liability responsibility	1.00
12/08/09	Meet with Victor Hernandez to discuss and search for filed SIBL claims in litigation prep	0.40
12/08/09	Bi-weekly management meeting with Fred Fram, Kerry Jackson, Rhonda Davis, Char McDougall, and Daniel Palmer. Discuss September close and vacation policy	0.60
12/08/09	Search for incoming settlement wire for Vik Kurylak and Fred Fram	0.30
12/08/09	Review Weekly AP List	0.50
12/08/09	T&E filed claim review for Frisard and Fiedler	0.40
12/08/09	Call with Valerie Thomas and research FedEx account info	0.20
12/08/09	Research and review T&E filed claims for James Roberts, Lindsay Jones, and Christi Howard	0.60
12/08/09	T&E filed claim review for Alicia Lynn, Arturo Enriquez, ZEYda Ruiz, and Richard Russo	1.00
12/09/09	Craig's List weekly call to discuss Estate Administration with Craig Adams and Fred Fram. Discuss moving \$700K to JPM and imaging usage by FTI	1.00
12/09/09	Update escrow spreadsheet for Bill Mollica	1.00
12/09/09	Meet with Benie George to discuss research of HR claims	0.50
12/09/09	Research filed T&E claims for the following: Ana Tello, Kevin Buttigieg, Robert Fernandez, John Mendelson, and Anita Rovere	1.00
12/09/09	Review and respond to receivership emails: Flowerland taxes, datamining of DST imaging system, interest clawbacks, verify claim amount filed, no release of cd claims	1.70
12/09/09	Meet with Marie Barnhart for located files and deposit slips	0.30
12/09/09	Call with Kerry Jackson to discuss research of unsettled T&E claims	0.30
12/09/09	Meet with Jim Jones to discuss delinquent tax payments	0.50
12/09/09	Re-confirm amounts for non-cd commissions and finalize spreadsheet. Tie amounts from latest HR list to ready to pay list. Review documentation. Confirm completeness of ready to pay list. Research Fiorini	1.70
12/10/09	Bi-weekly management meeting with Fred Fram and Daniel Palmer to discuss claims	0.70
12/10/09	Update with Kevin Blake on Imaging system needs	0.10
12/10/09	Update HR Hold list for different variations of names	1.00
12/10/09	Review PCG commissions for holds and compare HR file to non-hold names. Confirm only February amounts	1.50
12/10/09	Meet with Benie George to discuss commissions and files	0.70
12/10/09	Contact Kerry Jackson on missing T&E payments for research	0.50
12/10/09	Review cash reconciliation and tie to bank statements and subledger	0.50

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12/11/09	Confirm incoming wires for Bill Mollica	0.30
12/11/09	Call with Kerry Jackson to discuss vendor claim status and preparation of calls for unreconciled items	0.40
12/11/09	Review and respond to receivership emails on status of claims	0.30
12/11/09	Prepare for next week's AP list	0.50
12/11/09	Research and confirm nonpayment of PARS receivers and Brian Kenney for Fred Fram	0.70
12/11/09	Review investment alternatives with JP Morgan for higher rate of interest	0.40
12/11/09	Review cash reconciliation and tie to subledgers and bank statement	0.50
12/11/09	Review updated HR claims database	0.30
12/11/09	Research hold status for Fiorini with FTI and Stanford employees	1.00
12/11/09	Update on status of HR claim review with Benie George	0.80
12/11/09	Request, compile, and organize Trustmark statements for accounting	0.70
12/11/09	Review and prepare payment info for delinquent taxes from Kendra Sutton, E&Y	1.00
12/11/09	Call with Fred Fram on imaging system	0.40
12/11/09	Research underpayment of Intelligence solutions	0.70
12/14/09	Research T&E claim by former employee and reject	0.30
12/14/09	Research online and ask Jim Jones about discrepancies in Fulton County tax amounts as well as unpaid years from '07	0.40
12/14/09	Discuss Liston Roberts claim and settlement with Kerry Jackson and Char McDougall	0.20
12/14/09	Discuss option of ending Paradise Waste services in St. Croix with Steve Lindstrom	0.30
12/14/09	Followup on FINRA complaints with Fred Fram and Helen Duskin	0.10
12/14/09	Send Kerry Jackson list of found unpaid T&E's for research	0.30
12/14/09	Confirm resolution of JPM questions for Valerie Thomas	0.20
12/14/09	Update of Brian Kenney's claim status with Fred Fram re: pars	0.20
12/14/09	Call with Kathy May, JP Morgan, to verify security of escrow account	0.40
12/14/09	Verify filed claim amounts for Kenney and Porter (former employees) for Helen Duskin	0.30
12/14/09	Call with Kristie Blumenschein and Valerie Thomas on account transfer questions from JPM	0.30
12/14/09	Confirm Hawker wire instructions for Shelley Austin	0.20
12/14/09	Conference call with M. Lovett on Tax preparation with C. McDougall	0.20
12/14/09	Coordinate payment of Park Hill retainer	0.10
12/14/09	Discuss Tax status and year with Kendra Sutton, E&Y	0.20
12/14/09	Review Craig's List for weekly meeting and update FDIC insurance info	0.30
12/14/09	Meet with Helen Duskin and call Pam Steubel (account manager for Valerie Hessen) about escrow account transfer process	0.70
12/14/09	Review AP requests received and send to Daniel Palmer (Harry Freyn)	0.30
12/15/09	HR Reconciliation Review of 23 employees	2.00
12/15/09	Prepare SERP info summary	0.50
12/15/09	Review and respond to receivership emails on VI Vendor claims	0.50
12/15/09	Continue reviewing HR reconciliation of claims database from Bonilla thorough Chaney	2.00
12/15/09	Meet with Rhonda Davis to discuss Roman Becerra lap top status	1.00
12/15/09	Research Liliana Patino claim for Craig Adams with Mark Russell, Victor Herandez, and Kevin Blake	1.00

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## *Invoice Activity*

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12/15/09	Bi-weekly management meeting on administration of estate with Char McDougall, Rhonda Davis, Kerry Jackson, and Daniel Palmer	1.00
12/16/09	Confirm settlement wire inflow	0.10
12/16/09	Update on status of escrow account	0.30
12/16/09	Update on George Baker's bonus claim (from widow) with Benie George. Confirm amount \$0	0.20
12/16/09	Request info on Maria Arbelaez relo claim with Benie George	0.10
12/16/09	Research discrepancy in HR reconciliation for Susan Atherton	0.30
12/16/09	Wire confirmations for week and final AP review for completeness and monthly status	0.50
12/16/09	Meet with Char McDougall and call Craig Adams on Roland Timmerman (coins commissions) and Roman Becerra's computer	0.70
12/16/09	Confirm all bank statements are accounted for in books with Kerry Jackson	0.30
12/16/09	Review cash reconciliation and tie to bank statements and subledger	0.50
12/16/09	Discuss need for settlement agreements for reimbursed VISA flight home with Char McDougall	0.20
12/16/09	Discuss development of forgivable draw tab with Char McDougall	0.20
12/16/09	Coordinate payment of AP requests for next week	0.20
12/16/09	Update of status and funding needs of account	0.20
12/16/09	Research Arvind Bhanushali multiple claims with Benie George	0.30
12/16/09	Follow up on Vladimir Bulatovic T&E claim rejection with Kerry Jackson	0.20
12/16/09	Reconciliation of Walter Alvarez's T&E claim with Kerry Jackson	0.20
12/16/09	Confirm tax payments with Ralph Janvey	0.10
12/16/09	Touch base with Steve Lindstrom on status of St. Croix trip	0.60
12/16/09	Update on escrow wire payments for week	0.50
12/16/09	Discuss filing of VI vendor claims with Steve Lindstrom and Josh Robinson and James Thomas (BB)	0.40
12/16/09	Meet with Fred Fram and Rhonda Davis on estate administration	1.00
12/16/09	Confirm liquidation of Concepcion Anguiano's claim with Tiffany Petty	0.20
12/16/09	Reconciliation of Chris Aitken's claim with Char McDougall	0.50
12/16/09	Meet with Mark Russell on update of imaging of Roman Becerra's personal computer	0.20
12/17/09	Update HR claims files with researched information	1.00
12/17/09	Bi-weekly management meeting on administration of estate with Fred Fram, Kerry Jackson, and Daniel Palmer	1.00
12/17/09	Status update with Kerry Jackson on T&E research	0.60
12/17/09	Update and review coins commissions status and reconciliation in preparation of payout and settlement	1.40
12/17/09	Review taxes for current status	1.00
12/18/09	Prepare summary of Lily Patino's status for Craig Adams	0.50
12/18/09	Review CD Database revised settlement with Fred Fram and send to Craig Adams	0.50
12/18/09	Review and respond to receivership emails on confirmation of claims and status of CDs	0.60
12/18/09	Send patsy Wilson info on Havell claim	0.20
12/18/09	Review DST emails and reconcile amount owed to prepare summary for Craig Adams	2.00
12/18/09	Update on status of settlement inflows	0.30
12/18/09	Confirm that Will Wilkes and Whit Wilkes are 2 different employees with Char McDougall for Fred Fram. Confirm ability to pay	0.40

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12/18/09	Final review and tie out of non-cd commission claim settlements after taking out PAR holds for Fred Fram	1.30
12/18/09	Follow up on Trustmark bank statements with Sammy Valenti	0.10
12/18/09	Call with Dan Hugo, Bank of America, on potentially moving deposits	0.60
12/18/09	Prepare request of transfer of Trustmark to JPM accounts for Herschel Hamner and confirm company name, no LOC	0.60
12/18/09	Review cash reconciliation and tie to bank statements and subledger	0.50
12/18/09	Call with JJ Matthews, Bank of America, on potential interest rate and insurance on deposits	0.60
12/18/09	Review minutes from Craig's List and update status with Fred Fram	0.50
12/19/09	Conference call with M. Lovett to discussion plans around succession	0.30
12/21/09	Update on Finra Complaint	0.20
12/21/09	Review weekly AP list and approvals	1.50
12/21/09	Update and reconcile escrow balances by depositor to bank statement	2.00
12/21/09	Updates and revisions to Organizational Chart	1.10
12/21/09	Research incoming wire	0.20
12/21/09	Review cash reconciliation and tie to bank statements and subledgers	0.50
12/21/09	Research filed claims for receivership emails	1.00
12/21/09	Conference call with M. Lovett to discussion plans around succession	0.30
12/22/09	Review escrow wire payment summary	0.40
12/22/09	Call with Malcolm Lovett on estate management succession	0.40
12/22/09	Bi-weekly management meeting on estate administration	0.70
12/22/09	Update on incoming settlement checks	0.30
12/22/09	Finra complaint update with Rhonda Davis	0.30
12/22/09	Craig's list meeting with Malcolm Lovett, Rhonda Davis, David Arlington, Steve Lindstrom, and Craig Adams	1.40
12/22/09	Review cash reconciliation and tie to bank statements and subledger	0.30
12/22/09	Begin preparing next week's AP list with Sue Ayers invoices	0.20
12/22/09	Weekly AP review request for Ralph Janvey	0.30
12/22/09	Update C. Adams' list with bounced check information from Human Resources	0.10
12/22/09	Review receivership emails on CD claims	0.30
12/22/09	Research payment of outstanding legal bills from VI for Craig Adams	0.10
12/22/09	Research incoming wires for settlement	0.10
12/23/09	Review of receivership emails on complaints and claims	1.00
12/23/09	Update of baseball player escrow amounts review	0.40
12/23/09	Update next week's AP request list with Sea Eagle amounts	0.10
12/24/09	Call with Malcolm Lovett on FBI/SEC requests	0.10
12/24/09	Confirm escrow amounts and interest for baseball players	0.40
12/24/09	Review cash reconciliation and tie to bank statements and subledger	0.30
12/24/09	Review of receivership emails and confirm non-receipt of filed claims	1.00
12/28/09	Meet with Fred Fram and Rhonda Davis to discuss succession and administration of estate	2.00
12/28/09	Review and respond to receivership emails on HR claims, Aitken, Arvidjumar, FINRA complaint, researching of \$490 K shelby county wire, and validation of claims	2.00

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## Invoice Activity

12/28/09	Conference call with M. Siegle to discuss various yacht related issues	0.30	
12/28/09	Meet with Linda Leal on surety bond and LOC for Caribbean Airlines and research liquidation of LOC	2.00	
12/28/09	Update HR claims reconciliation	1.00	
12/28/09	Call with Craig Adams regarding Letters of Credit	0.30	
12/28/09	Call with Jim Outlaw regarding Letters of Credit	0.30	
12/28/09	Meet with Fred Fram and Malcolm Lovett on Estate Administration and succession	1.00	
12/29/09	Prepare list of unissued bounced checks to adjust ADP and W2's and reconcile to Tiffany Petty's list	2.00	
12/29/09	Call with Matt Siegle re: overhead of Sea Eagle	1.00	
12/29/09	Meet with Brent Hennings to discuss commissions by type and amounts	2.00	
12/29/09	Work on HR reconciliation	2.00	
12/29/09	Craigs list call with Craig Adams, Malcolm Lovett, Fred Fram, and Rhonda Davis	1.00	
12/30/09	Call with Malcolm Lovett on succession	0.50	
12/30/09	Meet with Rhonda Davis and Malcolm Lovett to discuss estate administration.	2.00	
12/30/09	Meet with Kerry and Brent on month-end accounting and commissions	2.00	
12/30/09	Meet with Char McDougall and Malcolm Lovett on HR	1.00	
12/30/09	Research PAC contributions to Sederholm	2.00	
12/30/09	Call with Malcolm Lovett on estate administration	0.50	
12/31/09	Bi-weekly management meeting on estate administration with Fred Fram, Rhonda Davis, Kerry Jackson, and Char McDougall	0.70	
12/31/09	Prepare summary of Sederholm distributions by month for PAC	0.70	
12/31/09	Call with Fred Fram on Org Chart changes	0.70	
12/31/09	Call with Kathy May on status of interest on escrow account	0.50	
12/31/09	Review cash reconciliation and tie to bank statements and subledger	0.40	
		\$468.00 per hour x total hrs of	140.90
			\$65,941.20

### Joseph E Summers

12/29/09	Coordinate setup of SharePoint site.	3.50	
		\$468.00 per hour x total hrs of	3.50
			\$1,638.00

### Anthony Tabb

12/01/09	Review of Data Acquisition of Montreal Server. Specific review for full <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> bak file. Data Pro data gap capture, BoA accounting records.	0.80	
12/02/09	Staging of T24 root directory to Annapolis server in prep to review and confirm <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> bak file.	0.50	
12/02/09	Discussion with DOJ and Navigant concerning doc production and various requests for information.	0.20	
12/03/09	Discussion with DOJ and Navigant concerning doc production and various requests for information.	1.40	
12/04/09	Review of Data Acquisition of Montreal Server. Specific review for full <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> bak file. Data Pro data gap capture, BoA accounting records.	0.80	
12/04/09	Staging of T24 root directory to Annapolis server in prep to review and confirm <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> bak file.	1.10	

## Invoice Activity

12/07/09	Staging and review of Montreal Directory Structure and File Path to identify potential DataPro data to fill data gaps in current acquisition	0.80	
12/07/09	Review of Data Acquisition of Montreal Server. Specific review for full [REDACTED] bak file. Data Pro data gap capture, BoA accounting records.	1.30	
12/08/09	Staging and review of Montreal Directory Structure and File Path to identify potential DataPro data to fill data gaps in current acquisition	0.70	
12/08/09	Review of Data Acquisition of Montreal Server. Specific review for full [REDACTED] bak file. Data Pro data gap capture, BoA accounting records.	0.80	
12/09/09	Staging and review of Montreal Directory Structure and File Path to identify potential DataPro data to fill data gaps in current acquisition	0.60	
12/09/09	Discussion with SEC regarding 331 FA emails migration to Ringtail.	1.30	
12/14/09	Discussion with BlueFinity regarding conversion of [REDACTED] bak file recovered from Montreal Server	0.60	
12/15/09	Discussion with BlueFinity regarding conversion of [REDACTED] bak file recovered from Montreal Server	0.80	
12/16/09	Discussion with BlueFinity regarding conversion of [REDACTED] bank file recovered from Montreal Server	0.40	
12/28/09	Review of [REDACTED] data after conversion into Oracle database representing recovered from Montreal Server. Specific review for reaffirm transactions up through July 10, 2008, Fill data gap capture 2006 and BoA accounting records.	0.70	
	\$460.00 per hour x total hrs of	12.80	\$5,888.00
<b>Simon Strong</b>			
12/02/09	Call with internal counsel, identify further electronic data (photos) per Ft Lauderdale subpoena	1.60	
12/07/09	Research, respond re FBI request re Ft Lauderdale CDs	0.90	
12/10/09	Call with internal counsel, identify further electronic data (emails) re Ft Lauderdale subpoena	1.90	
12/22/09	Research of Ft Lauderdale video per BB request	0.70	
12/29/09	Call with internal counsel re Eric Dybing	0.30	
	\$444.00 per hour x total hrs of	5.40	\$2,397.60
<b>Michael Wei</b>			
12/08/09	Internal update meeting with Karyl Van Tassel, Jeff Ferguson, Shawn Giles, Craig Kolodjeski, Kevin Blake, Mark Russell, and Amy Kneappel.	1.50	
	\$440.00 per hour x total hrs of	1.50	\$660.00
<b>Jeffrey Ferguson</b>			
12/01/09	Accumulate, review, and provide counsel with documents to be produced for purposes of the Chapter 15 litigation	7.00	
12/01/09	Update call with counsel regarding Chapter 15 production	1.00	
12/02/09	Update call with counsel regarding Chapter 15 production	0.30	
12/02/09	Accumulate, review, and provide counsel with documents to be produced for purposes of the Chapter 15 litigation	6.00	
12/03/09	Accumulate, review, and provide counsel with documents to be produced for purposes of the Chapter 15 litigation	4.20	

## Invoice Activity

12/07/09	Review FTI analysis and documents produced in relation to Chapter 15 litigation to determine possible responses to Vantis' filings.	2.30		
12/07/09	Review Vantis' filings related to Chapter 15 litigation	2.80		
12/08/09	Review Vantis filings and KVT declarations related to Chapter 15 litigation to determine possible responses to Vantis' brief.	1.00		
12/09/09	Review Vantis filings and KVT declarations related to Chapter 15 litigation to determine possible responses to Vantis' brief.	4.20		
12/10/09	Review Vantis filings and KVT declarations related to Chapter 15 litigation to determine possible responses to Vantis' brief.	4.50		
12/11/09	Conference call with counsel to discuss reply to Vantis' Chapter 15 brief.	0.80		
12/11/09	Review Vantis filings and KVT declarations related to Chapter 15 litigation to determine possible responses to Vantis' brief.	3.80		
12/14/09	Preparation of Receivers' response to NHS Chapter 15 brief	5.00		
12/15/09	Preparation of Receivers' response to NHS Chapter 15 brief	4.00		
12/16/09	Preparation of Receivers' response to NHS Chapter 15 brief	4.00		
12/17/09	Preparation of Receivers' response to NHS Chapter 15 brief	5.00		
12/22/09	FLC conference call to discuss various ongoing workstreams	0.50		
	\$424.00 per hour x total hrs of	56.40		\$23,913.60

### James R. Scarazzo

12/01/09	Research data available for individuals requested by the SEC. Respond to L. Dodge's request for information.	2.50		
	\$400.00 per hour x total hrs of	2.50		\$1,000.00

### Craig Kolodjeski

12/01/09	Analysis of documents supporting intercompany payments to Stanford 20/20 tournament.	2.10		
12/01/09	Review of production support for newly identified issues and topics not previously addressed in existing filings.	2.90		
12/01/09	Review of file directory listing Montreal data acquisition and related web ex demonstration.	3.60		
12/02/09	Review of production support folders and related contents on FTP site	2.10		
12/02/09	Review of Toronto Dominion bank activity and related cash tracing procedures.	2.10		
12/02/09	Internal conversations with D. Roffman and T. Tabb discussing processing of data acquired from Montreal.	1.80		
12/03/09	Review of Trustmark check activity and cross referencing to related <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> activity.	2.70		
12/03/09	Review of duplicative transactions in Toronto Dominion database and related impacts on cash tracing activity.	2.20		
12/04/09	Review of affidavit of NH Smith and related attachments.	2.40		
12/09/09	Internal conference call to discuss status of various work streams and project areas.	1.10		
12/10/09	Additional review of materials uploaded to FTP site and to be used in Chapter 15 litigation.	1.40		
12/10/09	Preparation for and participation in conference call to discuss supporting material for cash tracing activities and review of FTP site.	1.80		
12/11/09	Conference call with Counsel (S. Ayers) to discuss next steps in Chapter 15 litigation.	0.30		
12/16/09	Review of Montreal Server file listing to determine priority items to mount on active servers.	1.90		

12/16/09	Internal conference call with T. Tabb and J. Robinson to discuss status of Montreal server information and related processing.	0.60	
12/17/09	Review of response to declaration of NH Smith in the Chapter 15 litigation matter.	1.20	
12/17/09	Review of notes and previously prepared analysis and drafting of response detailing flow of checks used in the purchase of CDs.	1.40	
12/17/09	Review of prepared cash tracing for Stanford Aviation and SA5555 and drafting of response detailing misuse of corporate funds.	1.30	
12/17/09	Review of communications detailing flow of checks used in purchases of CDs and pouch log records.	1.10	
12/17/09	Review of analysis of [REDACTED] and Stanford Sea Eagle payments and drafting of response detailing misuse of corporate funds.	1.30	
12/18/09	Review of Montreal file listing of servers acquired	2.60	
12/31/09	Review of [REDACTED] payments identified through RAS LLC tax statements	1.20	
		\$392.00 per hour x total hrs of	39.10
			\$15,327.20

**Robert Giles**

12/01/09	Further review and analysis of documents to be produced in Chapter 15 matter	2.40	
12/01/09	Review and analysis of documents to be produced in Chapter 15 matter	2.60	
12/01/09	Review and preparation of documents for production in Chapter 15 matter	2.00	
12/01/09	Ringtail research and review for document production for Chapter 15 matter	1.90	
12/02/09	Calls with team and Baker Botts to discuss Chapter 15 document production	0.50	
12/02/09	Review and analysis of documents to be produced in Chapter 15 matter	3.30	
12/02/09	Further review and analysis of documents to be produced in Chapter 15 matter	2.30	
12/03/09	Assisting with finalization of Chapter 15 document production	0.70	
12/03/09	Project management and supervision of document production and additional investor analysis	0.40	
12/04/09	Review and analysis of Antiguan filing in Chapter 15 matter	0.80	
12/07/09	Review of Vantis' Chapter 15 filing for statements not supported by produced documents	1.00	
12/07/09	Review and analysis of previous filings in various cross-border insolvency matters	2.70	
12/07/09	Project management and supervision of Chapter 15 process	0.30	
12/07/09	Call with team to discuss Chapter 15 process and required work	0.30	
12/07/09	Review and analysis of Vantis' Chapter 15 filing.	2.60	
12/07/09	Responding to request by attorney for SIPC	0.40	
12/08/09	Review and analysis of Vantis' Chapter 15 filing.	1.40	
12/08/09	Assisting with review of SIBL customer analysis for clawback litigation	1.10	
12/08/09	Review of Vantis' Chapter 15 filing for statements not supported by produced documents	1.90	
12/08/09	Project management and supervision of Chapter 15 process	0.40	
12/08/09	Further analysis of Vantis' Chapter 15 brief and previous filings	1.10	
12/08/09	Review and analysis of previous filings in various cross-border insolvency matters	0.30	
12/08/09	Call with team to discuss various FTI workstreams, including Chapter 15 and clawback litigation	1.50	

## Invoice Activity

12/09/09	Project management and supervision of Chapter 15 process	0.30	
12/09/09	Discussions with Baker Botts regarding Chapter 15 response	0.80	
12/09/09	Assisting with review of SIBL customer analysis for clawback litigation	0.30	
12/09/09	Review of Chapter 15 filings to structure arguments and provide documents to Baker Botts	4.20	
12/09/09	Review of documents to be provided to counsel for Chapter 15 response	0.70	
12/10/09	Assisting with review of SIBL customer analysis for clawback litigation	0.20	
12/10/09	Review of Chapter 15 filings to structure arguments and provide documents to Baker Botts	1.20	
12/10/09	Project management and supervision of Chapter 15 process	0.30	
12/10/09	Production of documents to Baker Botts for Chapter 15 response	0.50	
12/10/09	Call with team to discuss Chapter 15 workstreams and schedule	0.90	
12/11/09	Project management and supervision of Chapter 15 process	0.40	
12/11/09	Call with Baker Botts to discuss Chapter 15 response	0.50	
12/11/09	Review of Chapter 15 filings to structure arguments and provide documents to Baker Botts	1.00	
12/14/09	Research and analysis for Chapter 15 filing.	0.90	
12/14/09	Review of support and filings in cross border insolvency disputes	1.60	
12/14/09	Assisting with coding of customer transactions for clawback litigation	0.30	
12/16/09	Responding to Baker Botts questions regarding Chapter 15 filing.	3.20	
12/16/09	Research and analysis for Chapter 15 filing.	2.30	
12/16/09	Reviewing and drafting of response to Antiguan receiver's brief in Chapter 15 matter	0.70	
12/16/09	Assisting with coding of customer transactions for clawback litigation	0.20	
12/17/09	Responding to Baker Botts questions regarding Chapter 15 filing.	1.30	
12/17/09	Reviewing and drafting of response to Antiguan receiver's brief in Chapter 15 matter	2.00	
12/17/09	Assisting with coding of customer transactions for clawback litigation	0.40	
12/17/09	Research and analysis for Chapter 15 filing.	1.80	
12/17/09	Organization and management of supporting documents and analyses in Chapter 15 matter	0.70	
12/18/09	Organization and management of supporting documents and analyses in Chapter 15 matter	0.90	
12/18/09	Assisting with coding of customer transactions for clawback litigation	0.30	
12/18/09	Review of support and filings in cross border insolvency disputes	0.40	
12/22/09	Update and planning call for Chapter 15 and clawback litigation.	0.40	
\$372.00 per hour x total hrs of		60.60	\$22,543.20

**Kevin Blake**

12/01/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/01/09	Preliminary review of new list for additional KVT-6 population, review and resulting net winner/loser analysis	0.90	
12/01/09	Detailed reconciliation of TD Bank 2007 data and various acquired sources	2.00	
12/01/09	In-depth review of provision of TD Bank data and inclusion of all 2007 sources	2.00	
12/01/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00	

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12/01/09	Completion of provision of necessary TD bank data in support of all cash tracing efforts and for Chapter 15 hearing production	2.00
12/02/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/02/09	Review and management of revisions to current 2007 cash tracing results from TD Bank to SIBL	2.00
12/02/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00
12/02/09	Continued discussion of provision of database of current SIBL customer address information	0.90
12/02/09	Completion of provision of necessary TD bank data in support of all cash tracing efforts and for Chapter 15 hearing production	2.00
12/03/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/03/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00
12/03/09	Preparation for and participation in discussion re: current status of Montreal server SIBL data	1.10
12/03/09	Research and investigation to previous SIBL customer information details for similar application to current Montreal server SIBL data	0.90
12/03/09	Preliminary review and completion of communication re: acquisition of SIBL customer information	2.00
12/04/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/04/09	Preparation for and participation in discussion re: current status of Montreal server SIBL data	1.00
12/04/09	Review and management of additional investigation of current Montreal server SIBL data	2.00
12/04/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00
12/07/09	Review and response to request for specific wire transactions within TD and SIBL information	0.60
12/07/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00
12/07/09	Review and management of additional investigation of current Montreal server SIBL data	2.00
12/07/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/07/09	Participation in additional discussion of additional details re: restoration of Montreal server SIBL data and potential investigation	1.90
12/08/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/08/09	Review and management of testing of 2007 cash tracing results from TD Bank to SIBL	2.00
12/08/09	Preparation for and participation in internal status call and ongoing workstreams/deadlines /requests	1.50
12/08/09	Review and discussion re: overall documentation memo of additional 2007 cash tracing process and results	2.00
12/08/09	Review and management of additional investigation of current Montreal server SIBL data	2.00
12/09/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/09/09	Review and discussion re: overall documentation memo of additional 2007 cash tracing process and results	2.00
12/09/09	Preliminary discussion of DST imaging system and potential discontinue of use within Stanford Receivership internal operations	0.50

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12/09/09	Review and management of specific request for SIBL data (Venezuelan client listing)	0.60
12/09/09	Internal discussion re: additional requests for information re: Chapter 15 production	0.90
12/10/09	Continued discussion of DST imaging system and potential discontinue of use within Stanford Receivership internal operations	0.80
12/10/09	Review and discussion re: overall documentation memo of additional 2007 cash tracing process and results	1.40
12/10/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	1.00
12/10/09	Discussion re: additional documentation provided by a specific KVT-6 (HGSL) client for potential restoration of missing transactions	0.60
12/10/09	Review and management of specific request for SIBL data (by country client listing)	2.00
12/10/09	Review and management of specific request for SIBL data (Venezuelan client listing)	1.00
12/11/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/11/09	Review and response to DOJ documentation request and proposed budget	2.00
12/11/09	Continued discussion of DST imaging system and potential discontinue of use within Stanford Receivership internal operations	1.20
12/11/09	Discussion re: additional documentation provided by a specific KVT-6 client (HGSL) for potential restoration of missing transactions	2.00
12/11/09	Discussion re: additional documentation provided by a specific KVT-6 client (Anglo-Atlantic) for potential restoration of missing transactions	0.80
12/14/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	1.00
12/15/09	Review and management of additional investigation of current Montreal server SIBL data	1.00
12/16/09	Review and management of additional investigation of current Montreal server SIBL data	1.00
12/17/09	Review and management of additional investigation of current Montreal server SIBL data	2.00
12/17/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00
12/17/09	Research and investigation of TD wires specific to Banseco & BofA post-receivership	2.00
12/17/09	Subsequent discussion of provision and related budget estimate for SIBL customer contact information	2.00
12/21/09	Overview, discussion and review of details for Anglo-Atlantic Steamship and revision to net winner/loser results based on provided customer information	2.00
12/21/09	Preparation for and participation in internal discussion re: IRS request	2.00
12/21/09	Review of IRS detailed request for information and data	2.00
12/21/09	Detailed review of specific versions of KVT-6 and preliminary preparation of status email	2.00
12/22/09	Preparation for and participation in internal status meeting re: ongoing workstreams and status of chapter 15 production/preparation	2.00
12/22/09	Review and management of additional investigation of current Montreal server SIBL data	2.00

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## Invoice Activity

12/22/09	Specific research and discussion re: Aitken & Thacker and related SIBL information	2.00	
12/22/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/23/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/23/09	Review and management of additional investigation of current Montreal server SIBL data	2.00	
12/24/09	Review and management of additional investigation of current Montreal server SIBL data	1.00	
12/24/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/28/09	Investigation and management of specific requests for Oracle financials information from government and litigation sources	2.00	
12/28/09	Review and management of additional investigation of current Montreal server SIBL data	2.00	
12/28/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/28/09	Project management and administrative needs for continued support of workstreams	2.00	
12/29/09	Project management and administrative needs for continued support of workstreams	2.00	
12/29/09	Review and management of additional investigation of current Montreal server SIBL data	2.00	
12/29/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/29/09	Investigation and management of specific requests for Oracle financials information from government and litigation sources	2.00	
12/30/09	Project management and administrative needs for continued support of workstreams	2.00	
12/30/09	Review and management of analysis of additional KVT-6 population and resulting net winner/loser analysis	2.00	
12/30/09	Review and management of additional investigation of current Montreal server SIBL data	2.00	
	\$368.00 per hour x total hrs of	129.60	\$47,692.80

**Nicole Donnelly**

12/01/09	Complete verification of forensic images from Montreal- 6 servers and 5 computers. Create file listings for 5 computers.	2.40	
12/01/09	Internal meeting regarding data review and organization.	0.80	
12/02/09	Recover Folders on forensic images from Montreal- 6 servers and 5 computers.	1.90	
12/03/09	Complete Recover Folders and Signature Hash on forensic images from Montreal- 6 servers and 5 computers.	2.20	
12/04/09	Deleted file analysis on servers.	3.00	
12/07/09	File conversion of server image for deleted file analysis.	0.50	
12/08/09	File conversion of and verification of server image for deleted file analysis.	0.50	
12/09/09	Complete verification, recovered folders and siphash analysis of server image for deleted file analysis.	0.80	
12/10/09	Complete deleted file analysis and summarize results.	2.10	
12/22/09	FBI production 2, supplement 4- Raffanello laptop image copy and supporting documentation sent via Fedex to Marisa Hurd at Baker Botts.	4.60	

## Invoice Activity

12/30/09	FBI production 2 Supplement 5 request. Identification and export of files for requested dates. Required copies and tracking documentation.	2.10	
12/30/09	SEC production 46 request, required copies and tracking documentation.	3.30	
	\$360.00 per hour x total hrs of	24.20	\$8,712.00

**Brian Kim**

12/01/09	Conference with S. Giles re converting documents to PDF for production; convert documents to tiff per J. Ferguson; batch print documents to PDF; verify PDFs; generate cross reference file; upload files to FTP site.	1.00	
12/02/09	Conference with L. Dodge re converting documents to PDF; search for documents to convert to PDF; submit documents to PDF; verify PDFs; generate cross reference file; upload files to FTP site.	1.00	
12/07/09	Update dtsearch indexes for new data.	0.10	
	\$344.00 per hour x total hrs of	2.10	\$722.40

**Daniel Roffman**

12/01/09	Analyze files from forensic images. Send update to team regarding Montreal data status.	3.70	
12/01/09	Discussion of Montreal data with Tony Tabb (FTI) and Craig Kolodjeski (FTI)	0.50	
12/01/09	Review of files with Tony Tabb (FTI - partial), Craig Kolodjeski (FTI), Michael Burkhart (FTI - partial). Follow up analysis of specific files.	3.40	
12/03/09	Analyze files from forensic images. Send update to team regarding Montreal data status.	3.50	
12/03/09	Extract and deliver files to FEDA team for analysis.	1.50	
12/04/09	Analyze select files from Montreal. Correspond with FEDA team and provide them metadata database to search through.	1.20	
12/07/09	Identify files of interest to Patrick Beeman (FTI) in forensic images. Extract files and complete documentation regarding delivery of files.	2.80	
12/10/09	Extract virtual hard drives from images. Install software to boot virtual hard drives. Attempt to boot images. Draft status update to team regarding deleted file analysis and booting of images.	3.40	
12/15/09	Respond to inquiries by Mark Russel (FTI) about Roman Becerra's hard drive image.	0.40	
12/16/09	Follow up with Craig Kolodjeski (FTI) regarding open tasks related to Montreal data. review emails about Roman Becerra (Stanford) hard drive image.	0.30	
12/22/09	Extract files requested by Craig Kolodjeski (FTI). Request permissions modification to allow Craig Kolodjeski (FTI) to review files natively.	0.80	
	\$344.00 per hour x total hrs of	21.50	\$7,396.00

**Ann Emberson**

12/03/09	Correspond with D. Arlington re processing emails from Y. Suarez PST that are dated prior to 2007; conference with J. Scarazzo re same.	0.30	
12/04/09	Correspond with D. Arlington and L. Dodge re the availability of Alvarado, Kurht and Suarez email data in the Ebony PED database and the status of Suarez per 2007 email from her Exchange PST currently being processed for loading to Ringtail.	0.20	

## Invoice Activity

			\$320.00 per hour x total hrs of	0.50	\$160.00
<b>Amy Kneoppel</b>					
12/01/09	Categorization and production of the Failing documents	2.10			
12/01/09	Gathering and categorization of the required source documents required for the chapter 15 production.	2.30			
12/01/09	Production and categorization of supporting source documents related to K. Van Tassel's Clawback affidavit.	1.40			
12/01/09	Creation of the electronic versions of source documents related to the required production of the supporting source documents related to Chapter 15 hearing.	2.40			
12/02/09	Gathering and categorization of the required source documents required for the chapter 15 production.	1.70			
12/02/09	Creation of the electronic versions of source documents related to the required production of the supporting source documents related to Chapter 15 hearing.	2.40			
12/02/09	Production and categorization of supporting source documents related to Karyl's Clawback affidavit.	2.10			
12/07/09	Compile support of the direct deposition of Karyl Van Tassel	2.30			
12/07/09	Compare documents from the Clawback and Chapter 15 Affidavit to eliminate duplication of support to send to Baker Botts in support of the direct deposition of Karyl Van Tassel	2.10			
12/07/09	Organize documents to send to Baker Botts in support of the direct deposition of Karyl Van Tassel	2.50			
12/07/09	Conference call with KVT to discuss team deliverables	0.80			
12/08/09	Compare documents from the Clawback and Chapter 15 Affidavit to eliminate duplication of support to send to Baker Botts in support of the direct deposition of Karyl Van Tassel	2.20			
12/08/09	Compile documents to send to Baker Botts in support of the direct deposition of Karyl Van Tassel	2.10			
12/08/09	Conference call with Jeff Ferguson, Shawn Giles and Mark Russell to determine next steps regarding direct deposition preparation	0.80			
12/08/09	Organize documents to send to Baker Botts in support of the direct deposition of Karyl Van Tassel	2.90			
12/09/09	Review documents compiled to send to Baker Botts in support of the direct deposition with Karyl, Shawn and Jeff, choose most important documents from Paragraphs 1 - 25	2.20			
12/09/09	Review documents compiled to send to Baker Botts in support of the direct deposition with Karyl, Shawn and Jeff, choose most important documents from Paragraphs 26 - 36	2.30			
12/10/09	Continue to organize and compile documents for KVT's direct deposition support	2.20			
12/10/09	Review documents compiled to send to Baker Botts in support of the direct deposition with Shawn and Jeff, choose most important documents from Paragraphs 37 - 63	3.60			
12/10/09	Organize and compile documents for KVT's direct deposition support	1.80			
			\$316.00 per hour x total hrs of	42.20	\$13,335.20
<b>Scott Sizemore</b>					
12/01/09	QC account groupings for groupID 5046	0.30			
12/01/09	QC account groupings for groupID 5045	0.30			
12/01/09	Investigate account grouping for group ID 5031. Track transfers between accounts to determine if accounts are linked financially.	2.00			

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12/01/09	Investigate account grouping for group ID 5031. Track transfers between accounts to determine if accounts are linked financially.	1.50
12/01/09	Investigate differences in Net Winner result based on query and transactional recalculation for group ID 1011.	1.50
12/01/09	Investigate differences in Net Winner result based on query and transactional recalculation for group ID 1011.	1.30
12/01/09	Write and test script to determine next 100 investors for KVT-6 by Net Winner calculation (without consideration of transfers to/from outside groups) from unreviewed investors.	1.00
12/01/09	QC account groupings for groupID 5047	0.30
12/02/09	QC account groupings for groupID 5075	0.30
12/02/09	QC account groupings for groupID 5057	0.30
12/02/09	QC account groupings for groupID 5050	0.30
12/02/09	Review new documentation and questions regarding group ID 1025. Investigate source of discrepancies between client Net Winner calculation and our calculation.	1.70
12/02/09	QC account groupings for groupID 5074	0.80
12/02/09	QC account groupings for groupID 5053	0.30
12/02/09	QC account groupings for groupID 5052	0.30
12/02/09	QC account groupings for groupID 5054	0.30
12/02/09	Review new documentation and questions regarding group ID 324. Investigate source of discrepancies between client Net Winner calculation and our calculation.	2.00
12/02/09	Review new documentation and questions regarding group ID 324. Investigate source of discrepancies between client Net Winner calculation and our calculation.	1.50
12/02/09	Call with B. Day regarding initial thoughts and results from group ID 324 investigation	0.20
12/02/09	Call with M. Wei to discuss details of investigation into group IDs 324 and 1025	0.20
12/02/09	QC account groupings for groupID 5056	0.30
12/02/09	QC account groupings for groupID 5057	0.50
12/02/09	QC account groupings for groupID 5058	0.50
12/02/09	QC account groupings for groupID 5059	0.30
12/02/09	QC account groupings for groupID 5072	0.30
12/02/09	QC account groupings for groupID 5061	0.30
12/02/09	QC account groupings for groupID 5048	0.30
12/02/09	QC account groupings for groupID 5049	0.30
12/02/09	QC account groupings for groupID 5071	0.30
12/02/09	QC account groupings for groupID 5070	0.50
12/02/09	QC account groupings for groupID 5055	0.30
12/03/09	Call to B. Day regarding results from investigations for group IDs 324 and 1025	0.10
12/03/09	QC account groupings for groupID 5082	0.30
12/03/09	QC account groupings for groupID 5120	0.50
12/03/09	Review potential one-sided transactions for group ID 5032. Provide explanation of correct tagging procedures and return group ID to staff for corrections.	0.50
12/03/09	Answer tagging question for group ID 5119	0.20
12/03/09	QC group ID 3367 and look for transaction tagging or calculation issues	1.50
12/03/09	QC Employee tables and rerun proceeds queries	0.80
12/03/09	QC tagging of group ID 5024	0.80
12/03/09	Call with B. Day regarding investigations of group ID 324 and 1025	0.20
12/03/09	QC tagging on group ID 5006	0.80

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12/03/09	QC account grouping for group ID 5115	0.50
12/03/09	Call with P. Beeman regarding Employee tables	0.10
12/03/09	QC account groupings for groupID 5079	0.30
12/03/09	Load query input tables for Employee proceeds query into SQL. Run Employee proceeds query and QC results.	0.80
12/03/09	QC account groupings for groupID 5119	0.50
12/03/09	Call with P. Beeman regarding rerun of Employee Proceeds query.	0.10
12/03/09	Second call to B. Day regarding results from investigations for group IDs 324 and 1025	0.10
12/03/09	QC group ID 5004 for missing transactions and potential one-sided transfers.	0.30
12/03/09	QC group ID 5020 for missing transactions and potential one-sided transfers	0.50
12/03/09	QC group ID 5032 for missing transactions and potential one-sided transfers.	0.80
12/03/09	QC account groupings for groupID 5076	0.30
12/03/09	QC account groupings for groupID 5077	0.30
12/03/09	QC account groupings for groupID 5089	0.50
12/03/09	QC account groupings for groupID 5084	0.30
12/03/09	QC tagging of group ID 5082	0.50
12/04/09	Rerun Employee proceeds queries	0.80
12/04/09	Troubleshoot Employee proceeds query for group 3367 and overflow error.	2.00
12/04/09	Analyze newly restored database for data gap information	0.30
12/04/09	Review accounts for group IDs 13 and 536 to determine if newly found compensation is already represented in proceed calculations.	1.00
12/04/09	Call with P. Beeman regarding loading of database from EEC group.	0.20
12/04/09	QC proceeds amount for group ID 3315	1.50
12/04/09	QC proceeds out and total deposits for Employee results	1.00
12/04/09	Review transactions for group ID 5110 to determine tagging methodology for staff	1.00
12/04/09	Reconcile query results and transactional recalculation for Net Winner / Loser data on group 1007	1.30
12/04/09	QC one-sided transfers for group 5043	0.30
12/07/09	Review table of Swift codes to determine information available.	0.30
12/07/09	Rerun Net Winner / Loser queries to confirm QC changes were effective.	0.80
12/07/09	Reconcile results for group ID 2031	0.30
12/07/09	Reconcile results fro group ID 308a	0.30
12/07/09	Reconcile results for group ID 2031	0.50
12/07/09	Investigate issues with group ID 308 and 308a proceed results. Fix issue and rerun analyses.	1.50
12/07/09	QC transactions without an exchange rate lookup value.	0.50
12/07/09	Review additional material submitted by investor against db info for group ID 359	1.00
12/07/09	Respond to questions from Baker Botts and counsel for group ID 359	1.00
12/07/09	QC proceeds for group id 3998	0.30
12/07/09	Reconcile group 1011 results for proceeds	2.00
12/08/09	Investigate results of Invergroup document search	0.30
12/08/09	QC tagging for group ID 5032	1.10
12/08/09	Search Account Details New table for Swift code instances	1.00
12/08/09	QC potentially missing transactions for group ID 5125	0.30
12/08/09	QC Employee Loan model	0.90
12/08/09	QC tagging for group ID 5024	0.30

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12/08/09	Research and answer questions regarding group ID 5128	0.50
12/08/09	QC tagging for group ID 5030	0.60
12/08/09	QC tagging for group ID 5034	0.60
12/08/09	QC tagging for group ID 5031	1.80
12/08/09	Write query to investigate instances of Swift codes in a database field	0.30
12/08/09	QC potentially missing transactions for group ID 5053	0.30
12/08/09	QC tagging for group ID 5033	1.00
12/08/09	Resolve issues with restored database.	0.50
12/08/09	QC tagging for group ID 5033	1.00
12/09/09	Call with P. Beeman regarding QC of duplicates	0.10
12/09/09	QC transactions for groupID 5119	1.80
12/09/09	Investigate specific issues on group ID 5136	0.30
12/09/09	Investigate specific issues on group ID 5137	0.30
12/09/09	Qc tagging for group ID 5034	0.50
12/09/09	Research issues identified by Receiver, document findings and respond. Consolidate results from team.	1.00
12/09/09	Research issues identified by Receiver, document findings and respond. Consolidate results from team.	2.00
12/09/09	Research issues identified by Receiver, document findings and respond. Consolidate results from team.	2.00
12/09/09	Read questions on variety of groups from Receiver. Identify team to address questions, set out assignments and examples. Research issues.	1.80
12/09/09	Call with P. Beeman regarding requests from Receiver	0.20
12/09/09	Call with P. Beeman regarding tables for proceed queries	0.10
12/09/09	QC transactions for groupID 5128	1.50
12/09/09	QC transactions for groupID 5128	2.00
12/09/09	QC tagging for group ID 5079	2.00
12/09/09	QC transactions for group ID 5117	2.00
12/10/09	QC outside transfers for group ID 5128	0.30
12/10/09	Rerun Net Winner / Loser queries and confirm that QC changes flow through to output	1.00
12/10/09	Resolve QC issues for group ID 5136	1.50
12/10/09	Attempt to match code on Lusky documentation to relevant field or value in database	1.50
12/10/09	QC tagging and issues on group ID 5136	0.80
12/10/09	Call with P. Beeman and J. Kluka to discuss status of KVT6-3 QC	0.20
12/10/09	QC Net Winner results for group ID 5068	1.00
12/10/09	QC Net Winner results for group ID 5004	1.00
12/10/09	Call with P. Beeman and J. Kluka regarding additional KVT6-3 QC	0.20
12/10/09	Identify KVT6-3 groups with QC issues and send to team to research.	0.20
12/10/09	Call with P. Beeman regarding status	0.10
12/10/09	Summarize connection between groups 5128 and 5005	0.50
12/10/09	Make initial corrections to Net Winner results and rerun and fix scripts	1.00
12/10/09	Update global Net Winner QC scripts to include KVT6-3 groupings and results. Run scripts and consolidate results	1.50
12/10/09	Call with P. Beeman regarding conference call results and KVT6-3 next steps	0.20
12/10/09	Conference call regarding response to Receiver's questions with B. Day, K. Van Tassel, P. Beeman, M. Russell, D. Arlington and M. Wei	0.30
12/10/09	Respond to questions regarding Receiver's queries	0.20
12/10/09	Call with P. Beeman regarding KVT6-3 Net winner results	0.10

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12/10/09	Update Net Winner scripts to add KVT6-3 groups. QC scripts and run original proceed results.	1.50
12/10/09	Research potential 1-sided transfers for group ID 5044. Create inferred transactions needed for valid population.	1.00
12/10/09	Review additional Lusky documents and respond to questions	1.00
12/11/09	Search for Swift codes in restored May database	2.00
12/11/09	QC KVT6-3 Net Winners / Losers schedule	0.50
12/11/09	Search for Swift codes in restored May database	1.30
12/11/09	Call with P. Beeman to discuss status and next steps	0.10
12/11/09	Call with P. Beeman regarding KVT6-3 schedule	0.10
12/11/09	Research provided documents for group 1006. Determine impact on Net Winner / Loser calculation	1.50
12/11/09	Search for Swift codes in restored May database	1.00
12/11/09	Update Sharepoint with QC results	0.50
12/11/09	QC tagging and logic for group 5136	0.50
12/11/09	Search for Swift codes in restored May database	1.10
12/11/09	Review provided information for group ID 1025 and impact on Net Winner / Loser calculation	1.50
12/11/09	Investigate group ID 2023 provided information	0.30
12/11/09	Call with F. Fram regarding historical brokerage transactions for group ID 2023	0.10
12/14/09	QC grouping of group ID 6096	0.50
12/14/09	QC grouping of group ID 6035	1.30
12/14/09	QC grouping of group ID 6034	0.50
12/14/09	QC tagging on group ID 6057 transactions	0.50
12/14/09	Review group ID 5045 transactions	0.40
12/14/09	Status call with P. Beeman	0.10
12/14/09	QC tagging on group ID 6000 transactions	1.00
12/14/09	Provide guidance to staff on group ID 6059 tagging	0.30
12/14/09	Call with P. Beeman regarding group ID 546	0.20
12/14/09	Research withdrawals and account information on group 506 research for Baker Botts question	0.50
12/14/09	Search Montreal database for Swift codes	2.00
12/14/09	Search Montreal database for Swift codes	2.00
12/14/09	Review information provided by Lusky through Baker Botts	0.30
12/14/09	Provide guidance to staff on group ID 6057	0.30
12/14/09	QC grouping of group ID 6095	0.30
12/15/09	Status call with P. Beeman	0.10
12/15/09	QC grouping of group ID 6035	0.30
12/15/09	QC grouping of group ID 6097	0.30
12/15/09	Research and answer group ID 6011 QC questions	0.80
12/15/09	QC tagging of group ID 6006. Identify grouping issues and return to staff for review.	1.50
12/15/09	Investigate submitted documentation for group ID 4008 to determine if current net winner calculation is impacted	0.50
12/15/09	QC potential one-sided transfers for group ID 6078. Restore inferred transactions.	0.50
12/15/09	QC potential one-sided transfers for group ID 6072. Restore inferred transactions.	0.50
12/15/09	QC potential one-sided transfers for group ID 6071. Restore inferred transactions.	0.30
12/15/09	QC tagging of group ID 6001	1.80
12/15/09	QC tagging of group ID 6009 transactions. QC potential one-sided transfers for group ID 6009. Return to staff for further review.	0.80
12/15/09	QC grouping of group ID 6034	0.30
12/15/09	Review account grouping of group ID 6097	1.50

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12/15/09	QC account grouping of group ID 6078	0.30
12/15/09	Incorporate KVT6 additional information from investors in Net Winner queries. Rerun queries and test results.	1.30
12/15/09	Review transactions for group ID 6075. Provide guidance to staff.	0.30
12/16/09	QC account grouping for group ID 6006	0.50
12/16/09	QC account grouping for group 6009	0.80
12/16/09	QC account grouping and tagging for additional related accounts to group ID 1025	1.00
12/16/09	Research and answer question regarding account grouping for group ID 6041	0.10
12/16/09	Call with P. Beeman regarding issues with group ID 1025	0.10
12/16/09	QC tagging of group ID 6010 transactions. Identify issues and resolve.	1.60
12/16/09	Upload new accounts and transactions for group ID 1025 to tables for Net Winner query analysis. Rerun Net Winner queries	0.50
12/16/09	QC tagging for group ID 6050	1.00
12/16/09	QC results from group ID 1025. Diagnose differences between transactional results and query results. Return group to staff to fix issues.	1.80
12/17/09	Review group 6122 1-sided transfer transactions	0.50
12/17/09	Review account grouping for group ID 7105	0.80
12/17/09	Review group 6119 tagging	1.30
12/17/09	Review group 6055 tagging	1.30
12/17/09	Review group 6055 tagging	1.80
12/17/09	Review group 6049 tagging	1.30
12/17/09	Review group 6129 1-sided transfer transactions	0.30
12/17/09	Review transactions for group ID 6127 and provide tagging guidance to staff	0.50
12/17/09	Status call with K. Blake, P. Beeman	0.30
12/17/09	Call with P. Beeman regarding group ID 6085	0.10
12/17/09	Calculate transactional results for Net Winner to QC new data	1.00
12/17/09	Review new group ID 1025 Net Winner results after new information is incorporated. QC results	0.50
12/17/09	Review group 6118 1-sided transfer transactions	0.50
12/18/09	Review group 6009 tagging	0.80
12/18/09	Review group 7019 tagging	0.80
12/18/09	Review group 6116 tagging	0.50
12/18/09	Review group 6041 tagging	0.50
12/18/09	Review group 6119 tagging	2.00
12/18/09	Modify QC code to adjust for new KVT6-4 groups	0.50
12/18/09	Review group 7021 tagging	0.50
12/18/09	Review group 6009 tagging	1.80
12/18/09	Review group 6006 tagging	2.00
12/21/09	Call to J. Kluka to check on status of global Access QC queries of KVT6-4 groups	0.20
12/21/09	Review KVT6-4 transfers at a global level that have potential issues. Resolve issues and create needed inferred transactions.	1.50
12/21/09	Read and respond to attorney questions from Baker Botts regarding Anglo documentation	0.50
12/21/09	Review group ID 6020 transactions and relation of ILCD transactions. Restore inferred transactions.	2.00
12/21/09	Review group ID 6090 transactions and relation of ILCD transactions. Restore inferred transactions.	0.60
12/21/09	Review group ID 6017 transactions and relation of ILCD transactions. Restore inferred transactions.	0.60

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12/21/09	Review group ID 6083 transactions and relation of ILCD transactions. Restore inferred transactions.	0.70
12/21/09	Add restored KVT-6 transactions to KVT-6 sample data table for transactional QC recalculation. Use transactional QC recalculation to QC Net Winner results	1.30
12/21/09	Review group ID 6020 transactions and relation of ILCD transactions. Restore inferred transactions.	2.00
12/22/09	Review KVT6-4 transfers at a global level that have potential issues. Resolve issues and create needed inferred transactions.	2.00
12/22/09	Review KVT6-4 transfers at a global level that have potential issues. Resolve issues and create needed inferred transactions.	2.00
12/22/09	Review KVT6-4 transfers at a global level that have potential issues. Resolve issues and create needed inferred transactions.	2.00
12/22/09	Review 6120 transfers that have potential issues. Resolve issues and create needed inferred transactions.	2.00
12/22/09	Review 6125 transfers that have potential issues. Resolve issues and create needed inferred transactions.	0.80
12/23/09	QC tagging for group ID 7039. Identify issues and miscoding and research correct associated transactions.	0.50
12/23/09	Review transactions for group ID 7065. Identify 1-sided transfers and resolve.	0.80
12/23/09	QC KVT6-4 transfers globally and resolve 1-sided transfers, mismatches and miscoded reversals.	2.00
12/23/09	QC tagging for group ID 7039. Identify issues and miscoding and research correct associated transactions.	2.00
12/23/09	Review transactions for group ID 7064. Identify 1-sided transfers and resolve.	0.80
12/23/09	Identify and fix incorrectly flagged initial balances for KVT6-4	1.30
12/23/09	QC KVT6-4 transfers globally and resolve 1-sided transfers, mismatches and miscoded reversals.	2.00
12/24/09	QC transactions and potential inferred transactions for group ID 7039. Resolve issues.	2.00
12/24/09	QC transactions and potential inferred transactions for group ID 7052. Resolve issues.	0.80
12/24/09	QC transactions and potential inferred transactions for group ID 7045. Resolve issues.	2.00
12/24/09	QC transactions and potential inferred transactions for group ID 7076. Resolve issues.	2.00
12/24/09	QC transactions and potential inferred transactions for group ID 7062. Resolve issues.	1.80
12/24/09	QC transactions and potential inferred transactions for group ID 7039. Resolve issues.	1.50
12/28/09	QC tagging of group ID 7080. Identify issues and resolve.	1.20
12/28/09	QC tagging of group ID 7070. Identify issues and resolve.	0.50
12/28/09	Call with Y. Odunsi regarding tagging questions for group ID 7024	0.20
12/28/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	2.00
12/28/09	QC tagging of group ID 7079. Identify issues and resolve.	0.80
12/28/09	QC tagging of group ID 7077. Identify issues and resolve.	1.00
12/28/09	Review potential duplicates in KVT6-4 tagging	0.30
12/28/09	QC tagging of group ID 7048. Identify issues and resolve.	1.00
12/28/09	QC tagging of group ID 7083. Identify issues and resolve.	0.30
12/28/09	Load KVT6-4 transactions and supporting tables into Access sample tables. QC tables and load to SQL for running initial Net Winner / Loser results.	1.60

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12/28/09	Run queries for KVT6-4 Net Winner / Loser results	0.50
12/28/09	Call with T. Tabb, J. Robinson, K. Blake regarding migration of responsibilities of review, investigation and management of Montreal data and management of Blufinity completing restoration of files to Oracle.	0.50
12/28/09	QC tagging of group ID 7069. Identify issues and resolve.	0.30
12/29/09	Investigate potential inferred transactions for group ID 7100.	0.30
12/29/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	1.00
12/29/09	Diagnose tagging issues with KVT6-4 groups that caused Net Winner / Loser queries to hang. Resolve issues and rerun queries.	1.00
12/29/09	Investigate potential inferred transactions for group 7108. Complete inferred transactions	0.50
12/29/09	Investigate data only in Oracle or only in SQL and write up status report of findings.	1.00
12/29/09	Review tagging issues with group 6020 and resolve	0.50
12/29/09	Investigate potential inferred transactions for group ID 6020.	0.30
12/29/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	0.50
12/29/09	Call with M. Burkhart regarding status of file loading to Oracle	0.30
12/29/09	Call with K. Blake regarding questions on restored Oracle data	0.10
12/29/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	2.00
12/29/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	2.00
12/29/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast. Write up status email.	1.80
12/29/09	Review potential duplicates in group ID 7096 and resolve.	0.50
12/29/09	Investigate potential inferred transactions for group ID 7108.	0.30
12/30/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	0.50
12/30/09	Review potential duplicates for KVT6-4 and KVT6-5	0.50
12/30/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	1.00
12/30/09	Research and reply to Baker Botts questions regarding future of and proceeds of group ID 495	0.50
12/30/09	Review KVT6-4 and KVT6-5 global duplicate resolution results and proposed fixes	1.80
12/30/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	1.00
12/30/09	Run global transactional recalculation of Net Winner / Loser values for KVT6-4 and compare to query results. Investigate issues and resolve	1.50
12/30/09	Research and reply to Baker Botts questions regarding future of and proceeds of group ID 559	0.50

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## *Invoice Activity*

12/30/09	Review restored Oracle files and investigate data for tables with largest number of rows. Attempt to find similar information in available SQL tables and compare and contrast.	2.00	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6020. Resolve issues.	0.50	
12/31/09	Rerun Net Winner / Loser calculations after incorporating QC changes.	0.80	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6125. Resolve issues.	0.30	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6119. Resolve issues.	0.30	
12/31/09	Review KVT6-4 Net Winner / Loser results and compare against recalculated values.	0.80	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6009. Resolve issues.	1.50	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6017. Resolve issues.	1.00	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6040. Resolve issues.	1.50	
12/31/09	Call with M. Burkhart regarding status of Montreal data migration and scheduling a conference call with the contractor.	0.20	
12/31/09	Investigate questions on group 495 from Baker Botts and the investor's representative	1.40	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6053. Resolve issues.	0.50	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6108. Resolve issues.	0.30	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6097. Resolve issues.	0.30	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6090. Resolve issues.	0.50	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6053. Resolve issues.	0.50	
12/31/09	Conference call with M. Burkhart and P. Falson regarding issues with Montreal data and now to address them.	0.30	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6083. Resolve issues.	0.30	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6072. Resolve issues.	0.30	
12/31/09	QC Net Winner / Loser calculation versus transactional recalculations for group ID 6071. Resolve issues.	0.30	
	<b>\$312.00 per hour x total hrs of</b>	<b>231.20</b>	<b>\$72,134.40</b>

### **Michael Burkhart**

12/01/09	Communications with Tony Tabb et.al. regarding new T24 Ebony media load issues;	1.50
12/02/09	Communications with Dan Roffman et. al. including WebEx session to review Ebony Montreal media content and related project requirements;	0.80
12/04/09	Communications with Josh Robinson and BlueFinity regarding T24 Montreal migration project including request for consulting;	1.00
12/07/09	Communications with Josh Robinson and BlueFinity, Glenda Cole regarding T24 Montreal migration project including request for consulting, and working arrangements	1.00
12/09/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.50

12/10/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.00	
12/11/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.50	
12/14/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.50	
12/15/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.50	
12/16/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.50	
12/17/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	2.00	
12/18/09	Review and analysis of data acquired from Montreal office of SIB and coordination of work with outside vendor	3.00	
12/21/09	Follow-up with Josh Robinson regarding JBase migration status including schema detailed summary;	1.00	
12/29/09	Communications with Peter Falson of BlueFinity regarding Webex connection, Status of T24 Montreal data and related schema analysis; follow-up with Scott Sizemore regarding details;	3.00	
12/31/09	Multiple communications with Peter Falson of BlueFinity regarding Webex connection, Status of T24 Montreal data and related schema analysis; run schema table stats and related reporting; follow-up with Scott Sizemore regarding details;	4.00	
	\$300.00 per hour x total hrs of	31.80	\$9,540.00

**Mark Russell**

12/01/09	Review of the source documents gathered related to the loan process for production.	2.30	
12/01/09	Review of the source documents related to the FSRC reporting for production.	1.90	
12/01/09	Review of the source documents gathered in relation to the Redemption process for production.	2.10	
12/01/09	Review of the source documents related to Harry Failings role in the Stanford Financial Group companies.	2.00	
12/02/09	Review and indexing of the supporting source documents provided to Baker Botts in the production.	2.30	
12/02/09	Continued review and indexing of the supporting source documents provided to Baker Botts for production.	2.70	
12/03/09	Review of specific transactions types encountered in the tagging of the additional 100 names for KVT-6 to determine their proper tagging treatment.	1.10	
12/03/09	Quality review of the additional information provided by Stanford HR for former Stanford employee addresses, office locations, start dates, term dates, and assistants.	2.70	
12/03/09	Review of listing of Karyl Van Tassel affidavits provided by BB for completeness.	1.30	
12/03/09	Quality review of the tagging of the Additional Employee proceeds for group ID 3332.	1.40	
12/03/09	Identification of Karyl Van Tassel affidavits to provide Baker Botts that were not included on listing.	1.20	
12/04/09	Proceed reasonableness test on Group ID 3327.	0.40	
12/04/09	Proceed reasonableness test on Group ID 3353.	0.30	
12/04/09	Proceed reasonableness test on Group ID 3372.	0.30	
12/04/09	Proceed reasonableness test on Group ID 3370.	0.60	
12/04/09	Proceed reasonableness test on Group ID 3365.	0.30	
12/04/09	Proceed reasonableness test on Group ID 3356.	0.40	

12/04/09	Proceed reasonableness test on Group ID 3367.	0.40
12/04/09	Proceed reasonableness test on Group ID 3368.	0.30
12/04/09	Proceed reasonableness test on Group ID 3371.	0.50
12/07/09	Detailed review of the Chapter 15 affidavit support; paragraphs 1 - 5.	2.70
12/07/09	Detailed review of the Chapter 15 affidavit support; paragraphs 16 - 20.	2.80
12/07/09	Detailed review of the Chapter 15 affidavit support; paragraphs 11 - 15.	2.90
12/07/09	Detailed review of the Chapter 15 affidavit support; paragraphs 21-23.	2.20
12/07/09	Detailed review of the Chapter 15 affidavit support; paragraphs 6 - 10.	2.40
12/08/09	Detailed review of the Chapter 15 affidavit support; paragraphs 46-50.	2.90
12/08/09	Detailed review of the Chapter 15 affidavit support; paragraphs 36-40	2.70
12/08/09	Detailed review of the Chapter 15 affidavit support; paragraphs 24-30.	2.40
12/08/09	Detailed review of the Chapter 15 affidavit support; paragraphs 41-45.	2.60
12/08/09	Detailed review of the Chapter 15 affidavit support; paragraphs 31-35	2.70
12/09/09	Detailed review of the Chapter 15 affidavit support; paragraphs 51-55.	1.90
12/09/09	Categorization of supporting documents for the Chapter 15 affidavit by their Comi category to determine which documents would be provided to Sue Ayers.	3.20
12/09/09	Detailed review of the Net Winners/Losers calculations related to specific accounts that the Examiner, Mr. Little had questions for.	3.80
12/09/09	Detailed review of additional documents provided during the Chapter 15 production to determine which section of the Chapter affidavit they most closely related to.	2.10
12/09/09	Detailed review of the Chapter 15 affidavit support; paragraphs 56-60	2.30
12/09/09	Detailed review of the Chapter 15 affidavit support; paragraphs 61-65	1.70
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.80
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.80
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.80
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	2.00
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.70
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	2.10

12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.90	
12/10/09	Documentation and summary of documents provided to Sue Ayers for the Comi Category <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	1.70	
12/14/09	Addition of additional work product related to the US control of SIBL investments to the Chapter 15 affidavit support binder.	3.20	
12/14/09	Review and update of the supporting workproduct contained in the affidavit binder for the Chapter 15 declaration.	3.10	
12/15/09	Addition of work product and supporting schedules related to <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	3.30	
12/15/09	Detailed review of the Chapter 15 affidavit support binder to insure that the cross references and internal paragraph references were accurate and complete.	1.80	
12/15/09	Review and update of Chapter 15 affidavit support	1.90	
12/16/09	Review of the draft brief prepared by Baker Botts.	1.10	
12/16/09	Finalization of the support binder for the chapter 15 affidavit.	2.30	
12/16/09	Research of cites included in the brief for supporting documents and appropriate Van Tassel declaration sites for the footnotes.	2.10	
12/17/09	Compilation of the analysis and supporting schedules related to <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for production to Baker Botts.	2.70	
12/17/09	Analysis of the database received that potentially linked brokers to specific CD accounts.	3.20	
12/17/09	Compilation of the analysis and supporting schedules related to <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for production to Baker Botts.	2.80	
12/18/09	Uploading of the Chapter 15 production documents to the sharepoint server.	3.40	
12/18/09	Comparison of the database that potentially shows Brokers linked to specific CDs to statements made in previous affidavits.	1.70	
<b>\$288.00 per hour x total hrs of</b>		<b>113.20</b>	<b>\$32,601.60</b>

**Patrick Beeman**

12/01/09	Net Winners/Losers Schedule (Related Account Groupings QC - Group Numbers 5001, 5002, 5003, 5004, 5006, 5008, 5010, and 5011)	1.70
12/01/09	Net Winners/Losers Schedule (Related Account Groupings QC - Group Numbers 5012, 5014, 5015, 5017, 5019, 5020, 5022 and 5023)	1.40
12/01/09	New KVT-6 Investors by NetWinner Calculation (Access Database)	1.10
12/01/09	KVT6-3 SIB Proceeds Analysis Database & SharePoint List (NetWinners & Losers Proceeds Grouping & Tagging for Reporting)	3.10
12/02/09	Net Winners/Losers Next 100 Groupings & Tagging QC (SharePoint Updates and Tagging Review)	0.80
12/02/09	Updated Duplicate GroupID (Groups 5001, 5018, 5029, 5049, 5055, 5083, 5097, 5102 and 5116) to combine, split, remove or ungroup accounts.	2.40
12/03/09	Additional Employee Loans (Net Winners & Losers)	1.10
12/03/09	Group 5032 Tagging QC & Dummy Transactions	0.80
12/03/09	Tagging QC & Transaction QC Standardization (Initial Balances Review)	2.10

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12/03/09	Employee Proceed Query (Updated Additional Employee GroupIDs to SQL Tables)	1.10
12/03/09	New Account Groupings (Added to SharePoint list & Updated Database)	2.30
12/04/09	Montreal Data Review (Metadata Search on name field, a fileext field, a fullpath field, and a logicalsize (in bytes) field)	2.10
12/04/09	Montreal Data Review (SIBStmT24 MAYRERUN.MDF & SIBStmT24 MAYRERUN 1.LDF)	2.20
12/04/09	Returned Investor Letters Address Search (Access and SQL Searches)	1.90
12/07/09	Montreal File Review (Review files for missing data)	1.70
12/07/09	Updated Duplicate GroupID (Group 5141) to combine, split, remove or ungroup accounts.	0.50
12/07/09	JBase License Key Registration for Montreal file review	0.40
12/07/09	Account detail pull for non-grouped accounts(Accounts 121834, 128901 and 122438)	0.40
12/07/09	Supporting Docs Error (Supporting Docs folder at <a href="http://webmail.stanfordfinancialreceivership.com">http://webmail.stanfordfinancialreceivership.com</a> using SquirrelMail)	1.30
12/07/09	KVT6-4 SIB Proceeds Analysis (Database for Account Groupings & Taggings and SharePoint list)	1.70
12/07/09	Net Winners/Losers Schedule - Tagging QC (5093 Tagging and 5094 Tagging)	0.80
12/07/09	New Employee Proceeds Results (Logic Check QC)	0.80
12/07/09	Net Winners/Losers Schedule - Tagging QC (5075 Tagging, 5076 Tagging and 5077 Tagging)	1.30
12/08/09	Net Winners/Losers Schedule - Tagging QC (5133 Tagging, 5140 Tagging and 5141 Tagging)	1.70
12/08/09	Net Winners/Losers Schedule - Tagging QC (5106 Tagging and 5107 Tagging)	1.40
12/08/09	Net Winners/Losers Schedule - Tagging QC (5135 Tagging and 5029 Tagging)	1.10
12/08/09	Net Winners/Losers Schedule - Tagging QC (5104 Tagging and 5105 Tagging)	1.30
12/08/09	Montreal Database Search (Renamed Database Ebony SIBStmT24 MAYRERUN)	0.40
12/08/09	Net Winners/Losers Schedule - Tagging QC (5108 Tagging, 5109 Tagging and 5110 Tagging)	2.10
12/08/09	Status Call - Update Call (Updated Group on Net Winners/Losers Schedule Progress & Montreal Update)	0.90
12/09/09	Net Winners/Losers Schedule (Global Grouping QC - Ignore, Interest Earned, Balance Inquiry)	1.90
12/09/09	DST System - Review of current captured data (system inventory)	0.40
12/09/09	KVT6-3 Net Winners 1-sided transfers (Global QC Script & Additional 1-sided transaction updates)	2.10
12/09/09	Complaint & Appendix - Stanford Examiner Questions on 27 Investors UFTA Claims Math Issues 12-09-09	2.10
12/09/09	Complaint & Appendix - Review of Stanford Examiner Questions on 27 Investors UFTA Claims Math Issues 12-09-09 (Group Analysis)	1.90
12/09/09	Net Winners/Losers Schedule (Global Grouping QC - Initial Balance, Transfer, Duplicates)	2.30
12/10/09	Net Winners - FTI GroupID 5105 QC	1.70
12/10/09	Transactional QC -15 Groups Reviewed (5004, 5020, 5043, 5044, 5053, 5066, 5068, 5079, 5105, 5106, 5115, 5119, 5136 and 5137)	1.80

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12/10/09	Complaint & Appendix - One-sided Transfers Discussion w/ Baker Botts for Examiner Questions	1.60
12/10/09	Net Winners Proceeds Output QC - Script Query QC (Logic Check)	1.90
12/10/09	Net Winners Proceeds Output QC - 1) 5066 - tagging updated to Balance Inquiry (PrimaryKey 4688174) and 2) 5106 - tagging updated to Balance Inquiry ( PrimaryKeys 4598667 & 4598670)	1.80
12/11/09	Proceeds Results - Net Winner / Loser output (Version 7)	1.10
12/11/09	Montreal Data - VHD files of interest (SWIFT-CANBACKUP.vhd)	1.70
12/11/09	Account Grouping QC Checks - Script to Include Continued (additional name attached and duplicate accounts)	1.10
12/11/09	Account Grouping QC Checks - Script to Include ( [REDACTED] /DataPro accounts, name and address checks and business address QC)	2.40
12/11/09	KVT6 Next 100 Schedule - Internal QC and Adjustments (Review before sending to BB)	1.90
12/14/09	KVT6-5 SharePoint List, Backend Database for Account Grouping and Tagging and Account Grouping ID (to select groups and update database)	2.40
12/14/09	Address Request QC - Addresses for All Net Winner Investors (Requested by Baker Botts)	1.60
12/14/09	Yair Shamir Lawsuit - Deposit & Penalty Review (Requested by Baker Botts)	0.90
12/14/09	Related Account Grouping - Groups 6033 and 6109	1.40
12/14/09	Montreal Update - Status Update w/ Tony Tabb & Kevin Blake (Data Review)	1.20
12/14/09	Group 5031 - Account Groupings / Group 6034 Groupings	0.60
12/14/09	Backend Account Updates - Edits to group ID 6035	0.70
12/15/09	Group ID 6009 - Account Grouping Review	0.60
12/15/09	Checklist for Account Groupings - First Level Review before QC	1.50
12/15/09	Group ID 6006 - Account Grouping Review	0.60
12/15/09	CLAUDIO ENRIQUE FERNANDEZ VILLALOBOS - Additional Information Entered & QCed (Loaded to SQL)	1.30
12/15/09	Group 5031 - Account Groupings / Group 6034 Groupings (Updated Account Grouping)	0.40
12/15/09	Review of transaction activity (Group 6011) where description lists "PROCEEDS FROM CLOSED C/C"	0.60
12/15/09	Tagging QC Points - QC Checklist for First Level Reviewers	1.40
12/15/09	Group ID 6097 - Account Grouping Updates	0.60
12/16/09	Account Grouping QC - Updated Groupings Listed as 'N' on SharePoint	0.70
12/16/09	Tagging QC - Groups 6090 & 6098 (Review of Tagging on Transaction Activity)	1.60
12/16/09	Cascar Trust Group Linking - Additional Accounts to Group (Address Review & Additional Names/Accounts to Grouping)	1.10
12/16/09	Group 6083 - Tagging Review and SharePoint Update	0.40
12/16/09	Electronic Data for Former Stanford Employees - Broker Review w/ Josh Robinson	0.70
12/16/09	Account Grouping Update (Group #6009) and QC	0.60
12/16/09	Group 1025 - Additional accounts for 1025 (Arampo Trust & HGSL)	2.10
12/16/09	Account Grouping Address Review and QC - Names in Address Fields	0.80
12/16/09	Tagging QC - Group 6053 (Ignores and Transfers)	0.90
12/17/09	Group 6085 - Tagging Review	0.60

## Invoice Activity

12/17/09	Tagging Review - Group 6116	0.60	
12/17/09	Tagging Review - Tagged Ignores SQL Script Review	0.90	
12/17/09	Tagging Question - Group 6127 (Tagging QC Review)	0.60	
12/17/09	Cascar Trust Group Linking - Account Grouping Review (Address Linking & Grouping to Baker Botts)	1.20	
12/17/09	QC Tagging Script - Review SQL Code	0.60	
12/17/09	Tagging & Account Grouping Process - Net Winner & Loser KVT6-5 List	0.60	
12/17/09	Global QC Net Winners & Losers (KVT6-4 List) - Review with John Kluka & Scott Sizemore	1.30	
12/17/09	Tagging Question - Group 6117 (Tagging QC Review)	0.60	
12/17/09	One-sided Transfers Tables - Script for Global QC (Net Winners & Losers KVT6-4)	1.10	
	\$280.00 per hour x total hrs of	102.40	\$28,672.00

### Runa Dey

12/02/09	Copy tiff images, print to PDF, rename the PDF files per B. Kim.	2.00	
	\$280.00 per hour x total hrs of	2.00	\$560.00

### Daniel Palmer

12/01/09	Correspondence with J. Day (FTI) regarding discrepancy in interest payment due on Casuarina loan.	1.20	
12/01/09	Amend AP list to include additional invoices provided by Stanford Management.	2.50	
12/01/09	Correspondence with J. Day (FTI) re: escrow wire release.	0.20	
12/01/09	Review and input wire for release of escrow funds to client.	0.50	
12/01/09	Review AP checks for completeness and accuracy.	1.20	
12/01/09	Meeting with J. Day (FTI) and Stanford Management re: receivership updates.	1.00	
12/01/09	Input and review additional AP wires for 12/2 release.	0.80	
12/01/09	Review of 12/1 cash report outlining prior days cash activity.	0.80	
12/01/09	Call with S. Pastrana (Stanford) re: cash report issues	0.40	
12/02/09	Correspondence with H. Duskin (Stanford) re: client wire information and escrow release.	0.80	
12/02/09	Review current day's wires for confirmation of release.	0.80	
12/02/09	Review and input additional emergency wire request per B. Stuts (Baker Bots)	0.60	
12/02/09	Input wire for release of escrow funds to client.	0.50	
12/02/09	Correspondence with G. Santin (Mellon Bank) re: payment of interest on Casuarina loan	0.60	
12/02/09	Update cash report file to include category for release of funds held in escrow in order to distinguish from daily A/P	1.20	
12/02/09	Update master critical payment list for weekly AP activity and escrow wires and distribute to Stanford Management	1.50	
12/02/09	Develop and review 12/2 cash report outlining prior days cash activity	2.00	
12/03/09	Amend and review 12/3 cash report outlining prior day's cash activity including current week's AP disbursements.	1.80	
12/03/09	Review claims database against outstanding AP to confirm existence of vendor claims per J. Day (FTI)	1.80	
12/04/09	Calls with S. Pastrana (Stanford) re: daily cash activity and questions re: application of interest to cash reports.	0.70	
12/04/09	Monitor JPMorgan website for receipt of two incoming wires relating to settlements	1.60	

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12/04/09	Develop and review 12.4 cash report outlining prior days cash activity.	2.00
12/07/09	Develop worksheet outlining wires to be disbursed on 12-9	0.50
12/07/09	Monitor JPMorgan website for incoming wires per Baker Botts	0.30
12/07/09	Input and review wires related to release of escrow funds to clients	0.50
12/07/09	Correspondence with J. Day (FTI) re: Miami-Dade taxes.	0.40
12/07/09	Review invoices to be paid for week ending 12/11	2.50
12/07/09	Research vendor claim information per J. Day (FTI)	0.60
12/07/09	Meeting with M. Bader (Stanford) re: unpaid invoices	0.60
12/07/09	Prepare 12-7 cash report outlining previous days' cash activity	1.40
12/07/09	Develop worksheet outlining details of 12-9 AP disbursements	1.80
12/08/09	Amend AP list to include additional invoices provided by Stanford Management.	2.50
12/08/09	Correspondence with J. Day (FTI) re: settlement of claim by former employee	0.70
12/08/09	Prepare 12/8 cash report outlining prior days cash activity.	1.50
12/08/09	Review AP checks for completeness and accuracy.	1.30
12/08/09	Meeting with J. Day (FTI) and Stanford Management re: receivership updates.	0.80
12/08/09	Input and review AP wires for 12/9 release.	1.60
12/08/09	Correspondence with J. Day (FTI) regarding receipt of settlement wires from former clients	0.70
12/09/09	Review current day's wires for confirmation of release and relay wire confirm numbers to Stanford Management.	1.10
12/09/09	Develop and review 12/9 cash report outlining prior days cash activity	2.20
12/09/09	Update master critical payment list for weekly AP activity and escrow wires and distribute to Stanford Management	1.80
12/09/09	Correspondence with F. Fram re: wire transfer information for receipt of Pershing funds.	0.30
12/09/09	Review JPMorgan daily activity for receipt of large balance from Pershing	0.70
12/09/09	Update vendor claims database to reflect contact name and phone number in order to start contacting claimants with irreconcilable claims	1.20
12/09/09	Update cash report file to include category for release of funds held in escrow in order to distinguish from daily A/P	0.90
12/10/09	Update vendor claims database	1.80
12/10/09	Amend cash report to reflect receipt of settlements from former clients	1.30
12/10/09	Prepare 12/10 cash report outlining prior day's cash activity including current week's AP disbursements.	1.80
12/10/09	Monitor JPMorgan website for receipt of Pershing funds per FITS.	0.40
12/10/09	Correspondence with H Duskin (Stanford) re: disbursement of escrow funds to client.	0.30
12/11/09	Correspondence with O. Sanchez (Stanford) re: payment of security to St. Croix firm.	0.60
12/11/09	Prepare 12/11 cash report outlining week's activity	2.20
12/14/09	Prepare and review 12-14 cash report outlining previous days' cash activity	1.50
12/14/09	Develop worksheet outlining details of 12-16 AP disbursements	2.50
12/14/09	Review invoices to be paid for week ending 12/18	2.50
12/14/09	Input and review wires related to release of escrow funds to clients	0.70
12/14/09	Update vendor claims database	1.20
12/14/09	Correspondence with S. Lindstrom re: weekly AP run.	0.80
12/14/09	Meeting with M. Bader (Stanford) re: invoices to be paid on 12/16.	1.00

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12/15/09	Input and review A/P wires to be disbursed on 12-16	1.80
12/15/09	Prepare 12/15 cash report outlining prior days cash activity.	1.90
12/15/09	Review AP checks for completeness and accuracy.	1.00
12/15/09	Track receipt of settlements from former clients in cash reports.	0.80
12/15/09	Amend AP list to include additional invoices provided by Stanford Management.	2.50
12/15/09	Meeting with J. Day (FTI) and Stanford Management re: receivership updates.	0.80
12/15/09	Correspondence with J. Day (FTI) regarding receipt of settlement wires from former clients	0.60
12/16/09	Review current day's wires for confirmation of release and relay wire confirm numbers to Stanford Management.	0.80
12/16/09	Prepare and provide bank statements to W. Perez (Stanford) for accounting purposes.	0.90
12/16/09	Develop and review 12/16 cash report outlining prior days cash activity	2.20
12/16/09	Correspondence with J. Day (FTI) regarding receipt of settlement wires from former clients	0.70
12/16/09	Update cash report file for release of funds held in escrow.	1.10
12/16/09	Correspondence with H. Duskin (Stanford) to confirm outgoing wire information related to client settlement.	0.70
12/16/09	Update master critical payment list for weekly AP activity and escrow wires and distribute to Stanford Management	1.70
12/17/09	Amend cash report to reflect receipt of settlements from former clients	1.30
12/17/09	Correspondence with H Duskin (Stanford) re: disbursement of escrow funds to client.	0.30
12/17/09	Monitor JPMorgan website for receipt of settlement funds per Baker Botts.	0.80
12/17/09	Prepare 12/17 cash report outlining prior day's cash activity including current week's AP disbursements.	2.30
12/17/09	Correspondence with J. Day (FTI) and O. Sanchez (Stanford) re: fees associated with Security payment.	0.70
12/17/09	Meeting with M. Bader (Stanford) re: contacting of vendors to reconcile claims	2.00
12/18/09	Prepare and review 12/18 Cash report outlining prior day's cash activity.	2.20
12/18/09	Monitor JPMorgan website for receipt of number of settlement wires per Baker Botts.	0.60
12/18/09	Correspondence with J. Day (FTI) re: receipt of settlement wires and other cash receipts.	0.70
12/21/09	Correspondence with M. Bader (Stanford) re: invoices to be paid on 12/22.	0.90
12/21/09	Review invoices to be paid for week ending 12/25	2.50
12/21/09	Prepare 12-21 cash report outlining previous days' cash activity	1.40
12/21/09	Input A/P wires to be disbursed on 12-22	0.90
12/21/09	Develop worksheet outlining details of 12-22 AP disbursements	2.50
12/22/09	Prepare summary of settlement payments received to date for use by FITS and Baker Botts.	1.50
12/22/09	Prepare and review 12/22 cash report outlining prior day's cash activity.	1.60
12/23/09	Correspondence with M. Bader re: Disbursements to go out on 12/23/09.	1.50
12/28/09	Review invoices to be paid for week ending 1/1	2.50
12/28/09	Correspondence with M. Bader (Stanford) re: invoices to be paid on 12/29.	0.80

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## Invoice Activity

12/28/09	Develop worksheet outlining details of 12-29 AP disbursements	2.50	
12/28/09	Prepare 12-28 cash report outlining previous days' cash activity	1.20	
12/28/09	Prepare and review escrow wires for 4 clients, including incoming settlement wire	0.90	
12/28/09	Input A/P wires to be disbursed on 12-29	0.70	
12/29/09	Prepare and review 12/29 cash report outlining prior day's cash activity.	1.50	
12/29/09	Prepare check package to be sent to J. Day (FTI) in Houston for tax payments.	0.50	
12/30/09	Calls with M. Bader (Stanford) re: 12/30 AP disbursements.	0.60	
	\$268.00 per hour x total hrs of	121.40	\$32,535.20

### Anna Campbell

12/15/09	Prepared delivery to native file processing of email that belongs to Christopher Aitken and Stephen Thacker.	0.50	
	\$264.00 per hour x total hrs of	0.50	\$132.00

### Hairong Qu

12/01/09	Recording and logging of R. Allen Stanford related bank statements	2.30	
12/01/09	Prepared production for KVT affidavits/declarations - Failing documents	1.50	
12/01/09	Collected and organized information of Financial Advisor assistants.	2.40	
12/01/09	Prepared production for KVT affidavits/declarations - check images	0.80	
12/02/09	Compile/Organize documents produced to Baker Botts - Set 15 (part 1)	1.20	
12/02/09	Compile/Organize documents produced to Baker Botts - Set 13 (part 2)	0.90	
12/02/09	Compile/Organize documents produced to Baker Botts - Set 15 (part 2)	1.30	
12/02/09	Compile/Organize documents produced to Baker Botts - Set 11 (part 2)	1.40	
12/02/09	Compile/Organize documents produced to Baker Botts by topic - Set 11 (part 1)	1.30	
12/02/09	Performed tagging for transaction details for group 5115	1.10	
12/02/09	Performed tagging for transaction details for group 5116	1.20	
12/03/09	Performed tagging for transaction details for group 5119	1.90	
12/03/09	Performed tagging for transaction details for group 5117	1.70	
12/03/09	Performed tagging for transaction details for group 5120	1.30	
12/03/09	Continued organization of documents to be produced in conjunction with the Chapter 15 litigation.	1.70	
12/03/09	Organization of documents to be produced in conjunction with the Chapter 15 litigation.	1.50	
12/04/09	Performed tagging for transaction details for group 5112	1.30	
12/04/09	Performed tagging for transaction details for group 5115	1.50	
12/04/09	Performed tagging for transaction details for group 5113	1.40	
12/04/09	Performed tagging for transaction details for group 5116	1.20	
12/04/09	Performed tagging for transaction details for group 5114	1.30	
12/07/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: B (part 2)	1.20	

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12/07/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: A	1.30
12/07/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: B (part 1)	1.40
12/07/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: C (part 1)	1.10
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: C (part 2)	1.20
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: G (part 2)	1.50
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: G (part 1)	1.60
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: F	0.90
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: E	1.10
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: D (part 2)	1.20
12/08/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: D (part 1)	1.30
12/09/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: H (part 1)	1.20
12/09/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: M (part 1)	1.90
12/09/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: H ( part 2)	1.10
12/09/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: L	1.30
12/09/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: J	1.20
12/09/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: K	1.40
12/10/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: V	0.60
12/10/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: O and P	1.90

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12/10/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: W (part 1)	1.30
12/10/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: N	0.80
12/10/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: M (part 2)	1.00
12/10/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: R	1.90
12/11/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: W (part 2)	1.30
12/11/09	Indexing of customer-provided data as well as grouping analysis for all filed account release applications - initial of account last name: Z	0.50
12/11/09	Checked customer-provided data for customers on KVT6-3	1.50
12/14/09	Performed tagging for transaction details for group 6114	0.60
12/14/09	Performed tagging for transaction details for group 6115	1.90
12/14/09	Performed tagging for transaction details for group 6116	1.80
12/14/09	Entered additional transactions during the data gap period for group 1025.	1.50
12/14/09	Performed tagging for transaction details for group 6111	0.80
12/15/09	Performed tagging for transaction details for group 6041-part 1	1.90
12/15/09	Performed tagging for transaction details for group 6041-part 2	1.60
12/15/09	Performed tagging for transaction details for group 6041-part 3	1.70
12/15/09	Performed grouping analysis for Group No. 7007	1.30
12/16/09	Performed grouping analysis for Groups No. 7016 and 7017	1.60
12/16/09	Performed grouping analysis for Groups No. 7013, 7014 and 7015	1.20
12/16/09	Performed tagging for transaction details of newly added accounts in group 6114	0.30
12/16/09	Performed grouping analysis for Groups No. 7010, 7011 and 7012	1.10
12/16/09	Performed grouping analysis for Groups No. 7008 and 7009	1.20
12/16/09	Performed grouping analysis for Groups No. 7042, 7043 and 7044	1.90
12/17/09	Performed grouping analysis for Group No. 7050	1.70
12/17/09	Performed grouping analysis for Groups No. 7049, 7052, 7053	1.50
12/17/09	Performed grouping analysis for Group No. 7048	1.60
12/17/09	Performed tagging for transaction details of newly added accounts in group 6115	1.80
12/17/09	Performed grouping analysis for Group No. 7047	0.90
12/18/09	Performed tagging for transaction details for group 7016 - part 1	1.60
12/18/09	Performed tagging for transaction details for group 7016 - part 2	1.50
12/18/09	Continued to perform grouping analysis for Group No. 7050	1.30
12/21/09	Performed tagging for transaction details for group 7016 - part 4	1.30
12/21/09	Performed tagging for transaction details for group 7074 - part 1	0.80
12/21/09	Performed tagging for transaction details for group 7016 - part 3	1.60
12/21/09	Revised grouping analysis for Group No. 7050 - part 1	1.20
12/21/09	Performed tagging for transaction details for group 7017	1.10
12/21/09	Revised grouping analysis for Group No. 7050 - part 2	1.30
12/22/09	Performed tagging for transaction details for group 7075	0.60
12/22/09	Performed tagging for transaction details for group 7074 - part 2	0.60
12/22/09	Performed tagging for transaction details for group 7114 - part 1	1.90
12/22/09	Performed tagging for transaction details for group 7078	0.50
12/22/09	Performed tagging for transaction details for group 7115	0.50

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12/22/09	Performed tagging for transaction details for group 7077	0.70	
12/22/09	Performed tagging for transaction details for group 7111	0.60	
12/22/09	Performed tagging for transaction details for group 7112	0.90	
12/22/09	Performed tagging for transaction details for group 7115	0.70	
12/23/09	Performed tagging for transaction details for group 7113 - part 2	1.50	
12/23/09	Performed tagging for transaction details for group 7113 - part 1	1.60	
12/23/09	Performed tagging for transaction details for group 7114 - part 2	1.10	
12/28/09	Updated tagging of transaction details for Groups 7111 and 7112	0.70	
12/28/09	QCed tagging for transaction details of Group 7102	1.30	
12/28/09	QCed tagging for transaction details of Group 7101	1.90	
12/28/09	QCed tagging for transaction details of Group 7095	1.00	
12/28/09	QCed tagging for transaction details of Group 7092	1.60	
12/29/09	QCed tagging for transaction details of Group 7093	0.60	
12/29/09	QCed tagging for transaction details of Group 7034	0.50	
12/29/09	QCed tagging for transaction details of Group 7120	0.90	
12/29/09	Updated tagging of transaction details for Groups 7113, 7114 and 7115	1.50	
12/29/09	QCed tagging for transaction details of Group 7034	0.80	
12/30/09	Performed logic check for group 6041	1.90	
12/30/09	Performed logic check for group 6114	1.10	
12/30/09	Performed logic check for group 6111	0.90	
12/30/09	Performed logic check for group 6115	1.00	
12/30/09	Updated Excel template for logic check	1.20	
12/31/09	Performed logic check for groups 6122	1.00	
12/31/09	Performed logic check for groups 6118 and 6124	1.20	
12/31/09	Performed logic check for group 6116	0.80	
12/31/09	Performed logic check for groups 6112 and 6113	1.10	
12/31/09	Performed logic check for group 6117	0.50	
12/31/09	Performed logic check for groups 6120, 6121 and 6123	1.50	
	\$260.00 per hour x total hrs of	136.70	\$35,542.00

**Kathleen Prichard**

12/01/09	Electronic production of supporting documents including SIB - related financial emails	1.80	
12/01/09	Identification of relevant RAS promissory notes by date and note amount for production purposes.	2.20	
12/01/09	Identification of corporate data sheets to be produced.	1.80	
12/01/09	RAS bank statements indexing.	2.40	
12/02/09	General production activities <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> documents for production.	1.10	
12/02/09	Account -1558 check analysis of amounts greater than \$100,000 compared to DataPro/ <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> data.	2.50	
12/02/09	RAS bank statements indexing.	1.90	
12/02/09	Account -1707 check analysis of amounts greater than \$100,000 compared to DataPro/ <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> data.	2.50	
12/03/09	Quality control analysis of related accounts for KVT-6-3 group OCTAVIO HOLDINGS INC.	0.40	
12/03/09	General production activities including scanning, labeling, and identification of documents for production.	1.70	
12/03/09	Discussion of proper SQL tables to load for KVT-6-3 query analysis.	0.50	
12/03/09	Quality control analysis of related accounts for KVT-6-3 group FRANCISCO MIGUEZ ALVAREZ	0.40	
12/03/09	Quality control analysis of related accounts for KVT-6-3 group SENSO VELA LIMITED	0.40	

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12/03/09	Quality control analysis of related accounts for KVT-6-3 group APOGEE HOLDINGS, INC.	0.40
12/03/09	Quality control analysis of related accounts for KVT-6-3 group BILLYE S HALBOUTY.	0.40
12/03/09	Quality control analysis of related accounts for KVT-6-3 group JOAN C. MCGREGOR OR JOHN G. MCGREGOR	0.40
12/03/09	Quality control analysis of related accounts for KVT-6-3 group GRUPO INDUSTRIAL SALTILLO S.A. DE C.V.	0.40
12/07/09	Creation of a list of all individuals named on KVT4, 5, 6, 6-2, 6-3, and 6-4 for comparison check against employee listing.	0.90
12/07/09	Troubleshooting the error received when the "Shared Documents" folder of the Stanford Receivership mailbox is attempted to be accessed.	0.50
12/07/09	Analysis of Intermedia Ltd. (on KVT-6) including person acting on behalf of Intermedia, destination of funds, and source of funds.	0.50
12/07/09	Analysis of all individuals who are named on KVT-4 but do not have held accounts.	1.50
12/07/09	Analysis of addresses available for investors Rebel Corporation and Oscar Benedetti.	0.50
12/07/09	Analysis of senders of emails sent to the Stanford Receivership mailbox in comparison to the individuals listed on KVT-6-3.	1.50
12/07/09	Analysis of all Stanford entities produced compared to all Stanford entities listed on the produced schedule.	1.90
12/08/09	Updating of proceeds amounts, updated held accounts (released and \$0 net worth accounts excluded), and updated net worth values (SGC - 12/8, STC - 11/30, JPM - 11/30) for all former employees listed on the employee schedule.	2.50
12/08/09	Troubleshooting the error received when the "Shared Documents" folder of the Stanford Receivership mailbox is attempted to be accessed.	1.50
12/08/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 4/6/09 - 4/7/09.	1.30
12/08/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 4/8/09 - 4/11/09.	1.50
12/08/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 4/13/09 - 4/15/09.	1.20
12/08/09	Analysis of KVT4 Group 221 Marie Rochelle Sidney Martinez for proceeds, commissions, bonus, or severance pay.	0.50
12/09/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 5/11/09 - 5/20/09.	1.70
12/09/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 5/1/09 - 5/8/09.	1.80
12/09/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 4/27/09 - 4/30/09.	1.90
12/09/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 4/16/09 - 4/20/09.	1.20
12/09/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 4/21/09 - 4/26/09.	1.50

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12/10/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 6/1/09 - 7/30/09.	1.40
12/10/09	Manual analysis of all folders compiled in Drawer #7 to document all names mentioned in any potential mailed documentation.	2.90
12/10/09	Analysis of emails sent to the Stanford Receivership mailbox to index the individuals named in the email and all relevant attachments from 5/22/09 - 5/29/09.	1.30
12/11/09	Manual analysis of all folders compiled in Drawer #7 to document all names mentioned in any potential mailed documentation.	0.90
12/11/09	Name checks to ensure that additional transaction documentation (submitted through mail or email) is not available for those individuals named on KVT-6-3.	1.20
12/11/09	Compiling of query results, and all associated names for the "Next 100" population that makes up KVT-6-3.	1.70
12/14/09	Analysis of addresses and corresponding statement dates for all investors with positive values in the "Net Winner (Loser) Value" column of the "Next 100" KVT-6 individuals.	1.90
12/14/09	Updated KVT-6 listing with the "Next 100" groups added.	0.50
12/15/09	Quality control check of all supplemental SIB statements for possible transactions to fill data gaps for investor Highest Global Service Limited.	1.80
12/15/09	Transaction tagging quality control analysis of investor LUIS E CALLEJA for KVT-6-4.	1.20
12/15/09	Transaction tagging quality control analysis of investor MISE MESHINO for KVT-6-4.	0.80
12/15/09	Quality control check of all supplemental SIB statements for possible transactions to fill data gaps for investor CLAUDIO ENRIQUE HERNANDEZ VILLALOBOS.	2.00
12/15/09	Analysis of Pershing accounts released following the Fifth Circuit ruling regarding claw backs for Louisiana investors.	0.80
12/16/09	Transaction tagging quality control analysis of investor ARMANDO BUKELE KATTAN for KVT-6-4.	0.90
12/16/09	Transaction tagging quality control analysis of investor EDUARDO GIRON for KVT-6-4.	0.60
12/16/09	Transaction tagging quality control analysis of investor MARTHA HALBERT OR SERGIO ROJKIND for KVT-6-4.	1.10
12/16/09	Transaction tagging quality control analysis of investor EDWIN BATIZ JR. for KVT-6-4.	0.90
12/16/09	Transaction tagging quality control analysis of investor SESTO CECCARELLI CERONI for KVT-6-4.	1.30
12/16/09	Transaction tagging quality control analysis of investor 2711 TRUST for KVT-6-4.	0.30
12/16/09	Transaction tagging quality control analysis of investor SARMEN INVESTMENTS LIMITED for KVT-6-4.	1.90
12/17/09	Transaction tagging quality control analysis of investor CARLOS ARTURO MOLINA for KVT-6-4.	0.80
12/17/09	Transaction tagging quality control analysis of investor ARTURO MARTINEZ DE LA MORA for KVT-6-4.	1.10
12/17/09	Transaction tagging quality control analysis of investor DR LUIS ALFONSO KRAUSE for KVT-6-4.	0.70
12/17/09	Transaction tagging quality control analysis of investor RAMAL DE INVERSIONES S.A. for KVT-6-4.	1.20
12/21/09	Quality control analysis of transaction tagging for KVT6-4 group 6108 ARTURO MARTINEZ DE LA MORA.	0.60

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12/21/09	Quality control analysis of transaction tagging for KVT6-4 group 6126 FRANCO LOPEZ.	0.70
12/21/09	Analysis of status of additional transactions submitted by Anglo-Atlantic Steamship Co.	0.80
12/21/09	Quality control analysis of transaction tagging for KVT6-4 group 6128 LETICIA NIETO DEL RIO.	0.50
12/21/09	Quality control analysis of transaction tagging for KVT6-4 group 6120 RAMAL DE INVERSIONES S.A..	0.80
12/21/09	Quality control analysis of transaction tagging for KVT6-4 group 6110 CARLOS ARTURO MOLINA.	0.80
12/21/09	Quality control analysis of transaction tagging for KVT6-4 group 6106 DR LUIS ALFONSO KRAUSE.	0.50
12/21/09	Analysis of any claims or submitted documents for ST. ANNE'S EPISCOPAL SCHOOL.	1.20
12/22/09	Status call regarding client priorities over the next two weeks.	0.50
12/22/09	Analysis of how the <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> CD was funded initially by checking Pershing and Toronto Dominion wire data.	0.90
12/22/09	Analysis of CDs purchased by SHARON LYNCH.	0.20
12/22/09	Analysis of physical address information available for Roberto Gallardo Kuri.	0.20
12/22/09	Analysis of CDs purchased by UNIVERSITY OF DENVER.	0.20
12/23/09	Analysis of CDs purchased by Helen Coplan.	0.20
12/23/09	Analysis of CDs purchased by Thomas and Cynthia Bonafair.	0.20
12/23/09	Analysis of CDs purchased by Macauley and Helen Dow Whiting Foundation.	0.20
12/23/09	Analysis of CDs purchased by Vail Valley Foundation.	0.20
12/23/09	Analysis of CDs purchased by Maryland General Hospital Retirement Plan.	0.20
12/23/09	Analysis of CDs purchased by Colorado Academy.	0.20
12/23/09	Analysis of CDs purchased by The Leever Foundation.	0.20
12/23/09	Analysis of CDs purchased by Baltimore Washington Medical Center Pension Plan.	0.20
12/23/09	Analysis of CDs purchased by Vail Mountain School.	0.20
12/23/09	Analysis of CDs purchased by Upper Chesapeake Health System - Board Designated Long Term Investment Fund.	0.20
12/23/09	Analysis of CDs purchased by HCS.	0.20
12/23/09	Analysis of CDs purchased by Upper Chesapeake Health System - Profit Sharing Plan.	0.20
12/23/09	Analysis of CDs purchased by The Camp Airy and Camp Louise Endowment Fund.	0.20
12/23/09	Analysis of CDs purchased by Vail Valley Medical Center.	0.20
12/23/09	Analysis of CDs purchased by Boys' Home, Inc., Endowment Fund.	0.20
12/23/09	Analysis of CDs purchased by Upper Chesapeake Health System - Foundation.	0.20
12/23/09	Analysis of CDs purchased by Anschutz Corporation.	0.20
12/23/09	Analysis of CDs purchased by AFIC II LLC.	0.20
12/23/09	Analysis of CDs purchased by The Alpha Sigma Phi Educational Foundation.	0.20
12/23/09	Analysis of CDs purchased by Janice Babitt.	0.20
12/23/09	Analysis of CDs purchased by Lee Coplan.	0.20
12/23/09	Analysis of CDs purchased by A&A Scottish Rite.	0.20
12/23/09	Analysis of CDs purchased by The Baltimore Museum of Art.	0.20
12/23/09	Analysis of CDs purchased by Maryland Medicine Comprehensive Insurance Program Trust.	0.20

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## Invoice Activity

12/23/09	Analysis of CDs purchased by Upper Chesapeake Health Systems - 403(b).	0.20	
12/23/09	Analysis of CDs purchased by University of Maryland Medical System.	0.20	
12/23/09	Analysis of CDs purchased by The Park School.	0.20	
12/23/09	Analysis of CDs purchased by Maryland Hospital Association.	0.20	
12/23/09	Analysis of CDs purchased by Terrapin Insurance Comprehensive Surplus Fund.	0.20	
12/23/09	Analysis of CDs purchased by Jerry and Harryette David.	0.20	
12/23/09	Analysis of CDs purchased by Anschutz Foundation.	0.20	
12/23/09	Analysis of CDs purchased by Resources for the Future.	0.20	
12/23/09	Analysis of CDs purchased by University of Baltimore Foundation, Inc.	0.20	
12/23/09	Analysis of CDs purchased by The Aaron Straus and Lillie Straus Foundation.	0.20	
\$248.00 per hour x total hrs of		89.00	\$22,072.00

**Michael Kirk**

12/01/09	Update Sharepoint documentation for FA deliveries.	0.90	
12/04/09	Create documentation for new email data received from Stanford IT and hash, relocation, duplicate, document, and assign evidence ids to data.	1.30	
12/08/09	Create documentation for new email data received from Stanford IT and hash, relocation, duplicate, document, and assign evidence ids to data.	2.60	
12/10/09	Perform analysis and documentation of Virtual machine images located on Montreal server hard drive images	0.90	
12/11/09	Intake, documentation, duplication of Justin Hare's Exchange email in order to prepare delivery of Justin Hare's exchange email for SEC Production 34, Supplement 2.	2.30	
12/14/09	Create documentation for new email data received from Stanford IT via FTP and hash, relocation, duplicate, document, and assign evidence ids to data.	0.90	
\$248.00 per hour x total hrs of		8.90	\$2,207.20

**Lara Nemerov**

12/03/09	Find all current JBASE and DataPro files within the SDH	0.50	
12/10/09	Creation of Venezuela client listing from <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> . Includes discussions with K.Blake (FTI)	1.50	
\$232.00 per hour x total hrs of		2.00	\$464.00

**Barbara Arellano**

12/01/09	TD Cash Trace Testing by manually tying traced wires to SIB : Outgoing wires matched under the ""Info" Fields SIBL Account" category.	4.50	
12/01/09	TD Cash Trace Testing by manually tying traced wires to SIB : Incoming wires matched under the "Exact Beneficiary Name" category.	2.00	
12/01/09	TD Cash Trace Testing by manually tying traced wires to SIB : Incoming wires matched under the "Wire Amount/Date" category.	2.20	
12/01/09	TD Cash Trace Testing by manually tying traced wires to SIB : Incoming wires matched under the "Procedure of Beneficiary Name" category.	2.80	

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12/02/09	TD Cash Trace Testing by manually tying traced wires to SIB : Incoming wires matched under the ""Info" Fields SIBL Account" category.	3.30
12/02/09	TD Cash Trace Testing by manually tying traced wires to SIB : Incoming wires matched under the "Exact Beneficiary SIBL Account" category.	4.00
12/03/09	TD Cash Trace Testing by manually tying traced wires to SIB : CAD Incoming wires matched under all tracing categories.	3.70
12/03/09	TD Cash Trace Testing by manually tying traced wires to SIB : CAD outgoing wires matched under all tracing categories.	4.10
12/03/09	TD Cash Tracing status results- Create a compiled TD Cash Tracing analysis status report for 2007 - 2009 using CAD converted wire amounts.	2.40
12/04/09	TD Cash Tracing - reconcile/compile TD cash tracing 2007 and 2008/2009 data into one data source.	3.00
12/04/09	TD Cash Tracing status results- Create a compiled TD Cash Tracing analysis testing results report. 2007-2009.	1.90
12/04/09	TD Cash Tracing status results- Create a compiled TD Cash Tracing analysis status report for 2007 - 2009.	1.70
12/07/09	TD Cash Tracing: Testing of additional wires for 2007 and updated.	1.70
12/07/09	SIB wires - Research outgoing wires within the TD banking system.	0.40
12/07/09	Analysis/reconciliati on of SIB wires contained within the SWIFT banking data to TD.	2.40
12/07/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6095, Maria De Los Angeles Llorens.	1.40
12/07/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6096, Sandra Tatyana Trepp Gloor.	1.90
12/08/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6093, Parafinas Y Veladoras Finavel Sa De Cv.	1.40
12/08/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6106, Dr Luis Alfonso Krause.	1.70
12/08/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6096, Sandra Tatyana Trepp Gloor.	1.00
12/08/09	TD Cash Tracing: Write testing and final results section for TD Cash Tracing memo.	1.90
12/08/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6097, Edith Bogusky Beaujon.	1.90
12/09/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6117, Johanna Cristina Trip De Cepeda.	1.80
12/09/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6119, Seahome Holdings Limited.	2.00
12/09/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6109, Jose Vicente Pineda Rodriguez.	1.90
12/09/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6113, Yonat F De Chartarifsky.	1.80
12/09/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6112, Judith England Nance.	1.20
12/10/09	KVT6-3 Logic test for group 5122, Papel, Carton Y Derivados S.A. De C.V.	0.20
12/10/09	KVT6-3 Logic test for group 5130, Mark A. Boyd	0.40
12/10/09	KVT6-3 Logic test for group 5131, Shearwater Management Corp.	0.40
12/10/09	KVT6-3 Logic test for group 5100, Shelley Upchurch 2001 Revocable Trust	0.50

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12/10/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6110,Carlos Arturo Molina.	1.00
12/10/09	KVT6-3 Logic test for group 5097, Naief Bou Halloun Or Victoria Bou Halloun-Joseph Or Nariman Apaid Halloun	0.40
12/10/09	KVT6-3 Logic test for group 5089, Eric Cohen Or Susan Cohen	0.40
12/10/09	KVT6-3 Logic test for group 5006, Amepharma Inc	0.20
12/10/09	KVT6-3 Logic test for group 5096, Francisco Miguez Alvarez	0.30
12/10/09	KVT6-3 Logic test for group 5015, Guyana Telephone & Telegraph Company Limited	0.30
12/10/09	KVT6-3 Logic test for group 5107, Angela Del Campo De Martin Or Dionisio Martin Lazaro Or Miguel Martin Del Campo Or Maria De Los Angeles Martin Del Campo	0.40
12/10/09	KVT6-3 Logic test for group 5098, Joan C. Mcgregor Or John G. Mcgregor	0.40
12/10/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6121,Rosa F. Fishleder De Landau.	1.70
12/10/09	KVT6-3 Logic test for group 5012, Groenebeek Commodities Trading Limited	0.30
12/10/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6120,Ramal De Inversiones S.A..	1.90
12/10/09	KVT6-3 Logic test for group 5123, Ensenada Trust	0.40
12/10/09	KVT6-3 Logic test for group 5140, Fernando Javier Barboza Gutierrez	0.40
12/10/09	KVT6-3 Logic test for group 5137, Equipo 2108 Trust	0.40
12/10/09	KVT6-3 Logic test for group 5135, C.A.N. Trust	0.30
12/10/09	KVT6-3 Logic test for group 5134, Comercial Oder S.A. De C.V.	0.30
12/10/09	KVT6-3 Logic test for group 5133, Fernando Russek Fuhrmann Or Maria Del Carmen Portales De Russek Or Fernando Russek Portales	0.20
12/10/09	KVT6-3 Logic test for group 5132, Jeffrey H. Farmer Jr. And Norma B. Upshur	0.40
12/10/09	KVT6-3 Logic test for group 5084, Lynn Conner	0.40
12/10/09	KVT6-3 Logic test for group 5082, Amersham Finance Limited	0.40
12/10/09	KVT6-3 Logic test for group 5079, Alexander Fackelmann	0.40
12/10/09	KVT6-3 Logic test for group 5077, Equus Viii Llc	0.30
12/11/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6124,Fernando Juan Reyes Hernandez.	2.00
12/11/09	QC KVT6-4 groups for additional accounts that may need to be added to the groupings.	0.60
12/11/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6126,Franco Lopez.	1.80
12/11/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6125,Gustavo Hernandez M..	1.90
12/11/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6123,Lear Ltd ..	1.50
12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6065,Orlando Jose Canelon Rodriguez.	0.70
12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6035,Inmobiliaria De La Luz Y La Paz S.A. De C.V..	0.90
12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6056,Waldorf Overseas Limited.	1.60
12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6060,Group Industrial Saltillo S.A. De C.V..	1.10
12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6063,Jared Woolf.	1.80

12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6062,Miguel Angel Carrosso.	1.20
12/14/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6061,Vidya Manohar Chhabria.	1.80
12/15/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6093,Parafinas Y Veladoras Finavel Sa De Cv.	1.00
12/15/09	Update KVT 6-4 SIBL transaction detail proceeds analysis for group 6113 base on account changes to the group.	2.00
12/15/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6066,Christophe Durand.	1.90
12/15/09	Update KVT 6-4 SIBL transaction detail proceeds analysis for group 6117 base on account changes to the group.	1.90
12/15/09	Update KVT 6-4 SIBL transaction detail proceeds analysis for group 6097 base on account changes to the group.	1.90
12/16/09	KVT 6-5 SIB account research/grouping for groupno 7102, Etha V. Ardoin	1.20
12/16/09	KVT 6-5 SIB account research/grouping for groupno 7103, Donald J Sherrill	1.40
12/16/09	Update KVT 6-4 SIBL transaction detail proceeds analysis for group 6035 base on account changes to the group.	1.70
12/16/09	KVT 6-5 SIB account research/grouping for groupno 7104, Maria Hamui Hamui	0.60
12/16/09	KVT 6-5 SIB account research/grouping for groupno 7105, Cascar Trust	1.00
12/16/09	Update KVT 6-4 SIBL transaction detail proceeds analysis for group 6125 base on account changes to the group.	2.00
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7117, Gabriel Rihan	0.50
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7116, Jacobo Tartakovsky Godman	0.90
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7053, Oswaldo Nania Nuzzo Or Antonio Nania Nuzzo	1.00
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7111, Kenneth J Winningkoff	0.50
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7119, Pasha Trust	0.50
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7112, Claudia Ignacia Bustamante Bustillos	0.70
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7118, R.Brad Martin	0.80
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7113, Saaddin Ahmed Dassum Murillo	0.40
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7110, Michele Cascarano Modugno	0.90
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7109, Corrado Chiappini Di Ferdinando	1.00
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7114, El. Canuto Trust	0.70
12/17/09	KVT 6-5 SIB account research/grouping for groupno 7115, Alain Borthayre Moraze	1.00
12/18/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 7103, DONALD J SHERRILL.	2.00
12/18/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 6061, ETHA V. ARDOIN.	2.00
12/18/09	KVT 6-4 SIBL transaction detail proceeds analysis for group 7104, MARIA HAMUI HAMUI.	2.30

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12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7099, Maria Elena Casanova Calvo	1.30
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7100, Calatrava Trust	1.00
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7101, Pedro Aguirre	1.20
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7118, R.Brad Martin	0.50
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7098, Rosa Ma. Rosales De Rodriguez	1.20
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7119, Pasha Trust	0.70
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7117, Gabriel Rihan	0.50
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7097, Sara Esquenazi Esquenazi	0.90
12/21/09	KVT6-5 SIB transaction detail proceeds analysis for group 7126, Gunter Doring	1.00
12/22/09	KVT6-5 SIB transaction detail proceeds analysis for group 7128, Frank Culotta Jr	1.70
12/22/09	KVT6-5 SIB transaction detail proceeds analysis for group 7116, Jacobo Tartakovsky Godman	1.70
12/22/09	KVT6-5 SIB transaction detail proceeds analysis for group 7129, B And K Construction	1.50
12/22/09	KVT6-5 SIB transaction detail proceeds analysis for group 7066, Sara Jimenez Marquez	1.50
12/22/09	KVT6-5 SIB transaction detail proceeds analysis for group 7127, Cesar Maciel Azcarate	1.40
12/22/09	KVT6-5 SIB transaction detail proceeds analysis for group 7066,	0.80
12/23/09	KVT6-5 account grouping analysis for group 7129, B And K Construction	0.50
12/23/09	KVT6-5 account grouping analysis for group 7099, Maria Elena Casanova Calvo	0.70
12/23/09	KVT6-5 account grouping analysis for group 7107, Lisa C. Seymour	0.20
12/23/09	KVT6-5 account grouping analysis for group 7101, Pedro Aguirre	0.50
12/23/09	KVT6-5 account grouping analysis for group 7100, Calatrava Trust	0.70
12/23/09	KVT6-5 account grouping analysis for group 7108, Mans Nermark	0.40
12/23/09	KVT6-5 account grouping analysis for group 7123, Diogenes Gomez Granados	0.90
12/23/09	KVT6-5 account grouping analysis for group 7124, Romeo Del Valle Scrivante Bahr	0.70
12/23/09	KVT6-5 account grouping analysis for group 7121, Pablo Abraham Kuri	0.60
12/23/09	KVT6-5 SIB transaction detail proceeds analysis for group 7125, Maria Dolores Mancheno Donoso	1.00
12/23/09	KVT6-5 account grouping analysis for group 7106, Carmen Ortiz De Rojas Or Ricardo Rojas	1.00
12/23/09	KVT6-5 account grouping analysis for group 7126, Gunter Doring	1.00
12/23/09	KVT6-5 account grouping analysis for group 7120, Deborah Judith Elkes Schapira Or Sergio Magun Elkes Or Michelle Eva Magun Elkes	0.50
12/24/09	KVT6-5 account grouping analysis for group 7127, Cesar Maciel Azcarate	0.60
12/24/09	KVT6-5 account grouping analysis for group 7125, Maria Dolores Mancheno Donoso	1.00

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12/24/09	KVT6-5 account grouping analysis for group 7128, Frank Culotta Jr	0.80	
12/24/09	KVT6-5 account grouping analysis for group 7110, Michele Cascarano Modugno	1.60	
12/24/09	KVT6-5 account grouping analysis for group 7122, Salim Manji	1.70	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7124, ROMEO DEL VALLE SCRIVANTE BAH	1.40	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7121, PABLO ABRAHAM KURI	0.60	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7122, SALIM MANJI	1.10	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7057,	1.20	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7123, DIOGENES GOMEZ GRANADOS	1.20	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7054,	1.40	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7094, PEDRO BURGANA CARBALLO	1.40	
12/28/09	KVT 6-5 second level review of transaction detail proceeds analysis for group 7096, RAFAEL COVARRUBIAS SALVATORI	0.80	
12/29/09	Write a SQL Script that identifies KVT6-4 duplicative balance inquiries .	1.90	
12/29/09	QC Script that identifies KVT6-4 duplicative balance inquiries .	1.90	
12/29/09	Review and research results from KVT6-4 duplicative balance inquiries QC script.	2.00	
12/29/09	Update SIBL transaction detail- based on duplicate global QC analysis.	2.10	
12/29/09	Researched Juan Rodriguez Tolentino for potential CD accounts in SIBL.	1.20	
12/31/09	KVT 6-4 Logic test for group 6105, Sesto Ceccarelli Ceroni	0.30	
12/31/09	KVT 6-4 Logic test for group 6104, 2711 Trust	0.30	
12/31/09	KVT 6-4 Logic test for group 6103, Sarmen Investments Limited	0.20	
12/31/09	KVT 6-4 Logic test for group 6102, Edwin Batiz Jr.	0.20	
12/31/09	KVT 6-4 Logic test for group 6098, Bein S.A.	1.00	
12/31/09	KVT 6-4 Logic test for group 6099, Beg Trust	0.20	
12/31/09	KVT 6-4 Logic test for group 6101, Martha Halbert Or Sergio Rojkind	0.20	
12/31/09	KVT 6-4 Logic test for group 6096, Sandra Tatyana Trepp Gloor	0.40	
12/31/09	KVT 6-4 Logic test for group 6091, Walaby Services Limited	0.20	
12/31/09	KVT 6-4 Logic test for group 6095, Maria De Los Angeles Llorens	0.20	
12/31/09	KVT 6-4 Logic test for group 6094, Olga Kurochkina	0.40	
12/31/09	KVT 6-4 Logic test for group 6093, Parafinas Y Veladoras Finavel Sa De Cv	1.40	
12/31/09	KVT 6-4 Logic test for group 6087, Beatriz Chayet De Goldberg	0.20	
12/31/09	KVT 6-4 Logic test for group 6088, Pravenca(Procesadora Agro De Indust Vzla Ca)	0.40	
12/31/09	KVT 6-4 Logic test for group 6090, Moises Bicas Senker	0.50	
12/31/09	KVT 6-4 Logic test for group 6097, Edith Bogusky Beaujon	0.50	
	\$220.00 per hour x total hrs of	177.50	\$39,050.00

**Abayomi Odunsi**

12/01/09	Review previously filed affidavits and declarations and obtain support for disclosures.	3.10	
12/01/09	Review of documents in ringtail supporting funding of investments	1.80	

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12/01/09	Review RAS's personal bank statements and document information for production	3.30
12/02/09	Review of bank statement documents provided to counsel for production	2.70
12/02/09	Review of RAS's St. Croix production documents for counsel	3.20
12/03/09	Continue analysis of former Stanford employees schedule with information obtained from HR	1.80
12/03/09	Update analysis of former Stanford employees schedule with information obtained from HR	3.60
12/03/09	Perform tagging of proceeds for groups 5093, 5094, 5100, 5104, and 5105 of KVT6-3	1.20
12/04/09	Perform reasonableness test on former Stanford employees proceed calculations	4.00
12/07/09	Continue to perform reasonableness test on former Stanford employees proceed calculations	1.80
12/07/09	Perform tagging for customer proceeds associated with KVT6-3	2.10
12/07/09	Perform reasonableness test on former Stanford employees proceed calculations	3.40
12/08/09	Continue to perform review of related accounts and group appropriate accounts together for KVT6-4	3.50
12/08/09	Perform tagging for customer proceeds for customers associated with KVT6-4.	2.80
12/08/09	Perform review of related accounts and group appropriate accounts together for KVT6-4	3.60
12/09/09	Perform tagging of customer proceeds for groups 5106, 5108, 5109, 5125, 5126, 5127, and 5128 associated with KVT6-3.	3.50
12/09/09	Review related account groupings and analyze impact on transactions for changes that were made.	2.10
12/09/09	Perform tagging of customer proceeds for groups 5073, 5074, 5075, 5076, 5103, and 5104 associated with KVT6-3.	2.60
12/10/09	Perform tagging of customer proceeds for groups 6022, 6023, 6024, 6025, 6026, 6048, 6052, and 6053 associated with KVT6-4.	3.50
12/10/09	Perform tagging of customer proceeds for groups 6028, 6029, 6030, 6031, 6032, 6033, and 6034 associated with KVT6-4.	3.80
12/10/09	Review accounts for KVT6-4 that contain grouping changes	2.40
12/11/09	Perform tagging of customer proceeds for groups 6118, 6122, 6127, 6129 associated with KVT6-4.	2.40
12/11/09	Perform tagging of customer proceeds for groups 6088, 6089, 6091, 6098, associated with KVT6-4.	2.60
12/11/09	Perform tagging of customer proceeds for groups 6099, 6104, 6030, 6105, 6108 associated with KVT6-4.	3.50
12/14/09	Complete account tagging for groups 6033, 6034, 6122, 6128	2.80
12/14/09	Continue to update tagging for customer proceeds associated with KVT6-4 that contain account changes	1.90
12/14/09	Update tagging for customer proceeds associated with KVT6-4 that contain account changes	3.60
12/15/09	Perform review of related accounts and group appropriate accounts together for KVT6-5	3.30
12/15/09	Update tagging for customer proceeds associated with KVT6-4 that contain account changes	2.30
12/15/09	Continue to perform review of related accounts and group appropriate accounts together for KVT6-5	3.30
12/16/09	Perform account grouping on related accounts for groups 7000, 7001, 7002, 7003, 7004, and 7005.	2.10
12/16/09	Perform account grouping on related accounts for groups 7018, 7019, 7021, 7022, and 7023.	2.60

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## Invoice Activity

12/16/09	Perform account grouping on related accounts for groups 7035, 7036, 7037, 7038, 7039 and 7040.	3.50	
12/17/09	Complete grouping of related accounts for KVT6-5	2.60	
12/17/09	Continue to perform tagging of customer proceeds for groups associated with KVT6-5.	2.80	
12/17/09	Perform tagging of customer proceeds for groups associated with KVT6-5.	2.80	
12/18/09	Perform tagging of customer proceeds for groups 7013, 7025, 7026	2.20	
12/18/09	Perform tagging of customer proceeds for groups associated with KVT6-5.	2.40	
12/18/09	Perform tagging of customer proceeds for groups associated with KVT6-5.	3.50	
12/21/09	Perform tagging of customer proceeds for groups 7079, 7080, 7081	2.70	
12/21/09	Perform tagging of customer proceeds for groups 7060, 7062, 7064, 7065	3.40	
12/21/09	Perform tagging of customer proceeds for groups 7024, 7027, 7059	2.20	
12/22/09	Perform tagging of customer proceeds for groups 7082, 7083, 7084	2.20	
12/22/09	Perform tagging of customer proceeds for groups 7085, 7086, 7087, 7088	3.70	
12/22/09	Perform tagging of customer proceeds for groups 7089, 7090, 7091	2.80	
12/23/09	Perform tagging of customer proceeds for groups with additional accounts added	3.80	
12/23/09	Continue tagging of customer proceeds for groups 7085 and 7089	2.70	
12/24/09	Perform tagging of customer proceeds for groups that contained additional accounts 7080, 7083, 7084	2.50	
12/24/09	Perform tagging of customer proceeds for groups that contained additional accounts 7024, 7026, 7079	2.60	
12/28/09	Review SQL tables and Access tables for group 7080	1.60	
12/28/09	Continue tagging of customer proceeds for groups with account changes	3.70	
12/28/09	Perform tagging of customer proceeds for groups 7040, 7042, and 7063 with account changes	2.80	
12/29/09	Perform logic check QC for groups 6007, 6008, 6009, 6010, 6011, 6012, and 6013.	3.40	
12/29/09	Perform logic check QC for groups 6014, 6015, 6016, 6017	2.40	
12/29/09	Perform logic check QC for groups 6000, 6001, 6002, 6003, 6004, 6005, and 6006.	2.80	
12/30/09	Perform logic check QC for groups 6035, 6036, 6037, 6038, 6039, 6040, and 6053.	3.20	
12/30/09	Perform logic check QC for groups 6056, 6057, 6058, and 6059.	1.90	
12/30/09	Perform logic check QC for groups 6029, 6030, 6031, 6032, 6033, 6034, and 6035.	3.10	
12/31/09	Perform logic check QC for groups 6083, 6084, 6085, 6086, 6089, and 6092	2.60	
12/31/09	Perform QC of related accounts for groups 7116, 7117, 7118, 7119	1.40	
12/31/09	Continue logic check QC for groups associated with KVT6-4	2.60	
\$212.00 per hour x total hrs of		170.10	\$36,061.20

### Bridget Meacham

12/01/09	Performed account grouping for Group IDs: 504, 5042, 5043, 5044, 5045, 5046, 5047, 5048, 5049, 5050, 5076, 5077, 5079, 5082, 5083, 5084, 5089, 5090, 5091, 5093, 5095, 5096, 5097.	4.30
12/01/09	Performed account tagging for group IDs 3333, 3370, 3371, and 3372.	1.20
12/02/09	Performed transfer tagging for Group IDs 5037, 5038, 5041, 5063, 5064, 5065, 5066.	6.50

12/02/09	Confirmed changes in account grouping for Group ID 5044.	0.30	
12/02/09	Performed transaction tagging for Group IDs 5002 and 5015.	1.50	
12/03/09	Performed transfer tagging for Group IDs 5032, 5067, 5082, 5084.	5.00	
12/03/09	Performed account grouping for Group IDs 5115, 5124, 5125, 5126, 5127, 5128, 5129, 5130, 5131, 5132, 5135, 5137.	2.50	
12/08/09	Performed account grouping for group IDs 6027, 6028, 6029, 6030, 6031, 6032, 6033, and 6078.	2.30	
12/08/09	Performed account tagging for Group IDs 6011 and 6012.	1.80	
12/08/09	Performed account grouping for Group IDs 6080, 6081, 6082, 6083, 6084, 6085, 6086, 6077, and 6087.	2.00	
12/09/09	Performed account tagging for Group IDs 6012, 6013, 6014, 6015, 6016, 6047, 6049, 6050, 6051, 6064, 6067, 6057, and 6059.	9.30	
12/10/09	Performed account tagging for group IDs 6055, 6058, 6069, 6074, 6070, 6071, 6072, 6086, 6087, 6092, and 6094.	7.50	
12/11/09	Performed account tagging for 6101, 6102, and 6103. Performed QC check on account grouping for group ID 6078.	4.00	
12/14/09	Checked account grouping for Group ID 6080.	0.40	
12/14/09	Performed quality control review of account groupings for Group IDs 6080, 6081, 6082, 6083, 6084, 6085, 6086, 6087, 6078, and 6033.	4.00	
12/16/09	Performed account grouping for Group IDs 7064 - 7076.	4.00	
12/16/09	Performed account tagging for group IDs 6050, 6058, 6067, and 6069.	3.80	
12/17/09	Performed account grouping for Group IDs: 7091, 7092, 7093, 7094, 7095, 7096, and 7097.	3.00	
12/17/09	Performed account tagging for Group IDs 7040, 7041, and 7042.	1.80	
12/17/09	Performed account grouping for Group IDs: 7063, 7077, 7078, 7079, 7080, 7081, 7089, and 7090.	3.00	
12/18/09	Performed account tagging for Group IDs: 7014, 7043, 7044, and 7063.	4.30	
12/22/09	Performed QC check of group 7063.	1.00	
	\$196.00 per hour x total hrs of	73.50	\$14,406.00

**Rebecca Templeton**

12/01/09	Production and categorization of supporting source documents related to K. Van Tassel second US affidavit in the chapter 15 matter.	2.80	
12/01/09	Gathering and categorization of the required source documents required for the chapter 15 production.	3.50	
12/01/09	Creation of the electronic versions of source documents related to the required production of the supporting source documents related to Chapter 15 hearing.	1.70	
12/02/09	Extracted samples of cash tracing analysis and examined related checks for Trustmark account 1558 for the year 2007.	3.40	
12/02/09	Extracted samples of cash tracing procedures and examined related checks for Trustmark account 1707 for the year 2007.	3.10	
12/03/09	Created schedule to support cash tracing Trustmark accounts 1558 and 1707 for the year 2007.	1.10	
12/03/09	Performed quality control for tagging transaction detail for Group ID 5003, "GRAMOND INVESTMENTS LIMITED".	1.80	
12/03/09	Performed quality control for related account review for Group ID 5094 "EDUARDO SILVESTRE ZARIKIAN TOVAR ORZAREH ESTEBAN ZARIKIAN SAHAGIAN".	0.70	
12/03/09	Performed quality control for related account review for Group ID 5093 "ANNI BENEDETTI DE BAQUERO".	0.50	

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12/07/09	Production and categorization of affidavits related to chapter 15 matter.	2.30
12/07/09	Continued organization of the electronic versions of affidavits filed in Northern District of Texas, High Court of Justice, Eastern Caribbean Court and Canada Province of Quebec related to Chapter 15 hearing.	2.40
12/07/09	Categorization of the electronic versions of affidavits filed in Northern District of Texas, High Court of Justice, Eastern Caribbean Court and Canada Province of Quebec related to Chapter 15 hearing.	2.70
12/08/09	Creating report of findings for counsel in relation to Theresa Jamail's investor accounts.	0.80
12/08/09	Tracing check from investor Theresa Jamail from Toronto Dominion account 1670 to her SIB account 172713.	2.10
12/08/09	Analyzing Theresa Jamail's SIB activity in <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> and comparing to check information from counsel.	1.80
12/08/09	Tracing check from investor Theresa Jamail's account to Trustmark account 1707 and Toronto Dominion account 1670.	3.40
12/09/09	Production and electronic categorization of supporting work papers for paragraphs 1-31 related to Karyl's July 27th Declaration.	2.20
12/09/09	Creation of the electronic versions of work papers for paragraph 1-31 of KVT July 27th Declaration related to the required production of the source documents for the Chapter 15 hearing.	3.40
12/09/09	Gathering and categorization of the required work papers required for the chapter 15 production.	2.60
12/10/09	Gathering and categorization of the required work papers required for the chapter 15 production.	2.40
12/10/09	Creation of the electronic versions of work papers for paragraph 32-49 of KVT July 27th Declaration related to the required production of the source documents for the Chapter 15 hearing.	3.40
12/10/09	Production and electronic categorization of supporting work papers for paragraphs 32-49 related to Karyl's July 27th Declaration.	2.20
12/11/09	Production and electronic categorization of supporting work papers for paragraphs 50-54 related to Karyl's July 27th Declaration.	1.90
12/11/09	Creation of the electronic versions of work papers for paragraph 50-54 of KVT July 27th Declaration related to the required production of the source documents for the Chapter 15 hearing.	2.10
12/14/09	Reviewing historical SIBL statements and other additional information for Group 1006.	3.50
12/14/09	Adding additional SIBL statements information for Group 1006.	0.50
12/14/09	Extracting information for attorney request regarding pouch logs.	0.80
12/15/09	Performed quality control for tagging transaction detail for Group ID 6007	1.80
12/15/09	Performed quality control for tagging transaction detail for Group ID 6037	2.50
12/15/09	Performed quality control for tagging transaction detail for Group ID 6036	2.10
12/15/09	Performed quality control for tagging transaction detail for Group ID 6008	1.60
12/16/09	Performed quality control for tagging transaction detail for Group ID 6079	1.40
12/16/09	Performed quality control for tagging transaction detail for Group ID 6041	3.80
12/16/09	Performed quality control for tagging transaction detail for Group ID 6085	1.20

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## *Invoice Activity*

12/16/09	Performed quality control for tagging transaction detail for Group ID 6084	1.30	
12/17/09	Compile 2007 check analysis for Karyl Van Tassel Ch.15 deposition.	0.40	
12/17/09	Performed quality control for tagging transaction detail for Group ID 6111	1.40	
12/17/09	Performed quality control for tagging transaction detail for Group ID 6112	1.90	
12/17/09	Performed quality control for tagging transaction detail for Group ID 6114	1.80	
12/17/09	Inquiring with Rhonda Davis concerning protocol for customer purchase of SIBL CD per attorney request.	0.80	
12/18/09	Performed quality control for tagging transaction detail for Group ID 6115	3.90	
12/21/09	Performed quality control for tagging transaction detail for Group ID 7051	1.80	
12/21/09	Performed quality control for tagging transaction detail for Group ID 7049	1.90	
12/21/09	Performed quality control for tagging transaction detail for Group ID 7053	2.10	
12/21/09	Performed quality control for tagging transaction detail for Group ID 7055	1.50	
12/22/09	Performed quality control for tagging transaction detail for Group ID 7071	1.70	
12/22/09	Performed quality control for tagging transaction detail for Group ID 7067	1.50	
12/22/09	Performed quality control for tagging transaction detail for Group ID 7068	2.30	
12/22/09	Performed quality control for tagging transaction detail for Group ID 7058	1.90	
12/23/09	Performed quality control for tagging transaction detail for Group ID 7072	1.80	
12/23/09	Performed quality control for tagging transaction detail for Group ID 7073	1.70	
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\$196.00 per hour x total hrs of		103.20	\$20,227.20

### **Abigail Poplis**

12/01/09	Related account review and analysis for KVT6-3: groups 5065, 5066, 5067, 5068, 5069, 5070, 5071, 5072, 5073, 5074, 5075, 5094	2.00
12/01/09	Related account review and analysis for KVT6-3: groups 5010, 5011, 5012, 5014, 5015, 5017, 5018, 5019, 5105, 5106, 5107, 5108	1.50
12/01/09	Related account review and analysis for KVT6-3: groups 5001, 5002, 5003, 5004, 5005, 5006, 5007, 5008, 5009, 5109, 5110, 5121	1.40
12/01/09	Related account review and analysis for KVT6-3: groups 5031, 5032, 5033, 5034, 5035, 5036, 5037, 5038, 5052, 5053, 5054, 5055, 5056, 5057, 5058	1.60
12/01/09	Related account review and analysis for KVT6-3: groups 5020, 5022, 5023, 5024, 5025, 5026, 5027, 5028, 5029, 5030, 5122, 5123, 5133	2.00
12/02/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5027 YA GLOBAL INVESTMENTS, L.P., 5028 PROACCI, S.A. DE C.V., 5029 ELIZABETH DE CERALLO	1.40

12/02/09	Meeting with Schawla and BMeacham to further explain and answer questions regarding tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis.	0.90
12/02/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5023 CARMILL INVESTMENTS LTD., 5024 ACCITEN S.A. DE C.V., 5025 MARIA QUINTERO DE PERALTA, 5026 BANCO DEL AUSTRO S.A.,	2.00
12/02/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5014SILK ROSE TRUST, 5018 GRAND VIEW INVESTMENTS TRUST, 5020STCL AS CUSTODIAN FOR FUNDACION NINA MAR S.A., 5022PONTIFICI UNIVERSIDAD CATOLICA DEL ECUADOR	2.00
12/02/09	QCed related account review for groups 5055 and 5102	0.50
12/02/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5008, PENTAGON HOLDING S.A, 5010 LEMIVESA LIMITED, 5011 SODEXHO PASS VENEZUELA, C.A., 5012GROENE BEEK COMMODITIES TRADING LIMITED	1.60
12/03/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5033 RAMBALGO, INC., 5034 ISAAC VARON DANON OR SELMA SHIRINO DE VARON OR JAIME VARON SHIRINO OR DANIEL VARON SHIRINO OR VIVETTE VARON SHIRINO, 5035 EMILIONS CORPORATION	1.60
12/03/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5055MARIO BONOMI, 5056 G MAX LTD., 5057 PASTEUR CORP., 5068 TANO LTD.,	1.50
12/03/09	Related account review and analysis for KVT6-3: groups 5136, 5138, 5140, 5141, 5059, 5061, 5062, 5063, 5064, 5098, 5100, 5102, 5103, 5104	1.50
12/03/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5048RIAZ MAWANI OR ALNASIR MAWANI, 5050 CONTEMPORARY HOLDINGS INC., 5052 CAPITAL ONE GROUP INC., 5053 MOHAMED ABD EL RAHMAN SALEM, 5054 JOSE RIBADENEIRA FERNANDEZ-SALVADOR,	2.00
12/03/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5036 CELIA SANCHEZ Y GALDUROZ OR LUCIANO BESOIY SANCHEZ OR RODRIGO BESOIY SANCHEZ, 5045 INDUSTRIAS LARA-CARABOBO (INLACA), 5046 ZENITH FUND, 5047 SEGOES SECURITIES, LTD.	2.00
12/04/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5075 INVERUS S. DE R.L. DE C.V.	0.50

12/04/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5069 MARTIN AGUAYO, 5072 C.A. SEGUROS CATATUMBO, 5073MURRY A. DECOTEAU, 5074 GUILLERMO ENRIQUE BELLOSO CONDE.	2.00
12/04/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5076 JOSE MANUEL OTERO, 5077 EQUUS VIII LLC, 5097 NAIEF BOU HALLOUN OR VICTORIA BOU HALLOUN-JOSEPH OR NARIMAN APAID HALLOUN, 5098 JOAN C. MCGREGOR OR JOHN G. MCGREGOR	1.90
12/04/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5110 INVERGROUP C.A., 5111 ANDRES MAYOR CARMONA OR MARIA L. DE MAYOR, 5121 MACONABI N.V., 5122 PAPEL, CARTON Y DERIVADOS S.A. DE C.V.	1.90
12/04/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5103 UCB PROPERTIES TRUST, 5106 GIUSEPPE GUARINO ZARRA, 5107 ANGELA DEL CAMPO DE MARTIN OR DIONISIO MARTIN LAZARO OR MIGUEL MARTIN DEL CAMPO OR MARIA DE LOS ANGELES MARTIN DEL CAMPO, 5109 CHARLES LARRY CASEY	1.80
12/07/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Group 5131 SHEARWATER MANAGEMENT CORP.	0.80
12/07/09	Partial KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5002 DELTA INVESTMENTS INT L LTD; 5079 ALEXANDER FACKELMANN, 5119 TOURMICH LTD.	2.00
12/07/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5136 CARLOS ENRIQUE BORGES ESPINAL OR ANA MARCELA COLMENAREZ DE BORGES, 5137 EQUIPO 2108 TRUST, 5140FERNANDO JAVIER BARBOZA GUTIERREZ, 5141ALMAG TRUST	1.90
12/07/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5132 JEFFREY H. FARMER JR. AND NORMA B. UPSHUR; 5133 FERNANDO RUSSEK FUHRMANN OR MARIA DEL CARMEN PORTALES DE RUSSEK OR FERNANDO RUSSEK PORTALES; 5134 COMERCIAL ODER S.A. DE C.V.; 5135 C.A.N. TRUST	1.80
12/07/09	KVT6-3 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-3 SIB Proceeds Analysis: Groups 5123 ENSENADA TRUST, 5125 FERDINANDO MIGUEL DE FREITAS T., 5126 WALTER CARVALLO ALVAREZ, 5128 RIMAS TRUST.	2.00
12/08/09	Conference call to insruct individuals on procedures for account review and analysis	0.60
12/08/09	Related account review and analysis for KVT6-4: groups 6000, 6001, 6002, 6003, 6004, 6005, 6011, 6012, 6013, 6014	1.60
12/08/09	Related account review and analysis for KVT6-4: groups 6102, 6103, 6104, 6105, 6106	1.00

12/08/09	Related account review and analysis for KVT6-4: groups 6050, 6051, 6052, 6053, 6054, 6098, 6099, 6100, 6101	1.70
12/08/09	Related account review and analysis for KVT6-4: groups 6039, 6040, 6041, 6042, 6043, 6044, 6045, 6046, 6047, 6048, 6049	2.00
12/08/09	Related account review and analysis for KVT6-4: groups 6015, 6016, 6017, 6018, 6019, 6020, 6034, 6035, 6036, 6037, 6038	1.80
12/09/09	QC of related account review and analysis for KVT6-4: groups 6124 FERNANDO JUAN REYES HERNANDEZ; 6125 GUSTAVO HERNANDEZ M.; 6126FRANCO LOPEZ; 6127 ALEX DI GERONIMO; 6129 INTERMIJU TRUST	1.50
12/09/09	KVT6-4 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: Groups 6002 REGENT FIVE TRUST;6003 LATINA TRUST; 6004 ROJUMA TRUST; 6005 LEJAVI LTD S.A.	1.40
12/09/09	QC of related account review and analysis for KVT6-4: groups 6028 GERARDO TURRENT RIQUELME; 6029 ANIBAL MORGADO; 6030 ENRIQUE PAREDES; 6031 LILIANA GARCIA BRENA	1.50
12/09/09	QC of related account review and analysis for KVT6-4: groups 6010 QUATRO TRUST; 6021 FRANK J ROTH AUG GARCIA; 6022 FRANK BENGTON; 6023 PEDRO CARMONA PEREZ	1.70
12/09/09	QC of related account review and analysis for KVT6-4: groups 6024 MANUEL TURRENT DIAZ; 6025 JAIME COSTA LAVIN; 6026 REBECA NAJMAN DE SZTERENSUS; 6027 QUINES TRUST	1.40
12/09/09	QC of related account review and analysis for KVT6-4: groups 6006 VIGAS PRETENSADAS MONTERREY S.A DE C.V.; 6007 FREDDY EDUARDO MANZANO TINIA COS; 6008 EDALJO S.A.; 6009 JOSE FRANCISCO SANCHEZ RODRIGUEZ OR MICHAELA SANCHEZ	1.60
12/10/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group 5112 ARGUMENT LTD.; 5113 ENDELST JOSEFINA ORTEGA RUBIO; 5114 SENSO VELA LIMITED; 5115 SOCIETE DE PONT SALARS SOPONSA TRUST; 5117 GRUPO INDUSTRIAL SALTILLO S.A. DE C.V.; 5119 TOURMICH LTD.; 5121 MACONABI N.V.	1.20
12/10/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group 5071 SODITAN TRUST; 5072 C.A. SEGUROS CATATUMBO; 5110 INVERGROUP C.A.; 5111ANDRES MAYOR CARMONA OR MARIA L. DE MAYOR; 5112 ARGUMENT LTD.; 5113 ENDELST JOSEFINA ORTEGA RUBIO; 5114 SENSO VELA LIMITED; 5115 SOCIETE DE PONT SALARS SOPONSA TRUST; 5117 GRUPO INDUSTRIAL SALTILLO S.A. DE C.V.	1.60
12/10/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group 5001 TOREADOR RESOURCES CORPORATION; 5002 DELTA INVESTMENTS INT L LTD; 5003GRAMOND INVESTMENTS LIMITED; 5004 TOLVEG TRUST; 5014 SILK ROSE TRUST; 5028 PROACCI, S.A. DE C.V.; 5029 ELIZABETH DE CERALLO; 5030 NINFA CLARIOND REYES RETANA; 5031ALVARO SANCHEZ AND BLANCA SANCHEZ	1.40

12/10/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group 5031 ALVARO SANCHEZ AND BLANCA SANCHEZ, 5032 EPIC MERCHANT ENERGY, L.P.; 5033 RAMBALGO, INC.; 5034 ISAAC VARON DANON OR SELMA SHIRINO DE VARON OR JAIME VARON SHIRINO OR DANIEL VARON SHIRINO OR VIVETTE VARON SHIRINO; 5035 EMILIONS CORPORATION; 5036 CELIA SANCHEZ Y GALDUROZ OR LUCIANO BESYO SANCHEZ OR RODRIGO BESYO SANCHEZ; 5050 CONTEMPORARY HOLDINGS INC.; 5052 CAPITAL ONE GROUP INC; 5053 MOHAMED ABD EL RAHMAN SALEM	1.50
12/10/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group 5054 JOSE RIBADENEIRA FERNANDEZ-SALVADOR; 5055 MARIO BONOMI; 5056 G MAX LTD.; 5057 PASTEUR CORP.; 5058 CHLOEE K POAG; 5061 OTAOLA INGENIERIA C.A.; 5062 FIMC (FINANCIAL INSURANCE MANAGEMENT CORP.); 5063 SALOMON COHEN ACHMI; 5064 VEISEH FAMILY LP	1.60
12/10/09	Reconciling of proceeds in/out to KVT-5 CD interest proceeds amount for Group 5065 MELHEM FAMILY LP; 5066 SHERBROOKE CAPITAL TRUST; 5067 NATHALIE MARIE JOSEPHINE MARTINEZ; 5068 TANO LTD.; 5069 MARTIN AGUAYO; 5070; ANTONIO JOSE MOREIRA OR ROSA ZELINDA BARBOSA DE MOREIRA OR LICINIO JORGE LEAO DE SOUSA OR JOSE PAULO LEAO DE SOUZA; 5071 SODITAN TRUST; 5072 C.A. SEGUROS CATATUMBO; 5110 INVERGROUP C.A.; 5111 ANDRES MAYOR CARMONA OR MARIA L. DE MAYOR	1.30
12/11/09	QC of related account review and analysis for KVT6-4: groups 6057 INVERFUTURO TRUST; 6058 NICOLAS VALE DIAZ; 6059 MARIO DEL CARMEN MEDINA GOMEZ; 6060 GRUPO INDUSTRIAL SALTILLO S.A. DE C.V.	1.30
12/11/09	QC of related account review and analysis for KVT6-4: groups 6061 VIDYA MANOHAR CHHABRIA; 6062 MIGUEL ANGEL CARROSSO; 6063 JARED WOOLF; 6064 SAMUEL MICHAN	1.30
12/11/09	QC of related account review and analysis for KVT6-4: groups 6090 MOISES BICAS SENKER; 6091 WALABY SERVICES LIMITED; 6092 MONICA RUZANSKY WINTERMAN; 6093 PARAFINAS Y VELADORAS FINAVEL SA DE CV; 6094 OLGA KUROCHKINA	1.20
12/11/09	QC of related account review and analysis for KVT6-4: groups 6032 MARTA COLOMINA DE RIVERO; 6033 ANTONIO SOTO SOLE OR BLANCA ESTELA VAZQUEZ DE SOTO OR EDUARDO SOTO VAZQUEZ; 6055 BENJAMIN CARLOS ALFREDO GONZALEZ B.; 6056 WALDORF OVERSEAS LIMITED	1.00
12/11/09	KVT6-4 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: Groups 6009 JOSE FRANCISCO SANCHEZ RODRIGUEZ OR MICHAELA SANCHEZ; 6017 1339097 ALBERTA LTD; 6090 MOISES BICAS SENKER	1.10
12/11/09	QC of related account review and analysis for KVT6-4: groups 6118 CAVIA TRUST; 6121 ROSA F. FISHLEDER DE LANDAU; 6122 JUAN GARCIA SUAREZ OR HERMELINA NICOLAS DE GARCIA; 6123 LEAR LTD .	1.20

12/14/09	QC of related account review and analysis for KVT6-4: groups 6078 ANTONIO MIRAGLIA, 6079 NIALLA LIMITED PARTNERSHIP; 6080 MONICA NERMARK; 6081 ANDREA MARROQUIN; 6082 LUNETCO INVESTMENTS B.V.; 6083 CARLOS ALEJANDRO AUE WANTZELUIS OR LUISA ELENA OBREGON DE AUE OR MARIA GABRIELA AUE OBREGON OR MARIA ALEJANDRA AUE OBREGON	1.50
12/14/09	QC of related account review and analysis for KVT6-4: groups 6084 LEONARDO CAMARGO CAMARGO; 6085 IOSIF FODYMANOV; 6086 MJ CORPORATION; 6087 BEATRIZ CHAYET DE GOLDBERG; 6088 PRAVENCA(PROCESADORA AGRO DE INDUST VZLA CA); 6089 AMPROS CORPORATION	1.50
12/14/09	KVT6-4 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: Groups 6000 FRANCISCO ALBERTO SALADIN; 6001 MULTIPHONE LTD.; 6006VIGAS PRETENSADAS MONTERREY S.A DE C.V.	1.80
12/14/09	QC of related account review and analysis for KVT6-4: groups 6073 DORALWAY INTERNATIONAL LIMITED; 6074 ILMULINO TRUST; 6075AARON TORNELL; 6076 IVAN DARIO BARBOZA GUTIERREZ; 6077 VICTOR ALFREDO PELLICER WINKLAAR OR ISRAELITA H. P. DE PELLICER OR LOUISA I. PELLICER OR OLIVIA L. PELLICER OR VICTOR A. PELLICER POSNER	1.70
12/14/09	QC of related account review and analysis for KVT6-4: groups 6069 GERARDO PANDAL RUIZ; 6070 RICHARD JOBIN; 6071 FRANCOIS VANIER; 6072 NICHOLAS IAN HUGHES	1.50
12/14/09	QC of related account review and analysis for KVT6-4: groups 6065 ORLANDO JOSE CANELON RODRIGUEZ; 6066 CHRISTOPHE DURAND; 6067 TONINO DI EUGENIO OR OMAIRA DI EUGENIO OR KATIUSKA DI EUGENIO OR LANDINA SIGISMONDI; 6068 FANNY LAU DE OLMOS	1.40
12/15/09	KVT6-4 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: fielding questions, and standardizing methodology	0.60
12/15/09	KVT6-4 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: Groups 6006 VIGAS PRETENSADAS MONTERREY S.A DE C.V.; 6011 SHERLI ELGHANIAN; 6049 ALEJANDRO MONCADA YEPEZ OR MAYDA YEPEZ GIL OR FARIDY HOBAICA RANGEL	1.50
12/15/09	KVT6-4 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: Groups 6113 YONAT F DE CHARTARIFSKY; 6064 SAMUEL MICHAN	1.10
12/15/09	KVT6-4 SIBL account review: QCing tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: groups 6056 WALDORF OVERSEAS LIMITED; 6071 FRANCOIS VANIER; 6072 NICHOLAS IAN HUGHES	1.90
12/15/09	KVT6-4 SIBL account review: QCing tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: groups 6074 ILMULINO TRUST; 6075 AARON TORNELL	1.20

12/15/09	QC of related account review and analysis for KVT6-4: groups 6117 JOHANNA CRISTINA TRIP DE CEPEDA; 6119 SEAHOME HOLDINGS LIMITED; 6120RAMAL DE INVERSIONES S.A.	2.00
12/16/09	KVT6-4 SIBL account review: QCing tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: groups 6076 IVAN DARIO BARBOZA GUTIERREZ; 6079 NIALLA LIMITED PARTNERSHIP	1.90
12/16/09	KVT6 SIBL account review: Tagging, categorizing and tracing account transactions for further review for KVT6 SIB Proceeds Analysis: Group 1025, additional accounts in KVT6-4 6006 VIGAS PRETENSADAS MONTERREY S.A DE C.V.; 6009 JOSE FRANCISCO SANCHEZ RODRIGUEZ OR MICHAELA SANCHEZ	2.80
12/16/09	KVT6-4 SIBL account review: QCing tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: groups 6080 MONICA NERMARK; 6081 ANDREA MARROQUIN; 6082 LUNETCO INVESTMENTS B.V.	1.70
12/16/09	KVT6-4 SIBL account review: made changes to tagging, categorizing and tracing account transactions for group 6075 AARON TORNELL	1.60
12/17/09	QCing tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: groups 6095 MARIA DE LOS ANGELES LLORENS; 6097 EDITH BOGUSKY BEAUJON; 6099 BEG TRUST; 6113 YONAT F DE CHARTARIFSKY	2.00
12/17/09	KVT6-4 SIBL account review: made changes to tagging, categorizing and tracing account transactions for group 6020 ACTIVE TRUST	1.30
12/17/09	QCing tagging, categorizing and tracing account transactions for further review for KVT6-4 SIB Proceeds Analysis: groups 6058 NICOLAS VALE DIAZ; 6067 TONINO DI EUGENIO OR OMAIRA DI EUGENIO OR KATIUSKA DI EUGENIO OR LANDINA SIGISMONDI; 6069 GERARDO PANDAL RUIZ; 6078 ANTONIO MIRAGLIA	1.90
12/17/09	QC of related account review and analysis for KVT6-4: groups 7028 SOCOCO LTDA; 7032 NATHAN ALLEN MOORE	1.50
12/18/09	QC of related account review and analysis for KVT6-4: groups 7029 JOSE M. AYES OR MIRTHA AYES OR MIRTHA A. SEDENO OR CIRA CORRALES SANCHEZ; 7030 IGNACIO NAVA FACINI; 7031 SUSANA GRANDA GARCES; 7033 SALVADOR ENRIQUE CASANOVA ROSELLON	1.90
12/18/09	QC of related account review and analysis for KVT6-4: groups 7034 PEDRO HURTADO DE MENDOZA; 7035 JOSE JULIAN DEL SEDO C. DE JESUS DIB ALVAREZ OR JOSE JULIAN DIB QUEZADA; 7037 MARIA MICHELA ZARRILLO DE BUROZ; 7039 MARTISSE BUSINESS INC; 7040 RICHARD RAWSON	1.80
12/18/09	QC of related account review and analysis for KVT6-4: groups 7041 ADELA LOPEZ-BAGO GIRAL OR ADELA GIRAL BARNES; 7042 SOCORRO R. DE HERNANDEZ; 7043 SNOWMASS TRUST; 7044 PETER ROBIN MILWARD; 7045 FABIAN IDROVO OR ANA BEATRIZ ANDRADE; 7046 AURORA PLENGE WASHBURN DE ASPILLAGA	2.00

12/18/09	Related account review and analysis for KVT6-5: group 7038 FRANCISCO CASANOVA	0.60	
12/21/09	General Claims Search: St. Anne's School	0.80	
12/21/09	QC of related account review and analysis for KVT6-4: groups 7053 OSWALDO NANIA NUZZO OR ANTONIO NANIA NUZZO; 7054 ORESTE TONARELLI TTEE OF THE ORESTE TONARELLI REVOCABLE LIVING TRUST; 7055 PATRICIA ANN COURTNEY; 7056 LUIS A. PELLICER MARTINEZ; 7089 LUIS ANTONIO PELLICER REYES; 7057 JOSE OFILIO LACAYO PEREZ	2.00	
12/21/09	QC of related account review and analysis for KVT6-4: groups 7047 VESANT INTERNATIONAL LIMITED; 7048 JOSE LUIS LABARTHE HERNANDEZ; 7049 EDUARDO ACEVEDO OR ANGELA ACEVEDO AND JORGE EDUARDO BARRETO ACEVEDO; 7050 ANTONIO SOTO SOLE; 7051 STC CUSTODIAN FBO JAMES T. WEINER IRA; 7052 ROBERTO SALAZAR	1.90	
12/21/09	Call regarding discrepancies found during QC of related account review: discussed discrepancies and methods	1.20	
12/21/09	QC of related account review and analysis for KVT6-4: groups 7058 RAFAEL ANTONIO RODRIGUEZ NORIEGA; 7059 MARTA HAYDEE PAURICI DE ZERPA; 7060 BEGAMIAN TRUST; 7061 MENDEZ-ST. MALO INVESTMENT INC.; 7062 CARLOS M ROVATI SANTANA; 7064 ENGEL TRUST	2.40	
12/22/09	KVT6-4 SIBL account review: tagging, categorizing and tracing account transactions for group 7076 JAIME COHEN SAEHOAH	1.70	
12/22/09	QC of related account review and analysis for KVT6-4: groups 7036 ORGE A. HERNANDEZ; 7063 FRANCISCO GARCIA AZORIN OR CARMEN M. DE GARCIA OR CARMEN GARCIA DE ANEAS	1.90	
		\$188.00 per hour x total hrs of	126.20 \$23,725.60

**John Kluka**

12/01/09	Employees SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for Employees SIB Proceeds Analysis: Groups 3333, 3353, 3356, 3370, and 3371.	2.00	
12/01/09	Employees SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for Employees SIB Proceeds Analysis: Groups 3372.	0.60	
12/01/09	QC of Related account review and analysis for KVT6-3 Groups 5063, 5064, 5065, and 5066.	1.70	
12/01/09	QC of Related account review and analysis for KVT6-3 Groups 5038, 5041, 5042, 5043, 5044, and 5062.	2.20	
12/01/09	QC of Related account review and analysis for KVT6-3 Groups 5024, 5025, 5026, 5027, 5028, and 5037.	2.00	
12/02/09	Review of Duplicate SIBL Accounts by Group ID in KVT-6-3 and determination of which Group each duplicate account belongs in.	3.40	
12/02/09	Correcting KVT-6-3 database to eliminate SIBL accounts in multiple Group IDs.	1.40	
12/02/09	QC of Related account review and analysis for KVT6-3 Groups 5067, 5068, 5069, and 5097.	2.00	
12/02/09	QC of Related account review and analysis for KVT6-3 Groups 5100, 5103, and 5104.	1.60	
12/03/09	Adding of two SIBL Loan accounts to the Employee Loan Model Summary from the Additional Employee Net Winner/Loser batch.	1.70	

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12/03/09	Updating all pivot tables in Employee Loan Model with updated loan account list.	1.30
12/03/09	Determination of positive transfer paydown components for additional loan accounts in Employee Loan Model.	2.00
12/03/09	QC of Related account review and analysis for KVT6-3 Groups 5105, 5106, 5110, and 5111.	2.00
12/03/09	QC of Related account review and analysis for KVT6-3 Groups 5112, 5113, and 5121.	1.40
12/04/09	QC of Related account review and analysis for KVT6-3 Groups 5130, 5131, 5132, and 5133.	1.40
12/04/09	QC of Related account review and analysis for KVT6-3 Groups 5122, 5123, 5124, and 5125.	2.00
12/04/09	QC of Related account review and analysis for KVT6-3 Groups 5126, 5127, 5128, and 5129.	2.00
12/04/09	QC of Related account review and analysis for KVT6-3 Groups 5134 and 5135.	0.70
12/07/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5001, 5002, and 5018.	2.00
12/07/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5103 and 5111.	1.10
12/07/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5112 and 5113.	1.30
12/07/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5028, 5044, 5069.	1.70
12/07/09	QC of Related account review and analysis for KVT6-3 Groups 5136, 5137, 5138, and 5140.	2.00
12/08/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5035, 5036, and 5054.	2.00
12/08/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5113 and 5115.	2.00
12/08/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5132 and 5134.	2.00
12/08/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-3 SIB Proceeds Analysis: Groups 5136 and 5137.	2.00
12/09/09	Extraction of vendor and invoice General Ledger information from Oracle for Harry E Failing	1.20
12/09/09	Identification of KVT-6-3 Loan and ILCD accounts for further review	1.20
12/09/09	QC of net proceeds, total proceeds, and suggested principal amount for KVT-4 Accounts for the following: Gaines Adams, Donna M. Vines, Claude Needham, Emma Lee Lefebvre, and Tarral Daigle.	1.70
12/09/09	Review of collected and documented data for DST Systems in Application Inventory.	0.50
12/09/09	Finished creating Loan Summary for the 35 Loan Accounts in KVT-6-3.	2.10
12/09/09	Creation of Loan Summary information for the 35 Loan Accounts in KVT-6-3.	4.00

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12/10/09	QC of money in and proceeds out for KVT-6-3 Groups: 5137, 5136, and 5125.	1.80
12/10/09	Completed transfer paydown schedule including determination of paydown components for each positive transfer for KVT-6-3 Loan Model.	1.40
12/10/09	QC of money in and proceeds out for KVT-6-3 Groups: 5119, 5115, and 5106.	1.20
12/10/09	Began transfer paydown schedule including determination of paydown components for each positive transfer for KVT-6-3 Loan Model.	4.00
12/11/09	QC of Related account review and analysis for KVT6-4 Groups 6015, 6016, 6017, 6018, and 6019.	2.00
12/11/09	QC of Related account review and analysis for KVT6-4 Groups 6020, 6036, 6037, 6038, and 6039.	2.00
12/11/09	QC of Related account review and analysis for KVT6-4 Groups 6005, 6011, 6012, 6013, and 6014.	1.80
12/11/09	QC of Related account review and analysis for KVT6-4 Groups 6040.	0.30
12/11/09	QC of Related account review and analysis for KVT6-4 Groups 6000, 6001, 6002, 6003, and 6004.	2.00
12/14/09	QC of report showing all mailing address information for all investors with positive values in the "Net Winner (Loser) Value" column of the KVT-6-3 individuals.	3.40
12/14/09	QC of Related account review and analysis for KVT6-4 Groups 6045, 6046, 6047, and 6048.	2.00
12/14/09	QC of Related account review and analysis for KVT6-4 Groups 6049 and 6050.	0.90
12/14/09	QC of Related account review and analysis for KVT6-4 Groups 6041, 6042, 6043, and 6044.	2.00
12/15/09	QC of Related account review and analysis for KVT6-4 Groups 6051, 6052, 6053, 6054, 6098.	1.50
12/15/09	QC of Related account review and analysis for KVT6-4 Groups 6099, 6100, 6101, and 6102.	1.80
12/15/09	QC of Related account review and analysis for KVT6-4 Groups 6103, 6104, 6105, and 6106.	1.70
12/15/09	QC of Related account review and analysis for KVT6-4 Groups 6107, 6108, 6109, 6110, and 6111.	1.90
12/15/09	QC of Related account review and analysis for KVT6-4 Groups 6112, 6113, 6114, and 6115.	2.00
12/16/09	QC of Related account review and analysis for KVT6 Groups 1025.	0.40
12/16/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6083.	1.40
12/16/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6014 and 6017.	1.80
12/16/09	KVT-6-3 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6 SIB Proceeds Analysis: Group 1026.	1.00
12/16/09	QC of Related account review and analysis for KVT6-4 Groups 6116 and 6128.	1.30
12/16/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6011 and 6013.	2.30

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12/17/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6033 and 6034.	1.50	
12/17/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6020 and 6021.	2.20	
12/17/09	Identification of all Loan and ILCD accounts in KVT-6-4.	0.80	
12/17/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6026 and 6027.	1.90	
12/17/09	KVT-6-4 SIBL account review: QC of Tagging, categorizing and tracing account transactions for further review for KVT-6-4 SIB Proceeds Analysis: Groups 6030 and 6032, and 6033.	2.00	
12/21/09	QC of Related account review and analysis for KVT6-5 Groups 7013, 7014, 7015, and 7016.	2.00	
12/21/09	QC of Related account review and analysis for KVT6-5 Groups 7017, 7018, 7019, and 7020.	1.90	
12/21/09	QC of Related account review and analysis for KVT6-5 Groups 7021, 7022, 7023, and 7024.	2.20	
12/21/09	QC of Related account review and analysis for KVT6-5 Groups 7065.	0.90	
12/21/09	QC of Related account review and analysis for KVT6-5 Groups 7025, 7026, 7027, and 7038.	1.80	
12/22/09	QC of Related account review and analysis for KVT6-5 Groups 7073, 7074, 7075, and 7076.	2.20	
12/22/09	QC of Related account review and analysis for KVT6-5 Groups 7066, 7067, and 7068.	1.70	
12/22/09	QC of Related account review and analysis for KVT6-5 Groups 7077, 7078, 7079, 7080, and 7081.	2.10	
12/22/09	QC of Related account review and analysis for KVT6-5 Groups 7069, 7070, 7071, and 7072.	2.00	
12/23/09	QC of Related account review and analysis for KVT6-5 Groups 7102.	0.60	
12/23/09	QC of Related account review and analysis for KVT6-5 Groups 7094, 7095, 7096, and 7097.	1.70	
12/23/09	QC of Related account review and analysis for KVT6-5 Groups 7090, 7091, 7092, and 7093.	1.90	
12/23/09	QC of Related account review and analysis for KVT6-5 Groups 7085, 7086, 7087, and 7088.	2.00	
12/23/09	QC of Related account review and analysis for KVT6-5 Groups 7082, 7083, and 7084.	1.80	
	\$188.00 per hour x total hrs of	133.30	\$25,060.40

**Paul Dudzinski**

12/02/09	Initial tagging for group 5004.	1.00
12/02/09	Initial tagging for group 5031.	1.00
12/02/09	Initial tagging for group 5006.	0.40
12/02/09	Initial tagging for group 5003.	1.90
12/03/09	Second review tagging for group 5041.	1.30
12/03/09	Second review tagging for group 5014.	0.50
12/03/09	Second review tagging for group 5038.	0.50
12/03/09	Second review tagging for group 5025.	0.40
12/03/09	Second review tagging for group 5010.	0.30
12/03/09	Second review tagging for group 5015.	0.40
12/03/09	Second review tagging for group 5022.	0.30
12/03/09	Second review tagging for group 5017.	0.30

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12/03/09	Second review tagging for group 5019.	0.30
12/03/09	Second review tagging for group 5023.	0.40
12/03/09	Second review tagging for group 5011.	0.30
12/03/09	Second review tagging for group 5026.	0.40
12/03/09	Second review tagging for group 5008.	0.30
12/03/09	Initial tagging for group 5031.	0.50
12/03/09	Second review tagging for group 5027.	0.50
12/03/09	Second review tagging for group 5037.	0.40
12/03/09	Second review tagging for group 5012.	0.30
12/03/09	Second review tagging for group 5020.	0.40
12/03/09	Second review tagging for group 5020.	0.40
12/04/09	Second review tagging for group 5042.	0.50
12/04/09	Second review tagging for group 5052.	0.50
12/04/09	Second review tagging for group 5045.	0.50
12/04/09	Second review tagging for group 5046.	0.50
12/04/09	Second review tagging for group 5047.	1.50
12/04/09	Second review tagging for group 5043.	0.50
12/04/09	Second review tagging for group 5050.	0.80
12/04/09	Second review tagging for group 5048.	0.40
12/07/09	Second review tagging for group 5061.	0.30
12/07/09	Second review tagging for group 5063.	0.70
12/07/09	Second review tagging for group 5070.	0.60
12/07/09	Second review tagging for group 5066.	0.70
12/07/09	Second review tagging for group 5064.	0.40
12/07/09	Second review tagging for group 5056.	0.50
12/07/09	Second review tagging for group 5074.	0.50
12/07/09	Second review tagging for group 5071.	0.30
12/07/09	Second review tagging for group 5068.	0.30
12/07/09	Second review tagging for group 5067.	0.30
12/07/09	Second review tagging for group 5082.	0.30
12/07/09	Second review tagging for group 5065.	0.40
12/07/09	Second review tagging for group 5074.	0.30
12/07/09	Second review tagging for group 5057.	0.40
12/07/09	Second review tagging for group 5053.	0.40
12/07/09	Second review tagging for group 5073.	0.40
12/07/09	Second review tagging for group 5058.	0.30
12/07/09	Second review tagging for group 5055.	0.30
12/07/09	Second review tagging for group 5073.	0.20
12/07/09	Second review tagging for group 5072.	0.30
12/07/09	Second review tagging for group 5062.	0.30
12/08/09	Second review tagging for group 5121.	0.30
12/08/09	Second review tagging for group 5128.	2.10
12/08/09	Second review tagging for group 5095.	1.50
12/08/09	Second review tagging for group 5097.	1.50
12/08/09	Second review tagging for group 5126.	0.50
12/08/09	Second review tagging for group 5125.	0.50
12/08/09	Second review tagging for group 5123.	0.50
12/08/09	Second review tagging for group 5100.	0.50
12/08/09	Second review tagging for group 5096.	0.50
12/08/09	Second review tagging for group 5098.	0.40
12/08/09	Second review tagging for group 5091.	0.40
12/08/09	Second review tagging for group 5084.	0.40
12/08/09	Second review tagging for group 5090.	0.30
12/08/09	Second review tagging for group 5122.	0.20
12/08/09	Second review tagging for group 5089.	0.40
12/09/09	Second review tagging for group 5131.	0.80

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12/09/09	Second review tagging for group 5130.	1.80
12/09/09	Second review tagging for group 5138.	0.80
12/09/09	Second review tagging for group 5127.	0.50
12/09/09	Initial review tagging for group 6027.	2.00
12/09/09	Initial review tagging for group 6008.	0.50
12/09/09	Initial review tagging for group 6007.	0.50
12/09/09	Initial review tagging for group 6010.	1.00
12/09/09	Discuss tagging logistics and prepare for next review.	1.00
12/10/09	Logic check group 5136 - 5141.	1.10
12/10/09	Logic check groups 5037 - 5048	1.80
12/10/09	Logic check groups 5017 - 5027.	1.70
12/10/09	Logic check groups 5008 - 5012.	1.80
12/10/09	Initial review tagging for group 6078.	1.50
12/10/09	Logic check group 5090 - 5095	1.30
12/11/09	Initial review tagging for group 6008.	0.80
12/11/09	Initial review tagging for group 6002.	0.80
12/11/09	Initial review tagging for group 6004.	1.10
12/11/09	Initial review tagging for group 6003.	0.60
12/11/09	Initial review tagging for group 6027.	1.20
12/11/09	Initial review tagging for group 6068.	0.80
12/11/09	Initial review tagging for group 6043.	1.20
12/14/09	Second review tagging for group 6031.	0.30
12/14/09	Second review tagging for group 6028.	0.20
12/14/09	Second review tagging for group 6024.	0.20
12/14/09	Second review tagging for group 6022.	0.20
12/14/09	Second review tagging for group 6065.	0.10
12/14/09	Second review tagging for group 6063.	0.10
12/14/09	Second review tagging for group 6018.	0.30
12/14/09	Second review tagging for group 6023.	0.30
12/14/09	Second review tagging for group 6025.	0.30
12/14/09	Second review tagging for group 6057.	0.30
12/14/09	Second review tagging for group 6061.	0.30
12/14/09	Second review tagging for group 6066.	0.30
12/14/09	Second review tagging for group 6004.	0.40
12/14/09	Second review tagging for group 6029.	0.30
12/14/09	Second review tagging for group 6015.	0.30
12/14/09	Second review tagging for group 6019.	0.30
12/14/09	Second review tagging for group 6062.	0.70
12/14/09	Second review tagging for group 6005.	0.50
12/14/09	Second review tagging for group 6012.	0.40
12/14/09	Second review tagging for group 6059.	0.50
12/14/09	Second review tagging for group 6070.	0.40
12/14/09	Second review tagging for group 6016.	0.50
12/15/09	Second review tagging for group 6038.	0.50
12/15/09	Finish the initial review group 6078.	1.50
12/15/09	Second review tagging for group 6039.	0.60
12/15/09	Second review tagging for group 6040.	0.50
12/15/09	Second review tagging for group 6042.	0.50
12/15/09	Continue to first review group 6078.	1.80
12/15/09	Second review tagging for group 6036.	0.70
12/15/09	Initial review of group 6078.	2.10
12/16/09	Second review tagging for group 6093.	0.60
12/16/09	Second review tagging for group 6091.	0.30
12/16/09	Second review tagging for group 6087.	0.30
12/16/09	Second review tagging for group 6043.	0.40
12/16/09	Second review tagging for group 6044.	0.40

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12/16/09	Second review tagging for group 6046.	0.40
12/16/09	Second review tagging for group 6094.	0.40
12/16/09	Second review tagging for group 6088.	0.40
12/16/09	Second review tagging for group 6096.	0.70
12/16/09	Second review tagging for group 6092.	1.00
12/16/09	Second review tagging for group 6107.	1.00
12/16/09	Second review tagging for group 6118.	0.80
12/16/09	Second review tagging for group 6122.	1.50
12/16/09	Second review tagging for group 6121.	0.50
12/16/09	Second review tagging for group 6086.	0.40
12/16/09	Second review tagging for group 6089.	0.60
12/16/09	Second review tagging for group 6045.	0.30
12/17/09	Initial review of group 7032.	0.60
12/17/09	Second review tagging for group 6123.	0.50
12/17/09	Second review tagging for group 6127.	1.00
12/17/09	Second review tagging for group 6129.	0.70
12/17/09	Second review tagging for group 6117.	1.50
12/17/09	Continue to second review tagging for group 6117.	1.50
12/17/09	Finalize second review tagging for group 6117.	1.60
12/17/09	Second review tagging for group 6116.	2.00
12/17/09	Initial review of group 7029.	0.80
12/17/09	Initial review of group 7030.	0.40
12/17/09	Initial review of group 7031.	0.30
12/17/09	Second review tagging for group 6124.	0.50
12/17/09	Initial review of group 7034.	0.30
12/18/09	Initial review of group 7022.	0.90
12/18/09	Initial review of group 7019.	1.30
12/18/09	Initial review of group 7023.	0.50
12/18/09	Initial review of group 7039.	0.60
12/18/09	Initial review of group 7018.	1.30
12/18/09	Initial review of group 7021.	2.00
12/18/09	Initial review of group 7035.	0.40
12/18/09	Initial review of group 7036.	0.60
12/18/09	Initial review of group 7037.	0.30
12/21/09	Initial review of group 7068.	0.30
12/21/09	Initial review of group 7066.	0.80
12/21/09	Initial review of group 7050.	0.40
12/21/09	Initial review of group 7023.	0.50
12/21/09	Initial review of group 7053.	0.60
12/21/09	Initial review of group 7058.	0.30
12/21/09	Initial review of group 7038.	0.30
12/21/09	Initial review of group 7069.	0.30
12/21/09	Initial review of group 7055.	0.30
12/21/09	Initial review of group 7051.	0.50
12/21/09	Initial review of group 7067.	0.60
12/21/09	Initial review of group 7070.	0.60
12/21/09	Initial review of group 7052.	0.70
12/21/09	Initial review of group 7049.	0.80
12/21/09	Initial review of group 7054.	0.80
12/21/09	Initial review of group 7057.	0.20
12/22/09	Initial review of group 7073.	0.70
12/22/09	Initial review of group 7071.	0.60
12/22/09	Second review of group 7016.	0.80
12/22/09	Second review of group 7013.	1.00
12/22/09	Initial review of group 7072.	0.40
12/22/09	Second review of group 7043.	0.30

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12/22/09	Second review of group 7014.	0.30
12/22/09	Second review of group 7041.	0.40
12/22/09	Second review of group 7047.	0.50
12/22/09	Second review of group 7015.	0.50
12/22/09	Initial review of group 7070.	0.50
12/23/09	Initial review of group 7016.	0.80
12/23/09	Initial review of group 7017.	0.50
12/23/09	Initial review of group 7076.	0.50
12/23/09	Initial review of group 7064.	0.50
12/23/09	Initial review of group 7059.	0.60
12/23/09	Initial review of group 7025.	0.70
12/23/09	Initial review of group 7075.	0.30
12/23/09	Initial review of group 7065.	0.40
12/23/09	Initial review of group 7047.	0.40
12/23/09	Initial review of group 7027.	0.50
12/23/09	Initial review of group 7060.	0.50
12/23/09	Initial review of group 7061.	0.50
12/24/09	Second review of group 7081.	0.80
12/24/09	Initial review of group 7029.	0.70
12/24/09	Second review of group 7078.	0.50
12/24/09	Initial review of group 7032.	0.60
12/24/09	Initial review of group 7034.	0.60
12/24/09	Second review of group 7082.	0.70
12/26/09	Initial review of group 7037.	1.50
12/26/09	Initial review of group 7054.	1.00
12/28/09	Second review tagging in group 7090.	0.30
12/28/09	Second review tagging in group 7024.	1.00
12/28/09	Second review tagging in group 7088.	0.50
12/28/09	Tagging meeting and QC training.	2.00
12/28/09	Second review tagging in group 7087.	0.60
12/28/09	Second review tagging in group 7108.	0.60
12/28/09	Second review tagging in group 7091.	0.30
12/28/09	Second review tagging in group 7110.	1.40
12/28/09	Second review tagging in group 7106.	0.50
12/28/09	Second review tagging in group 7089.	1.00
12/28/09	Second review tagging in group 7103.	0.40
12/28/09	Second review tagging in group 7107.	0.50
12/28/09	Second review tagging in group 7109.	0.40
12/28/09	Second review tagging in group 7084.	0.90
12/28/09	Second review tagging in group 7104.	0.50
12/29/09	Second review tagging in group 7096.	0.80
12/29/09	Second review tagging in group 7026.	0.40
12/29/09	Meet about method to QC various data anomalies.	1.50
12/29/09	Second review tagging in group 7063.	0.50
12/29/09	Second review tagging in group 7125.	0.40
12/29/09	Second review tagging in group 7126.	0.50
12/29/09	Second review tagging in group 7127.	0.70
12/29/09	Second review tagging in group 7128.	0.40
12/29/09	Second review tagging in group 7129.	0.60
12/29/09	Second review tagging in group 7100.	0.90
12/29/09	Logic check training.	0.50
12/29/09	Second review tagging in group 6020.	0.50
12/29/09	Second review tagging in group 7097.	0.50
12/29/09	Second review tagging in group 7099.	0.70
12/29/09	Second review tagging in group 7074.	0.50
12/29/09	Second review tagging in group 7044.	0.70

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12/29/09	Second review tagging in group 7042.	0.50	
12/29/09	Second review tagging in group 7040.	0.70	
12/30/09	QC updates to data anomalies.	3.00	
12/30/09	Logic check of net/winners losers analysis for groups 6061- 6071.	1.50	
12/30/09	Logic check of net/winners losers analysis for groups 6042 - 6052.	1.50	
12/30/09	Training for logic checks of net winners/losers.	1.70	
12/30/09	Logic check of net/winners losers analysis for groups 6018-6028.	2.00	
12/30/09	Finalize logic check of net/winners losers analysis for groups 6018-6028.	1.50	
12/31/09	Logic checks for groups 6106-6129.	2.00	
12/31/09	QC initial balance entry.	2.00	
12/31/09	QC updates to data anomalies.	2.00	
12/31/09	Review completed logic checks.	1.50	
	\$180.00 per hour x total hrs of	173.00	\$31,140.00

**Sheena Chawla**

12/01/09	Grouped together related accounts for group #5113.	0.70
12/01/09	Grouped together related accounts for group #5114.	0.70
12/01/09	Group together accounts for group # 5111.	1.00
12/01/09	Group together related accounts for group #5112.	0.80
12/02/09	Tagged transactions related to Group #5001.	1.30
12/02/09	Grouped together related accounts for Group #5120.	0.30
12/02/09	Grouped together related accounts for Group # 5119.	0.50
12/02/09	Grouped together related accounts for Group #5117.	0.50
12/02/09	Group together related accounts for group #5116.	0.90
12/02/09	Tagged transactions related to group #5030.	0.80
12/03/09	Tagged transactions related to group #5059.	0.20
12/03/09	Tagged transactions related to group #5058.	0.50
12/03/09	Tagged accounts related to account #5043.	0.80
12/03/09	Tagged accounts related to account #5042.	0.70
12/03/09	Tagged transactions related to Group #5044.	0.70
12/04/09	Tagged transactions related to group #5096.	0.70
12/04/09	Tagged transactions related to group #5091.	0.50
12/04/09	Tagged transactions related to Group #5061.	1.10
12/04/09	Tagged transactions related to group #5062.	0.70
12/04/09	Tagged transactions related to group #5095.	0.80
12/04/09	Tagged transactions related to group #5108.	0.80
12/04/09	Tagged transactions related to Group #5070.	1.40
12/04/09	Tagged transactions related to group #5071.	0.70
12/04/09	Tagged transactions related to group #5089.	0.80
12/04/09	Tagged transactions related to group #5090.	0.40
12/07/09	Grouped accounts related to group #5127.	1.50
12/07/09	Grouped accounts related to group #s 6111-6120.	2.40
12/07/09	Tagged transactions related to group #5138.	1.40
12/07/09	Grouped accounts related to group #s 6093, 6094, 6095. 6096. 6097.	1.00
12/08/09	Grouped accounts related to group #6056.	0.80
12/08/09	Grouped accounts related to group #6055.	0.80
12/08/09	Grouped accounts related to group #6057.	0.50
12/08/09	Grouped accounts related to group #6068, 6069, 6070.	0.50
12/08/09	Grouped accounts related to group #6058 and 6066	0.70
12/08/09	Tagged transactions related to group #6018.	0.80
12/08/09	Grouped accounts related to group #6059.	0.70
12/08/09	Grouped accounts related to group #6009.	0.70
12/08/09	Grouped accounts related to group #6067.	0.80
12/08/09	Grouped accounts related to group #6008.	0.60

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12/08/09	Grouped accounts related to group #6007.	0.30
12/08/09	Grouped accounts related to group #6006.	0.40
12/08/09	Tagged transactions related to group #6036.	0.70
12/08/09	Grouped accounts related to group #6010.	0.20
12/08/09	Grouped accounts related to group #6088, 6089, 6090, 6091, 6092.	0.70
12/08/09	Tagged transactions related to group #6019.	0.70
12/09/09	Grouped transactions related to group #6038.	1.00
12/09/09	Grouped transactions related to group #6037.	1.30
12/09/09	Grouped transactions related to group #6021.	1.30
12/09/09	Grouped transactions related to group #6036.	1.50
12/09/09	Grouped transactions related to group #6020.	1.30
12/09/09	Performed QC of the grouping of accounts for group #6067.	1.30
12/09/09	Grouped transactions related to group #6040.	1.50
12/09/09	Grouped transactions related to group #6039.	0.60
12/10/09	Grouped transactions related to group #6075.	2.00
12/10/09	Grouped transactions related to group #6044.	1.50
12/10/09	Grouped transactions related to group #6045.	0.70
12/10/09	Grouped transactions related to group #6040.	0.60
12/10/09	Grouped transactions related to group #6042.	0.70
12/10/09	Grouped transactions related to group #6043.	0.80
12/10/09	Grouped transactions related to group #6076.	1.30
12/10/09	Grouped transactions related to group #6082.	0.40
12/10/09	Grouped transactions related to group #6046	1.00
12/11/09	Tagged transactions related to group #6077.	1.00
12/11/09	Tagged transactions related to group #6083.	1.20
12/11/09	Tagged transactions related to group #6085.	1.40
12/11/09	Tagged transactions related to group #6084.	0.80
12/11/09	Tagged transactions related to group #6081.	0.70
12/11/09	Tagged transactions related to group #6079.	0.80
12/11/09	Tagged transactions related to group #6080.	1.40
12/14/09	Performed QC of group #6088.	0.30
12/14/09	Performed QC of group #s 6115-6120.	2.70
12/14/09	Performed QC of group #s 6096-6097.	0.70
12/14/09	Performed QC of group #6095.	0.50
12/14/09	Performed QC of group #6094.	0.40
12/14/09	Performed QC of group #6093.	0.30
12/14/09	Performed QC of group #6092.	1.30
12/14/09	Performed QC of group #s 6111-6112.	1.00
12/14/09	Performed QC of group #6090.	0.50
12/14/09	Performed QC of group #6089.	0.50
12/14/09	Performed QC of group #6091.	0.50
12/15/09	Performed QC of group #6009.	1.00
12/15/09	Tagged transactions related to group #6021.	1.30
12/15/09	Tagged transactions related to group #6077.	0.80
12/15/09	Tagged transactions related to group #6085.	1.00
12/15/09	Tagged transactions related to group #6083.	2.30
12/15/09	Performed QC of group #6006.	0.80
12/15/09	Performed QC of grouping of group #6117.	0.70
12/16/09	Grouped transactions related to groups 7033-7034.	0.80
12/16/09	Grouped transactions related to groups 7024-7032.	3.60
12/16/09	Grouped transactions related to group #7006.	0.70
12/16/09	Grouped transactions related to groups 7125-7129.	2.50
12/17/09	Grouped transactions related to groups 7098-7099.	0.70
12/17/09	Grouped transactions related to group #7132.	1.00
12/17/09	Grouped transactions related to group #7110.	2.50
12/17/09	Grouped transactions related to groups 7106-7109.	2.00

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*Invoice Activity*

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12/17/09	Tagged transactions related to groups 7122 and 7124.	1.00	
12/17/09	Grouped transactions related to groups 7100-7101.	0.80	
12/18/09	Reviewed account groupings.	4.00	
12/18/09	Tagged transactions related to group 7047-7048 and 7120.	2.50	
12/21/09	Tagged transactions for group #7046.	4.00	
12/21/09	Tagged transactions for group #7095.	0.60	
12/21/09	Tagged transactions for group #7045.	2.00	
12/21/09	Tagged transactions for group #7106.	0.60	
12/22/09	Tagged transactions for group #7107.	0.50	
12/22/09	Tagged transactions for group #7121.	1.50	
12/22/09	Tagged transactions for group #7110.	2.50	
12/22/09	Tagged transactions for group #7092.	0.70	
12/22/09	Tagged transactions for group #7093.	0.50	
12/22/09	Tagged transactions for group #7094.	1.70	
12/23/09	Tagged transactions for group #7108.	1.00	
12/23/09	Tagged transactions for group #7096.	1.30	
12/23/09	Tagged transactions for group #7109.	1.70	
12/23/09	Performed QC of tagged transactions for group #7048.	0.70	
	<u>\$180.00 per hour x total hrs of</u>	<u>118.60</u>	<u>\$21,348.00</u>

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**Expense Detail  
Invoice 7225671**

Name	Group	Home Office	Expense Type	Date	Description	Amount
Abayomi Odunsi	FLC	Dallas, TX	Airfare/Trainfare	12/2/2009	Airfare from Dallas to Houston on 11/29/09, returned 12/2/09	<b>320.20</b>
Abayomi Odunsi	FLC	Dallas, TX	Hotel & Lodging	12/2/2009	Houston Hotel Expenses for 3 nights, 11/29/09 - 12/2/09	<b>663.39</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	12/2/2009	Taxi from Home to Airport	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	12/2/2009	Taxi from Airport to Hotel	<b>55.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Taxi/Subway	12/2/2009	Taxi from Airport to Home	<b>35.00</b>
Abayomi Odunsi	FLC	Dallas, TX	Telephone	12/1/2009	Internet Access Charges	<b>19.90</b>
<b>TOTAL - Abayomi Odunsi</b>						<b>\$1,128.49</b>
Craig Kolodjeski	FLC	Washington, D.C.	Hotel & Lodging	12/3/2009	Houston Hotel Expenses for 3 nights, 11/30/09 - 12/3/09	<b>666.75</b>
Craig Kolodjeski	FLC	Washington, D.C.	Taxi/Subway	12/3/2009	Taxi - Client Site to Airport	<b>70.00</b>
<b>TOTAL - Craig Kolodjeski</b>						<b>\$736.75</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/7/2009	Airfare from Charlotte to Houston on 12/7/09, returned 12/10/09	<b>1,081.30</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/14/2009	Airfare from Charlotte to Houston on 12/14/09, returned 12/17/09	<b>1,052.30</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/3/2009	Houston Hotel Expenses for 3 nights, 11/30/09 - 12/3/09	<b>736.95</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/10/2009	Houston Hotel Expenses for 3 nights, 12/7/09 - 12/10/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/17/2009	Houston Hotel Expenses for 3 nights, 12/14/09 - 12/17/09	<b>663.39</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/3/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/3/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/7/2009	Taxi from Airport to Client Site	<b>60.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/7/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/10/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/14/2009	Taxi from Home to Airport	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/17/2009	Taxi from Client Site to Airport	<b>73.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/17/2009	Taxi from Airport to Home	<b>28.00</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Telephone	12/7/2009	Internet Access Charges	<b>14.02</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Telephone	12/14/2009	Internet Access Charges	<b>14.02</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Telephone	12/15/2009	Internet Access Charges	<b>14.02</b>
Daniel Palmer	Corp Fin	Charlotte, NC - Corp Finance	Telephone	12/16/2009	Internet Access Charges	<b>14.02</b>
<b>TOTAL - Daniel Palmer</b>						<b>\$4,644.41</b>
EEC DC Location 551	EEC	Washington, DC	Computer Hard Drives	12/31/2009	Computer Hard Drives (5 - 250GB @ 85.00 ea.)	<b>425.00</b>
<b>TOTAL - EEC DC Location 551</b>						<b>\$425.00</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/3/2009	Airfare from Houston to Charlotte on 12/3/09	<b>533.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/8/2009	Airfare from Charlotte to Houston on 12/8/09	<b>488.10</b>
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/10/2009	Airfare from Houston to Charlotte on 12/10/09	<b>538.10</b>



Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/10/2009	Parking at airport	57.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/14/2009	Airfare from Charlotte to Houston on 12/14/09	538.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/17/2009	Airfare from Houston to Charlotte on 12/17/09	538.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/18/2009	Parking at airport	64.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/28/2009	Airfare from Charlotte to Houston on 12/28/09	538.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/31/2009	Airfare from Houston to Charlotte on 12/31/09	538.10
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Airfare/Trainfare	12/31/2009	Parking at airport	56.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/3/2009	Houston hotel expenses for 4 nights, 11/30/09-12/3/09	628.29
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/10/2009	Houston hotel expenses for 2 nights, 12/8/09 - 12/10/09	418.86
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/17/2009	Houston hotel expenses for 3 nights, 12/14/09 - 12/17/09	628.79
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Hotel & Lodging	12/31/2009	Houston hotel expenses for 3 nights, 12/28/09 - 12/31/09	628.29
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/8/2009	Taxi from Airport to Client Site	60.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/14/2009	Taxi from Airport to Client Site	60.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/28/2009	Taxi from Airport to Client Site	60.00
Jeanette Day	Corp Fin	Charlotte, NC - Corp Finance	Taxi/Subway	12/31/2009	Taxi from Client Site to Airport	102.75
<b>TOTAL - Jeanette Day</b>						<b>\$6,475.68</b>
Jeffrey Ferguson	FLC	Dallas, TX	Hotel & Lodging	12/2/2009	Houston Hotel Expenses for 2 nights, 11/30/09 - 12/2/09	395.46
Jeffrey Ferguson	FLC	Dallas, TX	Miscellaneous Vendor Services	12/1/2009	Parking at Airport	24.00
<b>TOTAL - Jeffrey Ferguson</b>						<b>\$419.46</b>
Joshua M. Robinson	Corp Fin	Chicago, IL - Corp Finance	Miscellaneous Vendor Services	12/9/2009	Outside Vendor Services to assist in processing of Montreal Data	800.00
Joshua M. Robinson	Corp Fin	Chicago, IL - Corp Finance	Miscellaneous Vendor Services	12/19/2009	Outside Vendor Services to assist in processing of Montreal Data	800.00
<b>TOTAL - Joshua M. Robinson</b>						<b>\$1,600.00</b>
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	12/14/2009	Airfare from Dallas to Houston on 12/14/09	145.10
Mark Russell	FLC	Dallas, TX	Airfare/Trainfare	12/16/2009	Airfare from Houston to Dallas on 12/16/09	160.10
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	12/2/2009	Houston Hotel Expenses for 4 nights, 11/28/09 - 12/2/09	697.32
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	12/11/2009	Houston Hotel Expenses for 5 nights, 12/6/09 - 12/11/09	988.65
Mark Russell	FLC	Dallas, TX	Hotel & Lodging	12/16/2009	Houston Hotel Expenses for 2 nights, 12/14/09 - 12/16/09	348.66
Mark Russell	FLC	Dallas, TX	Mileage	12/2/2009	Parking at Airport	60.00
Mark Russell	FLC	Dallas, TX	Mileage	12/16/2009	Parking at Airport	36.00
<b>TOTAL - Mark Russell</b>						<b>\$2,435.83</b>
Native Files	SAAS	Washington, DC	Native File Processing Fee	12/31/2009	Native File Processing Fee (9.47 GB @ 820.00, GB expedited @ /GB)	7,765.40
Native Files	SAAS	Washington, DC	Tiff Generation	12/31/2009	Tiff Generation (61,136 pages @ 0.04/page)	2,445.44
<b>TOTAL - Native Files</b>						<b>\$10,210.84</b>
Patrick Beeman	Tech	Chicago, IL	Taxi/Subway	12/9/2009	Taxi - Office to Home	8.00
<b>TOTAL - Patrick Beeman</b>						<b>\$8.00</b>



FTI

**Expense Detail  
Invoice 7225671**

Robert Giles	FLC	Dallas, TX	Airfare/Trainfare	12/2/2009	Airfare from Dallas to Houston on 11/29/09, returned 12/2/09	<b>320.20</b>
Robert Giles	FLC	Dallas, TX	Hotel & Lodging	12/2/2009	Houston Hotel Expenses for 3 nights, 11/29/09 - 12/2/09	<b>593.19</b>
Robert Giles	FLC	Dallas, TX	Mileage	12/1/2009	Parking at Airport	<b>12.00</b>
Robert Giles	FLC	Dallas, TX	Mileage	12/2/2009	Parking at Airport	<b>12.00</b>
<b>TOTAL - Robert Giles</b>						<b>\$937.39</b>
RT-Chicago HOSTING	Tech	Chicago, IL	Online Hosting Fees	12/31/2009	Online Hosting Fees (150.36 GB @ 60.00/GB)	<b>9,021.60</b>
RT-Chicago HOSTING	Tech	Chicago, IL	Online Loading Fees	12/31/2009	Online Loading Fees (35.60 GB @ 80.00/GB)	<b>2,848.00</b>
<b>TOTAL - RT-Chicago HOSTING</b>						<b>\$11,869.60</b>
<b>TOTAL EXPENSES</b>						<b>\$40,891.45</b>

Stanford Financial Receivership  
FTI Billing Breakout  
Time Incurred on December Invoice Issued February 11

<b>Baker Botts Description</b>	<b>Amount</b>	<b>Percentage</b>
Investor Litigation	\$ 328,736	47%
General Litigation	223,758	32%
Cash Management	44,794	6%
Receivership Admin	40,659	6%
Claims	21,922	3%
Broker Litigation	15,302	2%
Government Production	12,734	2%
Accounting	6,479	1%
<b>Grand Total</b>	<b>\$ 694,384</b>	<b>100%</b>

# **EXHIBIT E**



INVOICE NUMBER: US0130170647

November 16, 2009

Ralph S. Janvey, Esq.  
Receiver - Stanford Financial  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America  
P.O. Box 848107  
Dallas, TX 75284-8107

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Privileged and Confidential – Attorney Work Product*  
*Re: Stanford Financial Receivership*

For professional services rendered in connection with the above referenced matter from October 1, 2009 through October 31, 2009.

Professional Fees (Discounted 20%) and Expenses: \$91,027

*Total Due* \$91,027

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
Due Upon Receipt

CLIENT COPY

# REMITTANCE ADVICE



INVOICE NUMBER: US0130170647

November 16, 2009

Ralph S. Janvey, Esq.  
Receiver - Stanford Financial  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America  
P.O. Box 848107  
Dallas, TX 75284-8107

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Total Due*

**\$91,027**

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ELECTRONIC FUNDS TRANSFER INFORMATION

REDACTED

REDACTED

*Reference Client and Invoice Number on Electronic Transmittal*

January 6, 2010

**Ralph S. Janvey, Esq., Receiver - Stanford Financial**

 Re: *Stanford Financial Receivership*
**Summary of Professional Time, Expenses, and Fees**

Invoice Period:

October 1, 2009 through October 31, 2009

Professional	Position	Hours	Discounted Rates	Discounted Fees	Expenses	Discounted Total
<b>Tax:</b>						
Bradshaw, Ann	Partner/Principal/Executive Director	7.1	\$532.00	\$3,777	-	\$3,777
Niesen, Davila K.	Partner/Principal/Executive Director	0.5	\$532.00	\$266	-	\$266
Beakey III, Andrew M	Partner/Principal/Executive Director	10.4	\$532.00	\$5,533		\$5,533
Ochocki, Debra G.	Partner/Principal/Executive Director	1.5	\$532.00	\$798		\$798
Jones II, James G.	Senior Manager	15.0	\$472.00	\$7,080		\$7,080
Stratton, Kendra L.	Senior Manager	13.5	\$472.00	\$6,372		\$6,372
Perlewitz, Katrina Noelle	Manager	17.3	\$396.00	\$6,851		\$6,851
Hardegree, Elizabeth Lynn	Senior	29.8	\$308.00	\$9,178		\$9,178
Raza, Amir	Senior	43.5	\$308.00	\$13,398		\$13,398
Thompson, Rebecca Suzanne	Senior	5.0	\$308.00	\$1,540	-	\$1,540
Hudgins, Megan Elizabeth	Staff	9.0	\$268.00	\$2,412		\$2,412
Shanker, Mohan Boddavaram	Staff	68.0	\$268.00	\$18,224		\$18,224
Sommerfeldt, Kevin Scott	Staff	57.2	\$268.00	\$15,330		\$15,330
Whitten III, Robert Holt	Staff	1.0	\$268.00	\$268		\$268
<b>Tax Total:</b>		<b>278.8</b>		<b>\$91,027</b>		<b>\$91,027</b>

**Summary of Tax Professional Time and Fees by Week**

Week Ending	Hours	Discounted Fees
October 2, 2009	9.3	\$4,558
October 9, 2009	24.6	\$8,002
October 16, 2009	61.9	\$23,291
October 23, 2009	76.7	\$23,376
October 30, 2009	106.3	\$31,800
	<b>278.8</b>	<b>\$91,027</b>

Professional Service Categories	% of Total Fee	Discounted Fees
Sales and use tax audit/refund request	9.4%	\$8,569
Analysis of client information for minimization of state taxes	11.3%	\$10,242
Prepare state tax return analysis	72.9%	\$66,363
Tax research and consultation on requests from Receiver and Baker Botts	3.8%	\$3,458
Administration of tax department matters including property tax	2.6%	\$2,395
	100.0%	\$91,027

Employee	Description	Transaction Date	Hours
Beakey III,Andrew M	S&U tax audit telecon re auditor requests and bad debt deduction	10/2/2009	0.8
Beakey III,Andrew M	review and prepare for FL S&U tax audit mtg .8 hr.	10/8/2009	0.8
Beakey III,Andrew M	Document necessary state returns .5 hr.	10/9/2009	0.5
Beakey III,Andrew M	review Florida auditors report and IRS reports - 1.5 hrs	10/12/2009	1.5
Beakey III,Andrew M	meeting with Stanford receiver and review of Forepoint Holdings - 2.8 hrs.	10/14/2009	2.8
Beakey III,Andrew M	review of Forepoint issues with Jim Jones of EY to discuss state filings and inventory - 1.2 hrs.	10/14/2009	1.2
Beakey III,Andrew M	review state returns .7 hrs. S&U tax audit response .8 hrs.	10/21/2009	1.5
Beakey III,Andrew M	review state return tax liabilities	10/29/2009	0.8
Beakey III,Andrew M	teleconference with Craig Adams regarding status of tax issues, state returns and work to do before year end.	10/29/2009	0.5
Bradshaw,Ann	TX and FL SUT audit/refund request - update with team and eng docs	10/2/2009	1.5
Bradshaw,Ann	State return filing issues for failure to file - Ann and Katrina Perlewitz discuss research	10/6/2009	0.5
Bradshaw,Ann	FL SUT audit conf call w/ team on defense	10/8/2009	0.5
Bradshaw,Ann	FL SUT refund issues	10/8/2009	0.5
Bradshaw,Ann	FL SUT auditor meeting/issues/plan re: claim protest	10/9/2009	0.5
Bradshaw,Ann	TMT positions - review attorney memo and 2008 filings	10/21/2009	1.5
Bradshaw,Ann	Review and discuss TX margin tax issues with Katrina Perlewitz	10/22/2009	1.0
Bradshaw,Ann	prepare for and discuss FL sales and use tax protest with team	10/23/2009	0.6
Bradshaw,Ann	MS issues for '08 frx tax filing for SVCH	10/27/2009	0.5
Hardegree,Elizabeth Lynn	discussing and planning work to be done, getting reestablished at Stanford (internet, etc)	10/16/2009	1.3
Hardegree,Elizabeth Lynn	preparing SFG Majestic Holdings 2009 Tennessee return	10/16/2009	1.3
Hardegree,Elizabeth Lynn	preparing Stanford Venture Capital Holdings 2009 Mississippi return	10/16/2009	1.5
Hardegree,Elizabeth Lynn	preparing Stanford Venture Capital Holdings 2009 Tennessee return	10/16/2009	1.3
Hardegree,Elizabeth Lynn	preparing Trail Partner's 2009 Tennessee return	10/16/2009	2.8
Hardegree,Elizabeth Lynn	discussing approach to SVCH first MS return	10/19/2009	0.8
Hardegree,Elizabeth Lynn	preparing and filing September SUT returns	10/20/2009	4.5
Hardegree,Elizabeth Lynn	clearing review notes for SFGC DC return	10/26/2009	0.8
Hardegree,Elizabeth Lynn	preparing a memo regarding the BDE and COD issue between SFGC and the aviation companies	10/26/2009	2.0
Hardegree,Elizabeth Lynn	preparing and clearing review notes for SFGC TN return and researching status with TN dept of state	10/26/2009	3.0
Hardegree,Elizabeth Lynn	preparing and clearing review notes for SFGC TN return and researching status with TN dept of state	10/27/2009	2.5
Hardegree,Elizabeth Lynn	preparing processing documents (target pages, filing instructions, AT&I, T-letter)	10/27/2009	1.0
Hardegree,Elizabeth Lynn	preparing processing documents (target pages, filing instructions, AT&I, T-letter)	10/28/2009	1.5
Hardegree,Elizabeth Lynn	preparing processing documents (target pages, filing instructions, AT&I, T-letter)	10/29/2009	2.5
Hardegree,Elizabeth Lynn	searching the eRoom for Caribbean Sun Airline related documents	10/30/2009	3.0
Hudgins,Megan Elizabeth	prepare state income and franchise tax returns for Stanford Group Company	10/26/2009	2.0
Hudgins,Megan Elizabeth	prepare state income and franchise tax returns for Stanford Group Company	10/27/2009	2.0
Hudgins,Megan Elizabeth	prepare state income and franchise tax returns for Stanford Group Company	10/28/2009	2.0
Hudgins,Megan Elizabeth	prepare state income and franchise tax returns for Stanford Group Company	10/29/2009	3.0
Jones II,James G.	prepare for meeting with Florida Sales and Use auditors	10/2/2009	1.0
Jones II,James G.	develop tax return listing and align resources for execution.	10/2/2009	4.0
Jones II,James G.	prepare information and respond to calls from Jeanette Day regarding property taxes.	10/2/2009	1.0
Jones II,James G.	meet with Andy to discuss Forefront LLC returns (2.0).	10/15/2009	2.0
Jones II,James G.	meet with Andy to discuss state return process and develop listing of states to file in (2.0)	10/15/2009	2.0
Jones II,James G.	meet with staff to explain state return process (1.0), review state apportionment schedules (4.0)	10/16/2009	5.0
Niesen, Davila K.	passive issue in texas	10/26/2009	0.5
Ochocki,Debra G.	call with Jim to briefly discuss auditor questions	10/1/2009	0.5
Ochocki,Debra G.	follow-up with Texas auditor and follow-up on status of FL claim	10/22/2009	1.0
Perlewitz,Katrina Noelle	list of state returns to Andy	10/7/2009	0.8
Perlewitz,Katrina Noelle	FL S/U tax audit - research Federal bad debt cases for Jim, call regarding meeting with auditor	10/8/2009	2.0
Perlewitz,Katrina Noelle	state apportionment for SFGC	10/14/2009	1.0
Perlewitz,Katrina Noelle	get Kevin started on state returns for SFGC	10/15/2009	0.7
Perlewitz,Katrina Noelle	state apportionment for SFGC	10/15/2009	1.0
Perlewitz,Katrina Noelle	review state returns for SFGC;	10/16/2009	2.0
Perlewitz,Katrina Noelle	review state returns for SFGC; get Elizabeth and Kevin working on returns	10/16/2009	4.0
Perlewitz,Katrina Noelle	Answering Kevin's questions - reviewing issue for Mississippi for SVCH	10/19/2009	1.0
Perlewitz,Katrina Noelle	stanford - review of FL return for SFGC - resolve out of balance CA return	10/19/2009	0.8
Perlewitz,Katrina Noelle	Review of SFGC state returns CO/DC/GA/LA/MA/MS/NY	10/23/2009	2.0
Perlewitz,Katrina Noelle	Answering questions for Kevin and Elizabeth on clearing Stanford review notes for SFGC; speak to Ann B about SVCH MS re	10/26/2009	1.0
Perlewitz,Katrina Noelle	final review of Stanford Financial Group Company state returns	10/27/2009	1.0
Raza,Amir	Started on the allocation and apportionment paperwork. Assisted Mohan on getting the right prior year tax returns to be scanned	10/16/2009	8.0
Raza,Amir	Prepared Stanford Group Company state tax returns	10/19/2009	5.5
Raza,Amir	Prepared Stanford Group Company state tax returns	10/20/2009	5.5
Raza,Amir	Detail review of the following states for Stanford Group Company. AL,AR,AK,AZ,CA,CO,CT,DC,	10/26/2009	5.5
Raza,Amir	Detail review of the following states for Stanford Group Company. FL,GA,HI,IA,ID,IA,NY,NC,ND,	10/27/2009	6.0
Raza,Amir	Detail review of the following states for Stanford Group Company. OH,OK,OR,PA,RI,SC,TN,	10/29/2009	8.0
Raza,Amir	Detail review of the following states for Stanford Group Company. UT,VT,VA,WV,WI,	10/30/2009	5.0
Shanker,Mohan Boddavaram	Stanford Group Company : States Returns for 2007 scanned for the several states and saved into the ECF.	10/6/2009	5.0
Shanker,Mohan Boddavaram	Stanford Group Company : States Returns for 2007 scanned for the several states and saved into the ECF.	10/7/2009	5.0
Shanker,Mohan Boddavaram	Stanford Group Company : Saved the 2007 State Returns into the ECF for the states : Newyork, North Carolina, North Dakota	10/16/2009	5.0
Shanker,Mohan Boddavaram	Worked on Stanford Group Company State Returns : NY CT 3 and NY 3M/4M Surcharge Return , entered data and complied	10/19/2009	4.0
Shanker,Mohan Boddavaram	Worked on Stanford Group Company State Returns : North Dakota, Rhode Island, Oregon, Utah, Vermont Return , entered	10/20/2009	7.0
Shanker,Mohan Boddavaram	Worked on Stanford Group Company State Returns : North Carolina and South Carolina State Return , entered data and co	10/21/2009	6.0
Shanker,Mohan Boddavaram	Worked on Stanford Group Company State Returns : Virginia, West Virginia and Wisconsin State Returns , entered data an	10/22/2009	5.0
Shanker,Mohan Boddavaram	Worked on Stanford Group Company State Returns : Ohio , Okhalama , State Returns , entered data and complied according	10/23/2009	5.0
Shanker,Mohan Boddavaram	Worked on Stanford Group company States returns : Clearing comments and finalizing returns	10/24/2009	1.0
Shanker,Mohan Boddavaram	Worked on Stanford Group company States returns : Clearing comments and finalizing returns	10/26/2009	4.0
Shanker,Mohan Boddavaram	Worked on Stanford Group company States returns : Clearing comments and finalizing returns	10/27/2009	6.0
Shanker,Mohan Boddavaram	Worked on Stanford Group company States returns : Clearing comments and finalizing returns	10/28/2009	7.0
Shanker,Mohan Boddavaram	Worked on Stanford Group company States returns : Clearing comments and finalizing returns	10/29/2009	5.0
Shanker,Mohan Boddavaram	Worked on Stanford Group company States returns : Clearing comments and finalizing returns	10/30/2009	3.0
Sommerfeldt,Kevin Scott	Prepare State Tax Returns for SFGC	10/5/2009	1.0
Sommerfeldt,Kevin Scott	Prepare State Tax Returns for SFGC	10/7/2009	1.0
Sommerfeldt,Kevin Scott	Prepared a spreadsheet that showed all of the Stanford entities and which states they need to file state and/or franchise retur	10/7/2009	1.0
Sommerfeldt,Kevin Scott	Allocating and apportioning income for state returns.	10/15/2009	3.0
Sommerfeldt,Kevin Scott	Allocating and apportioning income for state returns.	10/16/2009	6.0
Sommerfeldt,Kevin Scott	Stanford Financial Group State Returns	10/19/2009	5.0
Sommerfeldt,Kevin Scott	Stanford Financial Group State Returns	10/20/2009	3.0
Sommerfeldt,Kevin Scott	Stanford Group Company State Returns	10/20/2009	3.0
Sommerfeldt,Kevin Scott	Stanford Group Company State Returns	10/21/2009	6.0
Sommerfeldt,Kevin Scott	Stanford Group Company State Returns	10/22/2009	2.0
Sommerfeldt,Kevin Scott	Stanford Group Company State Returns	10/23/2009	4.0
Sommerfeldt,Kevin Scott	State returns for SFGC and SGC	10/26/2009	6.0
Sommerfeldt,Kevin Scott	State returns for SFGC and SGC	10/27/2009	6.0

## EY - Tax Time Detail

Invoice for Period October 1, 2009 through October 31, 2009

Employee	Description	Transaction Date	Hours
Sommerfeldt, Kevin Scott	State returns for SFGC and SGC	10/28/2009	5.3
Sommerfeldt, Kevin Scott	State returns for SFGC and SGC	10/29/2009	4.2
Sommerfeldt, Kevin Scott	State returns for SFGC and SGC	10/30/2009	0.7
Stratton, Kendra L.	discuss staffing for state returns with jim, amir, and mohan	10/2/2009	0.5
Stratton, Kendra L.	work at stanford offices helping to get prior year state return documentation in files, discuss apportionment with amir, other g	10/16/2009	8.0
Stratton, Kendra L.	review questions with amir relative to all state returns.	10/21/2009	1.0
Stratton, Kendra L.	Stanford Group Company - review state apportionment schedule as prepared, provide review notes to preparer	10/26/2009	4.0
Thompson, Rebecca Suzanne	FL refund claim research and auditor meeting.	10/8/2009	3.0
Thompson, Rebecca Suzanne	FL refund claim research and auditor meeting.	10/9/2009	1.5
Thompson, Rebecca Suzanne	Sent out FL auditor's response.	10/12/2009	0.5
Whitten III, Robert Holt	Research for florida sales tax notice	10/8/2009	1.0
			<b>278.8</b>



INVOICE NUMBER: US0130151521

November 25, 2009

Receiver - Stanford Financial  
Ralph S. Janvey, Esq.  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America - Chic. 91251  
P.O. Box 91251  
Chicago, IL 60693

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

ENGAGEMENT NUMBER: 14764656

*Privileged and Confidential - Attorney Work Product*  
*Re: Stanford Financial Receivership*

For professional services rendered and expenses incurred in connection with the above referenced matter from October 3, 2009 through October 30, 2009.

Professional Fees (Discounted 20%) \$32,609

*Total Due* \$32,609

*Copy to:*

Joseph A. Cialone II, Esq.  
Baker Botts L.L.P  
One Shell Plaza  
910 Louisiana Street  
Houston, Texas 77002

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
DUE UPON RECEIPT

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**Stanford Financial Group**

*Re: Stanford Financial Receivership*

**Summary of Professional Time, Fees, and Expenses**

Invoice Period:

October 3, 2009 through October 30, 2009

Professional	Position	Hours	Discounted Rate	Discounted Fees
<b><u>Advisory:</u></b>				
Massam, Bradley A	Partner/Principal/Executive Director	1.5	\$ 532	\$ 798
Osborn, Gary L	Partner/Principal/Executive Director	53.8	532	28,622
Drake, Dominique Desiree Iolantha	Staff	1.6	268	429
Poon, Joseph Leung	Staff	2.0	268	536
Washington, Brittany	Staff	8.3	268	2,224
<b>TOTAL</b>		<b>67.2</b>		<b>\$ 32,609</b>

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DUE UPON RECEIPT

**Stanford Financial Group**

*Re: Stanford Financial Receivership*

**Summary of Advisory and Tax Professional Time and Fees by Week**

Invoice Period:

October 3, 2009 through October 30, 2009

<b>Week Ending</b>	<b>Hours</b>	<b>Discounted Fees</b>
<b><u>Advisory</u></b>		
October 9, 2009	5.2	\$ 2,766
October 16, 2009	30.3	16,120
October 23, 2009	11.8	5,855
October 30, 2009	19.9	7,868
<b>TOTAL</b>	<b>67.2</b>	<b>\$ 32,609</b>

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DUE UPON RECEIPT

# REMITTANCE ADVICE



INVOICE NUMBER: US0130151521

November 25, 2009

Receiver - Stanford Financial  
Ralph S. Janvey, Esq.  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America - Chic. 91251  
P.O. Box 91251  
Chicago, IL 60693

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Total Due*

**\$32,609**

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## ELECTRONIC FUNDS TRANSFER INFORMATION

REDACTED

REDACTED

*Reference Client and Invoice Number on Electronic Transmittal*

<b>Category</b>	<b>Hours</b>
Preparation of Financial Statements	
Corporate Structure Analysis	3.2
Engagement Quality Matters	26.0
Other Assets Support	1.9
Liabilities Support	1.8
US Financial Statements	8.3
December 2008 Financial Statements	0.8
Supervision and Review	8.0
General Receivership Matters	5.4
International Receivership Matters	1.5
Tax	10.3
<b>Total</b>	<b>67.2</b>

Professional	Time Description	Date	Hours	Category
Drake, Dominique Desiree Iolantha	Analysis comparison of entities listed on Filing 538 with EY Master Entity List	22-Oct-2009	1.6	Preparation of Financial Statements - Corporate Structure Analysis
Massam, Bradley A	Engagement quality	09-Oct-2009	0.5	Preparation of Financial Statements - Engagement Quality Matters
Massam, Bradley A	Engagement quality	15-Oct-2009	1.0	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	assessment of FINRA findings	03-Oct-2009	2.1	General Receivership Matters
Osborn, Gary L	assessment of FINRA findings	04-Oct-2009	1.9	General Receivership Matters
Osborn, Gary L	meeting with Receiver to discuss progress, budget and future scope of work	06-Oct-2009	0.5	General Receivership Matters
Osborn, Gary L	work with EY Canada to coordinate efforts of US Receiver and Canadian Receiver	06-Oct-2009	0.7	International Receivership Matters
Osborn, Gary L	work with EY Canada to coordinate efforts of US Receiver and Canadian Receiver	09-Oct-2009	0.8	International Receivership Matters
Osborn, Gary L	various work and meetings with Stanford accounting personnel related to financial statement work, detailed support, etc.	12-Oct-2009	6.8	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	work related to US financial statements and supporting statements	13-Oct-2009	5.3	Preparation of Financial Statements - US Fin Statements
Osborn, Gary L	review of work completed in support of litigation, etc.	15-Oct-2009	7.2	Preparation of Financial Statements - Supervision and Review
Osborn, Gary L	review of work completed in support of litigation, etc.	16-Oct-2009	8.7	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	review of corporate structure info searching for yacht ownership	19-Oct-2009	1.6	Preparation of Financial Statements - Corporate Structure Analysis
Osborn, Gary L	work related to US financial statements and supporting statements	20-Oct-2009	0.8	Preparation of Financial Statements - US Op Fin Statements
Osborn, Gary L	review of debt information seeking support for yacht purchase or expenditures	21-Oct-2009	1.8	Preparation of Financial Statements - Liabilities Support
Osborn, Gary L	review of asset information seeking support for yacht	21-Oct-2009	1.9	Preparation of Financial Statements - Other Assets Support
Osborn, Gary L	discussion with Receiver related to work status and delivery	22-Oct-2009	1.1	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	work related to US financial statements and supporting statements	22-Oct-2009	2.2	Preparation of Financial Statements - US Op Fin Statements
Osborn, Gary L	review of financial information for 2008 and prior related to yacht ownership	23-Oct-2009	0.8	Preparation of Financial Statements - Dec 08 Fin Stmts
Osborn, Gary L	review of work completed in support of litigation, etc.	26-Oct-2009	1.2	Preparation of Financial Statements - Engagement Quality Matters

Professional	Time Description	Date	Hours	Category
Osborn, Gary L	various work and meetings with Stanford accounting personnel related to financial statement work, detailed support, etc.	27-Oct-2009	2.1	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	review of work completed in support of litigation, etc.	27-Oct-2009	1.8	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	various work and meetings with Stanford accounting personnel related to financial statement work, detailed support, etc.	28-Oct-2009	0.9	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	response to forensic team related to 12/31/08 information to assist with litigation	28-Oct-2009	0.8	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	review of work completed in support of litigation, etc.	28-Oct-2009	1.1	Preparation of Financial Statements - Engagement Quality Matters
Osborn, Gary L	balance sheet information prepared by Stanford accounting team and submitted to EY for review related to US companies.	28-Oct-2009	0.8	Preparation of Financial Statements - Supervision and Review
Osborn, Gary L	review Examiner's objection to third fee application and formulate questions.	29-Oct-2009	0.9	General Receivership Matters
Poon, Joseph Leung	Supervised and reviewed the preparation/creation of SFG's 2004 - 2006 5471 binder	26-Oct-2009	1.0	Tax
Poon, Joseph Leung	Supervised and reviewed the preparation/creation of SFG's 2004 - 2006 5471 binder	27-Oct-2009	0.5	Tax
Poon, Joseph Leung	Supervised and reviewed the preparation/creation of SFG's 2004 - 2006 5471 binder	28-Oct-2009	0.5	Tax
Washington, Brittany R	Gain an understanding of the 2005 SFG 5471's of various foreign corporations to organize in presentation format	26-Oct-2009	1.3	Tax
Washington, Brittany R	Gain an understanding of the 2006 SFG 5471's of various foreign corporations to organize in presentation format	26-Oct-2009	2.0	Tax
Washington, Brittany R	Gain an understanding of the 2004 SFG 5471's of various foreign corporations to organize in presentation format	26-Oct-2009	1.0	Tax
Washington, Brittany R	Gain an understanding of the 2004 SFG 5471's of various foreign corporations to organize in presentation format	27-Oct-2009	1.0	Tax
Washington, Brittany R	Gain an understanding of the 2005 SFG 5471's of various foreign corporations to organize in presentation format	27-Oct-2009	1.0	Tax
Washington, Brittany R	Gain an understanding of the 2006 SFG 5471's of various foreign corporations to organize in presentation format	27-Oct-2009	2.0	Tax

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**67.2**



INVOICE NUMBER: US0130173600

January 13, 2010

Ralph S. Janvey, Esq.  
Receiver - Stanford Financial  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America  
P.O. Box 848107  
Dallas, TX 75284-8107

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Privileged and Confidential – Attorney Work Product  
Re: Stanford Financial Receivership*

For professional services rendered in connection with the above referenced matter from November 1, 2009 through November 30, 2009.

Professional Fees (Discounted 20%) and Expenses: \$144,814

*Total Due* \$144,814

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
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# REMITTANCE ADVICE



INVOICE NUMBER: US0130173600

January 13, 2010

Ralph S. Janvey, Esq.  
Receiver - Stanford Financial  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America  
P.O. Box 848107  
Dallas, TX 75284-8107

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Total Due*

**\$144,814**

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## ELECTRONIC FUNDS TRANSFER INFORMATION

REDACTED

REDACTED

*Reference Client and Invoice Number on Electronic Transmittal*

**Ralph S. Janvey, Esq., Receiver - Stanford Financial**

Re: *Stanford Financial Receivership*

**Summary of Professional Time, Expenses, and Fees**

Invoice Period:

November 1, 2009 through November 30, 2009

Employee	Position	Hours	Invoice RPH	Discounted Fees	Expenses	Discounted Total
<b>Tax:</b>						
Abbott, Doug	Partner/Principal/Executive Director	2.0	\$532.00	\$1,064		\$1,064
Bradshaw, Ann	Partner/Principal/Executive Director	9.0	\$532.00	\$4,788	-	\$4,788
Beakey III, Andrew M	Partner/Principal/Executive Director	18.9	\$532.00	\$10,055		\$10,055
Ochocki, Debra G.	Partner/Principal/Executive Director	12.5	\$532.00	\$6,650		\$6,650
Springer, Ruil	Partner/Principal/Executive Director	0.5	\$532.00	\$266		\$266
Jones II, James G.	Senior Manager	32.5	\$472.00	\$15,340		\$15,340
Fenlaw, Jay	Senior Manager	1.0	\$472.00	\$472		\$472
Hines, Chip	Senior Manager	1.5	\$472.00	\$708		\$708
Stratton, Kendra L.	Senior Manager	57.0	\$472.00	\$26,904		\$26,904
Perlewitz, Katrina Noelle	Manager	11.7	\$396.00	\$4,633		\$4,633
Hardegree, Elizabeth Lynn	Senior	10.5	\$308.00	\$3,234		\$3,234
Raza, Amir	Senior	46.5	\$308.00	\$14,322		\$14,322
Thompson, Rebecca Suzanne	Senior	14.5	\$308.00	\$4,466	-	\$4,466
Hudgins, Megan Elizabeth	Staff	18.0	\$268.00	\$4,824		\$4,824
Shanker, Mohan Boddavaram	Staff	84.0	\$268.00	\$22,512		\$22,512
Miller, Phillip	Staff	1.0	\$268.00	\$268		\$268
Sommerfeldt, Kevin Scott	Staff	3.7	\$268.00	\$992		\$992
Shoae, Shawn	Staff	87.0	\$268.00	\$23,316		\$23,316
<b>Tax Total:</b>		<b>411.8</b>		<b>\$144,814</b>		<b>\$144,814</b>

**Summary of Tax Professional Time and Fees by Week**

Week Ended	Hours	Discounted Fees
November 6, 2009	92.7	\$34,058
November 13, 2009	147.9	\$49,562
November 20, 2009	123.9	\$43,754
November 27, 2009	33.3	\$11,560
November 30, 2009	14.0	\$5,880
	<b>411.8</b>	<b>\$144,814</b>

Professional Service Categories	% of Total Fee	Discounted Fee
Sales and use tax audit/refund request	15.7%	\$22,700
Analysis of client information for minimization of state taxes	17.2%	\$24,976
Prepare state tax return analysis	43.3%	\$62,637
Tax research and consultation on requests from Receiver and Baker Botts	2.6%	\$3,776
Administration of tax department matters	21.2%	\$30,725
	100.0%	\$144,814

January 14, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Beakey III,Andrew M	review of [REDACTED] - .4 hrs and planning call on [REDACTED] hrs	11/2/2009	0.7	532	372.4
Abbott,Douglas J.	texas margin tax reconciliation	11/9/2009	2.0	532	1,064.0
Beakey III,Andrew M	Stanford Conference call and research of files to discuss [REDACTED] by year end to minimize future work - 1.1 hours	11/4/2009	1.1	532	585.2
Beakey III,Andrew M	review of [REDACTED] - 1.2 hrs	11/6/2009	1.2	532	638.4
Beakey III,Andrew M	Meeting with Stanford accounting department to discuss [REDACTED]	11/9/2009	1.7	532	904.4
Beakey III,Andrew M	review of [REDACTED] including conf call re Texas computation	11/9/2009	1.4	532	744.8
Beakey III,Andrew M	review of [REDACTED] including additional analysis of Miss and LA.	11/10/2009	1.6	532	851.2
Beakey III,Andrew M	review [REDACTED] discuss [REDACTED] Ann Bradshaw (EY Partner), Baker Botts, and the receiver	11/12/2009	1.3	532	691.6
Beakey III,Andrew M	review [REDACTED] including [REDACTED]	11/16/2009	2.8	532	1,489.6
Beakey III,Andrew M	review [REDACTED] including [REDACTED]	11/17/2009	1.4	532	744.8
Beakey III,Andrew M	review of [REDACTED] and preparation for and meeting with receiver including signing of all [REDACTED]	11/18/2009	2.2	532	1,170.4
Beakey III,Andrew M	review file memo for [REDACTED]	11/19/2009	0.5	532	266.0
Beakey III,Andrew M	review file memo for [REDACTED]	11/20/2009	0.6	532	319.2
Beakey III,Andrew M	Review of [REDACTED] and review accounting records for description of investments. .8 hrs. Review status of [REDACTED] for Baker Botts including review of [REDACTED] .8 hrs	11/20/2009	1.6	532	851.2
Beakey III,Andrew M	review implications of [REDACTED] year end - .8 hours	11/24/2009	0.8	532	425.6
Bradshaw,Ann	fl SUT protest issues	11/3/2009	1.0	532	532.0
Bradshaw,Ann	Jim/Becca on protest - conf. call	11/6/2009	0.5	532	266.0
Bradshaw,Ann	TMT return issues on [REDACTED] discussions and research w/ Kendra and Andy	11/13/2009	2.5	532	1,330.0
Bradshaw,Ann	TMT Issues	11/14/2009	3.0	532	1,596.0
Bradshaw,Ann	Calls w/ team re: [REDACTED] issues for '08 compliance	11/16/2009	2.0	532	1,064.0
Fenlaw,Jay A.	discussions and correspondence regarding [REDACTED]	11/16/2009	1.0	472	472.0
Hardegree,Elizabeth Lynn	preparing [REDACTED] return for processing	11/5/2009	0.2	308	61.6
Hardegree,Elizabeth Lynn	preparing the SFGC TX Margin Tax workbook and return	11/12/2009	2.8	308	862.4
Hardegree,Elizabeth Lynn	preparing the SFGC TX Margin Tax workbook and return	11/13/2009	5.0	308	1,540.0
Hardegree,Elizabeth Lynn	preparing processing packets and final SFGC & SGC state returns to be sent to the receiver	11/16/2009	2.5	308	770.0
Hines,Herbert Bell	Stanford - MS franchise tax review and consultation	11/13/2009	1.5	472	708.0
Hudgins,Megan Elizabeth	addressing review notes on state tax returns	11/11/2009	8.0	268	2,144.0
Hudgins,Megan Elizabeth	address review notes for state tax returns	11/16/2009	8.0	268	2,144.0
Hudgins,Megan Elizabeth	address review notes for state tax returns	11/17/2009	2.0	268	536.0
Jones II,James G.	prepare rebuttal memorandum for [REDACTED]	11/2/2009	2.5	472	1,180.0
Jones II,James G.	meeting with Ann, Debbie to discuss [REDACTED]	11/3/2009	1.0	472	472.0
Jones II,James G.	prepare journal entries and meeting with Kerry Jackson to discuss making adjusting journal entries. Review journal entries and supporting documentation for transmittal to FL.	11/6/2009	6.0	472	2,832.0
Jones II,James G.	Process tax notices, investigate property tax payment due dates and amounts and respond to Texas Sales and Use Tax inquiry on the \$821,000 assessment.	11/10/2009	4.0	472	1,888.0
Jones II,James G.	Process tax notices, investigate property tax payment due dates and amounts and respond to Texas Sales and Use Tax inquiry on the \$821,000 assessment.	11/11/2009	8.0	472	3,776.0
Jones II,James G.	discuss [REDACTED], irs notices, and stanford compliance projects.	11/16/2009	3.0	472	1,416.0
Jones II,James G.	review notices and prepare property tax schedule.	11/23/2009	4.0	472	1,888.0
Jones II,James G.	prepare [REDACTED] for Baker Botts and review payments that need to be made.	11/25/2009	4.0	472	1,888.0
Miller,Phillip	Updated state return excel file	11/2/2009	1.0	268	268.0
Ochocki,Debra G.	review of memo and discussion with Becca	11/4/2009	2.0	532	1,064.0
Ochocki,Debra G.	finalize FL letter and review additional info request for TX	11/6/2009	2.0	532	1,064.0
Ochocki,Debra G.	review of additional record request from Texas	11/17/2009	1.5	532	798.0
Ochocki,Debra G.	prep for meeting with Tx auditor, meeting with TX auditor and call with Ruil to get him infor for meeting with Florida	11/30/2009	7.0	532	3,724.0
Perlewitz,Katrina Noelle	Assign state status spreadsheet to Phillip and update for the S-Corp and pass-through entities and for which Corporate stand alone states had been prepared	11/2/2009	0.5	396	198.0

January 14, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Perlewitz,Katrina Noelle	Looking up RAS LLC financials - request Dec financials from acctg and confirm only financials through Nov available	11/9/2009	1.8	396	712.8
Perlewitz,Katrina Noelle	look up information on sale of Caribbean Sun Airlines on the internet;	11/9/2009	1.0	396	396.0
Perlewitz,Katrina Noelle	draft list of information needed to complete RAS LLC and Carriibbean Sun	11/9/2009	1.0	396	396.0
Perlewitz,Katrina Noelle	discuss Stanford Group Company [REDACTED] with Kendra and similar issues found on Stanford Financial Group Company	11/11/2009	0.3	396	118.8
Perlewitz,Katrina Noelle	Helping Shawn identify which notices to respond to, give him template abatement letter, discuss [REDACTED] (0.5); assign Texas Margins return for Stanford Financial Group to Elizabeth and walkthrough the adjustment to be made for Stanfo	11/12/2009	1.6	396	633.6
Perlewitz,Katrina Noelle	Working on Stanford Financial Group Company review of Texas Margin return and Stanford Development Corp margin return; returns to processing	11/13/2009	1.2	396	475.2
Perlewitz,Katrina Noelle	At Stanford giving Jeannette check instructions, checking processing and attaching checks to returns for the state filings for Stanford Venture Capital Holdings, Stanford Group Company and Stanford Financial Group	11/16/2009	2.7	396	1,069.2
Perlewitz,Katrina Noelle	going over the Stanford Financial Group state returns with Andy,	11/16/2009	0.8	396	316.8
Perlewitz,Katrina Noelle	discussing how to approach the Mississippi filing for Stanford Venture Capital Holdings with Andy	11/16/2009	0.8	396	316.8
Raza,Amir	Assisted Mohan on clearing [REDACTED] on the reviewed states. Following states were tackled with him. California, Connecticut, New York, Florida, and Alabama	11/6/2009	2.5	308	770.0
Raza,Amir	Reviewed state returns for Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi. Sent notes to Megan for corrections	11/6/2009	2.5	308	770.0
Raza,Amir	Reviewed state returns for Missouri, Montana, Nebraska,New Hampshire,New Jersey, New Mexico. Sent notes to Megan for corrections	11/6/2009	2.0	308	616.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review. Stanford Group Company - GA,LA.	11/7/2009	2.5	308	770.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review. Stanford Coins & Bullion - TN and LA.	11/7/2009	2.0	308	616.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review. Stanford Group Holdings, Inc. - TX Franchise Combined Tax Return.	11/7/2009	2.5	308	770.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare them. They were submitted to Kendra for mgr level review. SGC - MD,MA.	11/8/2009	2.0	308	616.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review. SGC - MD,MA and MS.	11/9/2009	2.0	308	616.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review. Stanford Group Company - MS.	11/9/2009	2.5	308	770.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review SCB - TN and LA.	11/10/2009	2.5	308	770.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review of SGH - TX combined return.	11/11/2009	2.5	308	770.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review. For Stanford Coins & Bullion - TN and LA.	11/11/2009	2.5	308	770.0
Raza,Amir	The following state returns were reviewed and also provided assistance to the staff to prepare the state returns. They were submitted to Kendra for mgr level review of TX combined return.	11/11/2009	3.0	308	924.0
Raza,Amir	Made corrections to the TX Combined return for SGH and submitted to Kendra for review. Also uploaded the return into ECF. Assisted Mohan on completing the remaining various state returns for SGC, SGH and SCB approved by Kendra.	11/16/2009	3.0	308	924.0
Raza,Amir	Made corrections to the TX Combined return for SGH and submitted to Kendra for review. Also uploaded the return into ECF. Assisted Mohan on completing the remaining various state returns for SGC, SGH and SCB approved by Kendra.	11/17/2009	3.0	308	924.0
Raza,Amir	Made corrections to the TX Combined return for SGH and submitted to Kendra for review. Also uploaded the return into ECF.	11/18/2009	2.0	308	616.0
Raza,Amir	Made corrections to the TX Combined return for SGH and submitted to Kendra for review. Also uploaded the return into ECF.	11/19/2009	2.5	308	770.0

January 14, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Raza,Amir	Reviewed and submitted the following returns to Kendra for review: (Stanford Group Company) AL,CT,GA,ID,LA,MD,MA,MS,MT,NJ,NM,OH,OK,OR,RI,SC,UT,VT,WV and WI.	11/23/2009	2.5	308	770.0
Raza,Amir	Reviewed and submitted the following returns to Kendra for review: (Stanford Coins & Bullion): LA and TN	11/23/2009	2.5	308	770.0
Shanker,Mohan Boddavaram	Stanford Group Company : worked on State Returns for the states : Made the changes to Review notes by Amir Raza for the States: WV,VA,WN,TN,SC	11/2/2009	9.0	268	2,412.0
Shanker,Mohan Boddavaram	Stanford Group Company : worked on State Returns for the states : Made the changes to Review notes by Amir Raza for the States: WV,VA,WN,TN,SC	11/3/2009	8.0	268	2,144.0
Shanker,Mohan Boddavaram	Stanford Group company : worked on allocation of income and Review Notes by Kendra for the following state Returns : Alaska, Alabama, Arkansas, California.	11/4/2009	10.0	268	2,680.0
Shanker,Mohan Boddavaram	Stanford Group company : worked on Review Notes and finalized for Kendra the following state Returns : Alaska, Alabama, Arkansas, California.	11/5/2009	5.0	268	1,340.0
Shanker,Mohan Boddavaram	Stanford Group company : cleared review notes for Kendra Stratton on the states : AR,NY,OH, OR,PA RI ,SC,TN,UT.	11/6/2009	10.0	268	2,680.0
Shanker,Mohan Boddavaram	worked on Stanford Coins & Bullion : for the states TN AND LA	11/7/2009	9.0	268	2,412.0
Shanker,Mohan Boddavaram	Stanford Group Holdings Inc & subs : worked on Texas Combined report: Updated the Margin calculation Template and entered the data into the locator.	11/10/2009	9.0	268	2,412.0
Shanker,Mohan Boddavaram	Stanford Group company : cleared Review notes : worked on finalizing and assembling the Texas combine Return ..	11/11/2009	11.0	268	2,948.0
Shanker,Mohan Boddavaram	Stanford Group company : cleared Review notes : worked on Review notes by Amir Raza and Review notes on Texas combine Return ..	11/12/2009	4.0	268	1,072.0
Shanker,Mohan Boddavaram	Stanford Group company : cleared review notes of Kendra on the following states : Oregon, Utah, Alabama , Connecticut and worked on review notes on Montana, New Jersey, New Mexico and other state returns.	11/19/2009	7.0	268	1,876.0
Shanker,Mohan Boddavaram	Stanford group company : cleared review notes for the state returns : MS, LA, AND worked on Stanford coins and Bullion : LA state return	11/20/2009	2.0	268	536.0
Shoae,Shawn	Discussing the engagement with management (Initial Engagement Meeting)	11/10/2009	2.0	268	536.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (CA, CO)	11/10/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (FL, MS)	11/11/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to Federal Notices (IRS)	11/11/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (TN, TX, MD)	11/12/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to Federal Notices (IRS)	11/12/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (DC, VA, NC)	11/13/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to Federal Notices (IRS)	11/13/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (LA, AR)	11/16/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (TX)	11/16/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (CA, KS, DE)	11/17/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices CO	11/17/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (NC, TN)	11/18/2009	4.0	268	1,072.0
Shoae,Shawn	Contacting the state Tax collectors/ assessors to track down and obtain information on missing tax estimates/renditions (TX, TN)	11/18/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (PA)	11/19/2009	4.0	268	1,072.0
Shoae,Shawn	Contacting the state Tax collectors/ assessors to track down and obtain information on missing tax estimates/renditions (FL, AR, MS, VA, DC)	11/19/2009	4.0	268	1,072.0
Shoae,Shawn	Collecting information, conducting research and responding to State Notices (MA)	11/20/2009	4.0	268	1,072.0
Shoae,Shawn	Contacting the state Tax collectors/ assessors to track down and obtain information on missing tax estimates/renditions (MA, CA, CO, VA)	11/20/2009	4.0	268	1,072.0
Shoae,Shawn	Updating the Property tax Workbook with additional information obtained from states (TX)	11/23/2009	4.0	268	1,072.0
Shoae,Shawn	Updating the Sales and Use tax Workbook with additional information obtained from states (FL, TN)	11/23/2009	4.0	268	1,072.0
Shoae,Shawn	Updating the Property tax Workbook with additional information obtained from states (TN)	11/24/2009	4.0	268	1,072.0
Shoae,Shawn	Updating the Sales and Use tax Workbook with additional information obtained from states (CO, VA, LA)	11/24/2009	4.0	268	1,072.0
Shoae,Shawn	Final updates and transferring of files/folders/ workbooks to management	11/25/2009	1.0	268	268.0
Sommerfeldt,Kevin Scott	SFG state returns	11/7/2009	3.2	268	857.6
Sommerfeldt,Kevin Scott	Pulling spreadsheet and T-letters	11/20/2009	0.5	268	134.0
Springer,Ruil T.	Stanford .5 - review and provide comments on <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> (14797151)	11/3/2009	0.5	532	266.0

January 14, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Stratton, Kendra L.	DNB - phone call with Jim and Katrina regarding status, send emails, etc.	11/2/2009	0.5	472	236.0
Stratton, Kendra L.	Stanford Group Company - gather state returns that are ready for top level review.	11/2/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - review draft of Alabama Form 20C, provide review notes to preparer.	11/3/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - review draft of Alabama Form CPT, provide review notes to preparer	11/3/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review draft of Arizona Form 120 and provide review notes to preparer.	11/3/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - review draft of Arkansas Form AR1100CT and provide review notes to preparer	11/3/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - review draft of Arkansas Franchise tax form, provide review notes to preparer.	11/3/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - review draft of CA Form 100 and provide review notes to preparer	11/3/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - update property factors for apportionment on schedule - remove CIP from factor, break out into more detail.	11/3/2009	1.0	472	472.0
Stratton, Kendra L.	Stanford Group Company (SGC) - review draft of Alaska Form 0405-611, provide review notes to preparer	11/3/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - Review draft Colorado Form 112 and send review notes to preparer	11/4/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - review draft North Dakota Form 40 and provide notes to preparer	11/4/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - Review draft of CT Form CT-1120 and provide review notes to preparer	11/4/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - Review Draft of DC form d-20 and provide review notes to preparer	11/4/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - Review draft of FL Form 1120 and provide review notes to preparers	11/4/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - review draft of North Carolina Form CD-405 and provide review notes to preparer.	11/4/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - review status sheet of state return progress prepared by Jim and Katrina and provide comments	11/4/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - update property factors for apportionment on schedule - remove CIP from factor, break out into more detail.	11/4/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review draft of Ohio Form FT-1120 and provide review notes to preparer	11/5/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - Review draft of Oklahoma Form 512 and 215 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review drafts of NY Form CT-3 and CT-3M/4M and provide review notes to preparer	11/5/2009	1.0	472	472.0
Stratton, Kendra L.	SGC - Review Form SC1120 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review Oregon Form 20 and provide review notes to preparer.	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review Pennsylvania Form RCT-101 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review Rhode Island Form RI-1120 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - review Tennessee Form FAE 170 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review Utah Form TC-20 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review Vermont Form CO-411 and provide review notes to preparers	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - review Virginia Form 500 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - review West Virginia Form WV/CNF-120 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	SGC - Review Wisconsin Form 4 and provide review notes to preparer	11/5/2009	0.5	472	236.0
Stratton, Kendra L.	Stanford Group Company - clear review notes for state returns - various states	11/9/2009	2.0	472	944.0
Stratton, Kendra L.	Stanford Group Company - clear review notes for state returns - various states	11/10/2009	2.0	472	944.0
Stratton, Kendra L.	Stanford Group Holdings - Texas combined return - review workpaper and determine issues with <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> finalize workpaper	11/11/2009	2.0	472	944.0
Stratton, Kendra L.	Stanford Group Company - determine federal attachments needed for state tax returns and submit information to tax processing	11/13/2009	2.0	472	944.0
Stratton, Kendra L.	Stanford Group Holdings Inc. - Discuss Combined Texas return filing with Ann Bradshaw regarding applicability of <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> Review prior year information and current year information for this s	11/13/2009	3.0	472	1,416.0
Stratton, Kendra L.	Stanford Group Company - update payment schedule for prior year tax return information and add explanations for differences from prior year, and add information for writing checks	11/15/2009	5.0	472	2,360.0
Stratton, Kendra L.	SGH Inc. - combined Texas Return - draft memo to file regarding applicability of <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	11/16/2009	2.0	472	944.0
Stratton, Kendra L.	SGH Inc. - Texas combined return - discuss Texas receipts based on gross proceeds from securities transactions with Andy Beakey and Kerry Jackson, confirm applicability of <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> rule with Kerry Jackson	11/16/2009	1.0	472	472.0
Stratton, Kendra L.	SGH Inc. - Texas combined Return - Using new information, update Texas return workpaper and discuss with senior for his preparation	11/16/2009	2.5	472	1,180.0
Stratton, Kendra L.	Stanford Group Holdings Inc. - discuss Texas combined return via conference call with Craig Adams, Andy Beakey, Jay Fenlaw, and Ann Bradshaw.	11/16/2009	2.5	472	1,180.0
Stratton, Kendra L.	SGH Inc. - Texas combined return - review draft of return, provide review notes, and finalize	11/17/2009	1.0	472	472.0

EY - Tax Time Detail  
 Invoice for Period November 1, 2009 through  
 November 30, 2009

INVOICE NUMBER: US0130173600

January 14, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Stratton,Kendra L.	SGC - determine returns to prepare that have minimum tax due but no apportionment	11/18/2009	1.0	472	472.0
Stratton,Kendra L.	SGH Inc. - Texas combined return - review draft of return, provide review notes, and finalize	11/18/2009	1.0	472	472.0
Stratton,Kendra L.	SCB - Review LA Form CIFT-620 and send notes to preparer	11/19/2009	1.0	472	472.0
Stratton,Kendra L.	SCB - TN Form FAE 170 - review return and provide review notes to preparer	11/19/2009	0.5	472	236.0
Stratton,Kendra L.	SGC - GA Form 600 - review return and provide review notes to preparer	11/19/2009	0.5	472	236.0
Stratton,Kendra L.	SGC - LA Form CIFT-620 - review return and provide review notes to preparer	11/19/2009	1.0	472	472.0
Stratton,Kendra L.	SGC - MA Form 355 - review return and provide review notes to preparer	11/19/2009	0.5	472	236.0
Stratton,Kendra L.	SGC - MS Form 83-105 - review return and provide review notes to preparer	11/19/2009	1.0	472	472.0
Stratton,Kendra L.	SGC - Review MD Form 500 and send notes to preparer	11/19/2009	0.5	472	236.0
Stratton,Kendra L.	SCG - clear notes on LA return	11/25/2009	0.2	472	94.4
Stratton,Kendra L.	SGC - review Connecticut Form CT-1120	11/25/2009	0.2	472	94.4
Stratton,Kendra L.	SGC - review Idaho Form 41	11/25/2009	0.2	472	94.4
Stratton,Kendra L.	SGC - review Montana Form CLT-4	11/25/2009	0.2	472	94.4
Stratton,Kendra L.	SGC - review New Jersey Form CBT-100	11/25/2009	1.2	472	566.4
Stratton,Kendra L.	SGC - review New Mexico Form CIT-1	11/25/2009	0.5	472	236.0
Stratton,Kendra L.	Stanford Agency Inc of Texas - review TX return and PIR and send notes to preparer.	11/25/2009	0.5	472	236.0
Thompson,Rebecca Suzanne	Reviewed and updated memo for Florida request for informal conference.	11/2/2009	1.0	308	308.0
Thompson,Rebecca Suzanne	Reviewed and updated memo for Florida request for informal conference.	11/3/2009	0.5	308	154.0
Thompson,Rebecca Suzanne	Reviewed and updated memo for Florida request for informal conference.	11/6/2009	1.0	308	308.0
Thompson,Rebecca Suzanne	Updated GL review and document preparation for TX auditor.	11/16/2009	1.0	308	308.0
Thompson,Rebecca Suzanne	Updated GL review and document preparation for TX auditor.	11/17/2009	4.0	308	1,232.0
Thompson,Rebecca Suzanne	Mileage: Traveled to and from TX auditor meeting and dropped D. Ochocki at HOU.\office/auditor office/HOU/office	11/30/2009	0.0	308	0.0
Thompson,Rebecca Suzanne	Stanford TX SUT refund document preparation and meeting with auditor.	11/30/2009	7.0	308	2,156.0
			411.8		144,813.6



INVOICE NUMBER: US0130173585

January 13, 2010

Receiver - Stanford Financial  
Ralph S. Janvey, Esq.  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America - Chic. 91251  
P.O. Box 91251  
Chicago, IL 60693

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

ENGAGEMENT NUMBER: 14764656

*Privileged and Confidential - Attorney Work Product*  
*Re: Stanford Financial Receivership*

For professional services rendered and expenses incurred in connection with the above referenced matter from October 31, 2009 through November 27, 2009.

**Professional Fees (Discounted 20%) and Expenses:** \$4,363

*Total Due* \$4,363

*Copy to:*

Joseph A. Cialone II, Esq.  
Baker Botts L.L.P  
One Shell Plaza  
910 Louisiana Street  
Houston, Texas 77002

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
Due Upon Receipt

**CLIENT COPY**

**Stanford Financial Group**

*Re: Stanford Financial Receivership*

**Summary of Professional Time, Fees, and Expenses**

Invoice Period:

October 31, 2009 through November 27, 2009

Professional	Position	Hours	Discounted Rate	Discounted Fees	Discounted Expenses	Discounted Total
<b><u>Advisory:</u></b>						
Osborn, Gary L	Partner/Principal/Executive Director	8.0	\$ 532	\$ 4,256	\$ -	\$ 4,256
Drake, Dominique Desiree Iolantha	Staff	0.4	268	107	-	107
<b>ADVISORY TOTAL</b>		<b>8.4</b>		<b>\$ 4,363</b>	<b>\$ -</b>	<b>\$ 4,363</b>
<b>TOTAL</b>		<b>8.4</b>		<b>\$ 4,363</b>	<b>\$ -</b>	<b>\$ 4,363</b>

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
 DUE UPON RECEIPT

**Stanford Financial Group**  
*Re: Stanford Financial Receivership*

**Summary of Advisory Professional Time and Fees by Week**

Invoice Period:  
October 31, 2009 through November 27, 2009

Week Ending	Hours	Discounted Fees
<u>Advisory</u>		
November 6, 2009	0.9	\$ 479
November 13, 2009	4.9	2,501
November 20, 2009	2.6	1,383
<b>TOTAL</b>	<u>8.4</u>	<u>\$ 4,363</u>

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
DUE UPON RECEIPT

# REMITTANCE ADVICE



INVOICE NUMBER: US0130173585

January 13, 2010

Receiver - Stanford Financial  
Ralph S. Janvey, Esq.  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America - Chic. 91251  
P.O. Box 91251  
Chicago, IL 60693

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Total Due*

\$4,363

## ELECTRONIC FUNDS TRANSFER INFORMATION

REDACTED

REDACTED

*Reference Client and Invoice Number on Electronic Transmittal*

<b>Category</b>	<b>Hours</b>
Preparation of Financial Statements:	
Corporate Structure Analysis	6.8
December 2008 Financial Statements	0.4
International Receivership Matters	0.8
Tax	0.4
<b>Total</b>	<b>8.4</b>

Professional	Time Description	Date	Hours	Category
Drake, Dominique Desiree Iolantha	Identifying 12/31/08 financial statements for R. Allen Stanford LLC and Caribbean Sun Airlines Holdings	09-Nov-2009	0.2	Preparation of Financial Statements: December 2008 Financial Statements
Drake, Dominique Desiree Iolantha	Identifying 12/31/08 financial statements for R. Allen Stanford LLC and Caribbean Sun Airlines Holdings	10-Nov-2009	0.2	Preparation of Financial Statements: December 2008 Financial Statements
Osborn, Gary L	discussion with Receivership and remaining accounting group at the company about which companies can be deemed inactive, collapsed, combined, or terminated prior to YE 12/31/09 to be withdrawn from operations.	04-Nov-2009	0.9	Preparation of Financial Statements: Corporate Structure Analysis
Osborn, Gary L	analyze corporate structure work performed and summarize for initial meeting about corporate structure consolidation	09-Nov-2009	3.2	Preparation of Financial Statements: Corporate Structure Analysis
Osborn, Gary L	initial meeting with Stanford personnel to determine criteria for corporate entities that can be discontinued at 12/31/09	09-Nov-2009	1.3	Preparation of Financial Statements: Corporate Structure Analysis
Osborn, Gary L	treatment of two levies from IRS related to Stanford Capital Management and Stanford Trust	16-Nov-2009	0.4	Tax
Osborn, Gary L	records searches related to Canadian entities	17-Nov-2009	0.8	International Receivership Matters
Osborn, Gary L	related to SCorps in US and their relationship to USVI entites, including search for the number of SCorps created.	20-Nov-2009	1.1	Preparation of Financial Statements: Corporate Structure Analysis
Osborn, Gary L	update and submit <span style="border: 1px solid red; color: red;">REDACTED</span> to BB in relation to <span style="border: 1px solid red; color: red;">REDACTED</span>	20-Nov-2009	0.3	Preparation of Financial Statements: Corporate Structure Analysis

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**8.4**



INVOICE NUMBER: US0130191888

February 12, 2010

Ralph S. Janvey, Esq.  
Receiver - Stanford Financial  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America  
P.O. Box 848107  
Dallas, TX 75284-8107

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

*Privileged and Confidential – Attorney Work Product*  
*Re: Stanford Financial Receivership*

For professional services rendered in connection with the above referenced matter from December 1, 2009 through December 31, 2009.

Professional Fees (Discounted 20%) and Expenses: \$50,459

*Total Due* \$50,459

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
Due Upon Receipt

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700

# REMITTANCE ADVICE



INVOICE NUMBER: US0130191888

February 12, 2010

Ralph S. Janvey, Esq.  
Receiver - Stanford Financial  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America  
P.O. Box 848107  
Dallas, TX 75284-8107

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Total Due*

**\$50,459**

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## ELECTRONIC FUNDS TRANSFER INFORMATION

REDACTED

REDACTED

*Reference Client and Invoice Number on Electronic Transmittal*

Ralph S. Jarvey, Esq., Receiver - Stanford Financial  
 Re: Stanford Financial Receivership

**Summary of Professional Time, Expenses, and Fees**

Invoice Period:  
 December 1, 2009 through December 31, 2009

Employee	Position	Hours	Invoice RPH	Discounted Fees	Discounted Total
<b>Tax:</b>					
Bradshaw, Ann	Partner/Principal/Executive Director	2.0	\$532.00	\$1,064	\$1,064
Beakey III, Andrew M	Partner/Principal/Executive Director	22.5	\$532.00	\$11,970	\$11,970
Ochocki, Debra G.	Partner/Principal/Executive Director	1.6	\$532.00	\$851	\$851
Springer, Ruil	Partner/Principal/Executive Director	4.2	\$532.00	\$2,234	\$2,234
Jones II, James G.	Senior Manager	30.0	\$472.00	\$14,160	\$14,160
Stratton, Kendra L.	Senior Manager	5.0	\$472.00	\$2,360	\$2,360
Perlewitz, Katrina Noelle	Manager	1.2	\$396.00	\$475	\$475
Raza, Amir	Senior	8.5	\$308.00	\$2,618	\$2,618
Thompson, Rebecca Suzanne	Senior	3.0	\$308.00	\$924	\$924
Doescher, Charles	Staff	1.5	\$268.00	\$402	\$402
Whitten, Robert	Staff	12.0	\$268.00	\$3,216	\$3,216
Sommerfeldt, Kevin Scott	Staff	8.1	\$268.00	\$2,171	\$2,171
Shoae, Shawn	Staff	29.9	\$268.00	\$8,013	\$8,013
<b>Tax Total:</b>		<b>129.5</b>		<b>\$50,459</b>	<b>\$50,459</b>

**Summary of Tax Professional Time and Fees by Week**

Week Ended	Hours	Discounted Fees
December 4, 2009	13.8	\$4,810
December 11, 2009	25.7	\$12,056
December 18, 2009	23.5	\$10,412
December 25, 2009	26.7	\$9,399
January 1, 2010	39.8	\$13,782
	<b>129.5</b>	<b>\$50,459</b>

Tax Service Categories	% of Total Fee	Discounted Fee
Sales and use tax audit/refund request	27.3%	\$13,764
Analysis of client information for minimization of state taxes	11.0%	\$5,563
Prepare state tax return analysis	19.9%	\$10,059
Tax research and consultation on requests from Receiver and Baker Bolts	14.9%	\$7,534
Administration of tax department matters	26.8%	\$13,539
	100.0%	\$50,459

February 12, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Beakey III,Andrew	Client calls and research <span style="background-color: red; color: white;">REDACTED</span> including calls with Fred Fram (Stanford) and Craig Adams (BB) and other Stanford personnel	12/1/2009	1.3	\$532	\$692
Beakey III,Andrew	Meeting at Stanford office to discuss entities and review spreadsheet	12/21/2009	1.2	\$532	\$638
Beakey III,Andrew	meeting with Kendra Stratton to discuss state returns	12/2/2009	0.6	\$532	\$319
Beakey III,Andrew	Meeting with Stanford accounting personnel to review entity dissolution info, RAS LLC info, Carribean Sun info and review of property tax notices with FTI	12/2/2009	2.6	\$532	\$1,383
Beakey III,Andrew	Meetings at Stanford office regarding dissolution of entities - 1.5 hrs, review of RAS LLC 1099's - .8 hrs. review of Carribean Sun Information to prepare tax return - 1.1 hrs.	12/28/2009	3.4	\$532	\$1,809
Beakey III,Andrew	reconciliation of Texas franchise tax return and review of Miss tax issues	12/10/2009	1.5	\$532	\$798
Beakey III,Andrew	Review of entity structures and 2009 - 2010 filing needs including conference calls with Stanford personnel	12/16/2009	0.6	\$532	\$319
Beakey III,Andrew	Review of entity structures and 2009 - 2010 filing needs including conference calls with Stanford personnel	12/17/2009	1.6	\$532	\$851
Beakey III,Andrew	Review of entity structures and 2009 - 2010 filing needs including conference calls with Stanford personnel	12/18/2009	0.8	\$532	\$426
Beakey III,Andrew	review of Florida sales tax issues and progress of appeal .5 hrs, review of list of entities to be dissolved and RAS LLC 2008 activity - 1.6 hrs	12/9/2009	2.1	\$532	\$1,117
Beakey III,Andrew	review of property tax notices and discussion internally to minize taxes owed	12/8/2009	0.8	\$532	\$426
Beakey III,Andrew	review RAS LLC and Caribbean Sun 2008 tax information - .8 hrs. review fixed assets and property tax notices to minimize tax for 2010 - 1.0 hrs	12/23/2009	1.8	\$532	\$958
Beakey III,Andrew	review stanford entities for dissolution with receiver, BB and Stanford accountants including prep and conf calls - 1.6 hrs. Review tax department mail and tax notices - 1.0 hrs	12/29/2009	2.6	\$532	\$1,383
Beakey III,Andrew	Telecon with Stanford accountants, receiver and BB to discuss state issues, dissolution and TX/FL sales tax audits including review and prep for conf call.	12/22/2009	1.6	\$532	\$851
Bradshaw,Ann	Discussion and analysis with Andy and Kendra on Texas Margin Tax return	12/10/2009	0.5	\$532	\$266
Jones II,James	Discussions with Andy Beakey regarding state tax return filings.	12/16/2009	1.0	\$472	\$472
Jones II,James	Prepare check run for outstanding notices that need to be paid and discussions with Jeanette Day regarding same.	12/15/2009	2.0	\$472	\$944
Jones II,James	prepare for and conduct meeting with receiver, craig, andy <span style="background-color: red; color: white;">REDACTED</span>	12/22/2009	3.0	\$472	\$1,416
Jones II,James	prepare response to TX and discuss with Comptroller's Office the sales and use tax assessment on the Hawker Aircraft.	12/14/2009	2.0	\$472	\$944
Jones II,James	prepare summary of sales and use tax items for Florida and Texas sales and use tax audit.	12/21/2009	4.0	\$472	\$1,888
Jones II,James	Prepare update regarding status of sales and use tax audit, prepare information for FL, conference call with Ruil Springer to discuss his meeting with the officials from the State of FL	12/16/2009	4.0	\$472	\$1,888
Jones II,James	processing returns and checks	12/23/2009	4.0	\$472	\$1,888
Jones II,James	Review correspondence, answer Jeanette Day questions, address Patsy Wilson questions related to the Florida Sales and Use tax, draft update for FLA sales use tax status, conf. with Ruil Springer to discuss Florida meeting.	12/9/2009	8.0	\$472	\$3,776
Jones II,James	review notices related to outstanding tax amounts, prepare responses and discuss with Margaret Bader.	12/15/2009	2.0	\$472	\$944
Stratton,Kendra	SGC, SCB, SAI - review updated excel schedule for returns to be finalized this week	12/2/2009	1.0	\$472	\$472
Stratton,Kendra	SGC, SCB, SAI - review various state returns in preparation of processing returns for client delivery, meeting with Andy regarding returns left to deliver	12/2/2009	2.0	\$472	\$944
Stratton,Kendra	SGH - meeting with Andy Beakey and Ann Bradshaw for final review of combined Texas Margin Tax Return	12/10/2009	1.0	\$472	\$472
Stratton,Kendra	SGH & related entities - process state returns and update and send summary schedule to Jeanette Day for purposes of cutting checks	12/10/2009	1.0	\$472	\$472
Perlewitz,Katrina	Assign Kevin analysis of Stanford Venture Capital Holdings' asset accounts for purposes of booking an impairment for the Mississippi tax filing; review investment account preliminary analysis and identify additional balance sheet accounts for impairment	12/8/2009	0.7	\$396	\$277
Perlewitz,Katrina	Stanford Venture Capital Holdings - impairment analysis for capital tax (Mississippi return)	12/17/2009	0.5	\$396	\$198
Raza,Amir	The status worksheet for the Stanford state return was updated as per Kendra's instruction. The state returns pdfs' were also modified, after instructions from Kendra, to include the federal attachments as per state laws. This task was also completed and the revised state return pdfs were uploaded into ECF.	12/2/2009	3.5	\$308	\$1,078
Raza,Amir	The completed tax returns for Stanford Group Company, Stanford Coins and Bullion and Stanford Agency Inc. of Texas were reviewed and filed with the appropriate entity. The Mississippi and Texas attachments were reviewed and included with filing.	12/3/2009	5.0	\$308	\$1,540

February 12, 2010

Employee	Description	Transaction Date	Hours	Discounted Rate	Fees
Doescher, Charles	Time spent processing state tax returns	12/17/2009	1.5	\$268	\$402
Shoae, Shawn	Review of dissolution of corporation status in Delaware and related forms as well as instructions per Andy Beakey's request.	12/28/2009	1.0	\$268	\$268
Shoae, Shawn	Preparation of letters to various states and attachment of corresponding checks signed by receiver for 2008 tax payments.	12/22/2009	8.0	\$268	\$2,144
Shoae, Shawn	Preparation of letters to various states and attachment of corresponding checks signed by receiver for 2008 tax payments.	12/23/2009	5.9	\$268	\$1,581
Shoae, Shawn	Updating records for the additional notices (various taxes due/refunds) Nov.30 onwards.	12/28/2009	7.0	\$268	\$1,876
Shoae, Shawn	Review of Stanford tax department mail and updating of State tax notices	12/31/2009	8.0	\$268	\$2,144
Sommerfeldt, Kevin	Help Shawn Shoae review and mail out checks to states that corresponded with the previously filed state returns.	12/23/2009	1.8	\$268	\$482
Sommerfeldt, Kevin	Spreadsheet that breaks out the total investments account for SVCH Mississippi analysis.	12/9/2009	1.7	\$268	\$456
Sommerfeldt, Kevin	Spreadsheet that breaks out the total investments account for SVCH Mississippi analysis.	12/10/2009	1.3	\$268	\$348
Sommerfeldt, Kevin	Tying trial balance totals to individual investments for purposes of determining FMV of SVCH for state tax purposes for K. Perlewitz	12/14/2009	1.3	\$268	\$348
Sommerfeldt, Kevin	Tying trial balance totals to individual investments for purposes of determining FMV of SVCH for state tax purposes for K. Perlewitz	12/15/2009	2.0	\$268	\$536
Whitten III, Robert	Preparation of 2009 Sales and Use tax returns	12/30/2009	8.0	\$268	\$2,144
Bradshaw, Ann	SUT refund issues	12/11/2009	1.0	\$532	\$532
Bradshaw, Ann	TX refund and Springer FL mtg update	12/15/2009	0.5	\$532	\$266
Ochocki, Debra	prep for meeting with Tx auditor, meeting with TX auditor and call with Ruil to get him infor for meeting with Florida	12/1/2009	0.3	\$532	\$160
Ochocki, Debra	received FL denial follow-up with Becca and Ruil required	12/8/2009	0.5	\$532	\$266
Ochocki, Debra	write status memo for Jim	12/9/2009	0.8	\$532	\$426
Springer, Ruil	Stanford - 1.2 review info from Becca and send email to DOR to provide answers to open requests.	12/11/2009	1.2	\$532	\$638
Springer, Ruil	Stanford Financial - 3.0 follow-up with DOR	12/10/2009	3.0	\$532	\$1,596
Thompson, Rebecca	Reviewed FL documents for R. Springer's meeting.	12/10/2009	1.0	\$308	\$308
Thompson, Rebecca	Stanford FL SUT refund conference call to discuss next steps in FL process.	12/1/2009	2.0	\$308	\$616
<b>Total</b>			<b>129.5</b>		<b>\$50,459</b>



INVOICE NUMBER: US0130178921

January 22, 2010

Receiver - Stanford Financial  
Ralph S. Janvey, Esq.  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America - Chic. 91251  
P.O. Box 91251  
Chicago, IL 60693

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

ENGAGEMENT NUMBER: 14764656

*Privileged and Confidential - Attorney Work Product*  
*Re: Stanford Financial Receivership*

For professional services rendered and expenses incurred in connection with the above referenced matter from November 28, 2009 through January 1, 2010.

**Professional Fees (Discounted 20%) and Expenses:** \$5,611

***Total Due*** \$5,611

*Copy to:*  
Joseph A. Cialone II, Esq.  
Baker Botts, L.L.P  
One Shell Plaza  
910 Louisiana Street  
Houston, Texas 77002

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
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**Stanford Financial Group**

*Re: Stanford Financial Receivership*

**Summary of Professional Time, Fees, and Expenses**

Invoice Period:

November 28, 2009 through January 1, 2010

Professional	Position	Hours	Discounted Rate	Discounted Fees	Expenses	Discounted Total
<b>Advisory:</b>						
Osborn, Gary L	Partner/Principal/Executive Director	2.6	\$ 532	\$ 1,383	\$ -	\$ 1,383
Alonso, Joseph Francis	Senior Manager	6.8	472	3,210	-	3,210
Drake, Dominique Desiree Iolantha	Staff	3.8	268	1,018	-	1,018
<b>ADVISORY TOTAL</b>		<b>13.2</b>		<b>\$ 5,611</b>	<b>\$ -</b>	<b>\$ 5,611</b>
<b>TOTAL</b>		<b>13.2</b>		<b>\$ 5,611</b>	<b>\$ -</b>	<b>\$ 5,611</b>

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
 DUE UPON RECEIPT

**Stanford Financial Group**  
*Re: Stanford Financial Receivership*

**Summary of Advisory Professional Time and Fees by Week**

Invoice Period:  
November 28, 2009 through January 1, 2010

<b>Week Ending</b>	<b>Hours</b>	<b>Discounted Fees</b>
<u><b>Advisory</b></u>		
December 4, 2009	6.4	\$ 3,177
December 11, 2009	6.8	2,434
<b>TOTAL</b>	<u>13.2</u>	<u>\$ 5,611</u>

PLEASE PAY BY INVOICE NUMBER AND ENCLOSE REMITTANCE ADVICE  
DUE UPON RECEIPT

# REMITTANCE ADVICE



INVOICE NUMBER: US0130178921

January 22, 2010

Receiver - Stanford Financial  
Ralph S. Janvey, Esq.  
2100 Ross Avenue, Suite 2600  
Dallas, TX 75201

**PLEASE REMIT TO:**

Ernst & Young  
Bank of America - Chic. 91251  
P.O. Box 91251  
Chicago, IL 60693

**EIN: 34-6565596**

BU: US007 CLIENT NUMBER: 60632006

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*Total Due*

\$5,611

## ELECTRONIC FUNDS TRANSFER INFORMATION

REDACTED

REDACTED

*Reference Client and Invoice Number on Electronic Transmittal*

<b>Category</b>	<b>Hours</b>
Preparation of Financial Statements: Corporate Structure Analysis	11.6
International Receivership Matters	0.3
Bankruptcy Matters	1.3
<b>Total</b>	<b>13.2</b>

Professional	Time Description	Date	Hours	Category
Alonso, Joseph	Entity Analysis - REDACTED	02-Dec-2009	0.5	Preparation of Financial Statements: Corporate Structure Analysis
Alonso, Joseph	Meeting with client re: REDACTED	02-Dec-2009	1.3	Preparation of Financial Statements: Corporate Structure Analysis
Alonso, Joseph	Entity Analysis - REDACTED	03-Dec-2009	2.0	Preparation of Financial Statements: Corporate Structure Analysis
Alonso, Joseph	Entity Analysis - REDACTED	07-Dec-2009	2.0	Preparation of Financial Statements: Corporate Structure Analysis
Alonso, Joseph	Entity Analysis - REDACTED	08-Dec-2009	1.0	Preparation of Financial Statements: Corporate Structure Analysis
Drake, Dominique	Cross-referencing entity list provided by SFG inhouse counsel and comparing to EY-prepared entity list	07-Dec-2009	0.8	Preparation of Financial Statements: Corporate Structure Analysis
Drake, Dominique	Reconciling entity list provided by SFG inhouse counsel with EY-prepared entity list for consistency	07-Dec-2009	1.0	Preparation of Financial Statements: Corporate Structure Analysis
Drake, Dominique	Updating entity list provided by SFG inhouse counsel with entity incorporation dates from EY-prepared entity list	07-Dec-2009	0.5	Preparation of Financial Statements: Corporate Structure Analysis
Drake, Dominique	Updating entity list provided by SFG inhouse counsel with incorporation locations from EY-prepared entity list	07-Dec-2009	1.0	Preparation of Financial Statements: Corporate Structure Analysis
Drake, Dominique	Updating entity list provided by SFG inhouse counsel with tax id numbers from EY-prepared entity list	07-Dec-2009	0.5	Preparation of Financial Statements: Corporate Structure Analysis
Osborn, Gary	information submission and completion of documents necessary for upcoming chapter fifteen hearing	01-Dec-2009	0.9	Bankruptcy Matters
Osborn, Gary	discuss with BB, EY and Stanford acctg where we are on the corporate tax returns and wrapping up any entities we can before year end	01-Dec-2009	1.0	Preparation of Financial Statements: Corporate Structure Analysis
Osborn, Gary	assistance to BB related to Chapter 15 evidence production	02-Dec-2009	0.4	Bankruptcy Matters
Osborn, Gary	work related to BB request for information on Canadian entity and tax effects	02-Dec-2009	0.3	International Receivership Matters

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**13.2**

# **EXHIBIT F**



November 9, 2009

Ralph Janvey Esq.  
As Receiver for the Stanford Entities  
2100 Ross Avenue  
Suite 2600  
Dallas, TX 75201

**RE: Stanford**

**INVOICE # STA00509-006**

For professional services rendered during the period of October 1, 2009 through October 31, 2009. Advice to the Receiver on day to day operations of the Stanford broker dealer entity and providing analysis of the client base and accounts held by the broker dealer, registered investment advisor and trust company; assistance in the development of customer account release criteria and the identification and analysis of customers and assets held in custody at clearing brokers and SEI; and provision of independent oversight of the daily activities of Stanford Group Company's brokerage operations department and assistance to the Receiver in developing information regarding the historical operation of the business.

Fees: \$	183,212.50
Discount \$	(36,642.50)
<hr/> Total Fees: \$	146,570.00
Total Expenses: \$	30,875.14

**TOTAL DUE: \$177,445.14**



**FEES:**

**Fees Discounted 20%**

Kurylak, Victor-Home Office: New York	187.00 hrs.	@ \$ 325.00	per hour	\$ 60,775.00	\$ 48,620.00
Coker, John-Home Office: Virginia	146.00 hrs.	@ \$ 275.00	per hour	\$ 40,150.00	\$ 32,120.00
Mollica, Bill-Home Office: New York	188.50 hrs.	@ \$ 275.00	per hour	\$ 51,837.50	\$ 41,470.00
Lewis, Sophia-Home Office: New York	174.00 hrs.	@ \$ 175.00	per hour	\$ 30,450.00	\$ 24,360.00
				<b>Total Fees: \$ 183,212.50</b>	<b>\$ 146,570.00</b>

**EXPENSES:**

**Kurylak, Victor**

Airfare	\$ 1,290.80
Lodging	\$ 3,379.00
Per Diem	\$ 1,500.00
Transportation	\$ 2,024.12
	<b>\$ 8,193.92</b>

**Coker, John**

Airfare	\$ 2,153.90
Lodging	\$ 3,379.00
Per Diem	\$ 960.00
Transportation	\$ 868.32
	<b>\$ 7,361.22</b>

**Mollica, Bill**

Airfare	\$ 1,748.50
Lodging	\$ 3,379.00
Per Diem	\$ 1,260.00
Transportation	\$ 1,650.00
	<b>\$ 8,037.50</b>

**Lewis, Sophia**

Airfare	\$ 1,608.50
Lodging	\$ 3,379.00
Per Diem	\$ 1,260.00
Transportation	\$ 1,035.00
	<b>\$ 7,282.50</b>

Total Expenses: \$ 30,875.14

<b>TOTAL DUE \$ 177,445.14</b>
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## As Receiver for the Stanford Entities

INVOICE # STA00509-006		Total	Rate	Total	Fee Discounted Total	Expenses	
<b>Trust Matters</b>							
	Coker	100%	146.00	\$ 275.00	\$ 40,150.00	\$ 32,120.00	\$ 7,361.22
	Lewis	100%	174.00	\$ 175.00	\$ 30,450.00	\$ 24,360.00	\$ 7,282.50
	Kurylak	12%	22.50	\$ 325.00	\$ 7,312.50	\$ 5,850.00	\$ 983.27
					<b>\$ 77,912.50</b>	<b>\$ 62,330.00</b>	<b>\$ 15,626.99</b>
							<b>\$ 77,956.99</b>
<b>Brokerage Firm Matters</b>							
	Mollica	57%	107.50	\$ 275.00	\$ 29,562.50	\$ 23,650.00	\$ 4,581.38
	Kurylak	41%	76.70	\$ 325.00	\$ 24,927.50	\$ 19,942.00	\$ 3,359.51
					<b>\$ 54,490.00</b>	<b>\$ 43,592.00</b>	<b>\$ 7,940.88</b>
							<b>\$ 51,532.88</b>
<b>Account Review and Release Process</b>							
	Mollica	39%	73.50	\$ 275.00	\$ 20,212.50	\$ 16,170.00	\$ 3,134.63
	Kurylak	43%	80.40	\$ 325.00	\$ 26,130.00	\$ 20,904.00	\$ 3,523.39
					<b>\$ 46,342.50</b>	<b>\$ 37,074.00</b>	<b>\$ 6,658.01</b>
							<b>\$ 43,732.01</b>
<b>Latin American Matters</b>							
	Mollica	4%	7.50	\$ 275.00	\$ 2,062.50	\$ 1,650.00	\$ 321.50
					<b>\$ 2,062.50</b>	<b>\$ 1,650.00</b>	<b>\$ 321.50</b>
							<b>\$ 1,971.50</b>
<b>Examiner Matters</b>							
	Kurylak	2%	3.70	\$ 325.00	\$ 1,202.50	\$ 962.00	\$ 163.88
					<b>\$ 1,202.50</b>	<b>\$ 962.00</b>	<b>\$ 163.88</b>
							<b>\$ 1,125.88</b>
<b>SEC Litigation</b>							
	Kurylak	2%	3.70	\$ 325.00	\$ 1,202.50	\$ 962.00	\$ 163.88
					<b>\$ 1,202.50</b>	<b>\$ 962.00</b>	<b>\$ 163.88</b>
							<b>\$ 1,125.88</b>

Total Fees	Fees Discounted Total	Expenses	Grand Total
\$ 183,212.50	\$ 146,570.00	\$ 30,875.14	\$ 177,445.14

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to emails and telephone calls	3.00
		Day to Day Operations of Stanford Trust Company	4.00
		QA, testing, and updating of Access database for STC account transfer and distribution tracking	2.50
	1-Oct-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	2.50
		Day to Day Operations of Stanford Trust Company	3.50
		QA, testing, and updating of Access database for STC account transfer and distribution tracking	2.50
	2-Oct-09	<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable hours week ending 10/2/09</b>			<b>18.00</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to emails and telephone calls	2.00
	5-Oct-09	<b>Total Hours</b>	<b>2.00</b>
		Responded to inquiries concerning transfer issues	0.50
		Responded to emails and telephone calls	0.50
	6-Oct-09	<b>Total Hours</b>	<b>1.00</b>
		Responded to emails and telephone calls	1.40
		Day to Day Operations of Stanford Trust Company	2.10
		Responded to transfer issues	1.50
	7-Oct-09	<b>Total Hours</b>	<b>5.00</b>
		Responded to emails and telephone calls	0.70
		Day to Day Operations of Stanford Trust Company	1.30
	8-Oct-09	<b>Total Hours</b>	<b>2.00</b>

**Total Billable hours week ending 10/9/09 10.00**

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to emails and telephone calls	1.70
		Day to Day Operations of Stanford Trust Company	2.30
		Responded to inquiries concerning transfer issues	2.50
	12-Oct-09	<b>Total Hours</b>	<b>6.50</b>
		Responded to inquiries concerning transfer issues	3.30
		QA, testing, and updating of Access database for STC account transfer and distribution tracking	2.50
		Day to Day Operations of Stanford Trust Company	2.70
		Responded to emails and telephone calls	1.00
	13-Oct-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	2.00
		Researched wires received <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> for Receiver	1.50
		Day to Day Operations of Stanford Trust Company	3.50
		Responded to inquiries concerning transfer issues	2.50
	14-Oct-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	2.50
		Day to Day Operations of Stanford Trust Company	3.50
		Managed SEI for data requests and processing requests	3.50
	15-Oct-09	<b>Total Hours</b>	<b>9.50</b>
	16-Oct-09	<b>Total Hours</b>	<b>0.00</b>
<b>Total Billable hours week ending 10/16/09</b>			<b>35.00</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to emails and telephone calls	1.40
		Day to Day Operations of Stanford Trust Company	1.40
		Responded to inquiries concerning transfer issues	1.20
		Managed SEI for data requests and processing requests	1.50
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	2.50
19-Oct-09		<b>Total Hours</b>	<b>8.00</b>
		Responded to inquiries concerning transfer issues	1.00
		Researched wires received into Trustmark Bank for Receiver	2.50
		Day to Day Operations of Stanford Trust Company	2.50
		Review of Held STC accounts and analysis of proceeds verses current market value	3.50
20-Oct-09		<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	0.70
		Finding lost Clients through brokers and Stanford employee inquiries	2.50
		Review of Held STC accounts and analysis of proceeds verses current market value	3.50
		Day to Day Operations of Stanford Trust Company	1.00
		Prep work for monthly account closing process	1.30
21-Oct-09		<b>Total Hours</b>	<b>9.00</b>
		Responded to emails and telephone calls	0.80
		Day to Day Operations of Stanford Trust Company	2.50
		Finding lost Clients through brokers and Stanford employee inquiries	1.50
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	2.50
		Prep work for monthly account closing process	2.20
22-Oct-09		<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.50
		Day to Day Operations of Stanford Trust Company	2.50
		Prep work for monthly account closing process	3.50
		Responded to inquiries concerning transfer issues	1.00
23-Oct-09		<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable hours week ending 10/23/09</b>			<b>44.50</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to emails and telephone calls	1.50
	26-Oct-09	<b>Total Hours</b>	<b>1.50</b>
		Responded to inquiries concerning transfer issues	1.40
		Dealing with the transfer of CD claim issues for STC clients with successor custodians	2.70
		Day to Day Operations of Stanford Trust Company	2.50
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	2.90
	27-Oct-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.00
		Finding lost Clients through brokers and Stanford employee inquiries	1.00
		Review of Held STC accounts and analysis of proceeds verses current market value	4.50
		Day to Day Operations of Stanford Trust Company	1.00
		Prep work for monthly account closing process	2.50
	28-Oct-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	1.70
		Responded to inquiries concerning transfer issues	1.20
		Day to Day Operations of Stanford Trust Company	1.30
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	2.30
		Prep work for monthly account closing process	2.50
	29-Oct-09	<b>Total Hours</b>	<b>9.00</b>
		Responded to emails and telephone calls	1.00
		Day to Day Operations of Stanford Trust Company	2.50
		Prep work for monthly account closing process	3.00
		Finding lost Clients through brokers and Stanford employee inquiries	1.50
		Responded to inquiries concerning transfer issues	0.50
	30-Oct-09	<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable hours week ending 10/30/09</b>			<b>38.50</b>

FITS INC  
 Victory Kurylak  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.30
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	0.80
		Research and analyze data related to bonus payments to FA's for sale of SIBL CD's	0.90
		Research and respond to issues pertaining to Stanford-Havell fund	0.80
		Review customer proceeds files and related correspondence	5.20
<b>VKK</b>	<b>1-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	1.20
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.10
		Research and analyze data related to <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> sale of SIBL CD's	0.60
		Review customer proceeds files and related correspondence	3.10
<b>VKK</b>	<b>2-Oct-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable hours week ending 10/02/09</b>			<b>16.00</b>

FITS INC  
Victor Kurylak  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
<b>KURYLAK</b>	<b>3-Oct-09</b>	<b>Total Hours</b>	<b>0.00</b>
<b>KURYLAK</b>	<b>4-Oct-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Review customer proceeds files and related correspondence	3.50
		Review daily tracking reports for customer account release process related to customers eligible for stipulated partial release and frozen accounts	1.10
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.00
		Attention to various issues pertaining to STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.80
		Research and respond to issues pertaining to Stanford-Havell fund	0.60
<b>KURYLAK</b>	<b>5-Oct-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.00
		Review daily tracking reports for customer account release process related to customers eligible for stipulated partial release and frozen accounts	0.60
		Attention to various issues pertaining to STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.70
		Research and repond to inquiry regarding [REDACTED], employment and upfront payments	4.90
<b>KURYLAK</b>	<b>6-Oct-09</b>	<b>Total Hours</b>	<b>9.20</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.70
		Review daily tracking reports for customer account release process related to customers eligible for stipulated partial release and frozen accounts	0.30
		Attention to various issues pertaining to STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	2.30
		Research and repond to inquiry regarding [REDACTED], employment and upfront payments	1.40
		Research and provide information to legal regarding brokerage operations, customer accounts, SGC business relationships and clearing firm issues	0.70
		Attention to issues related to released customer accounts that have not transferred	1.60
<b>KURYLAK</b>	<b>7-Oct-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Attention to various issues pertaining to STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.40
		Research and provide information to legal regarding brokerage operations, customer accounts, SGC business relationships and clearing firm issues	2.30
		Review and analyze records and data pertaining to Stanford FA and employee held accounts including SIBL commissions, forgivable loans, PARS and SIBL proceeds and provide support/recommendations to legal	0.80
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.50
<b>KURYLAK</b>	<b>8-Oct-09</b>	<b>Total Hours</b>	<b>8.80</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.00
		Attention to various issues pertaining to STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	2.30
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	0.70
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	1.80
		Research and provide information to legal regarding brokerage operations, customer accounts, SGC business relationships and clearing firm issues	0.70
<b>KURYLAK</b>	<b>9-Oct-09</b>	<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable Hours for Week Ending October 9, 2009</b>			<b>44.50</b>

FITS INC  
Victor Kurylak  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	4.00
<b>KURLAK</b>	<b>10-Oct-09</b>	<b>Total Hours</b>	<b>4.00</b>
		#N/A	
<b>KURLAK</b>	<b>11-Oct-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.60
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.90
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.50
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	2.20
<b>KURLAK</b>	<b>12-Oct-09</b>	<b>Total Hours</b>	<b>9.20</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.20
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	4.30
		Review customer proceeds files and related correspondence	1.40
		Attention to matters pertaining to FA compensation including commissions, loans, bonus payouts and PARS	1.80
<b>KURLAK</b>	<b>13-Oct-09</b>	<b>Total Hours</b>	<b>9.70</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.50
		Review customer proceeds files and related correspondence	2.60
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.70
		Attention to issues and inquiries raised by state regulators	0.20
<b>KURLAK</b>	<b>14-Oct-09</b>	<b>Total Hours</b>	<b>8.80</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.20
		Attention to issues pertaining to communications with customers related to revised hold back amounts	2.30
		Research and respond to <span style="background-color: #cccccc;">REDACTED</span> request for information	1.20
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.40
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.20
<b>KURLAK</b>	<b>15-Oct-09</b>	<b>Total Hours</b>	<b>9.30</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.50
		Attention to issues pertaining to communications with customers related to revised hold back amounts	0.30
		Attention to issues related to released customer accounts that have not transferred	1.90
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.80
<b>KURLAK</b>	<b>16-Oct-09</b>	<b>Total Hours</b>	<b>5.50</b>
<b>Total Billable Hours for Week Ending 10/16/09</b>			<b>46.50</b>

FITS INC  
Victor Kurylak  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURLAK	17-Oct-09	<b>Total Hours</b>	<b>0.00</b>
KURLAK	18-Oct-09	<b>Total Hours</b>	<b>0.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	4.60
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.60
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	0.40
KURLAK	19-Oct-09	<b>Total Hours</b>	<b>9.00</b>
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	2.40
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	4.10
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.60
		Attention to issues related to Havell Fund	0.90
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	2.40
KURLAK	20-Oct-09	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.50
		Attention to issues pertaining to communications with customers related to revised hold back amounts	2.20
		Meetings, telephone calls and email communications with members of Receiver team (HML, Baker Botts, FTI)	1.70
		Attention to issues related to released customer accounts that have not transferred	0.60
KURLAK	21-Oct-09	<b>Total Hours</b>	<b>8.80</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.70
		Attention to issues pertaining to communications with customers related to revised hold back amounts	2.20
		Attention to issues related to released customer accounts that have not transferred	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.50
		Meetings, telephone calls and email communications with members of Receiver team (HML, Baker Botts, FTI)	0.80
KURLAK	22-Oct-09	<b>Total Hours</b>	<b>8.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	1.80
		Attention to issues pertaining to communications with customers related to revised hold back amounts	0.30
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	1.70
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.90
KURLAK	23-Oct-09	<b>Total Hours</b>	<b>4.70</b>
<b>Total Billable Hours for Week Ending 9/23/09</b>			<b>40.00</b>

FITS INC  
Victor Kurylak  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		#N/A	
KURLAK	24-Oct-09	Total Hours	0.00
		#N/A	
KURLAK	25-Oct-09	Total Hours	0.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.80
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.40
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	0.60
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	2.20
KURLAK	26-Oct-09	Total Hours	7.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.20
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	1.90
		Research and support for legal pertaining to revised holdback amounts and schedules	1.40
		Research and assess impact of adjustments to proceeds amounts on partial release drawdowns	3.00
KURLAK	27-Oct-09	Total Hours	9.50
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.70
		Research and respond to inquiries from legal regarding <span style="border: 1px solid red; padding: 2px;">REDACTED</span> , employment and upfront payments	1.70
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	2.40
		Attention to issues related to released customer accounts that have not transferred	2.70
KURLAK	28-Oct-09	Total Hours	9.50
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.50
		Attention to issues related to released customer accounts that have not transferred	1.80
		Attention to issues related to assets held in Stanford affiliated accounts	1.70
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.70
		Research and support for legal pertaining to revised holdback amounts and schedules	1.30
KURLAK	29-Oct-09	Total Hours	9.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.00
		Attention to issues pertaining to communications with customers related to revised hold back amounts	0.50
		Attention to issues related to released customer accounts that have not transferred	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.20
KURLAK	30-Oct-09	Total Hours	5.00
<b>Total Billable Hours for Week Ending 10/30/09</b>			<b>40.00</b>

FITS INC  
Sophia Lewis  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.80
		Day to Day Operations of Stanford Trust Company	2.90
		Created and maintained an Access database for tracking the status of transferred accounts	0.60
		Responded to emails and telephone calls	1.70
	<b>1-Oct-09</b>	<b>Total Hours</b>	<b>7.00</b>
		<b>Total Billable Hours for Week Ending 10/2/09</b>	<b>7.00</b>

FITS INC  
 Sophia L. Lewis  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Created and maintained an Access database for tracking the status of transferred accounts	0.50
		Communicate with SEI on Trust/IRA transfer Issue	1.00
		Day to Day Operations of Stanford Trust Company	4.00
		Responded to emails and telephone calls	2.50
	<b>5-Oct-09</b>	<b>Total Hours</b>	<b>8.00</b>
		Day to Day Operations of Stanford Trust Company	5.00
		Responded to numerous daily questions from telephone staff on the status of STC accounts	1.00
		Responded to emails and telephone calls	2.00
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.50
	<b>6-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Created and maintained an Access database for tracking the status of transferred accounts	0.60
		Copy & Research Trust Documents from Baton Rouge & Greensboro boxes- Successor custodian queries or New Transfer Requests	1.40
		Day to Day Operations of Stanford Trust Company	2.70
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.90
		Responded to emails and telephone calls	2.90
	<b>7-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Review trust documentation process with Attorney	0.70
		Copy & Research Trust Documents from Baton Rouge & Greensboro boxes- Successor custodian queries or New Transfer Requests	1.00
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.80
		Day to Day Operations of Stanford Trust Company	3.70
		Responded to emails and telephone calls	2.20
		Created and maintained an Access database for tracking the status of transferred accounts	0.60
	<b>8-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Day to Day Operations of Stanford Trust Company	6.00
	<b>9-Oct-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable Hours for Week Ending 10/9/09</b>			<b>43.00</b>

FITS INC  
 Sophia L. Lewis  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Day to Day Operations of Stanford Trust Company	4.40
		Review trust documentation process with Attorney	1.60
		Communicated/responded to Successor Custodian on Trust Transfer Issues	0.90
		Created and maintained an Access database for tracking the status of transferred accounts	1.10
	<b>12-Oct-09</b>	<b>Total Hours</b>	<b>8.00</b>
		Copy & Research Trust Documents from Baton Rouge & Greensboro boxes- Successor custodian queries or New Transfer Requests	1.80
		Day to Day Operations of Stanford Trust Company	3.20
		Communicate with SEI on Trust/IRA transfer Issue	1.30
		Responded to emails and telephone calls	2.70
		Created and maintained an Access database for tracking the status of transferred accounts	0.50
	<b>13-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Copy & Research Trust Documents from Baton Rouge & Greensboro boxes- Successor custodian queries or New Transfer Requests	0.50
		Created and maintained an Access database for tracking the status of transferred accounts	0.50
		Day to Day Operations of Stanford Trust Company	5.00
		Responded to emails and telephone calls	1.00
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.00
	<b>14-Oct-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Day to Day Operations of Stanford Trust Company	4.70
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.80
		Communicate with SEI on Trust/IRA transfer Issue	0.90
		Responded to emails and telephone calls	1.60
		Created and maintained an Access database for tracking the status of transferred accounts	0.50
	<b>15-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Communicated/responded to Successor Custodian on Trust Transfer Issues	0.70
		Created and maintained an Access database for tracking the status of transferred accounts	0.80
		Responded to emails and telephone calls	1.20
		Day to Day Operations of Stanford Trust Company	3.30
	<b>16-Oct-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable Hours for Week Ending 10/16/09</b>			<b>42.00</b>

FITS INC  
 Sophia L. Lewis  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Day to Day Operations of Stanford Trust Company	3.70
		Responded to emails and telephone calls	1.80
		Review trust documentation process with Attorney	0.50
		Copy & Research Trust Documents from Baton Rouge & Greensboro boxes- Successor custodian queries or New Transfer Requests	1.20
		Created and maintained an Access database for tracking the status of transferred accounts	0.80
	<b>19-Oct-09</b>	<b>Total Hours</b>	<b>8.00</b>
		Review trust documentation process with Attorney	1.10
		Created and maintained an Access database for tracking the status of transferred accounts	0.60
		Day to Day Operations of Stanford Trust Company	4.80
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.30
		Communicate with SEI on Trust/IRA transfer Issue	0.70
	<b>20-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Day to Day Operations of Stanford Trust Company	6.30
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.00
		Responded to emails and telephone calls	1.90
		Created and maintained an Access database for tracking the status of transferred accounts	0.80
	<b>21-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	3.00
		Created and maintained an Access database for tracking the status of transferred accounts	1.00
		Day to Day Operations of Stanford Trust Company	4.30
		Copy & Research Trust Documents from Baton Rouge & Greensboro boxes- Successor custodian queries or New Transfer Requests	1.20
	<b>22-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Day to Day Operations of Stanford Trust Company	1.60
		Review trust documentation process with Attorney	0.50
		Responded to emails and telephone calls	1.00
		Created and maintained an Access database for tracking the status of transferred accounts	0.40
	<b>23-Oct-09</b>	<b>Total Hours</b>	<b>3.50</b>
<b>Total Billable Hours for Week Ending 10/23/09</b>			<b>40.50</b>

FITS INC  
 Sophia L. Lewis  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Created and maintained an Access database for tracking the status of transferred accounts	0.70
		Review trust documentation process with Attorney	0.80
		Day to Day Operations of Stanford Trust Company	3.70
		Responded to emails and telephone calls	2.30
	<b>26-Oct-09</b>	<b>Total Hours</b>	<b>7.50</b>
		Day to Day Operations of Stanford Trust Company	4.20
		Created and maintained an Access database for tracking the status of transferred accounts	1.20
		Responded to emails and telephone calls	1.90
		Contact account holders who have not contacted Receivership to Transfer accounts	1.70
	<b>27-Oct-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Created and maintained an Access database for tracking the status of transferred accounts	0.30
		Communicate with SEI on Trust/IRA transfer Issue	0.90
		Day to Day Operations of Stanford Trust Company	4.20
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.10
		Responded to emails and telephone calls	2.00
	<b>28-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Review trust documentation process with Attorney	0.80
		Contact account holders who have not contacted Receivership to Transfer accounts	1.30
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.60
		Day to Day Operations of Stanford Trust Company	2.90
		Responded to emails and telephone calls	2.50
		Created and maintained an Access database for tracking the status of transferred accounts	0.40
	<b>29-Oct-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Day to Day Operations of Stanford Trust Company	3.70
		Created and maintained an Access database for tracking the status of transferred accounts	0.60
		Responded to emails and telephone calls	1.70
	<b>30-Oct-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable Hours for Week Ending 10/30/09</b>			<b>41.50</b>

**FITS INC**  
**William F Mollica Jr - WFM**  
**New York, New York Office**

		<u>Service Activity</u>	
<u>Consultant</u>	<u>Date</u>		
	1-Oct-09	Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
	1-Oct-09	Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
	1-Oct-09	Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.80
	1-Oct-09	Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.20
	1-Oct-09	SGC & STC Account Held Report - Work with FTI on putting together a new report that will reflect all accounts held as close of business Sept 30, 2009.	0.90
	1-Oct-09	Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.70
	1-Oct-09	Assist in the release of cash accounts held at JPMCC - Compliance, Legal and JPMCC	0.60
<b>WFM</b>	<b>1-Oct-09</b>	<b>Billable Hours for Day</b>	<b>9.50</b>
	2-Oct-09	Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
	2-Oct-09	Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
	2-Oct-09	Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.70
	2-Oct-09	SGC & STC Account Held Report - Work with FTI on putting together a new report that will reflect all accounts held as close of business Sept 30, 2009.	1.70
	2-Oct-09	Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.30
<b>WFM</b>	<b>2-Oct-09</b>	<b>Billable Hours for Day</b>	<b>5.00</b>
<b>Total Billable Hours for October 2, 2009</b>			<b>14.50</b>

FITS INC  
 Name William Mollica  
 New York, New York Office

Consultant	Date	Activity	Hours
		Legal Request - Create a report that broke down the accounts still held by the receiver, starting with the original number of accounts held. This information was requested by the SEC.	2.50
	<b>3-Oct-09</b>	<b>Total Hours</b>	<b>2.50</b>
		SGC & STC Account Status Report updated with Draft copy of KVT-4 (FTI) - Sent this report to FTI, Legal, Stanford Associates.	3.00
	<b>4-Oct-09</b>	<b>Total Hours</b>	<b>3.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Researched Customer Account information for Legal.	0.90
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.30
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.60
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.80
	<b>5-Oct-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.80
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Create soft copies of customer statements for Marcus Ryan (Customer Lawyer) - send via email (PDF format)	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.80
	<b>6-Oct-09</b>	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.50
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	1.10
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.10
		Review of the SGC Deposit Account (Pershing LLC) - create a report and send to the receivership team (HML, BB)	0.60
	<b>7-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>

FITS INC  
 Name William Mollica  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.60
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.10
		Create a New Report for Legal - SGC Accounts Held (Non-Customer). This report includes all accounts held that belong to: FA, Senior Manager, Directors/Officers, Defendants & Affiliates.	2.20
	<b>8-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.70
		Create a report based on the Final Version of KVT-4 to give over to Operations so they can begin to call customers.	1.80
	<b>9-Oct-09</b>	<b>Total Hours</b>	<b>6.50</b>
<b>Total Billable Hours for Week Ending</b>			<b>10/09/2009 43.50</b>

FITS INC  
 Name William Mollica  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Create a New Report using the Final Version of KVT-4, send to Ops, Legal and FTI	2.20
	<b>10-Oct-09</b>	<b>Total Hours</b>	<b>2.20</b>
			0.00
	<b>11-Oct-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Researched Customer Account information for Legal.	0.60
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.50
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.10
	<b>12-Oct-09</b>	<b>Total Hours</b>	<b>4.30</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.70
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.80
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	1.70
		Create a new report for operations to use which now includes funds processed through the SIBL Express account.	1.20
		Create soft copies of customer statements for Marcus Ryan (Customer Lawyer) - send via email (PDF format)	0.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.80
	<b>13-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	1.30
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.40

**FITS INC**  
**Name William Mollica**  
**New York, New York Office**

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Conference Call with Pershing LLC regarding Bulk Transfer	0.60
		SGC & STC Accounts Held Report - Updated KVT 4 along with Express information. Send report to Stanford Associates, FTI and Legal.	1.90
		Conference Call with Pershing LLC - Review any outstanding Day to Day issues along with Receivership Issues	0.60
	<b>14-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.30
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.20
		Create a New Report for Legal - SGC Accounts Held (Non-Customer). This report includes all accounts held that belong to: FA, Senior Manager, Directors/Officers, Defendants & Affiliates.	2.40
	<b>15-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day: <span style="border: 1px solid red; padding: 2px;">REDACTED</span>	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.80
		Work with Legal to update the SGC & STC reports to reflect updated Net Worth and include Money Funds for accounts held at Pershing LLC	1.60
	<b>16-Oct-09</b>	<b>Total Hours</b>	<b>6.50</b>
<b>Total Billable Hours for Week Ending</b>			<b>10/16/2009 43.00</b>

**FITS INC**  
**Name William Mollica**  
**New York, New York Office**

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Associates.	2.30
	<b>17-Oct-09</b>	<b>Total Hours</b>	<b>2.30</b>
			0.00
	<b>18-Oct-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Work with Stanford Group Peru with an issue they are having selling assets held at DAP.	0.60
		Accounts Release by Receiver throughout the day:	0.70
		REDACTED	
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.40
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.10
	<b>19-Oct-09</b>	<b>Total Hours</b>	<b>5.20</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day:	0.80
		REDACTED	
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	1.20
		Update the Status of all Debit balance accounts still being held - Ensure that they are grouped properly with customer accounts / non customer accounts on the KVT 4 report. Identify any accounts that are still held and have no proceeds attached, make sure that someone in operations is in contact with those clients.	1.40
		Update the Net Worth for STC Accounts still being held and that are part of the KVT 4 report	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.60
	<b>20-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day:	1.70
		REDACTED	
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90

**FITS INC**  
**Name William Mollica**  
**New York, New York Office**

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.40
		Conference Call with Pershing LLC regarding Bulk Transfer	0.40
		SGC & STC Accounts Held Report - Updated KVT 4 along with Express information. Send report to Stanford Associates, FTI and Legal.	1.50
		Meeting with FTI to review the accounts Held and how they are grouped - to highlight the Margin accounts along with the NPL's.	0.70
	<b>21-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day:	0.60
		REDACTED	
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.60
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.20
		Create an updated report of all SGC Customer Accounts Pending Transfer. This will become the working report for SGC Associates to contact the customers regarding their accounts to make sure they know they are free to be transferred.	2.30
	<b>22-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day:	0.60
		REDACTED	
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.90
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Assoiates.	1.70
	<b>23-Oct-09</b>	<b>Total Hours</b>	<b>6.50</b>
<b>Total Billable Hours for Week Ending</b>			<b>10/23/2009 44.00</b>

FITS INC  
Name William Mollica  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Associates.	2.00
	<b>24-Oct-09</b>	<b>Total Hours</b>	<b>2.00</b>
			0.00
	<b>25-Oct-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day:	0.70
		REDACTED	
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.80
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.10
	<b>26-Oct-09</b>	<b>Total Hours</b>	<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day:	0.70
		REDACTED	
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	1.30
		Update the Status of all Debit balance accounts still being held - Ensure that they are grouped properly with customer accounts / non customer accounts on the KVT 4 report. Identify any accounts that are still held and have no proceeds attached, make sure that someone in operations is in contact with those clients.	1.50
		Update the Net Worth for STC Accounts still being held and that are part of the KVT 4 report	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.40
	<b>27-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day:	1.30
		REDACTED	
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90

**FITS INC**  
**Name William Mollica**  
**New York, New York Office**

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.70
		Conference Call with Pershing LLC regarding Bulk Transfer	0.40
		SGC & STC Accounts Held Report - Updated KVT 4 along with Express information. Send report to Stanford Associates, FTI and Legal.	1.60
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.70
	<b>28-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day:	0.80
		REDACTED	
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.30
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	1.10
		Create a report that shows possible recoverable of interest only based on the accounts held at this time.	0.60
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	1.90
	<b>29-Oct-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day:	0.70
		REDACTED	
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.10
		Work with Legal to update the SGC & STC reports to reflect updated Net Worth and include Money Funds for accounts held at Pershing LLC	0.60
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Associates.	1.80
	<b>30-Oct-09</b>	<b>Total Hours</b>	<b>6.50</b>
<b>Total Billable Hours for Week Ending</b>			<b>10/30/2009 43.50</b>



**Expense Report**

Week Ending: 10/2/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total	
Thursday	10/1/2009		\$60.00					\$60.00	
Friday	10/2/2009		\$60.00					\$60.00	
		Lodging: 10/1-10/2				\$218.00		\$218.00	
		Airfare : 10/2-10/5 - Iah To Orf			\$371.20				\$371.20
		35 Miles @ .55 - From Orf To Home				\$19.25			\$19.25
		Orf Airport Parking				\$35.00			\$35.00
		From Hotel To Iah				\$70.00			\$70.00
		Tolls From Orf To Home				\$12.00			\$12.00
<b>Totals:</b>			<b>\$120.00</b>	<b>\$371.20</b>	<b>\$136.25</b>	<b>\$218.00</b>	<b>\$0.00</b>	<b>\$845.45</b>	

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/9/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/5/2009	Lodging: 10/3-10/9				\$763.00		\$763.00
<b>Totals:</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$763.00</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/16/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/12/2009	Airfare: 10/12 From Orf To Iah		\$529.10				\$529.10
		35 Miles @ .55 To Orf Airport			\$19.25			\$19.25
		Tolls To Orf Airport			\$12.00			\$12.00
			\$60.00					\$60.00
Tuesday	10/13/2009		\$60.00				\$60.00	
Wednesday	10/14/2009		\$60.00				\$60.00	
Thursday	10/15/2009		\$60.00					\$60.00
		Airfare: 10/15-10/19 Iah To Orf		\$371.20				\$371.20
		35 Miles @ .55 From Orf Airport To Home			\$19.25			\$19.25
		Orf Airport Parking			\$28.00			\$28.00
		To Iah Airport			\$70.00			\$70.00
		Tolls From Orf Airport To Home			\$12.00			\$12.00
Friday	10/16/2009	Lodging: 10/9-10/16				\$763.00		\$763.00
<b>Totals:</b>			<b>\$240.00</b>	<b>\$900.30</b>	<b>\$160.50</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$2,063.80</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/23/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/19/2009		\$60.00					\$60.00
		35 Miles @.55 To Iah			\$19.25			\$19.25
		From Iah Airport To Hotel			\$70.00			\$70.00
		Tolls To Orf Airport			\$12.00			\$12.00
Tuesday	10/20/2009		\$60.00				\$60.00	
Wednesday	10/21/2009		\$60.00				\$60.00	
Thursday	10/22/2009		\$60.00					\$60.00
		Gas For Rental Car			\$40.00			\$40.00
Friday	10/23/2009	Lodging: 10/17-10/23				\$763.00		\$763.00
		Airfare: 10/23-10/26 - Iah To Orf		\$336.20				\$336.20
		35 Miles @.55 From Iah To Home			\$19.25			\$19.25
		Orf Airport Parking			\$35.00			\$35.00
		To Iah Airport From Hotel			\$70.00			\$70.00
		Tolls From Orf Airport			\$12.00			\$12.00
			\$60.00					\$60.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$336.20</b>	<b>\$277.50</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,676.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/30/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/26/2009		\$60.00					\$60.00
		From Iah Airport			\$70.00			\$70.00
		From Home To Airport			\$37.07			\$37.07
Tuesday	10/27/2009		\$60.00					\$60.00
Wednesday	10/28/2009		\$60.00					\$60.00
Thursday	10/29/2009		\$60.00					\$60.00
Friday	10/30/2009		\$60.00					\$60.00
		Airfare: 10/30-11/2 - Iah To Orf		\$546.20				\$546.20
		To Iah Airport			\$70.00			\$70.00
		From Orf Airport			\$117.00			\$117.00
Saturday	10/31/2009	Lodging: 10/24-10/31				\$872.00		\$872.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$546.20</b>	<b>\$294.07</b>	<b>\$872.00</b>	<b>\$0.00</b>	<b>\$2,012.27</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



## Expense Report

Week Ending: 10/2/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Thursday	10/1/2009		\$60.00					\$60.00
Friday	10/2/2009		\$60.00					\$60.00
		Lodging: 10/1-10/2				\$218.00		\$218.00
		Airfare : 10/2 - 10/4		\$317.70				\$317.70
		From Newark Airport To Home			\$98.46			\$98.46
		Car Rental: 9/2-10/2				\$864.90		\$864.90
		Marriott To Hoston Airport				\$70.00		\$70.00
<b>Totals:</b>			<b>\$120.00</b>	<b>\$317.70</b>	<b>\$1,033.36</b>	<b>\$218.00</b>	<b>\$0.00</b>	<b>\$1,689.06</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/9/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Sunday	10/4/2009		\$60.00					\$60.00
		To Newark From Home			\$98.46			\$98.46
		From Lah Airport			\$80.00			\$80.00
Monday	10/5/2009		\$60.00				\$60.00	
Tuesday	10/6/2009		\$60.00				\$60.00	
Wednesday	10/7/2009		\$60.00				\$60.00	
Thursday	10/8/2009		\$60.00				\$60.00	
Friday	10/9/2009		\$60.00					\$60.00
		Lodging: 10/3-10/9				\$763.00		\$763.00
<b>Totals:</b>			<b>\$360.00</b>	<b>\$0.00</b>	<b>\$178.46</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,301.46</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/16/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total	
Saturday	10/10/2009		\$60.00					\$60.00	
Sunday	10/11/2009		\$60.00					\$60.00	
Monday	10/12/2009		\$60.00					\$60.00	
Tuesday	10/13/2009		\$60.00					\$60.00	
Wednesday	10/14/2009		\$60.00					\$60.00	
Thursday	10/15/2009		\$60.00					\$60.00	
Friday	10/16/2009		\$60.00					\$60.00	
		Lodging: 10/9-10/16				\$763.00		\$763.00	
		Airfare : 10/16 - 10/18 Iah To Ewr		\$337.70					\$337.70
		From Ewr To Home				\$98.46			\$98.46
		From Residence Inn To Iah				\$70.00		\$70.00	
<b>Totals:</b>			<b>\$420.00</b>	<b>\$337.70</b>	<b>\$168.46</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,689.16</b>	

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/23/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/19/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From Iah Airport			\$70.00			\$70.00
Tuesday	10/20/2009		\$60.00				\$60.00	
Wednesday	10/21/2009		\$60.00				\$60.00	
Thursday	10/22/2009		\$60.00				\$60.00	
Friday	10/23/2009		\$60.00					\$60.00
		Lodging: 10/17-10/23				\$763.00		\$763.00
		Airfare: 10/23-10/26 - Iah To Ewr		\$317.70				\$317.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$70.00			\$70.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$317.70</b>	<b>\$336.92</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,717.62</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



## Expense Report

Week Ending: 10/30/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/26/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From Iah Airport			\$70.00			\$70.00
Tuesday	10/27/2009		\$60.00					\$60.00
Wednesday	10/28/2009		\$60.00					\$60.00
Thursday	10/29/2009		\$60.00					\$60.00
Friday	10/30/2009		\$60.00					\$60.00
		Airfare : 10/30 - 11/2 - Iah To Ewr		\$317.70				\$317.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$40.00			\$40.00
Saturday	10/31/2009	Lodging: 10/24-10/31				\$872.00		\$872.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$317.70</b>	<b>\$306.92</b>	<b>\$872.00</b>	<b>\$0.00</b>	<b>\$1,796.62</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/2/2009

Employee: Lewis, Sophia-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Thursday	10/1/2009		\$60.00					\$60.00
		Airfare : 10/1-10/5 - Iah To Lga		\$277.70				\$277.70
		From Hotel To Iah			\$70.00			\$70.00
		From Lga To Home			\$75.00			\$75.00
Friday	10/2/2009	Lodging: 10/1-10/2				\$218.00		\$218.00
<b>Totals:</b>			<b>\$60.00</b>	<b>\$277.70</b>	<b>\$145.00</b>	<b>\$218.00</b>	<b>\$0.00</b>	<b>\$700.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/9/2009

Employee: Lewis, Sophia-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/5/2009		\$60.00					\$60.00
		To Lga Airport			\$75.00			\$75.00
		From Iah Airport			\$70.00			\$70.00
Tuesday	10/6/2009		\$60.00				\$60.00	
Wednesday	10/7/2009		\$60.00				\$60.00	
Thursday	10/8/2009		\$60.00				\$60.00	
Friday	10/9/2009		\$60.00					\$60.00
		Lodging: 10/3-10/9				\$763.00		\$763.00
		Airfare: 10/9-10/12 - Iah To Lga		\$297.70				\$297.70
		From Lga Airport			\$75.00			\$75.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$297.70</b>	<b>\$220.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,580.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/16/2009

Employee: Lewis, Sophia-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/12/2009		\$60.00					\$60.00
		To Lga Airport From Home			\$75.00			\$75.00
		From lah Airport To Hotel			\$70.00			\$70.00
Tuesday	10/13/2009		\$60.00				\$60.00	
Wednesday	10/14/2009		\$60.00				\$60.00	
Thursday	10/15/2009		\$60.00				\$60.00	
Friday	10/16/2009		\$60.00					\$60.00
		Lodging: 10/10-10/16				\$763.00		\$763.00
		Airfare: 10/16-10/19 - lah To Lga		\$297.70				\$297.70
		To lah Airport From Hotel			\$40.00			\$40.00
		From Lga Airport To Home			\$75.00			\$75.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$297.70</b>	<b>\$260.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,620.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/23/2009

Employee: Lewis, Sophia-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total	
Monday	10/19/2009		\$60.00					\$60.00	
		To Lga Airport From Home			\$75.00			\$75.00	
Tuesday	10/20/2009		\$60.00					\$60.00	
Wednesday	10/21/2009		\$60.00					\$60.00	
Thursday	10/22/2009		\$60.00					\$60.00	
Friday	10/23/2009		\$60.00					\$60.00	
		Lodging: 10/17-10/23				\$763.00		\$763.00	
		Airfare: 10/23-10/26 - Iah To Lga			\$297.70				\$297.70
		To Iah Airport From Hotel				\$70.00			\$70.00
		From Lga Airport To Home				\$75.00			\$75.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$297.70</b>	<b>\$220.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,580.70</b>	

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/30/2009

Employee: Lewis, Sophia-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/26/2009		\$60.00					\$60.00
		Car To Lga From Home			\$75.00			\$75.00
Tuesday	10/27/2009		\$60.00					\$60.00
Wednesday	10/28/2009		\$60.00					\$60.00
Thursday	10/29/2009		\$60.00					\$60.00
Friday	10/30/2009	Airfare : 10/30 - 11/2 - Iah To Lga		\$437.70				\$437.70
		Car To Iah From Hotel			\$40.00			\$40.00
		Car From Lga To Home			\$75.00			\$75.00
			\$60.00					\$60.00
Saturday	10/31/2009	Lodging: 10/24-10/31				\$872.00		\$872.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$437.70</b>	<b>\$190.00</b>	<b>\$872.00</b>	<b>\$0.00</b>	<b>\$1,799.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/2/2009

Employee: Mollica, William-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Thursday	10/1/2009		\$60.00					\$60.00
Friday	10/2/2009		\$60.00					\$60.00
		Lodging: 10/1-10/2				\$218.00		\$218.00
		Airfare : 10/2-10/6 - lah To Ewr		\$287.70				\$287.70
		From Ewr To Home			\$120.00			\$120.00
		From Hotel To lah			\$70.00			\$70.00
<b>Totals:</b>			<b>\$120.00</b>	<b>\$287.70</b>	<b>\$190.00</b>	<b>\$218.00</b>	<b>\$0.00</b>	<b>\$815.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/9/2009

Employee: Mollica, William-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Tuesday	10/6/2009		\$60.00					\$60.00
		To Ewr Airport From Home			\$120.00			\$120.00
		From Iah Airport To Hotel			\$70.00			\$70.00
Wednesday	10/7/2009		\$60.00				\$60.00	
Thursday	10/8/2009		\$60.00				\$60.00	
Friday	10/9/2009		\$60.00					\$60.00
		Lodging: 10/3-10/9				\$763.00		\$763.00
		Airfare : 10/9 - 10/12 - Iah To Ewr		\$357.70				\$357.70
		From Ewr Airport To Home			\$120.00			\$120.00
		To Iah Airport From Hotel			\$70.00			\$70.00
<b>Totals:</b>			<b>\$240.00</b>	<b>\$357.70</b>	<b>\$380.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,740.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



## Expense Report

Week Ending: 10/16/2009

Employee: Mollica, William-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/12/2009		\$60.00					\$60.00
		To Ewr Airport From Home			\$120.00			\$120.00
		From Iah Airport To Hotel			\$70.00			\$70.00
Tuesday	10/13/2009		\$60.00					\$60.00
Wednesday	10/14/2009		\$60.00					\$60.00
Thursday	10/15/2009		\$60.00					\$60.00
Friday	10/16/2009		\$60.00					\$60.00
		Lodging: 10/10-10/16				\$763.00		\$763.00
		Airfare : 10/16 - 10/19 - Iah To Ewr		\$407.70				\$407.70
		From Ewr Airport To Home			\$120.00			\$120.00
		To Iah Airport From Hotel			\$40.00			\$40.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$407.70</b>	<b>\$350.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,820.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/23/2009

Employee: Mollica, William-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/19/2009		\$60.00					\$60.00
		To Ewr Airport From Home			\$120.00			\$120.00
		From Iah Airport To Hotel			\$70.00			\$70.00
Tuesday	10/20/2009		\$60.00				\$60.00	
Wednesday	10/21/2009		\$60.00				\$60.00	
Thursday	10/22/2009		\$60.00				\$60.00	
Friday	10/23/2009		\$60.00					\$60.00
		Lodging: 10/17-10/23				\$763.00		\$763.00
		Airfare : 10/23 - 10/26 Iah To Ewr		\$377.70				\$377.70
		From Ewr Airport To Home			\$120.00			\$120.00
		To Iah Airport From Hotel			\$70.00			\$70.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$377.70</b>	<b>\$380.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,820.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 10/30/2009

Employee: Mollica, William-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	10/26/2009		\$60.00					\$60.00
		To Ewr Airport From Home			\$120.00			\$120.00
		From Iah Airport To Hotel			\$70.00			\$70.00
Tuesday	10/27/2009		\$60.00				\$60.00	
Wednesday	10/28/2009		\$60.00				\$60.00	
Thursday	10/29/2009		\$60.00				\$60.00	
Friday	10/30/2009		\$60.00					\$60.00
		Airfare : 10/30 - 11/2 - Iah To Ewr		\$317.70				\$317.70
		From Ewr Airport To Home			\$120.00			\$120.00
		To Iah Airport From Hotel			\$40.00			\$40.00
Saturday	10/31/2009	Lodging: 10/24-10/31				\$872.00		\$872.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$317.70</b>	<b>\$350.00</b>	<b>\$872.00</b>	<b>\$0.00</b>	<b>\$1,839.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



December 9, 2009

Ralph Janvey Esq.  
As Receiver for the Stanford Entities  
2100 Ross Avenue  
Suite 2600  
Dallas, TX 75201

**RE: Stanford**

**INVOICE # STA00509-007**

For professional services rendered during the period of November 1, 2009 through November 30, 2009. Advice to the Receiver on day to day operations of the Stanford broker dealer entity and providing analysis of the client base and accounts held by the broker dealer, registered investment advisor and trust company; assistance in the development of customer account release criteria and the identification and analysis of customers and assets held in custody at clearing brokers and SEI; and provision of independent oversight of the daily activities of Stanford Group Company's brokerage operations department and assistance to the Receiver in developing information regarding the historical operation of the business.

\*\*Total Fees: \$ 124,120.00  
Total Expenses: \$ 18,536.27

**TOTAL DUE: \$142,656.27**

\*\*Hourly rates include 20% discount.

400 Morris Avenue ♦ Suite 264 ♦ Denville ♦ New Jersey ♦ 07834  
(973) 586-8877 ♦ (973) 586-0463 (fax) ♦ WWW.FITS-INC.COM  
DALLAS CHICAGO CHARLOTTE MINNEAPOLIS



**FEES:**

Kurylak, Victor-Home Office: New York	168.50 hrs.	@	\$ 260.00	per hour	\$	43,810.00	
Coker, John-Home Office: Virginia	170.50 hrs.	@	\$ 220.00	per hour	\$	37,510.00	
Mollica, Bill-Home Office: New York	139.50 hrs.	@	\$ 220.00	per hour	\$	30,690.00	
Lewis, Sophia-Home Office: New York	86.50 hrs.	@	\$ 140.00	per hour	\$	12,110.00	
<hr/>							
Total Fees:						\$	<b>124,120.00</b>

**EXPENSES:**

**Kurylak, Victor**

Airfare	\$	1,187.10
Lodging	\$	3,270.00
Per Diem	\$	1,260.00
Transportation	\$	1,059.22
	\$	<hr/> <b>6,776.32</b>

**Coker, John**

Airfare	\$	1,704.60
Lodging	\$	3,270.00
Per Diem	\$	1,200.00
Transportation	\$	881.25
	\$	<hr/> <b>7,055.85</b>

**Mollica, Bill**

Airfare	\$	317.70
Lodging	\$	654.00
Per Diem	\$	300.00
Transportation	\$	350.00
	\$	<hr/> <b>1,621.70</b>

**Lewis, Sophia**

Airfare	\$	595.40
Lodging	\$	1,417.00
Per Diem	\$	600.00
Transportation	\$	470.00
	\$	<hr/> <b>3,082.40</b>

Total Expenses: \$ 18,536.27

<b>TOTAL DUE</b>	<b>\$</b>	<b>142,656.27</b>
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**As Receiver for the Stanford Entities**

INVOICE # STA00509-007		Total	Rate		Fees		Expenses	
<b>Trust Matters</b>								
	Coker	100%	170.50	\$ 220.00	\$ 37,510.00		\$ 7,055.85	
	Lewis	100%	86.50	\$ 140.00	\$ 12,110.00		\$ 3,082.40	
	Kurylak	11%	18.70	\$ 260.00	\$ 4,862.00		\$ 745.40	<b>Total Trust Matters</b>
					<b>\$ 54,482.00</b>		<b>\$ 10,883.65</b>	<b>\$ 65,365.65</b>
<b>Brokerage Firm Matters</b>								
	Mollica	58%	81.10	\$ 220.00	\$ 17,842.00		\$ 940.59	
	Kurylak	45%	75.10	\$ 260.00	\$ 19,526.00		\$ 3,049.34	<b>Total Brokerage Firm Matters</b>
					<b>\$ 37,368.00</b>		<b>\$ 3,989.93</b>	<b>\$ 41,357.93</b>
<b>Account Review and Release Process</b>								
	Mollica	37%	51.70	\$ 220.00	\$ 11,374.00		\$ 600.03	
	Kurylak	44%	74.70	\$ 260.00	\$ 19,422.00		\$ 2,981.58	<b>Total Account Review and Release Process</b>
					<b>\$ 30,796.00</b>		<b>\$ 3,581.61</b>	<b>\$ 34,377.61</b>
<b>Latin American Matters</b>								
	Mollica	5%	6.70	\$ 220.00	\$ 1,474.00		\$ 81.09	<b>Total Latin American Matters</b>
					<b>\$ 1,474.00</b>		<b>\$ 81.09</b>	<b>\$ 1,555.09</b>

Fees	Expenses	Grand Total
\$ 124,120.00	\$ 18,536.27	\$ 142,656.27

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	1.50
		Negotiated fee reductions, data requests, and special processing with SEI	2.30
		Day to Day Operations of Stanford Trust Company	2.00
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	1.00
		Responded to emails and telephone calls	1.20
	2-Nov-09	<b>Total Hours</b>	<b>8.00</b>
		Responded to inquiries concerning transfer issues	2.00
		Dealing with the transfer of CD claim issues for STC clients with successor custodians	2.00
		Day to Day Operations of Stanford Trust Company	3.00
		RPO (return from Post Office) analysis and logging to STC Client Database	2.50
	3-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		RPO (return from Post Office) analysis and logging to STC Client Database	3.00
		Responded to inquiries concerning transfer issues	3.00
		Day to Day Operations of Stanford Trust Company	3.50
	4-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	2.10
		Negotiated fee reductions, data requests, and special processing with SEI	1.00
		Responded to inquiries concerning transfer issues	1.90
		Day to Day Operations of Stanford Trust Company	4.00
	5-Nov-09	<b>Total Hours</b>	<b>9.00</b>
		Responded to emails and telephone calls	1.60
		Day to Day Operations of Stanford Trust Company	3.50
		Responded to inquiries concerning transfer issues	3.40
	6-Nov-09	<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable hours week ending 11/06/09</b>			<b>44.50</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	1.00
		Day to Day Operations of Stanford Trust Company	3.20
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	
		STC	2.40
		Responded to emails and telephone calls	1.40
	9-Nov-09	<b>Total Hours</b>	<b>8.00</b>
		Responded to inquiries concerning transfer issues	2.00
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	1.00
		Day to Day Operations of Stanford Trust Company	5.00
		Prep work for monthly account closing process	2.00
	10-Nov-09	<b>Total Hours</b>	<b>10.00</b>
		Prep work for monthly account closing process	1.50
		Managed SEI for data requests and processing requests	2.50
		Responded to inquiries concerning transfer issues	2.00
		Day to Day Operations of Stanford Trust Company	4.00
	11-Nov-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	1.00
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	1.60
		Negotiated fee reductions, data requests, and special processing with SEI	1.00
		Responded to inquiries concerning transfer issues	1.90
		Day to Day Operations of Stanford Trust Company	4.00
	12-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	0.60
		Day to Day Operations of Stanford Trust Company	4.00
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	2.40
		Responded to inquiries concerning transfer issues	1.00
	13-Nov-09	<b>Total Hours</b>	<b>8.00</b>
<b>Total Billable hours week ending 11/13/09</b>			<b>45.50</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	0.40
		Responded to emails and telephone calls	1.60
	16-Nov-09	<b>Total Hours</b>	<b>2.00</b>
		Responded to inquiries concerning transfer issues	3.40
		Responded to emails and telephone calls	2.60
		Day to Day Operations of Stanford Trust Company	4.00
	17-Nov-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	3.50
		Responded to inquiries concerning transfer issues	4.00
		Day to Day Operations of Stanford Trust Company	2.00
	18-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.50
		Daily Tracking of STC accounts that remain open and those that have transferred	1.80
		Responded to inquiries concerning transfer issues	2.20
		Day to Day Operations of Stanford Trust Company	4.00
	19-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.60
		Day to Day Operations of Stanford Trust Company	4.00
		Daily Tracking of STC accounts that remain open and those that have transferred	2.40
		Responded to inquiries concerning transfer issues	2.00
	20-Nov-09	<b>Total Hours</b>	<b>10.00</b>
<b>Total Billable hours week ending 11/20/09</b>			<b>41.00</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Added CD look up and transaction lookup capabilities and QC'ed changes to the STC Client Database	7.00
	21-Nov-09	<b>Total Hours</b>	<b>7.00</b>
		Added CD look up and transaction lookup capabilities and QC'ed changes to the STC Client Database	2.20
		Daily Tracking of STC accounts that remain open and those that have transferred	1.60
		Day to Day Operations of Stanford Trust Company	2.20
	22-Nov-09	<b>Total Hours</b>	<b>6.00</b>
		Responded to emails and telephone calls	2.40
		Responded to inquiries concerning transfer issues	1.60
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	3.20
		Day to Day Operations of Stanford Trust Company	2.80
	23-Nov-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	1.20
		Daily Tracking of STC accounts that remain open and those that have transferred	1.50
		Responded to inquiries concerning transfer issues	2.20
		Day to Day Operations of Stanford Trust Company	3.60
	24-Nov-09	<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable hours week ending 11/24/09</b>			<b>31.50</b>

FITS INC  
John Coker  
Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	2.50
		Day to Day Operations of Stanford Trust Company	2.50
		Prep work for monthly account closing process	1.60
		Responded to emails and telephone calls	1.40
	30-Nov-09	<b>Total Hours</b>	<b>8.00</b>

**Total Billable hours week ending 11/30/09 8.00**

FITS INC

Name Victor Kurylak

Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURLAK	31-Oct-09		0.00
KURLAK	1-Nov-09	<b>Total Hours</b>	<b>0.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.20
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.00
		Research and respond to customer, Examiner, legal and Receiver inquiries regarding specific customer account status	0.60
		Research and support for legal pertaining to revised holdback amounts and schedules	2.20
KURLAK	2-Nov-09	<b>Total Hours</b>	<b>7.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.70
		Research and respond to FBI request for information	1.30
		Research and support for legal pertaining to revised holdback amounts and schedules	1.40
		Attention to issues related to released customer accounts that have not transferred	3.10
KURLAK	3-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.10
		Research and respond to FBI request for information	0.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	3.40
		Attention to issues related to released customer accounts that have not transferred	2.70
KURLAK	4-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.80
		Attention to issues related to released customer accounts that have not transferred	1.80
		Analysis, discussion and feedback related to Pershing invoices	0.60
		Attention to issues related to bulk transfer motion	1.10
		Follow-up and respond to specific customer inquiries pertaining to account release process and ACAT issues	1.70
KURLAK	5-Nov-09	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.10
		Attention to issues related to bulk transfer motion	0.90
		Follow-up and respond to specific customer inquiries pertaining to account release process and ACAT issues	0.50
		Attention to issues related to released customer accounts that have not transferred	0.40
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.10
KURLAK	6-Nov-09	<b>Total Hours</b>	<b>5.00</b>
<b>Total Billable Hours for Week Ending 11/6/09</b>			<b>40.00</b>

FITS INC

Name Victor Kurylak

Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURYLAK	7-Nov-09	Total Hours	0.00
KURYLAK	8-Nov-09	Total Hours	0.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.40
		Attention to issues related to released customer accounts that have not transferred	2.60
		Attention to issues related to bulk transfer motion	1.20
KURYLAK	9-Nov-09	Total Hours	8.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.90
		Attention to issues related to released customer accounts that have not transferred	1.10
		Research and follow-up on inquiries raised by legal regarding specific customers account release status	1.40
		Attention to issues related to released customer accounts that have not transferred	3.10
KURYLAK	10-Nov-09	Total Hours	8.50
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.10
		Review and analyze records and data pertaining to Stanford FA and employee held accounts including SIBL commissions, forgivable loans, PARS and SIBL proceeds and provide support/recommendations to legal	0.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.50
		Attention to customer account custody related issues regarding held accounts	1.40
		Attention to issues related to released customer accounts that have not transferred	2.70
KURYLAK	11-Nov-09	Total Hours	9.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.20
		Attention to issues related to released customer accounts that have not transferred	0.60
		Attention to issues related to wind down of SGC operations	1.80
		Attention to issues related to held IRA accounts - minimum distributions	2.30
		Follow-up and respond to specific customer inquiries pertaining to account release process and ACAT issues	1.10
KURYLAK	12-Nov-09	Total Hours	9.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.60
		Attention to issues related to wind down of SGC operations	0.50
		Attention to issues related to released customer accounts that have not transferred	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.10
KURYLAK	13-Nov-09	Total Hours	5.50
<b>Total Billable Hours for Week Ending 11/13/09</b>			<b>40.00</b>

FITS INC  
Victor K Kurylak  
Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		#N/A	
<b>KURLAK</b>	<b>14-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Telephone calls and email communications with members of Receiver legal team	0.40
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	2.10
<b>KURLAK</b>	<b>15-Nov-09</b>	<b>Total Hours</b>	<b>2.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	1.60
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.30
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	5.70
		Attention to issues related to bulk transfer motion	0.40
<b>KURLAK</b>	<b>16-Nov-09</b>	<b>Total Hours</b>	<b>8.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.50
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.10
		Research and follow-up on inquiries raised by legal regarding specific customers account release status	3.60
		Attention to issues related to released customer accounts that have not transferred	1.80
<b>KURLAK</b>	<b>17-Nov-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.60
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.50
		Attention to issues pertaining to release of customer funds held in escrow	1.40
		Attention to issues related to bulk transfer of customer accounts released by Receiver	2.70
<b>KURLAK</b>	<b>18-Nov-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.70
		Attention to issues pertaining to release of customer funds held in escrow	0.60
		Attention to issues related to bulk transfer of customer accounts released by Receiver	1.80
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	2.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.10
<b>KURLAK</b>	<b>19-Nov-09</b>	<b>Total Hours</b>	<b>8.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.10
		Attention to issues related to wind down of SGC operations	1.40
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	0.90
		Attention to issues related to bulk transfer of customer accounts released by Receiver	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	2.30
<b>KURLAK</b>	<b>20-Nov-09</b>	<b>Total Hours</b>	<b>9.00</b>
<b>Total Billable Hours for Week Ending 11/20/09</b>			<b>46.50</b>

FITS INC  
Victor K Kurylak  
Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	4.00
<b>KURLAK</b>	<b>21-Nov-09</b>	<b>Total Hours</b>	<b>4.00</b>
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	3.50
<b>KURLAK</b>	<b>22-Nov-09</b>	<b>Total Hours</b>	<b>3.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.60
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	2.10
		Attention to issues related to bulk transfer of customer accounts released by Receiver	2.00
<b>KURLAK</b>	<b>23-Nov-09</b>	<b>Total Hours</b>	<b>8.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	4.50
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.10
		Research and follow-up on inquiries raised by legal regarding specific customers account release status	0.60
		Attention to issues related to released customer accounts that have not transferred	2.80
<b>KURLAK</b>	<b>24-Nov-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	1.70
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.80
		Attention to issues pertaining to release of customer funds held in escrow	0.30
		Attention to issues related to bulk transfer of customer accounts released by Receiver	0.40
<b>KURLAK</b>	<b>25-Nov-09</b>	<b>Total Hours</b>	<b>4.50</b>
<b>KURLAK</b>	<b>26-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Attention to issues related to wind down of SGC operations	1.50
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	0.90
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.60
<b>KURLAK</b>	<b>27-Nov-09</b>	<b>Total Hours</b>	<b>3.00</b>
<b>Total Billable Hours for Week Ending 11/27/09</b>			<b>32.00</b>

FITS INC  
 Victor K Kurylak  
 Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURYLAK	28-Nov-09	<b>Total Hours</b>	<b>0.00</b>
		Research and respond to legal inquiry regarding SIM accounts	1.00
KURYLAK	29-Nov-09	<b>Total Hours</b>	<b>1.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Research and respond to legal inquiry regarding SIM accounts	2.10
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	2.10
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	2.00
KURYLAK	30-Nov-09	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.70
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.90
		Research and follow-up on inquiries raised by legal regarding specific customers account release status	1.10
		Research and analysis pertaining to former FA accounts held by Receiver	2.80
<b>Total Billable Hours for Week Ending 11/30/09</b>			<b>10.00</b>

FITS INC  
 Sophia Lewis  
 New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.80
		Day to Day Operations of Stanford Trust Company	2.90
		Responded to emails and telephone calls	2.50
		Search Trust Files from Baton Rouge & Greensboro, to locate documents requested by Successor Trustees e.g. tax forms, crummy letters, real estate deeds.	0.60
		Created and maintained an Access database for tracking the status of transferred accounts	0.20
	2-Nov-09	<b>Total Hours</b>	<b>8.00</b>
		Review trust documentation process with Attorney	0.30
		Communicate with SEI on Trust/IRA transfer Issue	0.70
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.70
		Day to Day Operations of Stanford Trust Company	5.50
		Created and maintained an Access database for tracking the status of transferred accounts	0.80
	3-Nov-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	2.30
		Day to Day Operations of Stanford Trust Company	3.80
		Review trust documentation process with Attorney	0.20
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.20
	4-Nov-09	<b>Total Hours</b>	<b>8.50</b>
		Created and maintained an Access database for tracking the status of transferred accounts	1.00
		Day to Day Operations of Stanford Trust Company	3.50
		Responded to emails and telephone calls	2.30
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.70
		Review trust documentation process with Attorney	1.00
	5-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Created and maintained an Access database for tracking the status of transferred accounts	0.60
		Day to Day Operations of Stanford Trust Company	2.00
		Responded to emails and telephone calls	1.10
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.80
	6-Nov-09	<b>Total Hours</b>	<b>5.50</b>
<b>Total Billable hours week ending 11/06/09</b>			<b>41.50</b>

FITS INC			
Sophia Lewis			
New York, New York Office			
<b>Consultant</b>	<b>Date</b>	<b>Activity</b>	<b>Hours</b>
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.20
		Responded to emails and telephone calls	1.90
		Day to Day Operations of Stanford Trust Company	3.50
		Created and maintained an Access database for tracking the status of transferred accounts	0.40
	9-Nov-09	<b>Total Hours</b>	<b>8.00</b>
		Review trust documentation process with Attorney	0.20
		Communicated/responded to Successor Custodian on Trust Transfer Issues	2.80
		Day to Day Operations of Stanford Trust Company	5.70
		Created and maintained an Access database for tracking the status of transferred accounts	0.80
	10-Nov-09	<b>Total Hours</b>	<b>9.50</b>
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.70
		Day to Day Operations of Stanford Trust Company	4.40
		Review trust documentation process with Attorney	0.30
		Responded to emails and telephone calls	2.10
	11-Nov-09	<b>Total Hours</b>	<b>8.50</b>
		Created and maintained an Access database for tracking the status of transferred accounts	1.20
		Day to Day Operations of Stanford Trust Company	4.60
		Responded to emails and telephone calls	2.40
		Communicated/responded to Successor Custodian on Trust Transfer Issues	1.80
	12-Nov-09	<b>Total Hours</b>	<b>10.00</b>
		Created and maintained an Access database for tracking the status of transferred accounts	0.80
		Day to Day Operations of Stanford Trust Company	3.70
		Responded to emails and telephone calls	1.00
	13-Nov-09	<b>Total Hours</b>	<b>5.50</b>
	13-Nov-09	<b>Total Billable hours week ending 11/13/09</b>	<b>41.50</b>

FITS INC  
Sophia L. Lewis  
New York, New York Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Communicated/responded to Successor Custodian on Trust Transfer Issues	3.50
	<b>16-Nov-09</b>	<b>Total Hours</b>	<b>3.50</b>
<b>Total Billable Hours for Week</b>			
Ending <u>11/20/09</u>			<b>3.50</b>

FITS INC  
 Name William Mollica  
 Home Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Associates.	2.00
	<b>31-Oct-09</b>	<b>Total Hours</b>	<b>2.00</b>
			0.00
	<b>1-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.50
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	3.20
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	0.90
	<b>2-Nov-09</b>	<b>Total Hours</b>	<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.90
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	1.60
		Review of the SGC Deposit Account (Pershing LLC) - create a report and send to the receivership team (HML, BB)	1.30
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.90
	<b>3-Nov-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.50
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.20
		Work with Legal to update the SGC & STC reports to reflect updated Net Worth and include Money Funds for accounts held at Pershing LLC	0.80
		Conference Call with Pershing LLC - Review any outstanding Day to Day issues along with Receivership Issues	0.90

FITS INC  
 Name William Mollica  
 Home Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Conference Call with Pershing LLC regarding Bulk Transfer	0.50
		SGC & STC Accounts Held Report - Updated KVT 4 along with Express information. Send report to Stanford Associates, FTI and Legal.	1.10
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.70
	<b>4-Nov-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	4.40
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	0.90
		Review SGC Accounts that are Pending Transfer (Released by Receiver) see which brokerage accounts are holding cash only and have Pershing send a check to the AOR.	1.40
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	1.30
	<b>5-Nov-09</b>	<b>Total Hours</b>	<b>10.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Supervisory Review: Review all Logs, Blotters: (Daily, Weekly and Monthly)	0.90
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.10
		Work with Legal to update the SGC & STC reports to reflect updated Net Worth and include Money Funds for accounts held at Pershing LLC	0.60
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Assoicates.	1.30
	<b>6-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable Hours for Week Ending</b>			<b>43.00</b>

FITS INC

Name William Mollica

Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	1.00
	<b>7-Nov-09</b>	<b>Total Hours</b>	<b>1.00</b>
			0.00
	<b>8-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.40
		JPMCC - Work with JPMCC to obtain customer document information at the request of the client.	0.40
		Pershing LLC - Setup a New account for a client who passed away. Transfer all assets from Old account (DECD) to a New Account on behalf of the client at the request of the Estate.	0.60
		Review SEI accounts with FTI - going back to April verify the accounts still held and which ones have been released.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.80
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	0.80
	<b>9-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.40
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	1.20
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	1.10
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.90
	<b>10-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	3.20
		Conference Call with Pershing LLC regarding Bulk Transfer	0.60
		Issue an updated report of all Stanford Panama Accounts still pending transfer. Send this report to Legal Richard Roper and Victor Hernandez.	0.80

FITS INC

Name William Mollica

Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Researched Customer Account information for Legal.	0.40
	<b>11-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	3.20
		Update SGC & STC Customer Accounts Held Report - Mark accounts that have been released by the receiver, with the date they have been released, send updated report to receivership team: FTI, SGC Associates.	0.90
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	0.90
	<b>12-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.90
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Assoiates.	2.10
	<b>13-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable Hours for Week Ending</b>			<b>11/13/2009</b>
			<b>31.00</b>

FITS INC

Name William Mollica

Home Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
			0.00
	<b>14-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Prepare list of all Customer Accounts to be released first thing Monday morning based on the court ruling that came down on Friday night (Nov 13, 2009) - This would include customer accounts held at Pershing LLC, JPMCC and SEI.	1.50
	<b>15-Nov-09</b>	<b>Total Hours</b>	<b>1.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.80
		Prepare list of all Customer Accounts to be released first thing Monday morning based on the court ruling that came down on Friday night (Nov 13, 2009) - This would include customer accounts held at Pershing LLC, JPMCC and SEI.	1.40
		Prepare a report for Operations that show all accounts Pending Transfer including all account released today. This will be used to help ops work with the customers on transferring their accounts.	2.60
		Conference Call with Pershing, D&D and Stanford Ops to talk about the Court rulings that were issued on Friday Nov, 13, 2009	0.80
		Legal Request - Work with Legal and FTI to gather data to respond to the SEC inquiry regarding FA Accounts still being Held.	3.10
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.30
	<b>16-Nov-09</b>	<b>Total Hours</b>	<b>11.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	1.10
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	1.20
		Create a New SGC & STC Account Status Report - Held Accounts after reconciling all of the accounts that have been released on Monday. Put them into Groups based on the FA Group number supplied by Legal.	1.10
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.20
	<b>17-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	1.00
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Conference Call with Pershing LLC regarding Bulk Transfer	1.10
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.40
		Work with Legal Victor Hernandez regarding the Customer Accounts release for Stanford Colombia. Looking to add a note to the customer next statement regarding the release of their account.	0.30

FITS INC

Name William Mollica

Home Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	0.90
	<b>18-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	1.10
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.10
		Create a working document regarding the Bulk Transfer - This report will be used for the meetings with Pershing, D&D and Stanford Receivership.	1.00
		Work with Legal (Victor Hernandez) and Pershing LLC regarding message that will be added to the customer statements of Stanford Colombia. The message will indicate that the Customers accounts have been released by the Receiver and must be transferred to a New BD. It will also give the Customers information on who to call regarding any questions.	0.60
		Update the SGC Pershing Accounts Pending Transfer report - Issue to Operations so they can contact clients on all accounts that have been released by the receiver.	0.80
	<b>19-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.40
		Conference Call with Pershing LLC regarding Bulk Transfer	1.30
		Review reports from Pershing to determine the accounts that will be included in the Bulk Transfer to D&D.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.70
		Work with Legal (Victor Hernandez) and Pershing LLC regarding message that will be added to the customer statements of Stanford Colombia. The message will indicate that the Customers accounts have been released by the Receiver and must be transferred to a New BD. It will also give the Customers information on who to call regarding any questions.	0.60
	<b>20-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable Hours for Week Ending 11/20/2009</b>			<b>36.50</b>

FITS INC

Name William Mollica

Home Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
			0.00
	<b>21-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		#N/A	0.00
	<b>22-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Create a report for operations to use that breaks out the pending accounts (released accounts) into two groups - Accounts that will be included in the Bulk Transfer and Accounts that have begun to transfer to a new BD.	2.40
		Prepare a report for Operations that show all accounts Pending Transfer including all account released today. This will be used to help ops work with the customers on transferring their accounts.	1.60
		Work with Pershing Legal team on Negative Consent Letter, supply information for FINRA for their approval. Pershing LLC FINRA Rep	0.40
		Review all accounts pending transfer that will not be included in the Bulk Transfer with Pershing LLC ACAT department.	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.40
	<b>23-Nov-09</b>	<b>Total Hours</b>	<b>8.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	1.40
		Researched Customer Account information for Legal.	0.60
		Finalize the Negative Consent Letter - Have the Receivers signature put on the letter so we can being to setup the mail merge for 1,911 customer accounts to be included in the Bulk Transfer.	1.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	2.20
	<b>24-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	1.20
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Conference Call with Pershing LLC regarding Bulk Transfer	1.00
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.60
		Work with Legal on the Transfer agreement - Stanford and D&D. We needed to make sure that this agreement was completed and signed before we sent any of the Negative Consent Letters on Friday.	0.90
	<b>25-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
			0.00
			0.00
			0.00
			0.00

FITS INC

Name William Mollica

Home Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
			0.00
			0.00
	<b>26-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
			0.00
		Update the SGC & STC Account Status Report - send to Legal, FTI and Operations	0.80
			0.00
		Update Residual Sweep Report for Operations to work on. This report will show what accounts have begun the ACAT process - which ones have completed as of the close of business (day before) and which new accounts have begun the process.	1.80
	<b>27-Nov-09</b>	<b>Total Hours</b>	<b>3.00</b>
<b>FITS INC</b>			
<b>Name William Mollica</b>		<b>Total Billable Hours for Week Ending ___11/27/2009</b>	<b>23.00</b>
<b>Home Office</b>			

FITS INC

Name William Mollica

Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
	<b>28-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
			<b>0.00</b>
	<b>29-Nov-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Create a report for operations to use that breaks out the pending accounts (released accounts) into two groups - Accounts that will be included in the Bulk Transfer and Accounts that have begun to transfer to a new BD.	1.80
		Prepare a report for Operations that show all accounts Pending Transfer including all account released today. This will be used to help ops work with the customers on transferring their accounts.	1.30
		Prepare a report regarding the assets held at Pershing in Stanford Prop Trading accounts. Make recommendations on what needs to be done with those assets.	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
	<b>30-Nov-09</b>	<b>Total Hours</b>	<b>6.00</b>
		<b>Total Billable Hours for Week Ending 11/30/2009</b>	<b>6.00</b>



**Expense Report**

Week Ending: 11/6/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/2/2009		\$60.00					\$60.00
		35 Miles @ .55 To Orf			\$19.25			\$19.25
		Shared Car From Iah			\$40.00			\$40.00
		Tolls To Orf			\$12.00			\$12.00
Tuesday	11/3/2009		\$60.00				\$60.00	
Wednesday	11/4/2009		\$60.00				\$60.00	
Thursday	11/5/2009		\$60.00				\$60.00	
Friday	11/6/2009		\$60.00					\$60.00
		Lodging: 11/1-11/6				\$654.00		\$654.00
		Airfare : 11/6 - 11/9 - Iah To Orf			\$466.20			\$466.20
		35 Miles @ .55 From Orf			\$19.25			\$19.25
		Orf Airport Parking			\$35.00			\$35.00
		To Iah Airport			\$70.00			\$70.00
		Tolls From Orf			\$12.00			\$12.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$466.20</b>	<b>\$207.50</b>	<b>\$654.00</b>	<b>\$0.00</b>	<b>\$1,627.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/13/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/9/2009		\$60.00					\$60.00
		35 Miles @ .55 To Orf			\$19.25			\$19.25
		Car From Iah			\$40.00			\$40.00
		Tolls To Orf			\$12.00			\$12.00
Tuesday	11/10/2009		\$60.00				\$60.00	
Wednesday	11/11/2009		\$60.00				\$60.00	
Thursday	11/12/2009		\$60.00				\$60.00	
Friday	11/13/2009		\$60.00					\$60.00
		Lodging: 11/7-11/13				\$763.00		\$763.00
		Airfare: 11/13-11/16 - Iah To Orf		\$466.20				\$466.20
		35 Miles @ .55 From Orf			\$19.25			\$19.25
		Orf Airport Parking			\$35.00			\$35.00
		To Iah Airport			\$70.00			\$70.00
Tolls From Orf			\$12.00			\$12.00		
<b>Totals:</b>			<b>\$300.00</b>	<b>\$466.20</b>	<b>\$207.50</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,736.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/20/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/16/2009		\$60.00					\$60.00
		From Iah Airport			\$70.00			\$70.00
		From Home To Orf Airport			\$125.00			\$125.00
Tuesday	11/17/2009		\$60.00				\$60.00	
Wednesday	11/18/2009		\$60.00				\$60.00	
Thursday	11/19/2009		\$60.00				\$60.00	
Friday	11/20/2009		\$60.00					\$60.00
		Lodging: 11/14-11/20				\$763.00		\$763.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$0.00</b>	<b>\$195.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,258.00</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/27/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Saturday	11/21/2009		\$60.00					\$60.00
Sunday	11/22/2009		\$60.00					\$60.00
Monday	11/23/2009		\$60.00					\$60.00
Tuesday	11/24/2009		\$60.00					\$60.00
		Airfare: 11/24-11/30 - lah To Orf		\$772.20				\$772.20
		To lah Airport			\$70.00			\$70.00
		From Orf Airport			\$125.00			\$125.00
Friday	11/27/2009	Lodging: 11/21-11/27				\$763.00		\$763.00
<b>Totals:</b>			<b>\$240.00</b>	<b>\$772.20</b>	<b>\$195.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,970.20</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/4/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/30/2009		\$60.00					\$60.00
		Lodging: 11/27-11/30				\$327.00		\$327.00
		35 Miles @ .55 To Orf			\$19.25			\$19.25
		From lah To Hotel			\$50.00			\$50.00
		Tolls			\$12.00			\$12.00
<b>Totals:</b>			<b>\$60.00</b>	<b>\$0.00</b>	<b>\$81.25</b>	<b>\$327.00</b>	<b>\$0.00</b>	<b>\$468.25</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

**Week Ending: 11/6/2009**

**Employee: Kurylak, Victor-Home Office: New York**

**Client: As Receiver for the Stanford Entities  
Project: Stanford**

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/2/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From Iah Airport			\$40.00			\$40.00
Tuesday	11/3/2009		\$60.00				\$60.00	
Wednesday	11/4/2009		\$60.00				\$60.00	
Thursday	11/5/2009		\$60.00				\$60.00	
Friday	11/6/2009		\$60.00					\$60.00
		Lodging: 11/1-11/6				\$654.00		\$654.00
		Airfare : 11/6 - 11/9 - Iah To Ewr			\$377.70			\$377.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$70.00			\$70.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$377.70</b>	<b>\$306.92</b>	<b>\$654.00</b>	<b>\$0.00</b>	<b>\$1,638.62</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/13/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/9/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From Iah Airport			\$40.00			\$40.00
Tuesday	11/10/2009		\$60.00				\$60.00	
Wednesday	11/11/2009		\$60.00				\$60.00	
Thursday	11/12/2009		\$60.00				\$60.00	
Friday	11/13/2009		\$60.00					\$60.00
		Lodging: 11/7-11/13				\$763.00		\$763.00
		Airfare : 11/13 - 11/16 - Iah To Ewr		\$364.70				\$364.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$50.00			\$50.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$364.70</b>	<b>\$286.92</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,714.62</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/20/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/16/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From lah Airport			\$50.00			\$50.00
Tuesday	11/17/2009		\$60.00				\$60.00	
Wednesday	11/18/2009		\$60.00				\$60.00	
Thursday	11/19/2009		\$60.00				\$60.00	
Friday	11/20/2009		\$60.00					\$60.00
		Lodging: 11/14-11/20				\$763.00		\$763.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$0.00</b>	<b>\$148.46</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,211.46</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/27/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Saturday	11/21/2009		\$60.00					\$60.00
Sunday	11/22/2009		\$60.00					\$60.00
Monday	11/23/2009		\$60.00					\$60.00
Tuesday	11/24/2009		\$60.00					\$60.00
Wednesday	11/25/2009		\$60.00					\$60.00
		Airfare : 11/25 - 11/30 - Iah To Ewr		\$444.70				\$444.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$70.00			\$70.00
Friday	11/27/2009	Lodging: 11/21-11/27				\$763.00		\$763.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$444.70</b>	<b>\$168.46</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,676.16</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

**Week Ending: 12/4/2009**

**Employee: Kurylak, Victor-Home Office: New York**

**Client: As Receiver for the Stanford Entities  
Project: Stanford**

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/30/2009		\$60.00					\$60.00
		Lodging: 11/27-11/30				\$327.00		\$327.00
		To Ewr Airport			\$98.46			\$98.46
		From lah Airport			\$50.00			\$50.00
<b>Totals:</b>			<b>\$60.00</b>	<b>\$0.00</b>	<b>\$148.46</b>	<b>\$327.00</b>	<b>\$0.00</b>	<b>\$535.46</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/6/2009

Employee: Lewis, Sophia-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/2/2009		\$60.00					\$60.00
		To Lga Airport			\$75.00			\$75.00
		From Iah Airport			\$40.00			\$40.00
Tuesday	11/3/2009		\$60.00				\$60.00	
Wednesday	11/4/2009		\$60.00				\$60.00	
Thursday	11/5/2009		\$60.00				\$60.00	
Friday	11/6/2009		\$60.00					\$60.00
		Lodging: 11/1-11/6				\$654.00		\$654.00
		Airfare : 11/6 - 11/9 - Iah To Lga			\$297.70			\$297.70
		From Lga Airport			\$75.00			\$75.00
		From Iah Airport			\$40.00			\$40.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$297.70</b>	<b>\$230.00</b>	<b>\$654.00</b>	<b>\$0.00</b>	<b>\$1,481.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

**Week Ending: 11/13/2009**

**Employee: Lewis, Sophia-Home Office: New York**

**Client: As Receiver for the Stanford Entities**  
**Project: Stanford**

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/9/2009		\$60.00					\$60.00
		To Lga Airport			\$75.00			\$75.00
		From lah Airport			\$40.00			\$40.00
Tuesday	11/10/2009		\$60.00				\$60.00	
Wednesday	11/11/2009		\$60.00				\$60.00	
Thursday	11/12/2009		\$60.00				\$60.00	
Friday	11/13/2009		\$60.00					\$60.00
		Lodging: 11/7-11/13				\$763.00		\$763.00
		Airfare : 11/13 - 11/16 - lah To Lga		\$297.70				\$297.70
		To lah Airport			\$50.00			\$50.00
		From Lga Airport			\$75.00			\$75.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$297.70</b>	<b>\$240.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,600.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 11/6/2009

Employee: Mollica, William-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	11/2/2009		\$60.00					\$60.00
		To Ewr Airport			\$120.00			\$120.00
		From Iah Airport			\$70.00			\$70.00
Tuesday	11/3/2009		\$60.00				\$60.00	
Wednesday	11/4/2009		\$60.00				\$60.00	
Thursday	11/5/2009		\$60.00				\$60.00	
Friday	11/6/2009		\$60.00					\$60.00
		Lodging: 11/1-11/6				\$654.00		\$654.00
		Airfare : 11/6 - 11/10 - Iah To Ewr			\$317.70			\$317.70
		From Ewr Airport			\$120.00			\$120.00
		To Iah Airport			\$40.00			\$40.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$317.70</b>	<b>\$350.00</b>	<b>\$654.00</b>	<b>\$0.00</b>	<b>\$1,621.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



January 6, 2010

Ralph Janvey Esq.  
As Receiver for the Stanford Entities  
2100 Ross Avenue  
Suite 2600  
Dallas, TX 75201

**RE: Stanford**

**INVOICE # STA00509-008**

For professional services rendered during the period of December 1, 2009 through December 31, 2009. Advice to the Receiver on day to day operations of the Stanford broker dealer entity and providing analysis of the client base and accounts held by the broker dealer, registered investment advisor and trust company; assistance in the development of customer account release criteria and the identification and analysis of customers and assets held in custody at clearing brokers and SEI; and provision of independent oversight of the daily activities of Stanford Group Company's brokerage operations department and assistance to the Receiver in developing information regarding the historical operation of the business.

\*\*Total Fees: \$ 92,210.00  
Total Expenses: \$ 14,904.47

<b>TOTAL DUE:</b>	<b>\$107,114.47</b>
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\*\*Hourly rates include 20% discount.

400 Morris Avenue ♦ Suite 264 ♦ Denville ♦ New Jersey ♦ 07834  
(973) 586-8877 ♦ (973) 586-0463 (fax) ♦ WWW.FITS-INC.COM  
DALLAS CHICAGO CHARLOTTE MINNEAPOLIS



**FEES:**

Kurylak, Victor-Home Office: New York	135.50 hrs.	@ \$ 260.00 per hour	\$	35,230.00
Coker, John-Home Office: Virginia	145.50 hrs.	@ \$ 220.00 per hour	\$	32,010.00
Mollica, Bill-Home Office: New York	113.50 hrs.	@ \$ 220.00 per hour	\$	24,970.00
<b>Total Fees</b>				<b>\$ 92,210.00</b>

**EXPENSES:**

**Kurylak, Victor**

Airfare	\$	1,410.80
Lodging	\$	3,379.00
Per Diem	\$	1,020.00
Transportation	\$	2,004.12
	<b>\$</b>	<b>7,813.92</b>

**Coker, John**

Airfare	\$	1,689.80
Lodging	\$	3,379.00
Per Diem	\$	1,080.00
Transportation	\$	941.75
	<b>\$</b>	<b>7,090.55</b>

**Total Expenses \$ 14,904.47**

**TOTAL DUE \$ 107,114.47**

## As Receiver for the Stanford Entities

INVOICE # STA00509-008	Total	Rate	Fees	Expenses	
<b>Trust Matters</b>					
Coker	100%	145.50	\$ 220.00	\$ 32,010.00	\$ 7,090.55
Kurylak	17%	22.70	\$ 260.00	\$ 5,902.00	\$ 1,328.37
			<b>\$ 37,912.00</b>	<b>\$ 8,418.92</b>	<b>Total Trust Matters</b>
					<b>\$ 46,330.92</b>
<b>Brokerage Firm Matters</b>					
Mollica	50%	56.50	\$ 220.00	\$ 12,430.00	\$ -
Kurylak	41%	55.30	\$ 260.00	\$ 14,378.00	\$ 3,203.71
			<b>\$ 26,808.00</b>	<b>\$ 3,203.71</b>	<b>Total Brokerage Firm Matters</b>
					<b>\$ 30,011.71</b>
<b>Account Review and Release Process</b>					
Mollica	47%	53.60	\$ 220.00	\$ 11,792.00	\$ -
Kurylak	42%	56.80	\$ 260.00	\$ 14,768.00	\$ 3,281.85
			<b>\$ 26,560.00</b>	<b>\$ 3,281.85</b>	<b>Total Account Review and Release Process</b>
					<b>\$ 29,841.85</b>
<b>Latin American Matters</b>					
Mollica	3%	3.40	\$ 220.00	\$ 748.00	\$ -
Kurylak	<1%	0.70	\$ 260.00	\$ 182.00	\$ -
			<b>\$ 930.00</b>	<b>\$ -</b>	<b>Total Latin American Matters</b>
					<b>\$ 930.00</b>

Fees	Expenses	Grand Total
\$ 92,210.00	\$ 14,904.47	\$ 107,114.47

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	1.60
		Responded to emails and telephone calls	1.70
		Daily Tracking of STC accounts that remain open and those that have transferred	1.20
		Day to Day Operations of Stanford Trust Company	5.00
	1-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	2.60
		Responded to inquiries concerning transfer issues	0.80
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave STC	2.80
		Daily Tracking of STC accounts that remain open and those that have transferred	0.80
		Day to Day Operations of Stanford Trust Company	3.00
	2-Dec-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	1.00
		Responded to inquiries concerning transfer issues	2.60
		Dealing with the transfer of CD claim issues for STC clients with successor custodians	1.90
		Day to Day Operations of Stanford Trust Company	4.50
	3-Dec-09	<b>Total Hours</b>	<b>10.00</b>
		Responded to emails and telephone calls	0.60
		Day to Day Operations of Stanford Trust Company	1.00
		Daily Tracking of STC accounts that remain open and those that have transferred	0.60
		Responded to inquiries concerning transfer issues	1.30
	4-Dec-09	<b>Total Hours</b>	<b>3.50</b>
<b>Total Billable hours week ending 12/04/09</b>			<b>33.00</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	1.40
		Day to Day Operations of Stanford Trust Company	1.80
		Daily Tracking of STC accounts that remain open and those that have transferred	2.20
		Prep work for monthly account closing process	1.40
		Responded to emails and telephone calls	1.20
	7-Dec-09	<b>Total Hours</b>	<b>8.00</b>
		Responded to inquiries concerning transfer issues	1.40
		Responded to emails and telephone calls	1.80
		Daily Tracking of STC accounts that remain open and those that have transferred	1.00
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	3.60
		Day to Day Operations of Stanford Trust Company	1.70
	8-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	2.20
		Responded to inquiries concerning transfer issues	2.60
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave STC	2.10
		Daily Tracking of STC accounts that remain open and those that have transferred	0.60
		Day to Day Operations of Stanford Trust Company	2.00
	9-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.20
		Responded to inquiries concerning transfer issues	1.60
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	3.60
		Prep work for monthly account closing process	0.80
		Day to Day Operations of Stanford Trust Company	1.30
	10-Dec-09	<b>Total Hours</b>	<b>8.50</b>
		Responded to emails and telephone calls	0.60
		Day to Day Operations of Stanford Trust Company	1.00
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave STC	2.50
		Daily Tracking of STC accounts that remain open and those that have transferred	0.60
		Responded to inquiries concerning transfer issues	1.30
	11-Dec-09	<b>Total Hours</b>	<b>6.00</b>
<b>Total Billable hours week ending 12/11/09</b>			<b>41.50</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	1.20
		Day to Day Operations of Stanford Trust Company	1.80
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave STC	2.40
		Prep work for monthly account closing process	1.30
		Responded to emails and telephone calls	0.80
	14-Dec-09	<b>Total Hours</b>	<b>7.50</b>
		Responded to inquiries concerning transfer issues	1.10
		Responded to emails and telephone calls	0.90
		Daily Tracking of STC accounts that remain open and those that have transferred	1.00
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	2.30
		Day to Day Operations of Stanford Trust Company	4.20
	15-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.00
		Responded to inquiries concerning transfer issues	1.40
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave STC	3.00
		Daily Tracking of STC accounts that remain open and those that have transferred	0.60
		Day to Day Operations of Stanford Trust Company	3.50
	16-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	1.20
		Responded to inquiries concerning transfer issues	2.80
		Prep work for monthly account closing process	0.80
		Day to Day Operations of Stanford Trust Company	2.20
	17-Dec-09	<b>Total Hours</b>	<b>7.00</b>
		Responded to emails and telephone calls	1.20
		Day to Day Operations of Stanford Trust Company	2.00
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave STC	1.40
		Daily Tracking of STC accounts that remain open and those that have transferred	0.60
		Responded to inquiries concerning transfer issues	3.30
	18-Dec-09	<b>Total Hours</b>	<b>8.50</b>
<b>Total Billable hours week ending 12/18/09</b>			<b>42.00</b>

FITS INC  
 John Coker  
 Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to inquiries concerning transfer issues	3.20
		Day to Day Operations of Stanford Trust Company	1.50
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	
		STC	0.80
		Prep work for monthly account closing process	1.80
		Responded to emails and telephone calls	1.20
	21-Dec-09	<b>Total Hours</b>	<b>8.50</b>
		Responded to inquiries concerning transfer issues	3.20
		Responded to emails and telephone calls	1.20
		Issues with transferring Closely Held, Non-traded, and Real Estate assets	1.60
		Prep work for monthly account closing process	1.80
		Day to Day Operations of Stanford Trust Company	1.70
	22-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Responded to emails and telephone calls	0.80
		Responded to inquiries concerning transfer issues	1.80
		Creation, review, data gathering, and managing the printing and mailing of termination letters for forcing Trust accounts to leave	
		STC	2.40
		Planned, prepared, and approved 2009 tax forms	2.00
		Day to Day Operations of Stanford Trust Company	1.00
	23-Dec-09	<b>Total Hours</b>	<b>8.00</b>
<b>Total Billable hours week ending 12/25/09</b>			<b>26.00</b>

FITS INC  
John Coker  
Norfolk, Virginia Office

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Responded to emails and telephone calls	1.50
		Planned, prepared, and approved 2009 tax forms	0.50
	28-Dec-09	<b>Total Hours</b>	<b>2.00</b>
		Responded to emails and telephone calls	0.50
	29-Dec-09	<b>Total Hours</b>	<b>0.50</b>
		Responded to emails and telephone calls	0.50
	30-Dec-09	<b>Total Hours</b>	<b>0.50</b>
<b>Total Billable hours week ending 12/31/2009</b>			<b>3.00</b>

FITS INC  
Victor K Kurylak  
Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.70
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.90
		Research and follow-up on inquiries raised by legal regarding specific customers account release status	1.10
		Research and analysis pertaining to former FA accounts held by Receiver	2.80
<b>KURLAK</b>	<b>1-Dec-09</b>	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.40
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.60
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.70
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	0.60
		Attention to issues related to bulk transfer of customer accounts released by Receiver	1.70
<b>KURLAK</b>	<b>2-Dec-09</b>	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.30
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	3.90
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	0.50
<b>KURLAK</b>	<b>3-Dec-09</b>	<b>Total Hours</b>	<b>8.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	1.80
		Attention to issues pertaining to release of customer funds held in escrow	1.30
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	1.40
<b>KURLAK</b>	<b>4-Dec-09</b>	<b>Total Hours</b>	<b>4.50</b>
<b>Total Billable Hours for Week Ending December 4, 2009</b>			<b>31.50</b>

FITS INC  
 Victor K Kurylak  
 Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURLAK	5-Dec-09	<b>Total Hours</b>	<b>0.00</b>
			0.00
KURLAK	6-Dec-09	<b>Total Hours</b>	<b>0.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.70
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	2.50
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	0.80
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.00
KURLAK	7-Dec-09	<b>Total Hours</b>	<b>8.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.40
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	2.70
		Research and follow-up on inquiries raised by legal regarding specific customers account release status	1.60
		Attention to issues related to wind down of SGC operations	2.80
KURLAK	8-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.60
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	1.90
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	3.10
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	0.70
		Attention to issues related to bulk transfer of customer accounts released by Receiver	1.20
KURLAK	9-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.80
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	2.30
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	2.90
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	0.50
KURLAK	10-Dec-09	<b>Total Hours</b>	<b>8.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.00
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.40
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	0.70
		Attention to issues related to bulk transfer of customer accounts released by Receiver	1.40
KURLAK	11-Dec-09	<b>Total Hours</b>	<b>4.50</b>
<b>Total Billable Hours for Week Ending December 11, 2009</b>			<b>40.00</b>

FITS INC  
Victor K Kurylak  
Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURLAK	12-Dec-09	<b>Total Hours</b>	<b>0.00</b>
			0.00
KURLAK	13-Dec-09	<b>Total Hours</b>	<b>0.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.50
		Attention to issues related to wind down of SGC operations	4.00
		Attention to issues related to customer account releases resulting from 5th Circuit ruling	0.50
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.00
KURLAK	14-Dec-09	<b>Total Hours</b>	<b>8.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.40
		Research and analysis pertaining to former FA accounts held by Receiver	5.30
		Attention to issues related to wind down of SGC operations	1.30
KURLAK	15-Dec-09	<b>Total Hours</b>	<b>9.00</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.60
		Research and analysis pertaining to former FA accounts held by Receiver	2.70
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.10
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	0.70
		Attention to issues related to bulk transfer of customer accounts released by Receiver	2.40
KURLAK	16-Dec-09	<b>Total Hours</b>	<b>9.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.10
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	0.70
		Attention to issues related to wind down of SGC operations	2.90
		Research and analysis pertaining to bonus payments made to former non-US FA and contract employees	2.80
KURLAK	17-Dec-09	<b>Total Hours</b>	<b>8.50</b>
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	1.10
		Research and analysis pertaining to bonus payments made to former non-US FA and contract employees	3.90
KURLAK	18-Dec-09	<b>Total Hours</b>	<b>5.00</b>
<b>Total Billable Hours for Week Ending December 18, 2009</b>			<b>40.00</b>

FITS INC  
 Victor K Kurylak  
 Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
KURLAK	26-Dec-09	Total Hours	0.00
			0.00
KURLAK	27-Dec-09	Total Hours	0.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	3.10
		Attention to issues related to wind down of SGC operations	1.40
		Attention to issues related to residual account balances for customers that have transferred from Pershing	0.50
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	3.00
KURLAK	28-Dec-09	Total Hours	8.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.40
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	1.90
		Attention to issues related to residual account balances for customers that have transferred from Pershing	1.70
		Attention to issues related LATA wind down of operations - Oracle data	0.70
		Attention to issues related to wind down of SGC operations	1.30
KURLAK	29-Dec-09	Total Hours	8.00
		Direct and supervise Stanford employee's efforts contacting customers for account release by answering questions raised by customers and interfacing with legal when required	2.50
		Attention to issues related to residual account balances for customers that have transferred from Pershing	1.30
		Attention to various issues pertaining STC including trust operations, account release process, customer inquiries, status reporting and SEI matters	3.50
		Research and analysis pertaining to proprietary Stanford accounts held at Pershing	0.70
KURLAK	30-Dec-09	Total Hours	8.00
KURLAK	31-Dec-09	Total Hours	0.00
<b>Total Billable Hours for Week Ending December 31, 2009</b>			<b>24.00</b>

FITS INC

Name William Mollica

Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.90
		Update the SGC & STC Net Worth for all accounts held - send report to BB, FTI and Stanford Associates.	1.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
	<b>1-Dec-09</b>	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.90
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.70
		Conference Call with Pershing LLC regarding Bulk Transfer	0.50
		Conference Call with Pershing LLC - Review any outstanding Day to Day issues along with Receivership Issues	0.70
		Update Customer Funds in Escrow Account at JP Morgan Chase - Send update to Legal	0.80
	<b>2-Dec-09</b>	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.80
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.90
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.40
		Create a recap report of the monthly activity for Stanford Sundry Account (Fees Paid to Pershing LLC) for the year 2009.	1.00
	<b>3-Dec-09</b>	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.90
		Update the SGC & STC Account Status Report - send to Legal, FTI and Operations	0.80
		Review report received from Pershing LLC - (Money Managers that are currently part of the Bulk Transfer) before sending it over to D&D.	0.70
		Work with Legal to release an FA account - Update the SGC & STC report to reflect the release. Send updated report to Legal, FTI and Operations.	1.20
	<b>4-Dec-09</b>	<b>Total Hours</b>	<b>5.00</b>
<b>FITS INC</b>		<b>Total Billable Hours for Week Ending 12/4/2009</b>	<b>22.00</b>
<b>Name William Mollica</b>			
<b>Home Office</b>			

FITS INC  
 Name William Mollica  
 Home Office: New York

Consultant	Date	Activity	Hours
	5-Dec-09	<b>Total Hours</b>	<b>0.00</b>
			0.00
	6-Dec-09	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Work with Pershing on Residual Sweep Accounts - Note any account where we would need to contact the clients.	0.80
		Review of the SGC Deposit Account (Pershing LLC) - create a report and send to the receivership team (HML, BB)	0.90
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
	7-Dec-09	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		JPMCC - Customer Request for Old Account information. Request Customer Documentation from JPMCC on behalf of client.	0.40
		Conference Call - Stanford Operations regarding Residual Sweep Report - setup a process on how to handle any issues with accounts that are not sweeping.	0.60
		Researched Customer Account information for Legal.	0.70
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
	8-Dec-09	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.70
		Conference Call with Pershing LLC regarding Bulk Transfer	0.50
		Review activity in Escrow account - send updated report to Legal	0.50
		Review and Update report of all customer Margin Accounts that will be included in the Bulk Transfer - send this report over to D&D.	0.90
		Stanford Prop Trading Accounts (Pershing LLC) - Process an LOA to transfer \$1919041.55 from Pershing to the Receivers account at JPM Chase	0.60
	9-Dec-09	<b>Total Hours</b>	<b>6.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.90
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Work with Pershing and BNY Mellon Legal department regarding Fed Wire that was held up at BNY.	0.70
	10-Dec-09	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.70
		Update the SGC & STC Account Status Report - send to Legal, FTI and Operations	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Work with Pershing on Residual Sweep Accounts - Note any account where we would need to contact the clients.	0.70
	11-Dec-09	<b>Total Hours</b>	<b>5.50</b>
FITS INC		<b>Total Billable Hours for Week Ending</b>	<b>12/11/2009 28.00</b>
Name William Mollica			
Home Office			

FITS INC

Name William Mollica

Home Office: New York

Consultant	Date	Activity	Hours
			0.00
	<b>12-Dec-09</b>	<b>Total Hours</b>	<b>0.00</b>
			0.00
	<b>13-Dec-09</b>	<b>Total Hours</b>	<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Work with Pershing on Residual Sweep Accounts - Note any account where we would need to contact the clients.	0.80
		Work with FTI and Legal regarding Customer Account who transferred from Bear Stearns to Pershing LLC. Figure out the Old Account that is linked to the New Account.	0.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
	<b>14-Dec-09</b>	<b>Total Hours</b>	<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Conference Call - Stanford Operations regarding Residual Sweep Report - setup a process on how to handle any issues with accounts that are not sweeping.	0.60
		Researched Customer Account information for Legal.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
	<b>15-Dec-09</b>	<b>Total Hours</b>	<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.70
		Conference Call with Pershing LLC regarding Bulk Transfer	0.50
		REDACTED	0.90
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.60
	<b>16-Dec-09</b>	<b>Total Hours</b>	<b>5.50</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.80
		Work with Pershing on Customer Accounts that have Rejected Transfer.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Researched Customer Account information for Legal.	0.30
	<b>17-Dec-09</b>	<b>Total Hours</b>	<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Update the SGC & STC Account Status Report - send to Legal, FTI and Operations	0.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
		Work with Pershing on Residual Sweep Accounts - Note any account where we would need to contact the clients.	0.40
	<b>18-Dec-09</b>	<b>Total Hours</b>	<b>5.00</b>
<b>FITS INC</b>			
<b>Name William Mollica</b>		<b>Total Billable Hours for Week Ending 12/18/2009</b>	<b>25.50</b>
<b>Home Office</b>			

FITS INC  
 Name William Mollica  
 Home Office: New York

<u>Consultant</u>	<u>Date</u>	<u>Activity</u>	<u>Hours</u>
			0.00
<b>19-Dec-09 Total Hours</b>			<b>0.00</b>
			0.00
<b>20-Dec-09 Total Hours</b>			<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Work with Pershing on Residual Sweep Accounts - Note any account where we would need to contact the clients.	0.80
		Review activity in Escrow account - send updated report to Legal	0.40
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
<b>21-Dec-09 Total Hours</b>			<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Conference Call - Stanford Operations regarding Residual Sweep Report - setup a process on how to handle any issues with accounts that are not sweeping.	0.60
		Researched Customer Account information for Legal.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
<b>22-Dec-09 Total Hours</b>			<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Conference Call with Pershing LLC regarding Bulk Transfer	0.50
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.60
<b>23-Dec-09 Total Hours</b>			<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.80
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
<b>24-Dec-09 Total Hours</b>			<b>4.00</b>
			0.00
			0.00
			0.00
			0.00
			0.00
<b>25-Dec-09 Total Hours</b>			<b>0.00</b>
FITS INC			
Name William Mollica		<b>Total Billable Hours for Week Ending 12/25/2009</b>	<b>19.00</b>
Home Office			

FITS INC

Name William Mollica

Home Office: New York

Consultant	Date	Activity	Hours
			0.00
<b>26-Dec-09 Total Hours</b>			<b>0.00</b>
			0.00
<b>27-Dec-09 Total Hours</b>			<b>0.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Legal Request: Create a report of all accounts held - updated Net Worth, indicate how many of the accounts still held are IRA accounts and what their Net Worth is.	1.20
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
<b>28-Dec-09 Total Hours</b>			<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	1.20
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.80
<b>29-Dec-09 Total Hours</b>			<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	1.90
		Conference Call with Pershing LLC regarding Bulk Transfer	0.50
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.60
<b>30-Dec-09 Total Hours</b>			<b>5.00</b>
		Create a Daily Report that shows Customer accounts that have transferred: Pershing LLC Account Transfer Report	0.40
		Update the Daily Reports - Customer Accounts Pending Transfer - split this into two reports: 1) Customer Accounts that would be included into the Bulk Transfer and 2) Customer Accounts that have a Residual Balance.	1.80
		Updated Latin America Entities Report (Panama & Colombia) send to Legal (Richard Roper & Victor Hernandez)	0.40
		Accounts Release by Receiver throughout the day: I receive instructions on accounts that have been released by the receiver. I review the accounts to make sure there is no other reason to hold the accounts. Once I determine the accounts can be release I send an instruction over to the clearing broker (Pershing or JP Morgan Clearing Corp) - I CC associates of the receivership on this instruction, this allows them to that their next course of action. I also receive instructions (LOA) to process Fed Wires, Checks, and Journals.	0.60
		Day to Day Operations - Processing of daily operational activities: Margin Calls, Corporate Actions, Physical Certificates, etc. Assist operational associates along with Pershing, JPMCC regarding any operational issues. Also work on any customer issues that come up throughout the day.	0.80
<b>31-Dec-09 Total Hours</b>			<b>4.00</b>
FITS INC			
Name William Mollica			
Home Office			
<b>Total Billable Hours for Week Ending 12/31/09</b>			<b>19.00</b>



**Expense Report**

Week Ending: 12/4/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Tuesday	12/1/2009		\$60.00					\$60.00
Wednesday	12/2/2009		\$60.00					\$60.00
Thursday	12/3/2009		\$60.00					\$60.00
Friday	12/4/2009		\$60.00					\$60.00
		Lodging: 12/1-12/4				\$436.00		\$436.00
		To Iah Airport			\$70.00			\$70.00
		From Iah Airport - Flight Was Cancelled On 12/4 Went Back To Hotel			\$70.00			\$70.00
		Airfare: 12/4-12/7 Iah To Orf Cancelled On 12/4 Flew On 12/5		\$409.20				\$409.20
<b>Totals:</b>			<b>\$240.00</b>	<b>\$409.20</b>	<b>\$140.00</b>	<b>\$436.00</b>	<b>\$0.00</b>	<b>\$1,225.20</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/11/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Saturday	12/5/2009		\$60.00					\$60.00
		35 Miles @ .55 - From Orf			\$19.25			\$19.25
		Orf Airport Parking			\$42.00			\$42.00
		To lah Airport			\$70.00			\$70.00
		Tolls To Orf Airport			\$12.00			\$12.00
Monday	12/7/2009		\$60.00					\$60.00
		35 Miles @ .55 - To Orf			\$19.25			\$19.25
		From lah Airport			\$50.00			\$50.00
		To Orf Airport			\$12.00		\$12.00	
Tuesday	12/8/2009		\$60.00					\$60.00
Wednesday	12/9/2009		\$60.00					\$60.00
Thursday	12/10/2009		\$60.00					\$60.00
Friday	12/11/2009		\$60.00					\$60.00
		Lodging: 12/5 - 12/11				\$763.00		\$763.00
		Airfare : 12/11 - 12/14 lah To Orf			\$409.20			\$409.20
		35 Miles @ .55 - From Orf			\$19.25			\$19.25
		Orf Airport Parking			\$35.00			\$35.00
		To lah Airport			\$70.00			\$70.00
		Tolls From Orf Airport			\$12.00			\$12.00
<b>Totals:</b>			<b>\$360.00</b>	<b>\$409.20</b>	<b>\$360.75</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,892.95</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/18/2009

Employee: Coker, John-Home Office: Virginia

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Sunday	12/13/2009	35 Miles @ .55 To Orf Airport			\$19.25			\$19.25
		From lah Airport			\$50.00			\$50.00
		Tolls To Orf Airport			\$12.00			\$12.00
Monday	12/14/2009		\$60.00				\$60.00	
Tuesday	12/15/2009		\$60.00				\$60.00	
Wednesday	12/16/2009		\$60.00				\$60.00	
Thursday	12/17/2009		\$60.00				\$60.00	
Friday	12/18/2009		\$60.00					\$60.00
		Lodging: 12/12-12/18				\$763.00		\$763.00
		Airfare : 12/18 - 12/21lah To Orf		\$462.20				\$462.20
		35 Miles @ .55 From Orf Airport			\$19.25			\$19.25
		Orf Airport Parking			\$35.00			\$35.00
		To lah Airport			\$70.00			\$70.00
		Tolls From Orf Airport			\$12.00			\$12.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$462.20</b>	<b>\$217.50</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,742.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

**Week Ending: 12/25/2009**

**Employee: Coker, John-Home Office: Virginia**

**Client: As Receiver for the Stanford Entities**  
**Project: Stanford**

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	12/21/2009		\$60.00					\$60.00
		35 Miles @ .55 To Orf Airport			\$19.25			\$19.25
		From Iah Airport			\$70.00			\$70.00
		Tolls To Orf Airport			\$12.00			\$12.00
Tuesday	12/22/2009		\$60.00				\$60.00	
Wednesday	12/23/2009		\$60.00					\$60.00
		Airfare: 12/23 - 1/4 - Iah To Orf		\$409.20				\$409.20
		35 Miles @ .55 From Orf Airport			\$19.25			\$19.25
		Orf Airport Parking			\$21.00			\$21.00
		To Iah Airport			\$70.00			\$70.00
		Tolls From Orf Airport			\$12.00		\$12.00	
Friday	12/25/2009	Lodging: 12/19-12/25				\$763.00		\$763.00
<b>Totals:</b>			<b>\$180.00</b>	<b>\$409.20</b>	<b>\$223.50</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,575.70</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/4/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total	
Tuesday	12/1/2009		\$60.00					\$60.00	
		Car Rental: 11/1-12/1			\$864.90			\$864.90	
Wednesday	12/2/2009		\$60.00					\$60.00	
Thursday	12/3/2009		\$60.00					\$60.00	
Friday	12/4/2009		\$60.00					\$60.00	
		Lodging: 11/30-12/4				\$436.00		\$436.00	
		Airfare: 12/4-12/7 - Iah To Ewr		\$317.70					\$317.70
		From Ewr Airport				\$98.46			\$98.46
		To Iah Airport				\$70.00			\$70.00
<b>Totals:</b>			<b>\$240.00</b>	<b>\$317.70</b>	<b>\$1,033.36</b>	<b>\$436.00</b>	<b>\$0.00</b>	<b>\$2,027.06</b>	

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/11/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	12/7/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From Iah Airport			\$50.00			\$50.00
Tuesday	12/8/2009		\$60.00				\$60.00	
Wednesday	12/9/2009		\$60.00				\$60.00	
Thursday	12/10/2009		\$60.00				\$60.00	
Friday	12/11/2009		\$60.00					\$60.00
		Lodging: 12/5-12/11				\$763.00		\$763.00
		Airfare : 12/11 - 12/14 - Iah To Ewr		\$317.70				\$317.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$70.00			\$70.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$317.70</b>	<b>\$316.92</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,697.62</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/18/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Monday	12/14/2009		\$60.00					\$60.00
		To Ewr Airport			\$98.46			\$98.46
		From Iah Airport			\$50.00			\$50.00
Tuesday	12/15/2009		\$60.00				\$60.00	
Wednesday	12/16/2009		\$60.00				\$60.00	
Thursday	12/17/2009		\$60.00				\$60.00	
Friday	12/18/2009		\$60.00					\$60.00
		Lodging: 12/12-12/18				\$763.00		\$763.00
		Airfare : 12/18 - 12/28 - Iah To Ewr		\$417.70				\$417.70
		From Ewr Airport			\$98.46			\$98.46
		To Iah Airport			\$70.00			\$70.00
<b>Totals:</b>			<b>\$300.00</b>	<b>\$417.70</b>	<b>\$316.92</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$1,797.62</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_



**Expense Report**

Week Ending: 12/25/2009

Employee: Kurylak, Victor-Home Office: New York

Client: As Receiver for the Stanford Entities  
 Project: Stanford

Expense Date		Expense Description / Business Purpose	Per Diem	Air Fare	Transportation	Lodging	Other	Total
Friday	12/25/2009	Lodging: 12/19-12/25				\$763.00		\$763.00
<b>Totals:</b>			<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$763.00</b>	<b>\$0.00</b>	<b>\$763.00</b>

Signature: \_\_\_\_\_

Approval: \_\_\_\_\_

Date: \_\_\_\_\_

# **EXHIBIT G**

520 Post Oak Blvd., Suite 320  
Houston, Texas 77027  
713 418 7125  
713 418 7145 Fax  
mlovett@scchouston.com

*H. Malcolm Lovett, Jr.*  
*Chairman*

November 23, 2009

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

Dear Ralph,

Enclosed please find my invoices for professional fees incurred for the period October 1, 2009 through October 31, 2009. Should you have any questions, please don't hesitate to call me.

Sincerely,



Enclosure

cc: Joseph Cialone, Esq.  
Baker Botts, LLP

Craig Adams, Esq.  
Baker Botts, LLP

520 Post Oak Blvd., Suite 320  
Houston, Texas 77027  
713 418 7120  
713 418 7127 Fax

November 23, 2009

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

## STATEMENT

Invoice STA0001	\$201,885.00	
Period February 19, 2009 through April 12, 2009		
Less 20% Discount	<u>(40,377.00)</u>	
Total Invoice STA0001		161,508.00
Paid September 11, 2009		(129,206.40)
Invoice STA0002	127,469.00	
Period April 13, 2009 through May 31, 2009		
Less 20% Discount	<u>(25,493.80)</u>	
Total Invoice STA0002		101,975.20
Paid September 11, 2009		(81,580.16)
Invoice STA0003	65,272.00	
Period June 1, 2009 through June 30, 2009		
Less 20% Discount	<u>(13,054.40)</u>	
Total Invoice STA0003		52,217.60
Invoice STA0004	25,461.00	
Period July 1, 2009 through July 31, 2009		
Less 20% Discount	<u>(5,092.20)</u>	
Total Invoice STA0004		20,368.80
Invoice STA0005	12,013.00	
Period August 1, 2009 through August 31, 2009		
Less 20% Discount	<u>(2,402.60)</u>	
Total Invoice STA0005		9,610.40
Invoice STA0006	15,580.00	
Period September 1, 2009 through September 30, 2009		
Less 20% Discount	<u>(3,116.00)</u>	
Total Invoice STA0006		12,464.00

Invoice STA0007	6,724.00	
Period October 1, 2009 through October 31, 2009		
Less 20% Discount	<u>(1,344.80)</u>	
Total Invoice STA0007		<u>\$5,379.20</u>
Total Due		<u>\$152,736.64</u>

520 Post Oak Blvd., Suite 320  
Houston, Texas 77027  
713 418 7120  
713 418 7127 Fax

November 23, 2009

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

Inv STA0007

For professional services for the period October 1, 2009 through October 31, 2009

Total professional fees	\$6,724.00
Less 20% Discount	<u>(1,344.80)</u>
Total Invoice	<u>\$5,379.20</u>

			<u>Hours</u>	<u>Amount</u>
10/01/09	HML	Meeting with Operation's staff re: work plan priority	1.2	492.00
10/01/09	HML	Discussion with F. Fram and consideration of alternative e-mail protocol	0.8	328.00
10/02/09	HML	Attention to multiple real estate property tax issues	0.6	246.00
10/03/09	HML	Attention to work plan, wind down and planning matters	1.1	451.00
10/05/09	HML	Attention and discussion with various parties re: Havell Fund wind down issues	0.7	287.00
10/05/09	HML	Telephone conference with F. Fram re: operations/security issues	0.4	164.00
10/05/09	HML	Discussion with FITS and Litigation re: REDACTED	0.6	246.00
10/07/09	HML	Discussion with F. Fram re: REDACTED	0.8	328.00
10/07/09	HML	Attention to treasury management and A/P issues	0.3	123.00
10/08/09	HML	Discussion with HR re: 401(k) and related plan wind down issues, including audit questions	0.6	246.00
10/07/09	HML	Telephone conference with F. Fram and operations team re: open issues	0.5	205.00
10/08/09	HML	Review FITS 4Q work plan re: customer/brokerage/trust wind down; discussion with F. Fram and FITS	0.6	246.00
10/10/09	HML	Attention to Coins/Bullion inventory and coin return issues; discussions with F. Fram and Paul Montgomery	0.6	246.00
10/13/09	HML	Participate in Operations call	0.7	287.00
10/14/09	HML	Attention to treasury management and A/P issues	0.3	123.00
10/15/09	HML	Review issues associated with Real Property, and St Croix property; discussion with staff re: same; management of properties and maintenance issues	0.8	328.00
10/15/09	HML	Attention to 401(k) issues, discussion with HR; review Employee claim status, vendor claim status	0.9	369.00

10/19/09	HML	Telephone conference with Jeanette Day re: claims reconciliation matters	0.4	164.00
10/19/09	HML	Review draft Form 5500, discussion with HR and related HR wind down issues	0.6	246.00
10/20/09	HML	Preparation and participation re: employee compensation and reimbursement call	1.4	574.00
10/21/09	HML	Discussion with FITS re: <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.3	123.00
10/21/09	HML	Telephone conference with HR re: status of open reporting issues	0.4	164.00
10/21/09	HML	Review work up of historical cost matters as requested by counsel; discussion with FTI	0.5	205.00
10/23/09	HML	Review and comment to counsel re: presentation	0.6	246.00
10/27/09	HML	Telephone conference with Operations management re: project status	<u>0.7</u>	<u>287.00</u>
			16.4	6,724.00

520 Post Oak Blvd., Suite 320  
Houston, Texas 77027  
713 418 7125  
713 418 7145 Fax  
mlovett@scchouston.com

H. Malcolm Lovett, Jr.  
Chairman

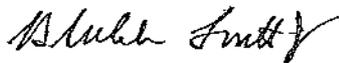
December 23, 2009

Mr. Ralph S. Jarvey, Receiver  
Stanford Financial Group  
c/o Krage & Jarvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

Dear Ralph,

Enclosed please find my invoices for professional fees incurred for the period November 1, 2009 through November 30, 2009. Should you have any questions, please don't hesitate to call me.

Sincerely,



Enclosure

cc: Joseph Cialone, Esq.  
Baker Botts, LLP

Craig Adams, Esq.  
Baker Botts, LLP

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*H. Malcolm Lovett, Jr.*  
*Chairman*

December 23, 2009

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

Inv STA0008

For professional services for the period November 1, 2009 through November 30, 2009

Total professional fees	\$2,132.00
Less 20% Discount	<u>(426.40)</u>
Total Invoice	<u>\$1,705.60</u>

			<u>Hours</u>	<u>Amount</u>
11/02/09	HML	Attention and comment on Open item listing; review staffing; telephone conference with V Kurylak re: operations winddown	0.8	328.00
11/03/09	HML	Telephone conference with C. Adams and management participants; follow-up on AP review	0.7	287.00
11/05/09	HML	Attention to open HR matters, discussion with staff; follow up on St. Croix re: issues and inspections	0.5	205.00
11/05/09	HML	Review and comment on objections to Bulk Transfer motion	0.6	246.00
11/10/09	HML	Review AP matters and comment to staff	0.3	123.00
11/14/09	HML	Telephone conference with C. Adams	0.4	164.00
11/18/09	HML	Meeting with management and staff re: operations status; follow up discussion with Victor Kurylak	1.6	656.00
11/27/09	HML	Review and comment on open items listing	<u>0.3</u>	<u>123.00</u>
		Total professional fees	<u>5.2</u>	<u>2,132.00</u>

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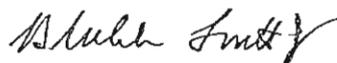
December 23, 2009

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

Dear Ralph,

Enclosed please find my invoices for professional fees incurred for the period December 1, 2009 through December 31, 2009. Should you have any questions, please don't hesitate to call me.

Sincerely,



Enclosure

cc: Joseph Cialone, Esq.  
Baker Botts, LLP

Craig Adams, Esq.  
Baker Botts, LLP

520 Post Oak Blvd., Suite 320  
Houston, Texas 77027  
713 418 7120  
713 418 7127 Fax

January 19, 2010

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

## STATEMENT

Invoice STA0001	\$201,885.00	
Period February 19, 2009 through April 12, 2009		
Less 20% Discount	<u>(40,377.00)</u>	
Total Invoice STA0001		161,508.00
Paid September 11, 2009		(129,206.40)
Invoice STA0002	127,469.00	
Period April 13, 2009 through May 31, 2009		
Less 20% Discount	<u>(25,493.80)</u>	
Total Invoice STA0002		101,975.20
Paid September 11, 2009		(81,580.16)
Invoice STA0003	65,272.00	
Period June 1, 2009 through June 30, 2009		
Less 20% Discount	<u>(13,054.40)</u>	
Total Invoice STA0003		52,217.60
Invoice STA0004	25,461.00	
Period July 1, 2009 through July 31, 2009		
Less 20% Discount	<u>(5,092.20)</u>	
Total Invoice STA0004		20,368.80
Invoice STA0005	12,013.00	
Period August 1, 2009 through August 31, 2009		
Less 20% Discount	<u>(2,402.60)</u>	
Total Invoice STA0005		9,610.40
Invoice STA0006	15,580.00	
Period September 1, 2009 through September 30, 2009		
Less 20% Discount	<u>(3,116.00)</u>	
Total Invoice STA0006		12,464.00

Invoice STA007	6,724.00	
Period October 1, 2009 through October 31, 2009		
Less 20% Discount	<u>(1,344.80)</u>	
Total Invoice STA007		5,379.20
Invoice STA008	2,132.00	
Period November 1, 2009 through November 30, 2009		
Less 20% Discount	<u>(426.40)</u>	
Total Invoice STA008		1,705.60
Invoice STA009	9,020.00	
Period December 1, 2009 through December 30, 2009		
Less 20% Discount	<u>(1,804.00)</u>	
Total Invoice STA009		<u>7,216.00</u>
Total Due		<u>\$161,658.24</u>

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Chairman

January 19, 2009

Mr. Ralph S. Janvey, Receiver  
Stanford Financial Group  
c/o Krage & Janvey LLP  
2100 Ross Avenue, Suite 2600  
Dallas TX 75201

Inv STA0009

For professional services for the period December 1, 2009 through December 31, 2009

Total professional fees	\$9,020.00
Less 20% Discount	<u>(1,804.00)</u>
Total Invoice	<u>\$7,216.00</u>

			<u>Hours</u>	<u>Amount</u>
12/01/09	HML	Attention to review of proposed non-commission settlement process and documentation	0.6	246.00
12/01/09	HML	Telephone conference with Jeanette Day re: cash, payables and employee claim reconciliation	0.7	287.00
12/02/09	HML	Telephone conference with V Kurylak and T Davis re: remaining deposits at Pershing	0.3	123.00
12/02/09	HML	Review disclaimer re: employee benefit plan filings ; discussion with Staff and with Craig Adams	0.6	246.00
12/08/09	HML	Telephone conference with Fred Fram and Jeanette Day re: operations and claim status	0.9	369.00
12/10/09	HML	Telephone conference with F Fram re: status of open issues re: winddown	0.4	164.00
12/10/09	HML	Telephone conference with Wm Stutts re: cash utilization and general update on international activity	0.6	246.00
12/15/09	HML	Telephone conference with Paul Montgomery re: Coin and Bullion status	0.3	123.00
12/17/09	HML	Meeting with Fred Fram re: operations/ status	1.3	533.00
12/17/09	HML	Telephone conference with C. Adams re: operations and organizational issues	0.5	205.00
12/18/09	HML	Telephone conference with Jeanette Day re: Organizational issues	0.6	246.00
12/18/09	HML	Telephone conference with Andrew York re: broker litigation matter	0.4	164.00
12/21/09	HML	Consideration of operations and organizational issues; follow up Telephone conference with C. Adams re: same	1.3	533.00
12/22/09	HML	Review weekly AP	0.2	82.00
12/22/09	HML	Attention to staffing levels, discussion with Char re: year-end HR matters	0.6	246.00
12/22/09	HML	Telephone conference with Craig Adams re: operations management planning and staffing; follow up re: data requests	0.9	369.00
12/22/09	HML	Telephone conference with Jeanette Day re: cash planning; operations management and staffing priorities	0.7	287.00
12/28/09	HML	Telephone conference with Craig Adams, Kevin Sadler and R Janvey re: operations issues	0.6	246.00

12/28/09	HML	Meeting at Company with Jeanette Day and Fred Fram re: operations management, staffing and priority issues; meeting with Victor Kurylak re: STC, SGC. and related issues	2.2	902.00
12/28/09	HML	Review Susan Ayre's material in preparation for telephone conference.	0.7	287.00
12/29/09	HML	Attention to review further information re: <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> ; telephone conference with S. Ayre	0.9	369.00
12/29/09	HML	Telephone conference with C. Adams and operations staff re: open issues	0.6	246.00
12/29/09	HML	Respond to K. Sadler re: <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span> ; attention top responses to C. Adams and W. Stutts requests for information	0.3	123.00
12/29/09	HML	Follow up Telephone conference with Craig Adams and with Jeanette Day re: staffing issues	0.5	205.00
12/30/09	HML	Telephone conference with C. Adams and D. Duffy re: HR issues; Telephone conference with K. Sadler	0.8	328.00
12/30/09	HML	Meeting at Company with Jeanette Day; Char McDougal; Rhonda Davis and V Kurylak re: operations and year-end matters	1.8	738.00
12/30/09	HML	Attention to review matters re: Alt Income Fund; discussion with Jeanette Day	0.4	164.00
12/30/09	HML	Attention to material re: claims vs <span style="border: 1px solid red; padding: 0 2px;">REDACTED</span>	0.9	369.00
12/30/09	HML	Consideration of alternative reorganization options; priorities and process	1.4	<u>574.00</u>
			<u>22.0</u>	<u>\$9,020.00</u>