

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

SECURITIES AND EXCHANGE	§	
COMMISSION,	§	
	§	
Plaintiff,	§	
v.	§	Case No.: 3:09-CV-0298-N
	§	
STANFORD INTERNATIONAL BANK,	§	
LTD., ET AL.,	§	
	§	
Defendants.	§	

**APPENDIX IN SUPPORT OF
RECEIVER’S THIRD INTERIM REPORT REGARDING STATUS OF
RECEIVERSHIP, ASSET RECOVERY AND ONGOING ACTIVITIES**

Dated: November 11, 2011

Respectfully submitted,

BAKER BOTTS L.L.P.

By: /s/ Kevin M. Sadler

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**ATTORNEYS FOR RECEIVER
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CERTIFICATE OF SERVICE

On November 11, 2011, I electronically submitted the foregoing document with the clerk of the court of the U.S. District Court, Northern District of Texas, using the electronic case filing system of the Court. I hereby certify that I have served the Court-appointed Examiner, all counsel and/or pro se parties of record electronically or by another manner authorized by Federal Rule of Civil Procedure 5(b)(2).

/s/ Kevin M. Sadler
Kevin M. Sadler

CASH FLOW FROM FEBRUARY 17, 2009 - October 31, 2011 (\$M)	
Total Cash Inflow	\$ 216.9
Total Expenses Other Than Professional Fees	(50.3)
Net Cash Flow Before Prof. Fees and Restricted	166.6
Professional Fees and Expenses	(52.1)
Restricted Cash	(18.7)
Unrestricted Cash	\$ 95.8
Professional Fees and Expenses Held Back	15.7
Net Unrestricted Cash after Hold Back	\$ 80.1

TOTAL CASH AT - October 31, 2011 (\$M)	
Unrestricted Cash	\$ 95.8
Restricted Cash	18.6
Total Cash	\$ 114.5

OVERVIEW OF MATERIAL RECEIVERSHIP ASSETS AT 10/31/11(\$M)	
SIGNIFICANT ASSETS:	
Cash Held at Other US Banks and Brokerages	\$ 3.5
3rd Party Funds Frozen Pursuant to Injunction	80.0
Political Committees Judgment	1.7
Closed Private Equity Future Inflows, Net	0.6
Pending Private Equity, Net	0.5
Other Private Equity, Net (est.)	6.5
Restructurings of Private Equity (est.)	0.5
Domestic Real Estate, Net (est.)	2.1
South American Real Estate and Businesses (est.)	1.3
Estimated Total of Material Assets	\$ 96.6
ADDITIONAL UNCERTAIN ASSETS:	
Domestic Litigation Claims Filed	\$ 608.6 ^A
International Litigation Claims	1.1
Swiss, Canada, UK Cash	335.0
Cash Held in Other International Accounts	10.6
Estimated Total of Additional Uncertain Assets	\$ 955.3
TOTAL POTENTIAL ASSETS	\$ 1,051.9

^A Excludes \$1.8 billion in claims filed against R. Allen Stanford.

DETAIL OF TOTAL CASH INFLOWS (\$M)	
Cash Balances of Stanford Accounts on 2/17/09	\$ 63.1
Trailing Revenue	5.9
Stanford Trust Company Monies Held as Restricted	5.0
Liquidation of Bank of Antigua Accounts	1.0
Net Proceeds from Sale of:	
Private Equity Investments	36.0
Real Estate	16.4
Sea Eagle And Little Eagle	1.4
Stanford Bank (Panama) S.A.	7.7
Airplanes	5.0
Stanford Peruvian Brokerage	0.6
Political Refunds	0.1
Litigation Settlements and Funds	12.3
Liquidation Of Fund Accounts	46.1
Liquidation Of Ecuadorian Assets	4.5
Recovery of Additional Cash Balances	4.7
Other Inflows	7.1
Total Cash Inflow	\$ 216.9